

BOARD OF REGENTS

FINANCE & FACILITIES COMMITTEE

MEETING
AGENDA

October 12, 2021
1:30 p.m. VIRTUAL
MEETING



THE UNIVERSITY OF
NEW MEXICO.

TAB 1

#1

Call to Order, Confirmation of a Quorum, and Adoption of Agenda

The University of New Mexico
Board of Regents' Finance and Facilities Committee
October 12, 2021, 1:30 p.m.
Held Virtually, Via Zoom
<https://live.unm.edu/board-of-regents>

AGENDA

ACTION ITEMS:

1. Call to Order, Confirmation of a Quorum, and Adoption of Agenda

COMMENTS:

- Open for Comments

ACTION ITEMS (Continued):

2. Approval of Finance and Facilities Committee Meeting Summary from May 4, 2021
3. Approval of Disposition of Surplus Property for March, April, May, June, July and August 2021 *(Presenter: Bruce Cherrin, Chief Procurement Officer, Purchasing Department)*
4. Approval of Contracts:
 - a. NMPBS – SpectraRep Agreement
 - b. NEC Enterprise Voice System Maintenance Renewal
 - c. Microsoft A5 Licensing for UNM Main and Branches *(Presenters: Bruce Cherrin, Chief Procurement Officer, Purchasing Department and Duane Arruti, CIO, IT)*
5. Approval of:
 - a. Fire Safety Improvements: Fitz Hall & BRF
 - b. Los Alamos Library Renovation Re-Approval
 - c. Cancer Research Facility Roof Replacement
 - d. Central & Girard Site Improvements
 - e. Clinical Translational Science Center Roof Replacement
 - f. CIRT Restroom Renovation *(Presenter: Lisa Marbury, Assistant VP, Campus Environments & Administration)*
6. Approval of South Campus TIDD Master Development Agreement *(Presenters: Teresa Constantinidis, CEO, LDC; Tom Neale, Dir. Real Estate; Kelly Ward, Dir. Business Development, LDC; Jill Sweeney, Member, Sherman & Howard, LLC; Kim Murphy, Consultant, LDC; and Harry Relkin, Consultant, LDC)*
7. Approval of Lobo Development Corporation Board of Directors and Reappointments of Four Non-Positional Directors *(Presenter: Kelly Ward, Dir. Business Development, LDC)*
8. Approval of Appointment and Reappointment of Board Nominated Members to Harwood Foundation Governing Board *(Presenter: Alexandra Benjamin, Chair, Governing Board of the Harwood Foundation)*
9. Recommendations for Consent Agenda Items on Full Board of Regents' Agenda *(Sandra Begay, Chair, Regents' Finance & Facilities Committee)*

INFORMATION ITEMS:

10. Quarterly Consolidated Financial Report for the Month Ended June 30, 2021 *(Presenter: Elizabeth Metzger, University Controller)*
11. Information on FY 21 Main and Branch Campuses, Categorization of Reserves Report, Pursuant to UAP 7000 *(Presenters: Norma Allen, Dir., OPBA; Nicole Dopson, Dir. Financial Operations, EVP AA/Provost's Office; and Vahid Staples, Assoc. Dir., OPBA)*
12. UNM Foundation Fundraising and Investment Performance Report – June 30, 2021 *(Presenters: Kenny Stansbury, CFO, UNM Foundation; Paul Cassidy, Investment Committee Chair, UNM Foundation; Kristi Hanson, Partner, NEPC; Daniel Hennessy, Senior Consultant, NEPC; and Jian Zhang, Senior Analyst, NEPC)*
13. Initial Discussion on AGB's Paper on Cash Flow Neutrality *(Presenter: Sandra Begay, Chair, Regents' Finance & Facilities Committee)*

EXECUTIVE SESSION:

None

TAB 2

#2

Approval of Finance and Facilities Committee Meeting Summary
from May 4, 2021

THE UNIVERSITY OF NEW MEXICO
Board of Regents' Finance and Facilities (F&F) Committee
May 4, 2021 – Meeting Summary
<<<DRAFT>>>

Committee Members Present:

Regent Sandra Begay, Chair
Regent Rob Schwartz, Vice Chair
Regent William Payne

Non-Voting Committee Members Present:

Regent Doug Brown
Regent Kimberly Sanchez Rael

Administration Present: Garnett Stokes, University President; Teresa Costantinidis, SVP for Finance and Administration (SVPFA); and James Holloway, EVP for Academic Affairs/ Provost

Presenters in Attendance: Norma Allen, OPBA; Nicole Dopson, Academic Affairs/ Provost Office; Alice Letteney, Valencia Branch; Ava Lovell, Health Sciences Center; Lisa Marbury, ISS; Elizabeth Metzger, Controller; Eddie Nunez, Athletics; and Jason Strauss, Lobo Energy Inc.

ACTION ITEMS:

1. **Call to Order, Confirmation of a Quorum, and Adoption of Agenda.** Regent Begay called the virtual William Payne meeting to order at 1:32 p.m. and confirmed that a quorum was established. **Regent Schwartz moved to adopt the agenda and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

COMMENTS:

There were no public comments.

ACTION ITEMS (continued):

2. **Approval of Finance and Facilities Committee Meeting Summary from April 6, 2021.** Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting. The minutes are in the E-book.
3. **Approval of 3rd Quarter Financial Actions Report and Certification through March 31, 2021 and 3rd Quarter Informational Financial Report through March 31, 2021.** Elizabeth Metzger gave the presentation. Regents' approval of the 3rd Quarter Financial Actions report and certification through March 31, 2021 was requested. The Quarterly Financial Actions Report is a one-page report submitted to the Higher Education Department (HED), comprised of "yes" or "no" questions regarding the University's financial transactions. Answering any question "yes" requires further information to be provided to HED.

There were no budget changes to report and a “no” response was provided for each question because all financial changes have been reflected in the Budget Adjustment Request (BAR). Once the Budget Adjustment Request (BAR) is approved, they will call them the approved revised budgets. The detailed report is in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

3rd Quarter Informational Financial Report through March 31, 2021. Elizabeth Metzger gave the presentation. This report describes the University's revenues and expenses as of March 31, 2021. The detailed report is in the E-book.

4. **Approval of 3rd Quarter Athletics' Enhanced Fiscal Oversight Program (EFOP) Report and Certification through March 31, 2021 and 3rd Quarter Information on Athletics' Report by Sport through March 31, 2021.** Eddie Nunez and Nicole Dopson gave the presentation. Regents' approval was requested for the Athletics' EFOP Report and Certification instituted by HED. This report covers the third quarter financial status and budget exhibits for the Athletics' department. The detailed report is in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

3rd Quarter Information on Athletics' Report by Sport through March 31, 2021. Eddie Nunez and Nicole Dopson gave the presentation. The report describes the pooled and directed revenues and expenses by sport for FY 2020 - 2021 budget and includes actuals year-to-date through March 31, 2021. The detailed report is in the E-book.

5. **Approval of the Main, HSC, and Branch Campuses' FY 2021-2022 Budget and FY 2020-2021 Budget Adjustment Request (BAR).**

Approval of Main, HSC, and Branch Campuses' FY 2020-2021 BAR. Teresa Costantinidis and Ava Lovell gave the presentation. Regents' approval of Main, HSC, and Branch Campuses' for FY 2020-2021 BAR was requested. The BAR is due to the NM Higher Education Department (HED) by May 1. HED does allow a post May 1 board approval. The original operating and capital budget was submitted to HED last spring and was subsequently revised in July 2020 due to the NM Special Legislative Session.

The drivers for the BAR for Main Campus are noted below:

- Changes in revenue and expenditure projections
- Use of reserves for one-time expenditures
- Increase in restricted grant and contract revenues and expenditures
- Other changes in transfers

Additionally, there was a 30.9% budget increase due to the transfer of UNMH plant reserves from Main Campus for the Hospital Tower project and the Orthopedic Center of Excellence.

Summary of Branch Campus changes are noted below:

- Gallup \$291K increase, 1.6% - primarily due to an increase in restricted contract and grant research activity
- Los Alamos \$16K decrease, -.3% - primarily transfer spending from auxiliaries to capital projects
- Valencia \$1.233M increase, 8.5% - primarily due to an increase in restricted contract and grant research activity and auxiliary COVID costs
- Taos \$219K decrease, -1.7% - primarily due to a decrease in restricted contract and grant research activity, and the TRIO grant ended
- HEERF II funding received \$930K

The proposed HSC BAR was approved by the HSC Committee on May 4, 2021.

The detailed reports are in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

Approval of Main, HSC, and Branch Campuses' FY 2021-2022 Budget. Teresa Costantinidis gave the presentation. Regents' approval of Main, HSC, and Branch Campuses' FY 2021-2022 budget was requested. The University's consolidated budget is projected to be \$3.4B which is a 3% increase over the FY 2020-2021 budget. The Main Campus' budget reflects a decrease of 4.8% over FY 2020 - 2021 original budget, and a 24.5% decrease over the FY 2020 - 2021 revised budget. The primary drivers for these changes include an increase in state appropriations, tuition and fees, compensation increases, other must fund costs, and decreases in auxiliary revenues. The Main Campus' budget includes the proposed Athletics' fee. Discussion was held on the budget components and approval process. Regent Schwartz approved the budget package as a whole, but did not support the Athletics' fee.

The Branch Campuses' overall budget shows a -.82% net decrease from the FY 2020 - 2021 revised budget. The primary drivers include an increase in state appropriations, a decrease in tuition, fees, and auxiliary revenues, a 1.5% compensation increase and 1% ERB increase, and decreased spending in non-salary expenses.

The proposed HSC budget was approved by the HSC Committee on May 4, 2021.

The detailed reports are in the E-book. **Regent Payne moved to approve Main, HSC, and Branch Campuses' FY 2021-2022 Budget and Regent Begay seconded. The motion to approve this item passed by a vote of 2-1; Regent Payne and Regent Begay voted for; Regent Schwartz voted against.**

6. Approval of Projects:

a. UNM Valencia Campus Fire Suppression Upgrades. Lisa Marbury gave the presentation. Regents' approval was requested for the UNM Valencia Campus Fire Suppression upgrades. The total estimated project budget is \$2M, and \$1.5M is funded from the local GO Bond 2021 Legislature and \$500K is funded from the 2018 Local Bond Match. The detailed report is in the E-book. **Regent Schwartz moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

b. Laguna & DeVargas Roof Replacement. Lisa Marbury gave the presentation. Regents' approval was requested for the Laguna & DeVargas roof replacement. The total estimated project budget is \$741K and is funded from Plant Fund reserves. The detailed report is in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

c. University House Exterior Repairs. Lisa Marbury gave the presentation. Regents' approval was requested for the University house exterior repairs. The total estimated project budget is \$321K and is funded from FY 2020 - 2021 Building Renewal & Replacement (BR&R) funds. The detailed report is in the E-book. **Regent Schwartz moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

7. Approval of:

a. Capital Outlay request package for FY 2021-2022. Projects which must be submitted to the Higher Education Department (HED). Teresa Costantinidis gave the presentation. Regents' approval was requested for the Capital Outlay package for FY 2021-2022 projects, which must be submitted to HED. The detailed report is in the E-book. **Regent Schwartz moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

b. Five Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on the University of New Mexico Campuses. Teresa Costantinidis and Lisa Marbury gave the presentation. Regents' approval was requested for the 5-year capital plans that detail projects which will construct and/or significantly improve and renew numerous facilities on the University Campuses. The detailed report is in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

8. Approval of Lobo Energy, Inc. Board Member Nominations. Jason Strauss gave the presentation. Regents' approval was requested for the re-appointments for Jon D. Word, Bruno E. Carrara, and Thomas J. Ruiz as public members to the Lobo Energy, Inc. Board of Directors. Effective upon approval, Mr. Word, Mr. Carrara, and Mr. Ruiz will each serve a new a four-year term. The detailed biographies are in the E-book. **Regent Schwartz moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present**

9. Recommendations for Consent Agenda Items on Full Board of Regents' Agenda. Regent Begay recommended items 3, 4, 6 and 8 be placed on the full Board of Regents' consent agenda. **Regent Begay moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

INFORMATION ITEMS:

There were no information items.

EXECUTIVE SESSION:

Executive session was not held.

Regent Payne moved to adjourn at 2:44 p.m. and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of Committee members present and voting.

TAB 3

#3

Approval of Disposition of Surplus Property for March, April, May, June, July and August 2021

University Services
Marcos Roybal
Associate Director

Business Operations
1128 University Blvd NE
505.277.2366

CRLS
Clark Hall
505.277.5109

Copy Center
Dane Smith Hall
505.277.8267

Mailing Systems
1128 University Blvd NE
505.277.4124

Records Management
1128 University Blvd NE
505.277.1136

Shipping & Receiving
915 Camino de Salud
505.272.6302

Surplus Property
1128 University Blvd NE
505.277.2923

Disposition of Surplus Property Approval March, April, May, June, July & August 2021

Date: September 16, 2021

To: Bruce Cherrin
Chief Procurement Officer
Purchasing Department

From: Marcos Roybal
Associate Director – Finance & Administration
Purchasing Department
University Services

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition detail list for the months of March 2021 through August 2021.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.



UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY
MARCH, APRIL, MAY, JUNE, JULY & AUGUST 2021

Surplus Property Disposition - March, April, May, June, July & August 2021

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
1	N00010401	CRTC Research Support Faculty #242	Dual Multichannel Span	Beckman	01/05/2007	\$478,243.51	\$0.00	Beyond Repair
1	N00006350	CRTC Research Support Faculty #242	Lab Workstation	Beckman	10/13/2005	\$179,149.55	\$0.00	Beyond Repair
2	N00019580	IT Networks	Network Equipment	AquilaTech	12/04/2008	\$178,390.98	\$0.00	Obsolete
3	N00039803	Pathology Gen Admin	DNASequencer	LifeTech	02/28/2013	\$174,479.25	\$0.00	Too Costly to Repair
4	210336	Biology Department	SEQUENCER	ABI	03/22/1996	\$138,956.00	\$0.00	Obsolete
5	260078	Gallup Collision Repair	SPRAY BOOTH	UNKNOWN	03/21/2003	\$82,048.09	\$0.00	Obsolete
2	255100	IT Computing Platforms	COMPUTER ROUTER	Cisco	05/02/2002	\$71,678.50	\$0.00	Obsolete
6	N00005618	CID Biodefenses	Viral Plaque Assays Reader	CellTech	10/05/2005	\$58,000.00	\$0.00	Obsolete
7	N00030645	Center for High Tech Materials CHTM	Server Storage	Dell	06/01/2011	\$43,059.31	\$0.00	Obsolete
8	231448	Gallup Collision Repair	RACK	Kansasjack	06/17/1998	\$36,900.00	\$0.00	Obsolete
9	N00021492	Pathology Gen Admin	Dako Immunostainer	Dako	06/30/2009	\$35,875.00	\$0.00	Obsolete
10	N00014797	Neurosciences	Microplate Reader	Tecan	01/22/2008	\$28,200.00	\$0.00	Obsolete
11	N00022134	Taos Sci Nat Resouces Hlth Occup	211-00050-SimManikin	Mci	08/20/2009	\$26,625.06	\$0.00	Obsolete
12	N00049073	RLSH General Admin	CableModemTerminationSys	C9Networks	11/19/2014	\$26,050.00	\$0.00	Obsolete
2	N00019576	IT Computing Platforms	Network Equipment	AquilaTech	12/04/2008	\$23,932.00	\$0.00	Obsolete
13	267147	Cell Biology	SPECTROMETER	PerkinElme	07/06/2004	\$19,036.00	\$0.00	Obsolete
14	N00039148	Mailing, Shipping & Receiving	PostalMeteringEquipment	Pitney Bowes	01/02/2013	\$16,234.00	\$0.00	Obsolete
Total Asset Disposition (#)							75	
Total Capitalization (\$)							\$2,076,329.15	
Total Net Book Value (\$)							\$0.00	



Surplus Property Disposition - March, April, May, June, July & August 2021

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
2	N00019578	IT Networks	NETWORK EQUIPMENT	AquilaTech	12/04/2008	\$15,500.00	\$0.00	Obsolete
15	N00044807	RLSH General Admin	DownstreamModule	Casa Systems	01/23/2014	\$14,450.00	\$0.00	Obsolete
6	261509	CID Biodefenses	READER MICROPLATE	PerkinElme	06/19/2003	\$14,400.00	\$0.00	Obsolete
16	N00044805	RLSH General Admin	UpstreamModule	Casa Systems	02/03/2014	\$13,906.98	\$0.00	Obsolete
17	N00022614	CRTC Information Systems	Computer Server	INX	09/09/2009	\$11,900.00	\$0.00	Obsolete
17	N00022624	CRTC Information Systems	Computer Server	INX	09/09/2009	\$11,900.00	\$0.00	Obsolete
18	264156	Civil Engineering	SPECTROMETER	Varian	12/04/2003	\$11,744.00	\$0.00	Obsolete
19	N00044806	RLSH General Admin	UpstreamModule	Casa Systems	01/22/2014	\$11,600.00	\$0.00	Obsolete
20	159414	Biology Department	CENTRIFUGE	Sorvall	01/01/1984	\$10,845.00	\$0.00	Beyond Repair
21	220396	Pathology Department	MICROSCOPE	Olympus	07/09/1996	\$10,723.00	\$0.00	Obsolete
22	N00010355	E PS General Administrative	Storage System	Raid	01/01/2007	\$10,470.00	\$0.00	Obsolete
	239687	IT Academic Technologies	CARRYALL TRUCK	Curtis	12/16/1999	\$9,869.00	\$0.00	Too Costly to Repair
	256970	FM Area Four	MV UTILITY	TaylorDunn	07/30/2002	\$9,742.00	\$0.00	Obsolete
	256971	FM Area Four	MV UTILITY	TaylorDunn	07/30/2002	\$9,742.00	\$0.00	Obsolete
	256972	FM Area Four	MV UTILITY	TaylorDunn	07/30/2002	\$9,742.00	\$0.00	Obsolete
	193334	Cell Biology Administration	CHROMATOGRAPH	HewlettPac	04/02/1991	\$9,567.00	\$0.00	Obsolete
	N00022590	CRTC Information Systems	Computer Server	INX	09/09/2009	\$9,073.75	\$0.00	Obsolete
Total Asset Disposition (#)								75
Total Capitalization (\$)							\$2,076,329.15	
Total Net Book Value (\$)							\$0.00	



Surplus Property Disposition - March, April, May, June, July & August 2021

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	N00022622	CRTC Information Systems	Computer Server	INX	09/09/2009	\$9,073.75	\$0.00	Obsolete
	N00022686	Valencia Dean of Instruction Admin	WS-X6516-A-GBIC - Catalyst	INX	07/22/2009	\$8,925.00	\$0.00	Obsolete
	257837	Biology Department	COMPUTER CPU/SYSTEM	Dell	09/23/2002	\$8,877.00	\$0.00	Obsolete
	N00002027	RLSH General Admin	Copier/Fax	Xerox	02/28/2005	\$8,595.15	\$0.00	Obsolete
	N00017806	Pathology Faculty #A06	Lab Tissue Processor	Miles	08/26/2008	\$8,500.00	\$0.00	Obsolete
	N00044804	RLSH General Admin	NetworkBase/C2200	CasaSystems	02/03/2014	\$8,272.26	\$0.00	Obsolete
	N00029643	IT Networks	Service Interface Module	Graybar	03/29/2011	\$8,101.61	\$0.00	Obsolete
	N00041259	Bkst Main Campus Administration	CashRegister	Ratex	05/30/2013	\$7,872.86	\$0.00	Obsolete
	N00006483	AS Biology Gen Admin Chair	Lab Cabinet	BakerComp	01/09/2006	\$7,490.75	\$0.00	Beyond Repair
	N00045321	AVP Operations	Server/PowerEdge R720	Dell	03/19/2014	\$7,420.92	\$0.00	Obsolete
	N00045322	AVP Operations	Server/PowerEdge R720	Dell	03/19/2014	\$7,420.92	\$0.00	Obsolete
	184516	UNM Public Events	PROJECTOR FILM 16MM	Apollo	01/26/1989	\$7,059.00	\$0.00	Obsolete
	178444	RLSH General Admin	LIFT FORK	Clark	01/01/1987	\$6,870.00	\$0.00	Beyond Repair
	N00039550	Bkst Main Campus Info Technology	VideoStorageSystem	Network Sec.	02/02/2013	\$6,750.00	\$0.00	Obsolete
	260370	FM Area Four	MV PASSENGER CAR	Ford	04/03/2003	\$6,745.00	\$0.00	Obsolete
	N00057692	Taos Instructional SuppPrgm Support	MediaRecorder/MSL-REF-720	Mediasite	07/12/2016	\$6,449.16	\$0.00	Obsolete
	N00057693	Taos Instructional SuppPrgm Support	MediaRecorder/MSL-REF-720	Mediasite	07/12/2016	\$6,449.16	\$0.00	Obsolete
Total Asset Disposition (#)								75
Total Capitalization (\$)							\$2,076,329.15	
Total Net Book Value (\$)							\$0.00	



Surplus Property Disposition - March, April, May, June, July & August 2021

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	N00057694	Taos Instructional SuppPrgm Support	MediaRecorder/MSL-REF-720	Mediasite	07/12/2016	\$6,449.16	\$0.00	Obsolete
	N00057696	Taos Instructional SuppPrgm Support	MediaRecorder/MSL-REF-720	Mediasite	07/12/2016	\$6,449.16	\$0.00	Obsolete
	N00057699	Taos Instructional SuppPrgm Support	MediaRecorder/MSL-REF-720	Mediasite	07/12/2016	\$6,449.16	\$0.00	Obsolete
	252608	Pathology Department	AMP GENE	ApplBioSys	10/11/2001	\$6,380.00	\$0.00	Beyond Repair
	N00010238	Center for HPV Prevention	Server	Dell	12/13/2006	\$6,279.25	\$0.00	Beyond Repair
	267146	Cell Biology	READER MICROPLATE	PerkinElme	07/06/2004	\$5,755.00	\$0.00	Obsolete
	N00009460	Pathology Faculty #C09	Incubator	VWR	10/27/2006	\$5,743.21	\$0.00	Beyond Repair
	N00016170	Mechanical Engineering	Computer	Dell	05/12/2008	\$5,569.04	\$0.00	Obsolete
	266750	Earth Data Analysis Center	LASERJET PRINTER	HewlettPac	06/24/2004	\$5,393.00	\$0.00	Beyond Repair
	N00022604	CRTC Information Systems	Computer Server	INX	09/09/2009	\$5,355.00	\$0.00	Obsolete
	N00022621	CRTC Information Systems	Computer Server	INX	09/09/2009	\$5,355.00	\$0.00	Obsolete
	N00022625	CRTC Information Systems	Computer Server	INX	09/09/2009	\$5,355.00	\$0.00	Obsolete
	N00022628	CRTC Information Systems	Computer Server	INX	09/09/2009	\$5,355.00	\$0.00	Obsolete
	N00022636	CRTC Information Systems	Computer Server	INX	09/09/2009	\$5,355.00	\$0.00	Obsolete
	N00028610	Police Department	Server	Dell	12/13/2010	\$5,347.25	\$0.00	Obsolete
	116386	Biology Department	SPECTROPHOTOMETER	Beckman	01/01/1977	\$5,300.00	\$0.00	Obsolete
	N00017899	Bkst Main Campus Administration	POS Register	Rbs	09/24/2008	\$5,276.00	\$0.00	Obsolete
Total Asset Disposition (#)								75
Total Capitalization (\$)							\$2,076,329.15	
Total Net Book Value (\$)							\$0.00	



Surplus Property Disposition - March, April, May, June, July & August 2021

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	N00017898	Bkst Main Campus Administration	POS Register	Rbs	09/24/2008	\$5,276.00	\$0.00	Obsolete
	N00017900	Bkst Main Campus Administration	POS Register	Rbs	09/24/2008	\$5,276.00	\$0.00	Obsolete
	N00035041	Police Department	Server/PowerEdge T710	Dell	03/22/2012	\$5,210.80	\$0.00	Obsolete
	N00035042	Police Department	Server/PowerEdge T710	Dell	03/22/2012	\$5,210.80	\$0.00	Obsolete
	N00035043	Police Department	Server/PowerEdge T710	Dell	03/22/2012	\$5,210.80	\$0.00	Obsolete
	N00012355	Nursing Deans Other Support	Vehicle	Ford	07/05/2007	\$5,075.00	\$0.00	Beyond Repair
	236707	Biology Department	FREEZER	VWR	06/02/1999	\$5,000.00	\$0.00	Obsolete
Total Asset Disposition (#)								75
Total Capitalization (\$)								\$2,076,329.15
Total Net Book Value (\$)								\$0.00





Date: March 17, 2021

To: UNM Surplus Property Department

Re: Disposition of Surplus Property – UNM Tags N00006350 and N00010401

Dear UNM Surplus Property Department,

When these instruments were purchased in 2005 and 2006, all pieces of the equipment were tagged with one tag, even if they were separate stand-alone components. I think this was done in error. Because of this situation, I have listed each component separately on the Surplus Disposal Form (with its serial number) even though they have the same UNM tag number.

This equipment was part of an automated liquid handling system that was used to dispense solutions and cells to be used in scientific experiments within the Center for Molecular Discovery in order to complete the goals and milestones of the grant funded projects within the Center.

N00006350: Beckman Biomek System originally purchased on 10/20/2005 for \$120,198.34 and adjusted cost \$179,149.55. Current net book value is \$0. It is comprised of 2 stand-alone components with different serial numbers as well as 2 different IBM computers. All components are beyond repair and no longer useful to the research team, thus why we are seeking disposal.

N00010401: Beckman Cytomat System originally purchased on 9/8/2006 for \$157,286.61 and adjusted cost \$478,243.51. Current net book value is \$0. It is comprised of 3 stand-alone components (Span, incubator and microplate hotel) all with different serial numbers and the one IBM computer. All components are beyond repair and no longer useful to the research team, thus why we are seeking disposal.

Thank you,

Shirley Mitchell

Shirley Mitchell
Fiscal Operations Director

UNM ASSET TAG #	DESCRIPTION	TOTAL COST	ADJUSTED COST	NBV	PURCHASED DATE
N00006350	Lab Workstation	\$120,198.34	\$179,149.55	\$0	10/20/2005
N00010401	Lab Workstation	\$157,286.61	\$478,243.51	\$0	09/8/2006



Date: March 22, 2021

To: University Services - Inventory Control

From: Paul Chang, Director, IT Networks

Subject: Explanatory Memo – Surplus Property Equipment Disposition

To ensure the deposition of inventoried equipment as referenced in the UNM Board of Regents Policy 7.9 “Property Management,” the item below has been identified as obsolete or unusable worn out to use in daily production for the Data Network Group. These items were previously used on campus to provide wired internet coverage and has since been replaced by newer technology. The items have not been decommissioned for multiple years and with no market value due to obsolete status. The items are:

Asset #	Model	Serial Number	Reason for Disposal	Acquisition Date	Acquisition Cost	Net Book Value	Adjusted Cost
N00019580	Cisco 6500-E Router	SMG1240N6NY	Obsolete	12/9/2008	\$167,492.80	\$0.00	\$178,390.98
255100	Cisco 7200 VXR	72772899	Obsolete	5/2/2002	\$ 55,573.00	\$0.00	\$ 71,678.50
N00019576	Cisco 7201 VXR	73006596	Obsolete	12/9/2008	\$ 23,932.00	\$0.00	\$ 23,932.00
N00019578	Cisco Catalyst 6501	SAL1227W0C7	Obsolete	12/9/2008	\$ 15,500.00	\$0.00	\$ 15,500.00

Thanks for your consideration. If you have any questions regarding our request, please contact me at 505.220.9387.

Sincerely,

Paul Chang, Director, IT networks.

Chin-Liang Chang _____



MEMORANDUM

To: Inventory Control

From: Kyla Sorensen, Accountant

Date: June 4, 2021

Re: Asset # N00039803

Use: Conducting research in the Gullapalli lab including next generation sequencing to perform assays such as DNA-seq and RNA-seq

Reason for disposal: too costly to maintain and no need for it within the Gullapalli lab or within other Pathology research labs

Acquisition date: 2/28/13

Acquisition cost & Adjusted cost: \$174,479.25

NBV: \$0

The department of Pathology is requesting that asset number N00039803 be surplus and deleted from our inventory records. Dr. Gullapalli purchased a DNA Sequencer/Ion Proton System in 2013 to be used for conducting research in his lab. The Ion Proton was designed as a high-throughput next generation sequencing instrument capable of performing assays such as DNA-seq and RNA-seq. The current instrument requires extensive maintenance and needs a contract with ThermoFisher to bring it back to functioning shape. Dr. Gullapalli no longer needs this equipment in his lab and has offered the equipment to other faculty within Pathology, with no takers.

Due to the extensive cost to maintain this equipment and the lack of need for this equipment within our department, we would like to request that this asset be removed from our inventory and sent to surplus. Should you have any further questions please feel free to contact me.

Sincerely,

Kyla Sorensen

Kyla Sorensen

UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
N00039803	2456253-0336	Ion Proton System	02/28/2013	\$174,479.25	\$174,479.25	\$0



Dr. Melissa Sanchez
 Senior Research Scientist 11
 Molecular Biology Facility
 Department of Biology
 University of New Mexico
 Castetter Hall 229
 Albuquerque, New Mexico 87131

21 May 2021

Dear Surplus Department:

The MBF has an instrument that needs to be surplused. Instrument specifications:

UNM Asset Tag #: 210336 S/N: 95060503

Asset Description: Sequencer

A DNA sequencer is a scientific instrument used to automate the DNA sequencing process. Given a sample of DNA, a DNA sequencer is used to determine the order of the four bases: G (guanine), C (cytosine), A (adenine) and T (thymine).

Model: 37701DNA

Total Cost: \$138,956.00 Adjusted Value: \$138,956.00 NBV: 0

Add Date: 3/22/1996

The instrument no longer functioned and was obsolete and no longer met the needs of the MBF. The MBF has since replaced the instrument with newer more efficient and high throughput working models, Instrument specifications: UNM Asset Tag #: N00008744, S/N: 1594-019, Add Date: 8/15/06 and UNM Asset Tag #: 264992, S/N: 1591-006, Add Date: 2/13/04.

Please let me know if you require any other information concerning the instrument.

Yours sincerely,

Dr. Melissa Sanchez
 Senior Research Scientist 1

Bruce Poggi
Inventory Contact
May 24, 2021

Digitally signed by William
 Pockman
 Date: 2021.05.24 15:53:39
 -06'00'

William T. Pockman, Ph.D.
 Chair, Department of Biology, UNM
pockman@unm.edu

UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
210336	95060503	Sequencer	03/22/1996	\$138,956.00	\$138,956.00	\$0.00



TO: Marcos Roybal, Associate Director, University Services

FROM: Ann E. Jarvis, Interim Chair, Business & Applied Technologies, UNM Gallup Campus

CC: Norris Cain, Supervisor, General Services, Surplus Property
 Ron Petranovich, Facilities Manager, UNM Gallup Campus

RE: Disposition of Surplus Property

This correspondence is in reference to a Request for Disposition that includes the following item:

UNM Asset Tag Number	Description	Purchase Date	Acquisition Cost	Adjusted Cost	NBV	Serial Number/VIN	Grant
260078	Paint Booth	03.21.2003	\$75,511.00	\$82,048.09	\$0.00	6840	N/A

The Collision Repair Technology program of study has been identified by the UNM Gallup Campus Curricula Committee as one that will be deleted; therefore, this item is no longer needed. Also, the physical space occupied by the Collision Repair Technology program of study has been tagged as one that will be renovated into a shared space for other career and technical education programs of study to utilize, and removal of the paint booth will assist with the renovation project.

Should you have any questions regarding this request, please feel free to contact me at 505-863-7617 or at aejarvis@unm.edu.

Thank you for your assistance with this matter.



Center for Infectious Disease and Immunity

April 19, 2021

To: Marcos Roybal,
 Assoc Dir, Finance & Admin: Purchasing Department & University Services
 Email: MarcosRoybal@unm.edu

From: Thomas F Byrd, MD, Director of CIDI
 Email: TByrd@salud.unm.edu

Subject: Disposition of Surplus Property of Assets N0005618 & 261509

Dear Mr. Roybal,

Asset: N00005618, Org: 981C, procurement reference P0014922, acquisition date 06/16/2005, add to inventory date 11/07/2005, Manufacturer: CellTech, Model: AID ViruSpot Reader, Description: Viral Plaque Assays Reader System, SN: VSR020507014, Net Book Value listed as zero on My Report fixed asset report, FNRFAIC. Commodity Code: 1602C (7 yrs ea), **Original cost: \$55,000, Adjusted Cost per Banner 9: \$58,000**

Asset: N00005618 was used to quantify immune cells induced by vaccination. We would like to dispose of this equipment because the software and computer controlling its operation are not working and are no longer supported by the manufacturer.

Asset: 261509, Org: 981C, procurement reference 735234, acquisition date 06/19/2003, Manufacturer: PerkinElme, Model: Victor, Description: READER MICROPLATE, SN: 4205457, , Net Book Value listed as zero on My Report fixed asset report, FNRFAIC. Commodity Code: unknown, **Original Cost: \$14,400, Adjusted Cost per Banner 9: \$14,400**

Asset: 261509 was used for used to quantify chemical products. We would like to dispose of this equipment because it has not been used for over 10 years and we do not foresee any use in the in the near future.

Thank you,

A handwritten signature in black ink that reads 'Thomas F Byrd'.

Thomas F Byrd, MD
 Director, Center for Infectious Disease & Immunity (CIDI)

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	SN/VIN	Grant
N00005618	Reader System	6/16/2005	\$55,000.00	\$58,000.00	\$0.00	VSR020507014	3R106
261509	Reader MicroPlate	6/19/2003	\$14,400.00	\$14,400.00	\$0.00	4205457	N/A



To: UNM Surplus

From: Joseph Sadler, Systems/Network Analyst 3, CHTM

Date: 17 June, 2021

A handwritten signature in black ink, appearing to read 'Joseph Sadler', written over the printed name in the 'From:' field.

RE: Surplus of Dell SAN, Property Tag: N00030645

This server, a Dell EqualLogic PS4000XV (Service Tag FDPKWP1), (originally purchased 25-Apr-2011 for \$43,059.31, with an adjusted cost of \$21,529.65 and net book value of \$0) was acquired by CHTM IT from the UNM Financial Services Division, to determine if the design and capacity would be useful for use by the Center, after it was determined to be excess to the needs of the former unit.

After much testing, it was determined that the operating system is not sufficiently flexible, and the storage is insufficient, for the desired use by CHTM. As the warranty is also expired, it is being surplussed as obsolete.

UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
N00030645	FDPKWP1	SERVER	6/1/2011	\$43,059.31	\$21,529.65	\$0.00



TO: Marcos Roybal, Associate Director, University Services

FROM: Ann E. Jarvis, Interim Chair, Business & Applied Technologies, UNM Gallup Campus

CC: Norris Cain, Supervisor, General Services, Surplus Property
 Ron Petranovich, Facilities Manager, UNM Gallup Campus

RE: Disposition of Surplus Property

This correspondence is in reference to a Request for Disposition that includes the following item:

UNM Asset Tag Number	Description	Purchase Date	Acquisition Cost	Adjusted Cost	NBV	Serial Number/VIN	Grant
231448	Rack	06.17.1998	\$36,900.00	\$36,900.00	\$0.00	WR4KL3365	N/A

This item is located within the soon-to-be-deleted Collision Repair Technology program of study at the UNM Gallup Campus. Per the UNM Gallup Curricula Committee, this program has been earmarked for deletion, and this item will no longer be used, thus the request for disposition. The rack is a piece of equipment that is used infrequently within this industry, as the need for and practices of straightening frames has fallen by the wayside. The only exception would be to use this on classic or older model vehicles.

The physical space in which the Collision Repair Technology program of study was located has been identified for renovation as a shared space for other career and technical education programs of study. The decision to send this item to UNM Surplus Property will result in that renovation to occur more seamlessly.

Should you have any questions regarding this request, please feel free to contact me at 505-863-7617 or at aejarvis@unm.edu.

Thank you for your consideration of and assistance with this matter.



Disposition of Surplus Property - UNM Tag #N00021492

April 15, 2021

To: Surplus Property/Facilities Management
From: UNM-HSC Department of Pathology

RE: Asset Tag #N00021492 – DAKO Immunostainer

UNM Tag #N00021492 is a DAKO Immunostainer purchased by Pathology for use in the Human Tissue Repository for \$35,875.00 on, has an adjusted cost of \$35,875.00 and has a net book value (NBV) of \$1000. The immunostainer was acquisitioned on 5/13/2009.

The immunostainer was used for processing HTR tissue samples, and is currently being disposed due to being obsolete for modern lab protocols.

We are sending this item to UNM Surplus Property Surplus to remove the unusable lab equipment from our inventory.



Angela Miller
Fiscal Services Tech
UNM Department of Pathology
almiller@salud.unm.edu
505-272-2598

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	Serial Number/VIN	Grant
N00021492	Autostainer	5/13/2009	\$35,875.00	\$35,875.00	\$0.00	S38-0774-01	N/A



Disposition of Surplus property – UNM Tag #N00014797

April 22nd, 2021

To: University Services
 From: Neurosciences
 RE: Surplus of Tecan Infinite M200

University Services UNM Tag N00014797 was purchased 1/23/2008 by the Department of Neurosciences for \$28,200. This piece of scientific research equipment was used as a spectrophotometer to support Neurosciences and UNM's research mission. This asset has an adjusted cost of \$28,200 with a net book value (NBV) of \$0. This piece of equipment is not functioning, obsolete and is not repairable.

Requesting surplus of this item to remove it from our inventory, and make space for its replacement.

Thank you for your consideration.

Charles LeBlanc Digitally signed by Charles LeBlanc
Date: 2021.04.23 09:35:49 -06'00'

Charles LeBlanc, Department Administrator

Neurosciences

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	Serial Number/VIN	Grant
N00014797	Micro-plate reader	1/23/2008	\$28,200.00	\$28,200.00	\$0.00	126-7DE-BQY-VNKG	N/A



MEMO

To: UNM Inventory Control

From: Thomas Duran, Business Manager

cc: Geri Montoya, Fiscal Service Tech

Date: June 22, 2021

Re: Request for Deletion of Assets for Surplus

Please accept this memo as a request for the Deletion and Surplus of the following asset:

N00022134 SN#211H091114 Laedal SimManikin

This asset was purchased on July 23, 2009 for \$26,625.06. This unit was used for EMS and Nursing Programs for simulated CPR; first aid and patient care lab and classroom instruction. The net book value is \$0 and the adjusted cost of this unit is \$26,625.06.

Per Josh Wright, EMS Instructor and Dawn Kittner, Nursing Program Interim Director, this unit is outdated and unable to update software it requires as well as availability of replacement parts.

Please contact me if you have any questions. Thank you!


UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
N00022134	211H091114	SimManikin	7/23/2009	\$26,625.06	\$26,625.06	\$0.00

Residence Life

& Student Housing

Memorandum

To: UNM Surplus Property

From: Scott Dotson, Assistant Director, Facilities 

CC: Megan Chibanga, Interim Director
Suzanne Helms, Assistant Director, Business Operations
Wayne Sullivan, Projects

Date: June 22, 2021

Subject: Surplus property explanation N00049073

Asset #: N00049073

Use: Provide UNM Internet over a coax cable distribution system for residents of UNM Student Family Housing

Reason for disposal: Complex has closed on June 30, 2021 and vacant property is being sold. The department does not use internet-over-cable equipment at any other facilities.

Acquisition date: 11/19/2011

Acquisition cost: \$26,050.00 (Adj Cost \$26,050.00)

Net book value: \$0.00

Useful info for disposal: Suggest consult Bob Korman at Satellite Warehouse, local company that was supporting department in internet distribution over cable and possibly has other local properties with similar needs. Phone: (505)-883-0245

If you have questions, please contact me at scottd@unm.edu or 505-453-4736. Thank you.

UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
N00049073	QV48BA6S0341	CableModemTerminationSystem	11/19/2014	\$26,050.00	\$26,050.00	\$0.00



Memorandum

To: Property Accounting
From: Nancy L. Kanagy, Ph.D., Professor and Chair
Date: March 19, 2021
Re: Justification of Deletion of Asset #267147

This memo is to request deletion of asset #267147, SPECTROMETER, PerkinElmer, LS 55 Luniescen, serial number #69057, added 7/6/04, \$19,036. The acquisition cost of this equipment was \$19,036, adjusted cost is \$19,036, net book value is \$0.00. The current value of this equipment would not be the original purchased price. The reason for this disposal request is that this asset and the asset's technology is obsolete.

This spectrometer was used to measure light emission and absorption for studies of cellular activity assays and studies of metabolic processes.

Thank you,

A handwritten signature in blue ink that reads 'Nancy L. Kanagy'.

Nancy L. Kanagy, Ph.D.
 Professor and Chair

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV
267147	Spectrometer	7/6/2004	\$19,036.00	\$19,036.00	\$0.00



University Services
Marcos Roybal
Associate Director

Business Operations
1128 University Blvd NE
505.277.2366

CRLS
Clark Hall
505.277.5109

Copy Center
Dane Smith Hall
505.277.8267

Mailing Systems
1128 University Blvd NE
505.277.4124

Records Management
1128 University Blvd NE
505.277.1136

Shipping & Receiving
915 Camino de Salud
505.272.6302

Surplus Property
1128 University Blvd NE
505.277.2923

February 26, 2021

Dear UNM Surplus Department,

I am writing to confirm a Request for Disposition for UNM Asset # N00039148, a Pitney Bowes meter machine that was acquired on 9/17/2012 with an acquisition amount of \$16,234 with a current net book value of \$0. The meter machine has had high maintenance costs throughout the last five year and is no longer in use.

We believe our decision to send the Pitney Bowes Meter machine to surplus will result in quarterly lease savings for the department and the aforementioned maintenance expenses.

Thank you for your consideration.

Sincerely,

Dora Dominguez
Sr. Business Manager
UNM Copy Center &
Mailing Systems
HSC Shipping & Receiving
DoraDominguez@unm.edu | (505) 277-2366


UNM Asset tag #	Description	Acquisition cost	Adjusted cost	NBV	S/N	Acquisition date
N00039148	PB meter machine	\$16,234.00	\$16,234.00	\$0	0005366	9/7/2012

Residence Life

& Student Housing

Memorandum

To: UNM Surplus Property

From: Scott Dotson, Assistant Director, Facilities 

CC: Megan Chibanga, Interim Director
 Suzanne Helms, Assistant Director, Business Operations
 Wayne Sullivan, Projects

Date: June 22, 2021

Subject: Surplus property explanation N00044807

Asset #: N00044807

Use: Provide UNM Internet over a coax cable distribution system for residents of UNM Student Family Housing

Reason for disposal: Complex has closed on June 30, 2021 and vacant property is being sold. The department does not use internet-over-cable equipment at any other facilities.

Acquisition date: 01/22/2014

Acquisition cost: \$14,450.00

Adjusted cost: \$17,323.78

Net book value: \$0.00

Useful info for disposal: Suggest consult Bob Korman at Satellite Warehouse, local company that was supporting department in Internet distribution over cable and possibly has other local properties with similar needs. Phone: (505)-883-0245

If you have questions, please contact me at scottd@unm.edu or 505-453-4736. Thank you.


UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
N00044807	QV48BA6S0341	DownstreamModule	1/22/2014	\$14,450.00	\$17,323.78	\$0.00

Residence Life

& Student Housing

Memorandum

To: UNM Surplus Property

From: Scott Dotson, Assistant Director, Facilities 

CC: Megan Chibanga, Interim Director
Suzanne Helms, Assistant Director, Business Operations
Wayne Sullivan, Projects

Date: June 22, 2021

Subject: Surplus property explanation N00044805

Asset #: N00044805

Use: Provide UNM Internet over a coax cable distribution system for residents of UNM Student Family Housing

Reason for disposal: Complex has closed on June 30, 2021 and vacant property is being sold. The department does not use internet-over-cable equipment at any other facilities.

Acquisition date: 01/22/2014

Acquisition cost: \$11,600.00

Adjusted cost: \$13,906.98

Net book value: \$0.00

Useful info for disposal: Suggest consult Bob Korman at Satellite Warehouse, local company that was supporting department in internet distribution over cable and possibly has other local properties with similar needs. Phone: (505)-883-0245

If you have questions, please contact me at scottd@unm.edu or 505-453-4736. Thank you.

UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
N00044805	UV33BB7S0081	UpstreamModule	1/22/2014	\$11,600.00	\$13,906.98	\$0.00

UNM COMPREHENSIVE
UNM CANCER CENTER

Date: 04/13/2021

To: UNM Surplus Property

From: UNM Cancer Center

Subject: **N00022614/N00022624**

To whom it may concern:

UNM Assets #**N00022614** and **N00022624** Cisco Catalyst Switch Consist of a few pieces of network hardware that we had here in our TR rooms. They were in place for all of our devices phones, printers, computers, etc. to connect to our network. We are no longer needing this equipment as we have removed it and upgraded to newer equipment. These items are obsolete. The Amount of it was 11,900.00 for each.

N00022614, Switch - total cost \$11,900.00, NBV \$0.00, purchased 09/09/2009, adjusted cost \$11,900.00

N00022624, Switch - total cost \$11,900.00, NBV \$0.00, purchased 09/09/2009, adjusted cost \$11,900.00

This old equipment needs to be sent of to UNM surplus property. We are no longer needing it here.

Sincerely,

X

Alex Sanchez

4/13/21

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	Serial Number/VIN	Grant
N00022614	SWITCH	9/9/2009	\$11,900.00	\$11,900.00	\$0.00	SAL1312LZFL	N/A
N00022624	SWITCH	9/9/2009	\$11,900.00	\$11,900.00	\$0.00	SAL1312LZFL	N/A



Disposition of Surplus Property – UNM Tag #264156

April 19, 2021

To: Brandon Harrie, UNM Surplus Property
 From: UNM Department of Civil, Construction, and Environmental Engineering
 Subject: Instrument Disposition

Dear Mr. Harrie,

UNM Tag #264156 (Varian SpectraAA 55B Spectrometer) was purchased on 12/4/2003 for a total cost and adjusted cost of \$11,744.00 by Dr. Bruce Thomson, who was faculty in the Civil Engineering Department at the time but is now retired. The spectrometer and associated lamps and manuals were used for analysis of water samples to determine concentrations of elemental metals and ions (ie., calcium, magnesium, potassium, sulfur, iron, etc.) in water treatment research in environmental engineering. The net book value of the instrument is \$0.00. The instrument has no trade-in value with the manufacturer and is antiquated, 20+ years old, and has been replaced with much more robust and efficient modes of analysis for water quality. This spectrometer is no longer supported by the manufacturer and service and parts are obsolete. It also takes up valuable lab research space without providing support to research or student learning. Lastly, and most importantly, this instrument requires compressed acetylene gas that is highly flammable (used for welding metals in industry) and if the instrument cannot produce valuable data or learning opportunities for students, it should not provide an unnecessary health risk to students when at possible.

The removal and disposal of this instrument will free up lab space for productive experiments and analysis and removes the need for hazardous acetylene gas in student research space. Thank you for your consideration.

A handwritten signature in blue ink that reads 'Kerry J. Howe'.

Kerry J. Howe
 Professor, Department of Civil, Construction, and Environmental Engineering


UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	S/N
264156	Spectrometer	12/04/2003	\$11,744.00	\$11,744.00	\$0.00	N/A

Residence Life

& Student Housing

Memorandum

To: UNM Surplus Property

From: Scott Dotson, Assistant Director, Facilities 

CC: Megan Chibanga, Interim Director
 Suzanne Helms, Assistant Director, Business Operations
 Wayne Sullivan, Projects

Date: June 22, 2021

Subject: Surplus property explanation N00044806

Asset #: N00044806

Use: Provide UNM Internet over a coax cable distribution system for residents of UNM Student Family Housing

Reason for disposal: Complex has closed on June 30, 2021 and vacant property is being sold. The department does not use internet-over-cable equipment at any other facilities.

Acquisition date: 01/22/2014

Acquisition cost: \$11,600.00

Adjusted cost: \$13,903.98

Net book value: \$0.00

Useful info for disposal: Suggest consult Bob Korman at Satellite Warehouse, local company that was supporting department in internet distribution over cable and possibly has other local properties with similar needs. Phone: (505)-883-0245

If you have questions, please contact me at scottd@unm.edu or 505-453-4736. Thank you.

UNM Asset tag #	Serial Number/VIN	Description	Acquisition date	Acquisition cost	Adjusted cost	NBV
N00044806	UV33BB9S0075	UpstreamModule	1/22/2014	\$11,600.00	\$13,906.98	\$0.00



Department of Biology

April 23, 2021

University Services

Attention: Norris Cain, Supervisor, General Services, Surplus Property

Re: Disposition of Surplus Property – UNM Asset Tag #: 159414

Dear Mr. Cain,

I am writing to confirm a Request for Disposition that included the following UNM Asset Tag #159414.

UNM Asset Tag #: 159414 Centrifuge was purchased on January 01, 1984 by UNM Biology department. Purchased price \$10,845.00, Acquisition cost \$10,845.00 and the net book value (NBV) of \$0.

The centrifuge was used to isolate molecules for research in a lab. The centrifuge was purchased 37 years ago, 10 years of last years was broken down, too costly to repair.

Its time to surplus the broken Centrifuge and make room for a replacement.

Bruce Yazzie
S/R coordinator
Biology, Castetter Hall
505-277-8320

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	Serial Number/VIN	Grant
159414	Centrifuge	1/1/1984	\$10,845.00	\$10,845.00	\$0.00	1LGZ5K1	N/A



Disposition of Surplus Property - UNM Tag #220396

April 15, 2021

To: Surplus Property/Facilities Management
From: UNM-HSC Department of Pathology

RE: Asset Tag #220396 – Microscope Surplus

UNM Tag #220396 is an Olympus Microscope purchased by Pathology for \$10,723.00, adjusted cost of \$10,723.00 and has a net book value (NBV) of \$0. The microscope was acquired on 7/9/1996.

The microscope was used for Pathology lab research, and is currently being disposed due to being obsolete for modern lab protocols.

We are sending this item to UNM Surplus Property Surplus to remove the unusable lab equipment from our inventory.



Angela Miller
Fiscal Services Tech
UNM Department of Pathology
almiller@salud.unm.edu
505-272-2598

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	Serial Number/VIN	Grant
220396	MICROSCOPE	7/9/1996	\$10,723.00	\$10,723.00	\$0.00	TEACHING	N/A



Northrop Hall 141, Room 141
 MSC03 2040
 221 Yale Blvd NE
 Albuquerque, NM 87131-0001
 U.S.A.
 Telephone (505) 277-4204

Disposition of Surplus Property – UNM Tag #N00010355

April 15, 2021

To: Mr. Brandon J. Harrie, Accounting Clerk | Surplus Property
 From: Dr. Louis Scuderi, Director, Center for Rapid Environmental Assessment & Terrain Evaluation
 Subject: Raid Storage Disposition

Dear Mr. Harrie,

UNM Tag #N00010355 (Raid Array U320 Falcon2-SATA 6.4 TB System) was purchased on January 12, 2007 by the Center for Rapid Environmental Assessment & Terrain Evaluation (CREATE) for \$20,940. This storage array was used for processed data from CREATE's satellite collection dishes as part of our real time data delivery system. According to the current inventory, the storage array shows a total cost of \$10,470.00, a net book value \$0.00, and an adjusted cost \$10,470.00. In its used state and reflecting its age (~ 15-year-old technology as of this date), the array currently has a value of <<\$1000.00. New and more reliable technology can be purchased for <\$1000. The Raid array is no longer capable of easily interfacing with our newer hardware, is extremely expensive to maintain and subject to regular failures. Hence, we are requesting that it be set to surplus property.

We believe that the decision to send this storage array to UNM Surplus Property will result in a decrease in operating expense to CREATE. In turn, this will allow the Center to use the savings to purchase a new storage system later this year. Since the Center is currently offline at least until the end of 2021 due to the COVID19 pandemic, the disposition of the storage array will not materially affect our current operations.

Thanks for your consideration of this matter.

Respectfully,

A handwritten signature in blue ink that reads 'Louis Scuderi'.

Louis A Scuderi
 Director, CREATE
 Professor, Earth & Planetary Sciences

UNM Asset tag #	Description	Purchase date	Acquisition cost	Adjusted cost	NBV	SN/VIN
N00010355	Storage System	1/12/2007	\$10,470.00	\$0.00	0..00	N/A

New Mexico Compilation Commission

13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.

TAB 4

#4

Approval of Contracts:

- a. NMPBS – SpectraRep Agreement
- b. NEC Enterprise Voice System Maintenance Renewal
- c. Microsoft A5 Licensing for UNM Main and Branches



MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
<http://purchase.unm.edu>

MEMORANDUM

To: Teresa Costantinidis, Sr. Vice President for Finance & Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Approval

Date: September 22, 2021

The following contract has been submitted for information to the Regents Finance and Facilities Committee per Regents Policy 7.4.

NMPBS – SpectraRep Agreement

On behalf of NMPBS, a sole source was posted for SpectraRep to provide Hardware and software platform services for the insertion of encrypted rich media content into public television broadcast stream; delivering educational content to students' homes, and live public safety information to first responders. The sole source was posted on September 15, 2021 on the University of New Mexico and State of New Mexico's Sunshine Portal for the required 30 day posting.

There is no other provider of these services with technology in use anywhere else. SpectraRep offers a unique combination of technology and platform services that serves both Public Safety and Education needs. Both the Public Safety community through NM DHSEM and Education through NM PED have expressed a need and demonstrated a commitment to implementing these services.

The estimated total dollar value is \$1,367,700.00. SpectraRep has decades of long history working with Public Television stations with technology that meets the specific needs of the broadcast industry and services that uniquely serve both the Education community and the Public Safety community. Education needs are served by creating a path from teacher to student that allows for delivery of education content designed for internet distribution to be delivered to homes that lack internet connectivity.

After a good faith effort to identify other sources, posting a sole source, and cost analysis, NMPBS recommends awarding a contract to SpectraRep.

Source of Funding

The funding source for these services will come from the Elementary and Secondary School Emergency Relief Fund.

Department Representatives in attendance for presentation:
Franz Joachim, GM & CEO New Mexico PBS,



MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
<http://purchase.unm.edu>

MEMORANDUM

To: Teresa Costantinidis, Sr. Vice President for Finance & Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Renewal Approval

Date: September 22, 2021

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

NEC Enterprise Voice System Maintenance Renewal

A five-year renewal has been negotiated with NEC to renew the current NEC maintenance contract for 5 years, 2022-2026. This renewal covers the enterprise Voice solution that has been at the University since 1983, and includes PBX system upgrades to be at the latest revisions and functionalities. The current premise-based solution covers 25,000 ports, end devices including analog, digital, Voice over IP (VoIP), Softphone technologies, 911 for UNM Police, e-fax, life safety systems (fire, security), contact center (Interactive voice response), Automatic call distribution agents, voicemail boxes, auto attendant, and trunking with Digital and SIP technologies for the Main, North, South campuses and branch campuses. The voice system also includes the University Hospital, Health Sciences Center, and UNM Medical Group for 24x7 x 365 operations, with full redundancy.

The NEC voice system provides robust, redundant and 99.999% of reliability, with little to no downtime except for routine service maintenance. The NEC premise solution has allowed the University to incrementally migrate to up-to-date hardware to protect existing hardware investment, reducing overhead costs but still providing current technologies for the suite of voice services IT offers to customers. Through the NEC product and along with a 3rd party telecom service provider, offsite disaster recovery measures are in place for business continuity.

NEC now has the capabilities to migrate VoIP end devices to the cloud, reducing the on-premise footprint, except for life safety systems or critical functions required for business continuity. This product also works in concert with the premise solution for business continuity.

Critical customers

- UNMH (5,000 end points, contact center)
- Connectivity to SRMC (dialing between campuses)
- HSC & UNMMG (On premise and remote clinics throughout Albuquerque, and New Mexico)
- Athletics (administration and all events like football, basketball, special events)
- UNM departments (400+ buildings, departments and services)
- 24x7 operations
 - Poison Control
 - Lifeguard
 - OMI
 - UH Emergency Department
 - PALS (physician assistance line)
 - UH Vocera
 - UH Escription
 - Cancer Center
 - UH Psychiatric Center
 - UH Operators
 - IT main number, dispatch
 - Agora Crisis Center
 - UNMPD – University campus Police
 - UH Security
 - Student Health Center
 - Student Residence Center

Source of Funding

UNM IT operational funds through internal service center chargeback.

Five (5) YEAR TOTAL COST (January 2022 – January 2026) \$4,189,380

Department Representatives in attendance for presentation:
Duane Arruti, Chief Information Officer, Brian Pietrewicz, Deputy Chief Information Officer, Paul Chang, Director, Core IT Services, and Mark Reynolds, Mgr., Core IT Services, IT.



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<http://purchase.unm.edu>

MEMORANDUM

To: Teresa Costantinidis, Sr. Vice President for Finance & Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Renewal Approval

Date: September 22, 2021

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

Microsoft A5 Licensing for UNM Main and Branches

In order to address high cybersecurity risks and obtain cyber insurance coverage, a 3 ½ year extension of our current contract (through February, 2025), has been negotiated with Microsoft through a reseller, Chicago Dell Marketing. This license agreement upgrades current Microsoft A1 and A3 licensing to Microsoft A5 licensing for faculty, staff, and students.

This licensing will allow UNM to implement software security features of Microsoft A5, which include: Multi-Factor Authentication to prevent account compromises, Endpoint Detection and Response to detect and prevent malicious activity on end- user devices and servers, Microsoft email filtering and quarantining tools to prevent malicious attachments, and administrative tools to create internal phishing campaigns to train our campus communities to recognize phishing.

This agreement will extend the current licensing for HSC, UH, SRMC, UNMMG, and for thirteen NM Licensing Consortium members around the state, and allows them the option to upgrade their current licensing to Microsoft A5 at the same UNM negotiated price. There is also the flexibility in this agreement for additional NM Higher Ed institutions to join the consortium, which will allow for even deeper discounting pricing as the volume of users increases.

All NM Higher Education Institutions will have the ability to obtain Microsoft A5 through this agreement to address institutional risks by leveraging advanced security controls as part of this licensing.

Source of Funding

The funding source for this Microsoft licensing is UNM IT operational funds and chargeback (chargeback for NM consortium members). UNM IT will be requesting recurring funding for this licensing as part of a cybersecurity initiative request through the University’s annual budget development process.

Additionally, the New Mexico Higher Education Department (HED), in collaboration with the Consortium of Higher Education Computing Communication Services (CHECS), is currently seeking funding to cover two years of this licensing initiative for the majority of NM Higher Education Institutions for FY23 and FY24 through the NM Department of Information Technology’s C5 Request process.

TOTAL COST (August 2021 – February 2025):	\$8,007,600
UNM - Main and Branches (currently licensed with A5)	2,310,500
UNM - HSC, UH, SRMC, UNMMG (estimated with A5)	3,131,000
NM Microsoft Consortium Members and other NM HEIs that choose to participate (estimated with A5)	2,566,100

Department Representatives in attendance for presentation:
Duane Arruti, Chief Information Officer and Brian Pietrewicz, Deputy Chief Information Officer, IT

TAB 5

5

Approval of:

- a. Fire Safety Improvements: Fitz Hall & BRF
- b. Los Alamos Library Renovation Re-Approval
- c. Cancer Research Facility Roof Replacement
- d. Central & Girard Site Improvements
- e. Clinical Translational Science Center Roof Replacement
- f. CIRT Restroom Renovation



INSTITUTIONAL
SUPPORT
SERVICES

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: September 20, 2021

TO: Teresa Costantinidis, Sr. VP Finance & Administration

FROM: Lisa Marbury, Assistant Vice President, Campus Environments & Facilities,
Vice President Office for Institutional Support Services

RE: Requested Approval

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following requests for Project Construction Approval:

1. Fire Safety Improvements: Fitz Hall & BRF
2. Los Alamos Library Renovation Re-Approval
3. Cancer Research Facility Roof Replacement
4. Central & Girard Site Improvements
5. Clinical Translational Science Center Roof Replacement
6. CIRT Restroom Renovation

cc: A. Coburn, M. Dion, M. Bailey, C. Martinez, S. Rodgers, K. Coffee, G. Skinner, M. Pierce, T. Silva – PDC
A. Sena, R. Notary, D. Penasa, R. Garcia – FM

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
Fire Safety Improvements: Reginald Heber Fitz Hall & Biomedical Research Facility
UNIVERSITY OF NEW MEXICO**

October 12, 2021

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the **Fire Safety Improvements: Fitz Hall & Biomedical Research Facility, at the Albuquerque North Campus.**

PROJECT DESCRIPTION:

Reginald Heber Fitz Hall, 179,527 gross square feet (GSF), and Biomedical Research Facility (BRF), 108,887 GSF, are both premiere medical research facilities utilized by the University of New Mexico School of Medicine. While separate facilities, these two buildings function together and comprise research spaces, laboratories and conservation storage, seminar spaces, as well as numerous classroom and office spaces. These facilities currently lack sufficient fire and life safety systems.

The project will entail renovations to the existing buildings fire alarm and fire protection systems. The entire fire alarm system will be replaced with a new addressable fire voice evacuation emergency communication system integrated into all portions of the facility. The existing building fire alarm system shall remain operational while the new fire alarm system is installed, tested, and accepted by the NM State Fire Marshals' Office. The existing fire protection system will be modified to extend a wet-pipe automatic fire sprinkler system to cover the entire six levels of the facility. Additionally, the existing manual dry standpipe systems will be modified to function as a single standpipe system. The existing mechanical HVAC systems, new electrical power circuits, and existing emergency power equipment will incorporate new fire alarm components and functions to meet the requirements for fire and life safety components.

PROJECT RATIONALE:

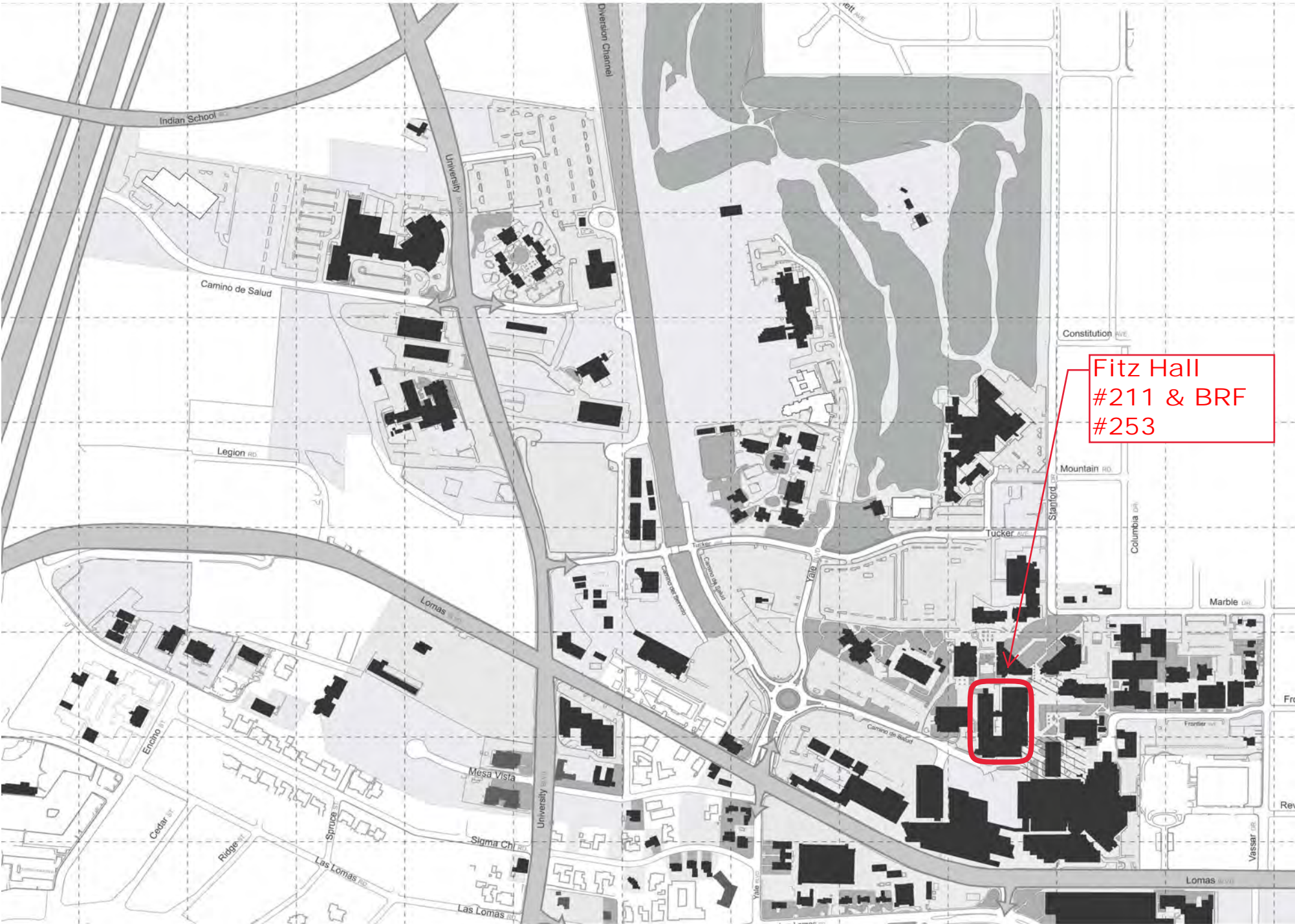
Upgrades are needed for the entire fire alarm and fire protections systems to comply with current codes and University design standards. Without this project the University is at an extreme risk during an emergency fire scenario. Only 23% of the floor area is currently protected by automatic sprinkler systems and many floor levels lack proper occupant notification and HVAC shutdown sequences. It is vital that these systems be updated to meet all applicable code and standard requirements since the facility is a hub for the UNM School of Medicine predominately catering to graduate and postdoctoral students and faculty who utilize this building on an almost continuous basis.

FUNDING:

The total estimated Project Budget is \$2,500,000:

- \$2,000,000.00 is funded from 2021 Severance Tax Bonds
- \$500,000.00 is funded from 2022 Sustainability Surcharge

The University of New Mexico - Albuquerque: North Campus



Fitz Hall
#211 & BRF
#253



Basic Medical Sciences at Fitz Hall #211 and Biomedical Research Facility #253

LIFE SAFETY EVALUATION – FIRE ALARM AND FIRE PROTECTION
SYSTEMS

UNIVERSITY OF NEW MEXICO | FM-E&ES | May 18, 2020



General Building Information

A prescriptive and performance-based life safety evaluation in terms of its fire alarm and fire protection systems for the Basic Medical Sciences Building (BMSB) at Fitz Hall and Biomedical Research Facility (BRF) located at The University of New Mexico north campus is performed. Both structures are constructed of Type IIB construction with partial automatic sprinkler systems.

Completed in 1967, BMSB includes 6-levels at approximately 179,527 gross square feet (GSF). It primarily operates as a medical research facility. A large portion of the facility operates with an emphasis on animal research. See Table 1 for the current space categories within the building.

Table 1: Space allocation for BMSB #211



The UNM Space Category by Building

Report Parameters:

Sites: A - ALBUQUERQUE

Site Status: OPEN

Ownership: ALL

Building(s): 0211

Site Code	Site Description	Facility Code	Facility Description	Category	Category Description	Category Percentage	Net Usable SQFT	Room Count
A	ALBUQUERQUE	0211	REGINALD HEBER FITZ HALL	100	CLASSROOM FACILITIES	100%	8,598.00	33
				200	LABORATORY FACILITIES	100%	19,882.00	98
				240	HSC ORGANIZED RESEARCH	100%	37,816.00	122
				300	OFFICE FACILITIES	100%	31,821.00	250
				400	STUDY FACILITIES	100%	542.00	1
				600	GENERAL USE FACILITIES	100%	1,763.00	3
				700	SUPPORT FACILITIES	100%	4,443.00	17
				WWW	CIRCULATION AREA	100%	29,439.00	93
				XXX	BUILDING SERVICE AREA	100%	2,850.00	26
				YYY	MECHANICAL AREA	100%	26,338.00	41
Sub Total REGINALD HEBER FITZ HALL							163,492.00	684
Total Net Usable ALBUQUERQUE							163,492.00	684
TOTAL							163,492.00	684

BRF is also a 6-level medical research facility constructed adjacent to BMSB in 1982 at approximately 108,465 GSF. See Table 2 for the current space categories within the building footprint.

The University of New Mexico (UNM) School of Medicine utilizes both buildings. These Group B, business occupancy, buildings are predominately catered to graduate and postdoctoral research in biomedical sciences.

The basement and ground floor levels are continuous floor levels between these two complexes. Most ceilings are 2'x4' lay-in acoustical tiles with gypsum board ceilings in restroom, storage, laboratory, and research areas. There is a covered passage at ground level between the two buildings. And there are two exterior exposed walkways between the two buildings at the second and third levels.

Table 2: Space allocation for BRF #253



The UNM Space Category by Building

Report Parameters:
 Sites: A - ALBUQUERQUE
 Site Status: OPEN
 Ownership: ALL
 Building(s): 0253

Site Code	Site Description	Facility Code	Facility Description	Category	Category Description	Category Percentage	Net Usable SQFT	Room Count
A	ALBUQUERQUE	0253	BIOMEDICAL RESEARCH FACILITY	100	CLASSROOM FACILITIES	100%	1,633.00	4
				200	LABORATORY FACILITIES	100%	15,865.00	70
				240	HSC ORGANIZED RESEARCH	100%	28,028.00	140
				300	OFFICE FACILITIES	100%	12,923.00	101
				700	SUPPORT FACILITIES	100%	9,118.00	13
				WWW	CIRCULATION AREA	100%	16,583.00	57
				XXX	BUILDING SERVICE AREA	100%	2,086.00	34
				YYY	MECHANICAL AREA	100%	12,458.00	40
Sub Total BIOMEDICAL RESEARCH FACILITY							98,694.00	459
Total Net Usable ALBUQUERQUE							98,694.00	459
TOTAL							98,694.00	459

Both buildings are constructed with a partial hydraulically calculated automatic sprinkler system. There are two separate wet pipe risers located within this complex. One located in Mechanical Room #B28 in BMSB and one located in Mechanical Room #B35 in BRF. The dual 2.5-inch fire department connection for BMSB is located along a south facing planter near a tight radius fire service route. The dual 2.5-inch fire department connection for BRF is located away from the building at a northwest floor location. The basement levels of each building have overhead sprinkler protection throughout. Also, a ground level 2011 renovation project in BMSB of approximately 6,200 square feet was updated with an Ordinary Hazard Group 1 density system. A floor control assembly for this renovated area is located in Stair #G91.

There are also separate manual dry standpipes for each building. Each building contains manual dry standpipe risers located at north and south stairwells. A single 2.5-inch hose connection is provided at each floor landing beginning at the ground floor level. The two standpipe systems are independent of one another, and proper signage is an issue at the exterior connections for the BMSB system.

Additionally, there isn't a hydrant in close proximity to the BMSB dry standpipe fire department connection. A new hydrant should be installed in the fire access route between buildings BMSB #211 and the Health Sciences Center Library and Informatics Center #234.

Approximately 66,200 ft² of the combined gross 288,000 ft² building area is current protected by overhead automatic sprinklers. The sprinkler density provided for these basement level spaces is listed as Light Hazard occupancy. The hazard classification, design density and coverage areas adhere to the requirements of National Fire Protection

Association (NFPA) Standard 13. See Figures 1 and 2, at the end of this document, for additional information regarding the existing fire protection systems for both buildings.

BMSB and BRF are connected to the UNM campus water utility system. Two independent 6-inch fire service mains are currently routed to two 6-inch double check backflow assemblies. There are no exterior control valves for either system. The most recent fire flow test performed near the facility was conducted on June 12, 2019. A static pressure reading of 88 psi; residual pressure of 30 psi at a flow of 1,300 gpm were identified through the flow test.

EXISTING FIRE DETECTION AND ALARM SYSTEMS

Building wide fire alarm systems are provided for both buildings in accordance with provisions of the International Building Code (IBC), International Fire Code (IFC) and NFPA 101, Life Safety Code. Fire alarm systems are required for existing business occupancies where the building is three or more stories in height, there are 100 or more occupants above the discharge level, or 1,000 or more total occupants within the building. However, the existing systems are lacking adequate initiation devices and overall occupant notification appliances throughout all levels. Additionally, the two buildings function as separate fire alarm systems even though the buildings are connected without a fire wall and the alarm requirements for all areas are equal. Both systems utilize Honeywell Notifier equipment.

Each independent system is a basic addressable alarm system which consists of typical initiating devices, both manual and automatic, and occupant notification is delivered through standard horn and strobe appliances.

The fire alarm system receives alarm signals from manual pull stations, automatic sprinkler water flow switches, automatic smoke detection, and automatic heat detection.

The location of the main fire alarm control panel for BMSB is at the ground level in Lobby #G00. The location for the BRF control panel is at basement level in Corridor #B09. There are non-functional remote annunciators near the ground floor entry hall of BMSB and the loading dock area of BRF, and the other remaining fire fighter entrances are lacking adequate annunciation panels. The total footprint of the facility is in the range of 288,000 square feet with approximately 180,000 square feet being the responsibility of the BMSB system and approximately 108,000 square feet under the BRF system. See Figure 3 and 4, at the end of this document, for additional information regarding the existing fire alarm systems for both buildings.

EXISTING HVAC SYSTEMS

There are minimal existing fire alarm shutdown sequences for the entirety of the two buildings. The only active shutdown relays are for AHU-1 and ERV-1 which serves the first and second floor remodeled areas of BMSB. There are visible non-addressable duct

detectors currently installed, but there's no testing documentation to determine if they are actually functional.

There are many instances where duct detectors have been removed, and there are many abandoned relays throughout numerous mechanical equipment rooms.

As far as national standards are concerned (NIH, USDA, Biosafety and Biomedical) with respect to animal research areas; those governing bodies only references other building codes for duct smoke detection requirements. Therefore, NFPA 90A would be the start and end point for operational duct smoke detection requirements. Also, there are no specific smoke control requirements for these Type IIB, Group B occupancy buildings.

The main approach to contain smoke spread is to initiate a general HVAC shutdown mode during a building fire event. General air handling units will stop. Critical area air handling units will stop the supply air fans, but the exhaust air fans shall operate to failure.

Based on as-built and onsite research, the majority of the original HVAC equipment layouts have remained unchanged. There is a total of fifteen supply air fans which will require integration with the new fire alarm system. These air handling units with a supply air capacity greater than 2,000 CFM will require supply air duct smoke detection. Additionally, the once through air handling systems will also require duct smoke detectors. Provide smoke detection on the supply air side of these systems.

NEW FIRE DETECTION AND ALARM SYSTEMS

Situations where multiple buildings share a common site with unique addresses shall be equipped with its own fire alarm control panel. Each individual building panel shall be permitted to be networked together so that a single alarm signal is broadcasted throughout all floors of the two buildings. The entirety of the BSMB and BRF facility will be equipped with a new addressable emergency voice/alarm communication (EVAC) system utilizing speakers for intelligibility. The intent of a voice evacuation system is to provide a clear and audible message that can be understood by the occupants in the event of an emergency.

The main fire apparatus access route is through a fire lane at the north entrance to the facility. First responders will be directed to the vestibule space between BMSB and BRF, thus both fire alarm control panels would be ideally located near this passage space. Addressable initiating devices will direct first responders to appropriate locations throughout the expansive facility. A graphic annunciator can be provided to illustrate an interactive visual map of the facility to aid in the specific location of an alarm signal.

Locate the new main fire alarm control panel for BMSB in Corridor #G00 and in Corridor #G03 for BRF, and provide remote annunciators near the first-floor entry lobby #100 of BMSB and the ground level entrances (Corridor #G05 and Corridor #G50) of BRF.

New area smoke detection shall be limited to generally unoccupied storage areas, and new heat detection shall be throughout all mechanical room spaces and custodial spaces that utilize mop basins.

Additionally, smoke detection shall be provided throughout all animal occupied areas to detect a fire in the early stages of development, which will provide time for firefighters to get on scene before the fire grows to a size that can be detrimental to confined occupants.

NEW FIRE PROTECTION SYSTEMS

Since the two buildings are connected throughout the ground level without any fire walls, it is recommended that a single fire service main be utilized for this facility. The existing 6” fire service main and corresponding alarm riser located in Mechanical Room #100 in BRF will be removed complete minus the fire department connection, and a single 6” fire service main in BMSB shall remain to provide adequate fire protection coverage for both BMSB and BRF.

A new feed main shall be routed to BRF and shall provide fire protection water supply for floor control assemblies at the south Stairwell #B13. A feed main is already capped at Stair #B91 of BMSB and shall be extended up through the building to floor control assemblies at the upper floor levels. Table 3 indicates the approximate coverage areas per floor control assembly.

This will enable a single fire service main, a single backflow preventer, and two fire department connection locations for the entire facility. One fire department connection will be located on the south face of BMSB, and the other will be located at the northwest corner of BRF. Enabling the responding fire department to have access to multiple fire access routes to the facility.

Table 3: Sprinkler coverage area per floor control assembly


Floor Control Valve Location	BMSB Floor Control Area (square feet)	BRF Floor Control Area (square feet)	Total Protected Area per Floor Level (square feet)
Basement Level	35,105	25,810	60,915
Ground Level	48,510	17,070	65,580
First Level	34,345	15,250	49,595
Second Level	34,590	15,250	49,840
Third Level	32,220	15,250	47,470
Roof Level	2,452	859	3,311
Total	187,222	89,489	276,711

Both systems shall be hydraulically calculated at an Ordinary Hazard Group 1 occupancy which corresponds to 0.15 gpm/ft² over a remote area of 1,500 ft². Area reduction for use of quick response sprinkler heads will be allowed. See Table 4 for an analysis of the existing campus water supply when converting to a single fire service main for both structures. A conservative estimation is analyzed, and there is roughly a 14% safety margin between the available campus water pressure and the required fire sprinkler pressure.

Since the two manual dry standpipe systems are independent, a new 4-inch main will be routed between the two systems so that there is a single complete standpipe system for the entire facility. This connection is required per NFPA 14, which allows the responding fire department to connect to the three available exterior fire department connections to supply water to all hose locations within both BMSB and BRF.

Table 4: Fire protection water supply summary

Water Supply/Demand Summary

Basis of Opinion:	Preliminary Estimation		
Building:	BMSB #211 & BRF #253		
Location:	UNM North Campus	 <p>Scope differences and water supply conditions can cause estimations to vary significantly.</p>	
Story Count:	6-levels		
Ceiling Height (L.F.):	10		
Floor Area (S.F.):	276,711		
Construction Type:	Type II-B		
Basement Included:	Yes		
Site Elevation:	5,315 feet		
System Type:	Wet-pipe		
Method:	Hydraulic Calculation		

References to NFPA 13-2013, *Standard for the Installation of Sprinkler Systems*

Area/Density Curve	GPM/SF	SF	GPM
Occupancy Hazard Exhibit 5.1	Ordinary Hazard Group 1 (Laboratory) Existing building primarily consisting of classrooms, laboratory, and office spaces. 2'x4' lay-in acoustic and gyp board ceilings. Hydrant at same level as riser.	0.15	1500 225.00
Area Reduction		% Reduction	SF
11.2.3.2.3.1	For QR heads with a ceiling/roof height less than 20 feet	40.0%	900.00
Actual Design Area	GPM/SF	SF	GPM
	0.15	900.00	135.00
Sprinkler Head Spacing	SF per Head	Sprinklers in Design Area, #	Discharge per sprinkler, GPM
8.6.2.2	Based on NFPA standards, common construction obstructions, & historical hydraulic calculations	130	7 19.50
Estimate Water Demand to System			GPM
	Minimum Supply of Water to System, GPM		135.00
	Including Balancing or Overage Factor, 1.2	1.2	162.00
11.2.3.1.2	Including Hose Stream Demand, GPM	250.0	412.00
Estimate Pressure Requirements of System			PSI
	Pressure required at most remote sprinkler, K-Factor of selected head	8.0	5.94
	Elevation losses: Pressure to overcome the elevation difference between the sprinkler and the top floor of the building, Feet	55	23.82
	Exterior: Pressure loss from water source to riser location (length of underground main in Feet)	60.00	0.78
	Pressure drop from backflow preventer, 6" RP valve assembly, N-pattern		10.00
	Interior: Pressure loss from piping, fittings & valves from sprinkler riser to the most remote sprinkler head, Feet	405.00	32.40
Estimated total pressure demand at campus water main (Required Pressure)			72.94
Campus Fire Flow Test Data			Date: 06/2019
	Static Pressure, PSI		88.0
	Residual Pressure, PSI		65.0
	Flow, GPM		1300.0
Campus Water Supply Analysis			PSI
	Pressure available at Design Flow		85.26
	Pressure differential between Available Pressure and Required Pressure (must be > NM State safety factor)		12.32
	Safety Margin, %		14%
	NM State Safety Factor, 10% on demand pressure		7.29

For the purpose of this analysis, the available flow (as tested) is reliable and does not experience major fluctuations



BMSB main fire protection riser



BMSB fire service connection to campus main



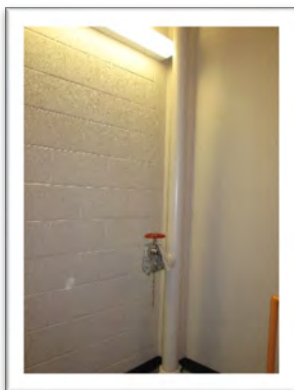
BRF main fire protection riser



BMSB standpipe and wet pipe connections



BRF wet pipe fire department connection



Typical standpipe hose connection

Figure 1: Existing fire protection system components

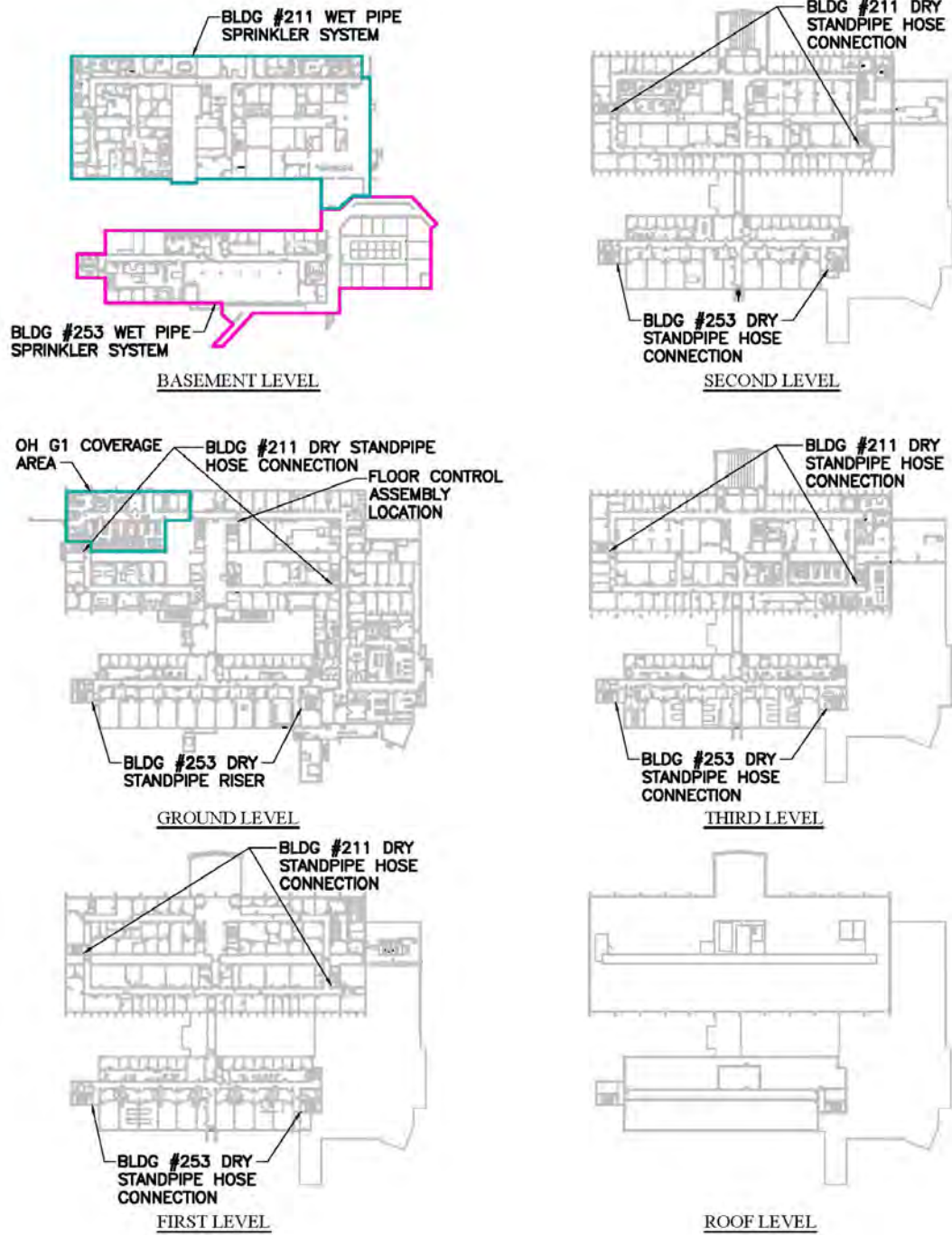


Figure 2: Current fire protection coverage areas



BMSB FACP & annunciator locations



BRF FACP location



Old BRF FACP now functions as a j-box



Typical abandoned relay and smoke detector



BMSB existing elevator relays

Figure 3: Existing fire alarm equipment and devices

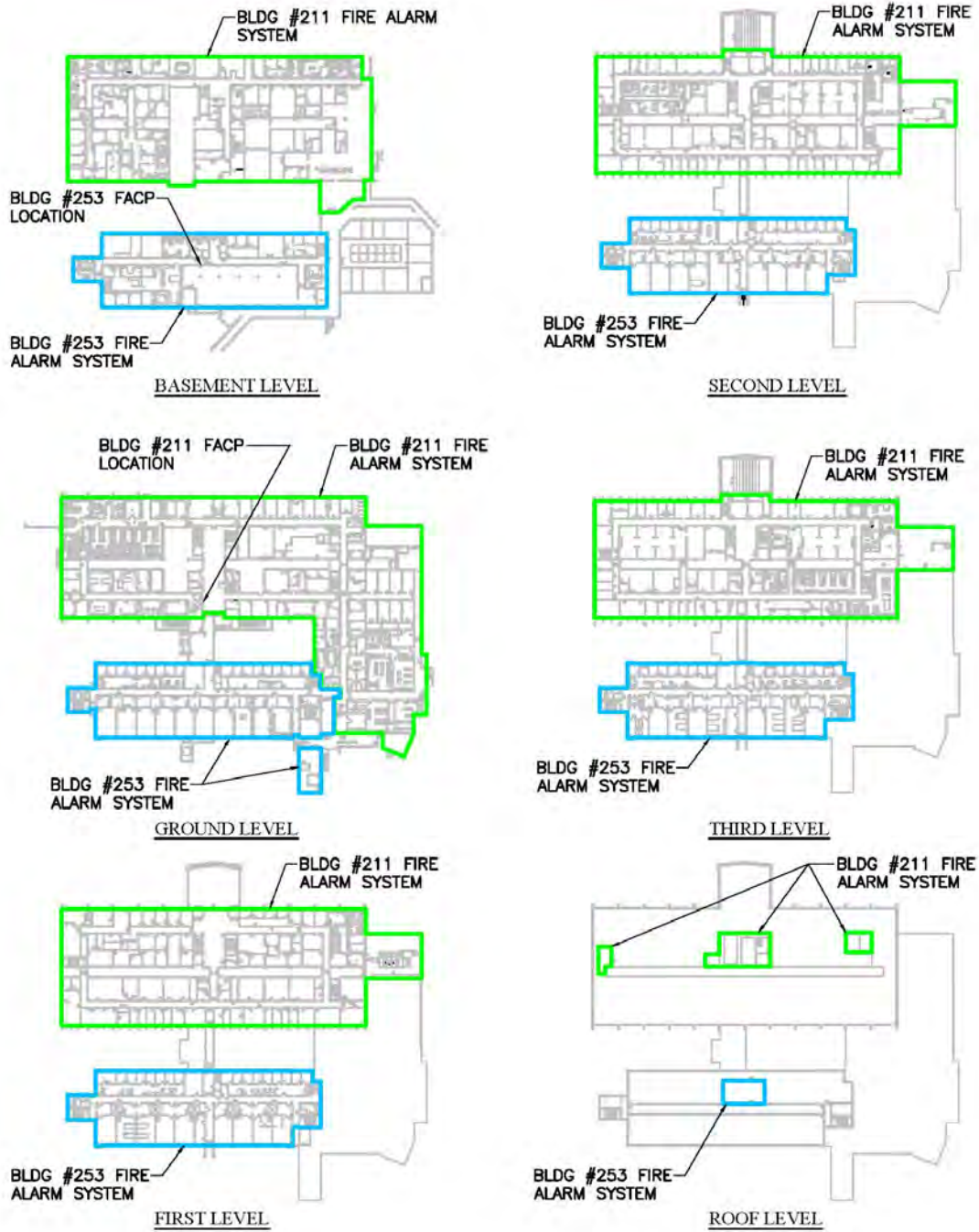



Figure 4: Current fire alarm system coverage areas

CONSTRUCTION COST ESTIMATES

The following cost estimate is a programming budget amount that shall be used to aid in fiscal year project budgeting. It shall not be considered an actual bid from a prospective contractor. A complete fire protection and fire alarm upgrade will be in the range of \$2,500,000.00 considering similar recent pricing from comparable project scopes of work. See Table 5 for additional information regarding the various project disciplines.

Table 5: An opinion of probable cost

Square Foot Cost Estimate Report	
Basis of Opinion:	Programming
Building:	BMSB #211 & BRF #253
Location:	UNM North Campus
Story Count:	6-Levels
Story Height (L.F.):	10 ft
FP Flr Area (S.F.):	221,800
FA Flr Area (S.F.):	288,000
Labor Type:	Union (NM Type B General Bldg rates)
Basement Included:	Yes
Data Release:	Year 2020 Quarter 1
Cost Per Square Foot:	\$7.51
Bare Cost:	\$2,161,441



**THE UNIVERSITY OF
NEW MEXICO**

Costs are derived from a building model with basic components.
Scope differences and market conditions can cause costs to vary significantly.

		Cost Per S.F.	Cost
B Shell, C Interiors, D Services			
D2010	Plumbing Fixtures Water closet, vitreous china, wall hung, flush valve; Urinal, vitreous china, wall hung, flush valve; lavatory w/trim, wall hung; sink w/trim, countertop, stainless steel; floor drains, heavy duty, round; mop basin, stone, angled; water cooler, electric, wall hung, 8.2GPH	\$0.00	\$0
D2020	Domestic Water, Waste & Vent Distribution Exterior site waterline installation, 6" size, tap to existing campus tunnel main, terminate with dry barrel hydrant	\$0.09	\$19,962
D3010	Mechanical Demolition Remove unit heaters and evap coolers, rooftop units, split systems, hoods and exhaust fans, steam unit heaters and radiators; domestic water, waste, and vent piping; interior and exterior natural gas piping	\$0.00	\$0
D4010	Sprinklers Wet pipe sprinkler systems, steel, Light Hazard, Ordinary Hazard, Group 2, 1 floor, Standard coverage; QR heads, pendent, sidewall, incl. floor control assemblies with tamper and flow switches	\$5.00	\$1,109,000
D4010	Standpipes Dry standpipe risers, Class 1, steel, black, sch 40, 4" diameter pipe, connection at ground floor level	\$0.08	\$16,635
D4020	Electrical Service/Distribution Safety disconnect switches, power circuits to source panelboard, circuit breakers in panelboard, control circuits	\$0.08	\$23,040
D5020	Communications and Security Communication and alarm systems, fire detection, addressable with voice and microphone, 100 devices, incl. boxes, conduit & wire, phone line	\$4.50	\$1,296,000
SubTotal		100.00%	\$9.75 \$2,161,441
A/E Design Fees		2.00%	\$43,229
UNM Project Management Fees		3.00%	\$64,843
Contractor Fees (General Conditions, Bond, Overhead & Profit)		8.00%	\$172,915
Total Loaded Cost			\$2,442,428

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION RE-APPROVAL for
UNM LOS ALAMOS LIBRARY RENOVATION
UNIVERSITY OF NEW MEXICO
October 12, 2021**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UNMLA Library Renovation, Los Alamos Campus, Building 7.**

PROJECT DESCRIPTION:

Due to post-bid market conditions driving material costs up, additional funds are needed to complete the project. They will be applied to furniture. The original project budget is \$625,000 an additional \$100,000 is needed for a new project budget of \$725,000.

This project is seeking approval for renovations of the UNM Los Alamos Library/Learning Resource Center. This renovation project renovates 6,372 GSF. The affected spaces are primarily located on the main first floor to support the needs of students, staff, and faculty, with limited impact on the loft. The project includes interior renovation of the main first floor, group study rooms, mezzanine, and workroom, with new ceiling, lighting, flooring and furniture.

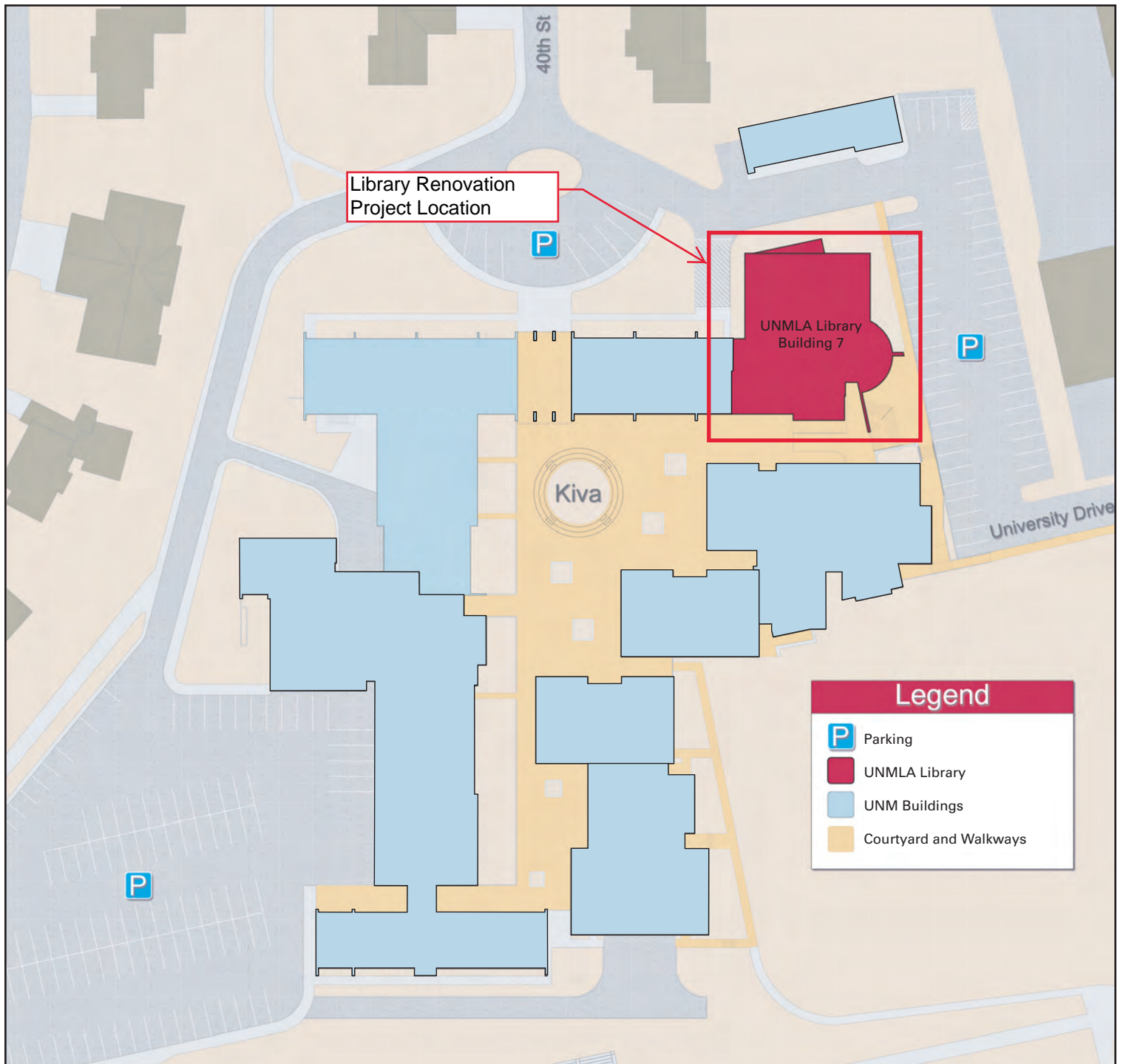
PROJECT RATIONALE:

This renovation provides updates and interior redesign of the space to encourage new transparent collaboration areas for students to gather in a modern learning environment. Currently the library space is outdated with stacks of printed books and with limited and unattractive space for collaborative work. The UNM-LA Library/Learning Resource Center provides a crucial learning space for our students. In addition to the traditional role of a library, and the added testing center capabilities, it serves as a space for students to gather in groups for collaborative learning activities, provides a space for individual students to study and do research, serves as a venue for presentations and displays, and allows students to interact in an academic setting. The proposed renovations will also provide a more effective space for both campus and community presentations and gatherings. The consequences of not moving forward with the project will be a decline in the student use of the outdated facilities, with its limited student-friendly and collaborative spaces. Reduced use of the Library/Learning Resource Center will negatively affect students' ability to develop and improve information literacy, research, and collaborative learning skills.

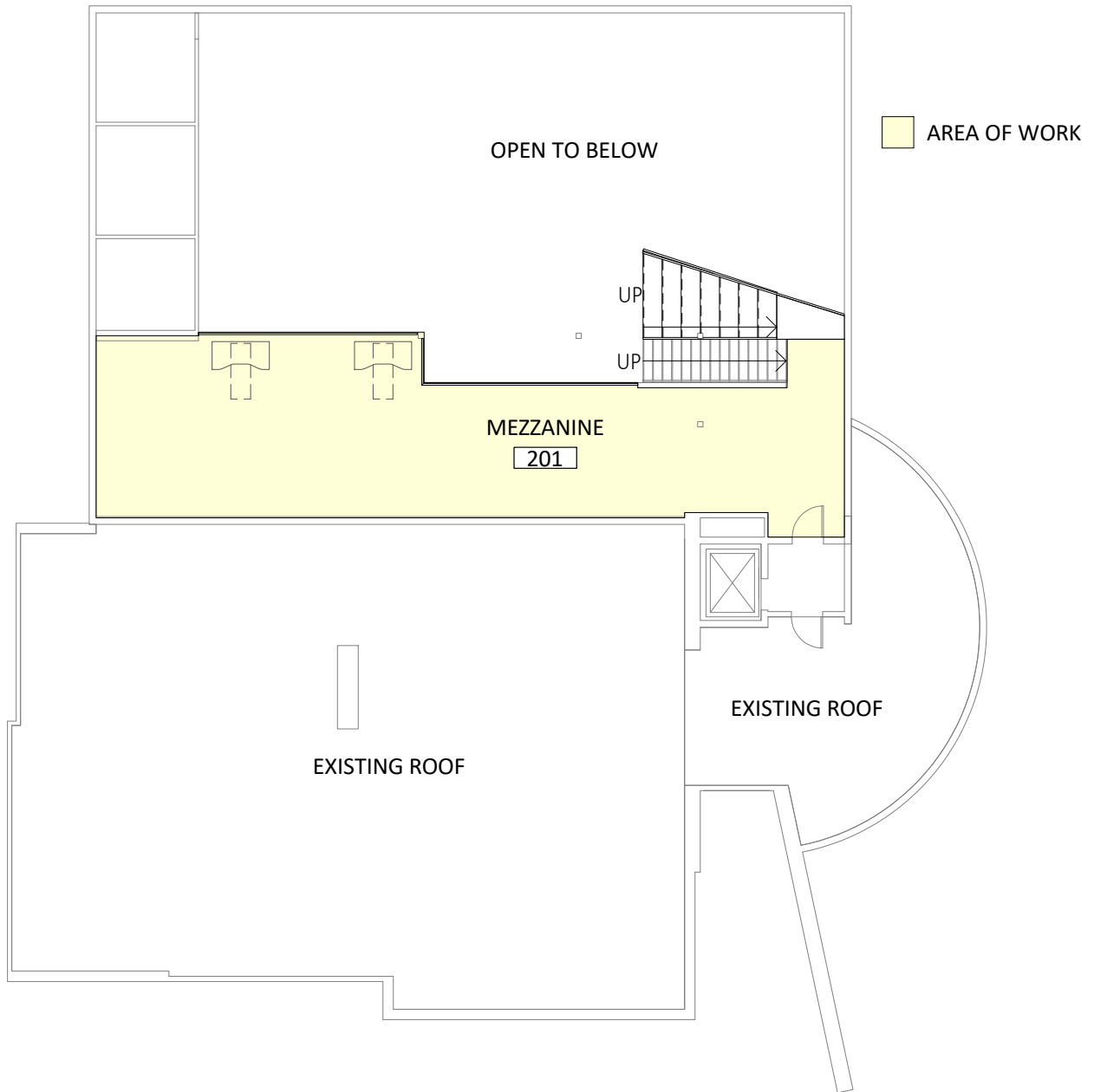
FUNDING:

The total estimated Project Budget is \$625,000

- \$500,000 is funded through State of NM General Funds (2019 GF, D3538)
- \$125,000 is funded by UNM-Los Alamos BR&R
- \$100,000 is funded by UNM Los Alamos Campus Reserve Funds



4000 University Drive, Los Alamos, New Mexico, 87544
losalamos.unm.edu



1 MEZZANINE FLOOR PLAN
 1/16" = 1'-0"

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
CANCER RESEARCH FACILITY ROOF REPLACEMENT
UNIVERSITY OF NEW MEXICO**

October 12, 2021

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Cancer Research Facility Roof Replacement, North Campus, Albuquerque, New Mexico.**

PROJECT DESCRIPTION:

The project includes the renovation of the entire roof (19,459 square feet) on this (82,867 gross foot) facility, which is located at 2301 Camino De Salud N.E., Albuquerque, New Mexico. The existing leaking and worn roof assembly will be moisture scanned to locate areas harboring latent moisture. If moisture is detected those areas will be removed and disposed of. Once system is scanned and confirmed to be suitable, the new roof membrane system will be installed over a new ¼" high density separator board attached thru the existing roof system into the steel deck. The new membrane system will be mechanically attached thru the new separator board and into the steel deck. All existing unit and wall flashings will be replaced with a new membrane. The new system once complete will carry a 20 year no dollar limit warranty.

PROJECT RATIONALE:

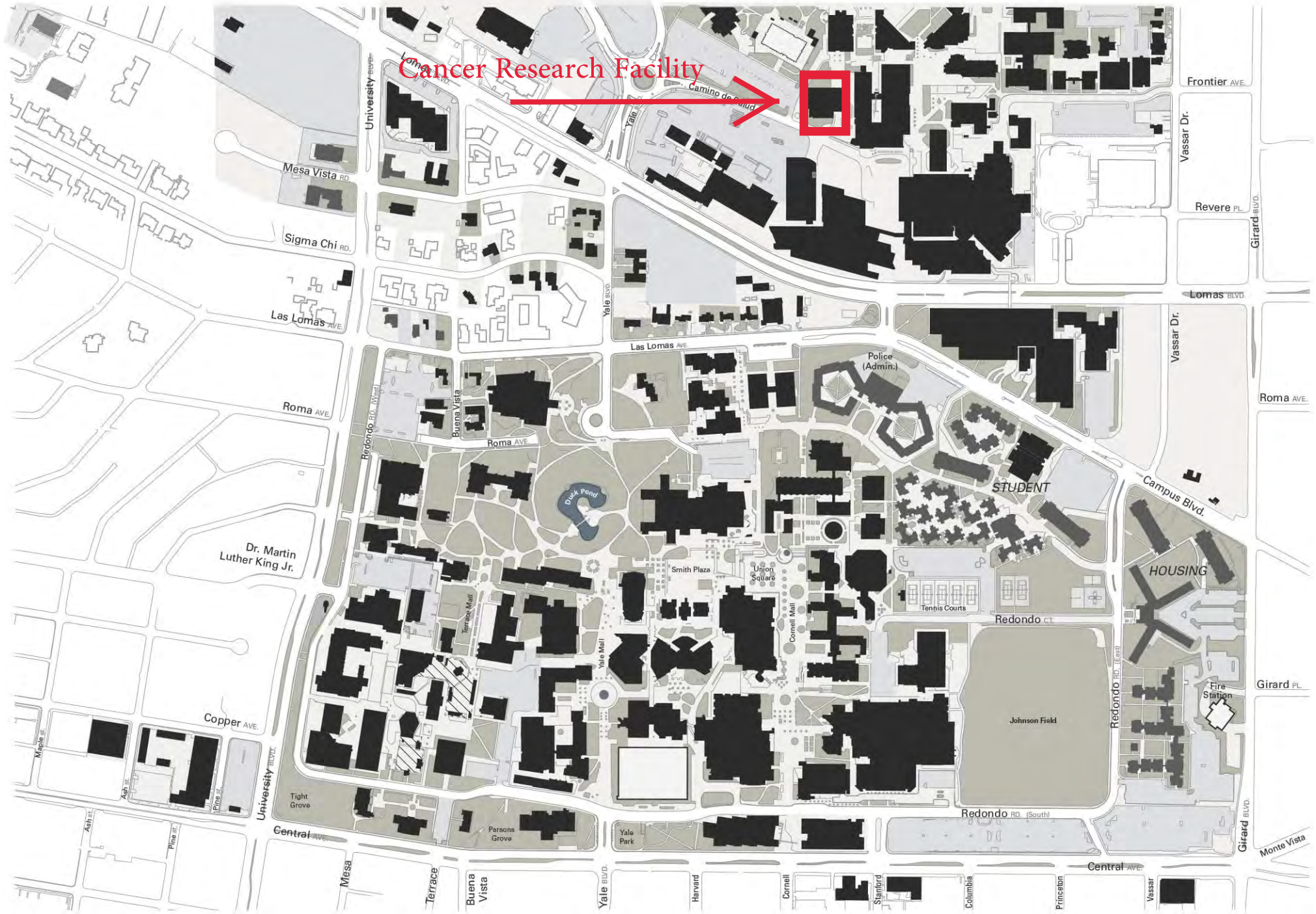
The Cancer Research Facility serves locally, regionally and nationally. The mission of this facility is to reduce the cancer burden and overcome the tremendous cancer health disparities in New Mexico and the nation through ground-breaking transdisciplinary research, translation of discoveries to community and clinical interventions, impactful bi-directional community engagement, education and training of a more diverse cancer workforce and the delivery of exceptional cancer care. The existing roof has exceeded its life expectancy and is now consistently leaking and causing damage to the interior of the building. If the facility is not properly maintained, it impacts the user groups ability to perform the facilities mission. If the project is not approved, the building will continue to leak causing extensive interior damage which will only escalate as the roof continues to age.

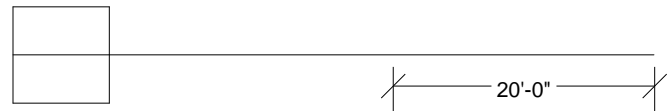
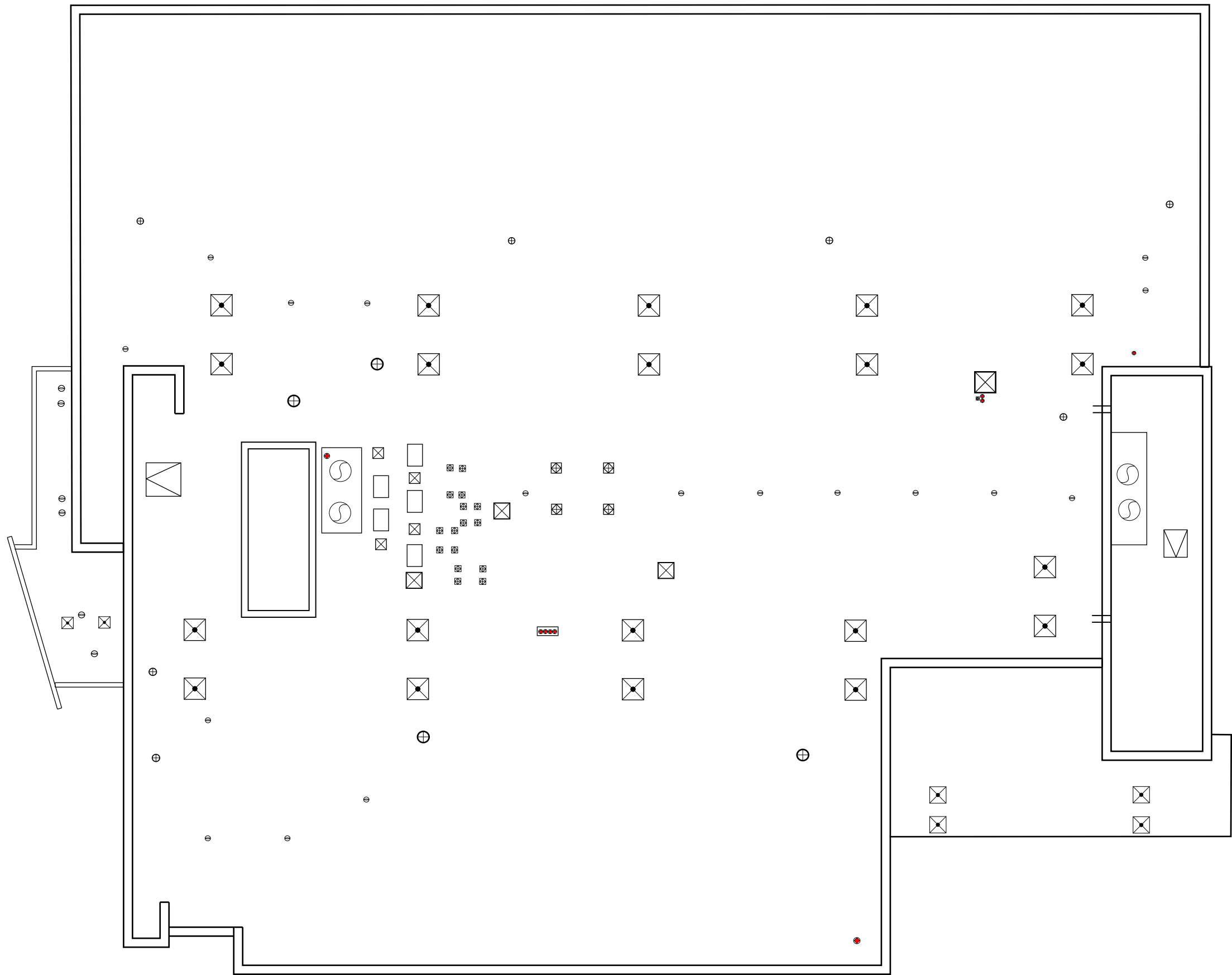
FUNDING:

The total estimated Project Budget is: \$449,150

- \$449,150 is funded from FY22 Facility Investment Needs funding (from 2021 House Bill 2 funding -previously Building Renewal & Replacement Funds)

The University of New Mexico - Albuquerque: Central Campus





Project name	Cancer Research Facility	
Client name		RP-1 Roof Plan
Project number		
Date		
Drawn by		Scale As indicated

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
CENTRAL AND GIRARD SITE IMPROVEMENTS
UNIVERSITY OF NEW MEXICO**

October 12, 2021

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the **Central & Girard Campus Gateway Plaza site improvements** on the Albuquerque Main Campus.

PROJECT DESCRIPTION:

The project is located on the northwest corner of Central Avenue and Girard Boulevard and is designed for approximately 11,246 gross square feet of construction and landscaping improvements. The project emphasizes campus identification and branding by installing a new monument sign and addresses safety and security concerns by incorporating Crime Prevention Through Environmental Design (CPTED) strategies and stakeholder feedback. The design includes a removal of visual barriers, replacing deteriorating infrastructure, reducing outdoor water use, to welcome visitors to the campus and improving overall safety.

PROJECT RATIONALE:

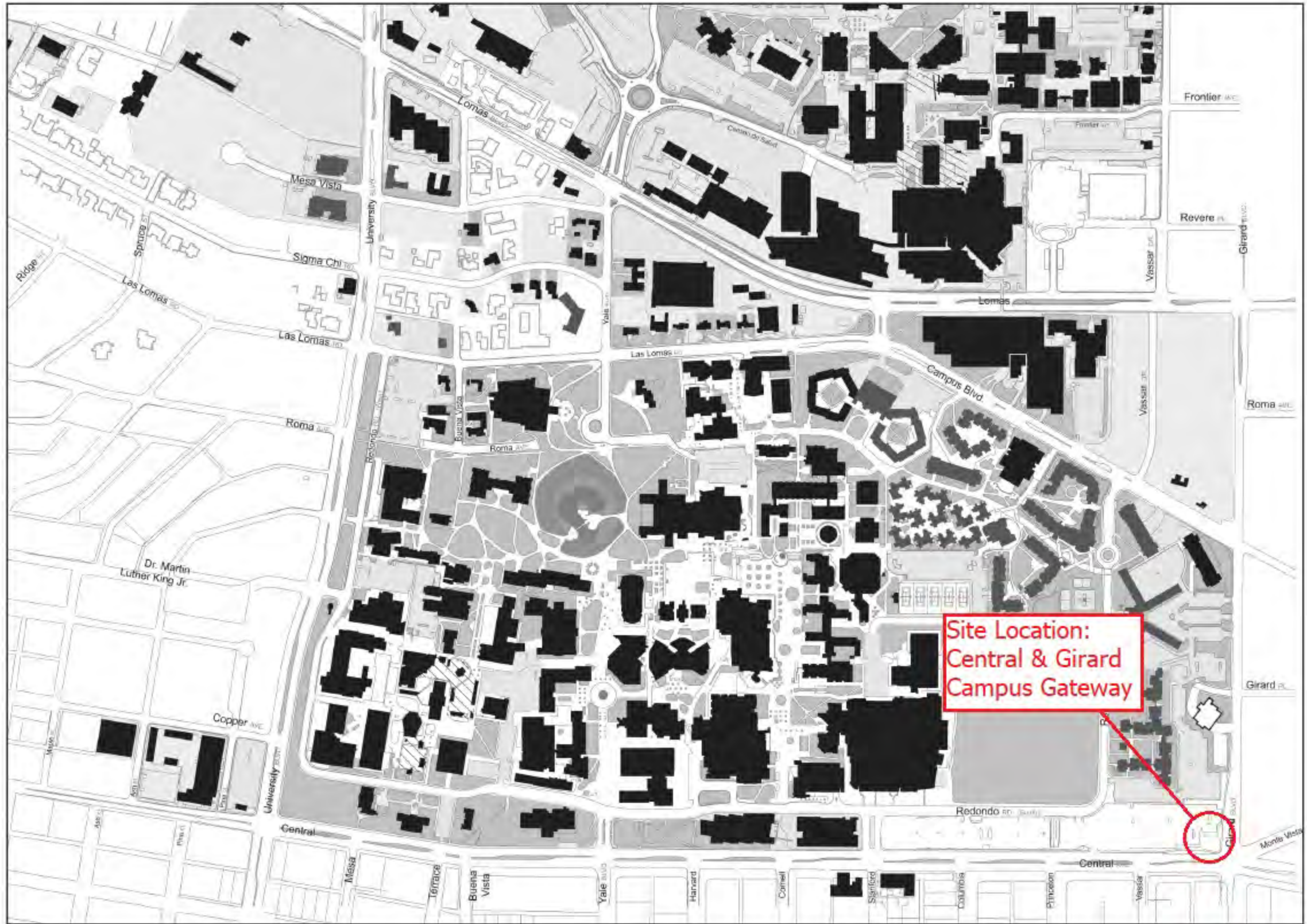
This intersection is a high-profile visual gateway to campus, providing the first official welcome for visitors traveling westbound on Route 66/Central Avenue. This corner is a physical gateway for students, faculty, staff, and community members who access public transportation or who walk between campus and the adjacent residential neighborhoods and retail establishments. The existing site has poor visibility, deteriorating infrastructure, and is beset by criminal activity. From July 2020 to June 2021, UNMPD responded to ninety-seven (97) total calls in this area. Twenty-two (22) calls of suspicious persons/transient related calls and eleven (11) crime reports. Due to the existing walled perimeter and overgrown landscape, University Police and Facilities Management staff are burdened by frequent calls and needed upkeep. The consequences of not approving this project will result in continued concerns for safety and security for students, faculty, staff and visitors who travel in this area of campus.

FUNDING:

The total estimated Project Budget is: \$429,190

- \$400,000 is funded from 2020 General Fund Appropriation.
\$29,190 is funded from Facility Management internal emergency funds.

The University of New Mexico - Central Campus



SITE PLAN OVERLAY WITH FUTURE MULTI-USE TRAIL



PLAZA PERSPECTIVES AND SECTION



SECTION THROUGH TERRACED PLANTERS

PLAZA PERSPECTIVE



**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
CLINICAL TRANSLATIONAL SCIENCE CENTER ROOF REPLACEMENT
UNIVERSITY OF NEW MEXICO**

October 12th, 2021

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Clinical Translational Science Center (CTSC) Roof Replacement, North Campus, Albuquerque, New Mexico.**

PROJECT DESCRIPTION:

The project includes the removal and replacement of the entire roof 12,314 SF (square foot) of the facility which is 109,553 GSF (gross square feet) in total. The building is located at 900 Camino De Salud N.E. Albuquerque, New Mexico. The existing and currently leaking roof system will be completely removed and disposed of. The new roof system will meet the thermal resistance and slope requirements by code (R-30 insulation) and minimum ½” per foot slope). The new white roof membrane will be an adhered 80-millimeter thick single ply and carries a 20 year no dollar limit warranty.

PROJECT RATIONALE:

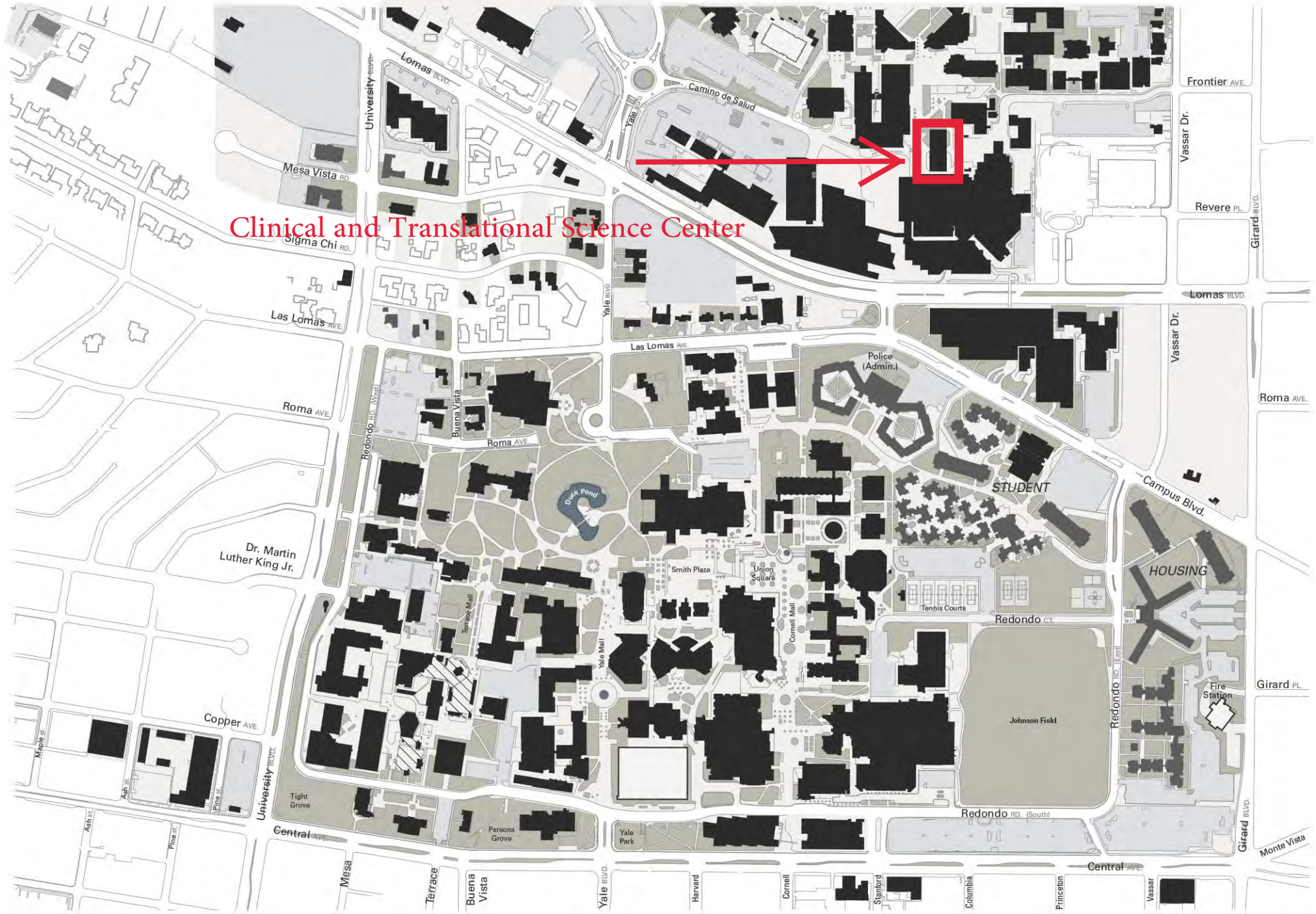
The Clinical Translational Science Center serves translational science locally, regionally and nationally; foster scientific and operational innovation to improve the efficiency and effectiveness of clinical translational research; and create, provide and disseminate domain-specific translational science training and workforce development. Our vision for the University of New Mexico Health Sciences Center Clinical and Translational Science Center is to catalyze scientific discovery into improved health by enabling high quality clinical and translational research locally, regionally and nationally. The existing roof has exceeded its life expectancy which now is consistently leaking and causing damages to the interior of the building {Has the roof been repaired consistently over what period? How often?}. If the facility is not properly maintained, and the roof is not replaced, user groups will experience impacts to their ability to perform. If the project is not approved, the building will continue to leak causing extensive interior damage which will only escalate as the roof continues to age.

FUNDING:

The total estimated Project Budget is: \$329,916

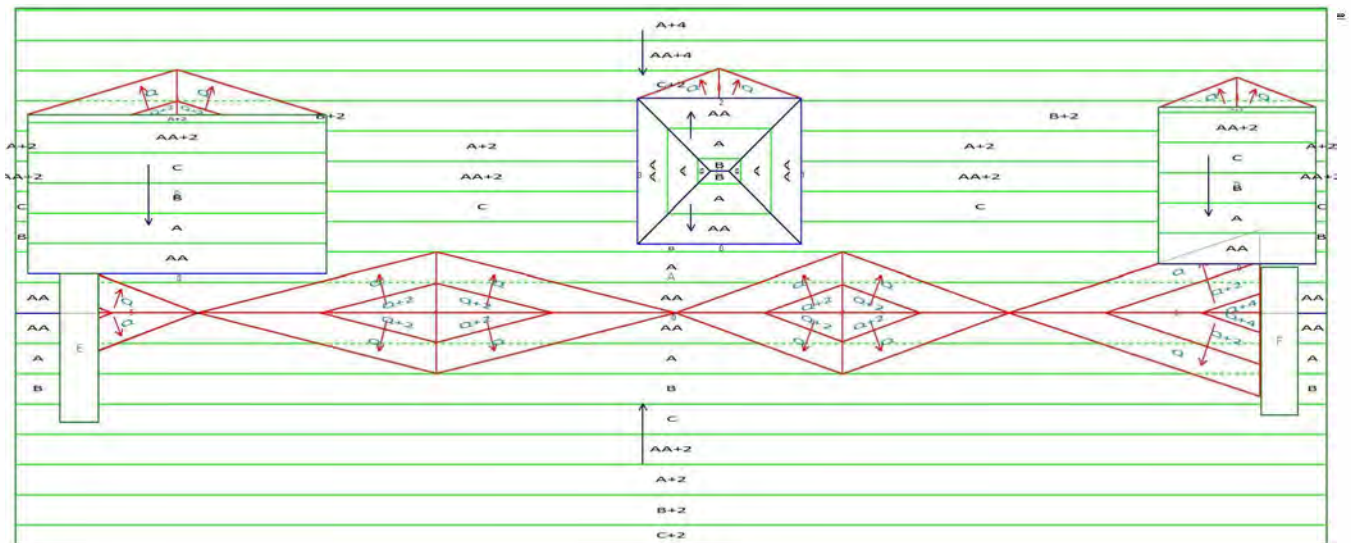
- \$329,916 is funded from FY22 Facility Investment Needs funding (from 2021 House Bill 2 funding -previously Building Renewal & Replacement Funds)

The University of New Mexico - Albuquerque: Central Campus



CLINICAL TRANSLATIONAL SCIENCE CENTER

ROOF PLAN



**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
CIRT BUILDING 153 RESTROOMS REMODEL
UNIVERSITY OF NEW MEXICO
OCTOBER 12, 2021**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Computer & Information Resources and Technology (CIRT) Building 153 Restrooms Remodel, located on UNM's Main Campus.**

PROJECT DESCRIPTION:

This project is the remodel of restrooms 1008, 2002 and 2139, totaling 710 GSF in the Computer & Information Resources and Technology (CIRT) Building 153. All fixtures, toilet partitions, flooring, ceiling tiles and accessories will be removed and replaced to meet current standards. New flooring will be installed, walls will be finished with new tile and painted as necessary, new accessories will be installed as well. This building is the main Information Technology facility for the University of New Mexico. It supports main campus and many of the satellite campuses for Information Technology related equipment, software and services. It also serves as the hub for the majority of Information Technology staff.

PROJECT RATIONALE:

These restrooms are outdated and do not meet current ADA requirements nor do they meet specified University utility resource requirements. This project will result in the remodeling of restrooms 1008, 2002 and 2139, making them to code and ADA compliant. Remodeling these restrooms will also result in gaining a consistent look with other recently remodeled spaces in the facility.

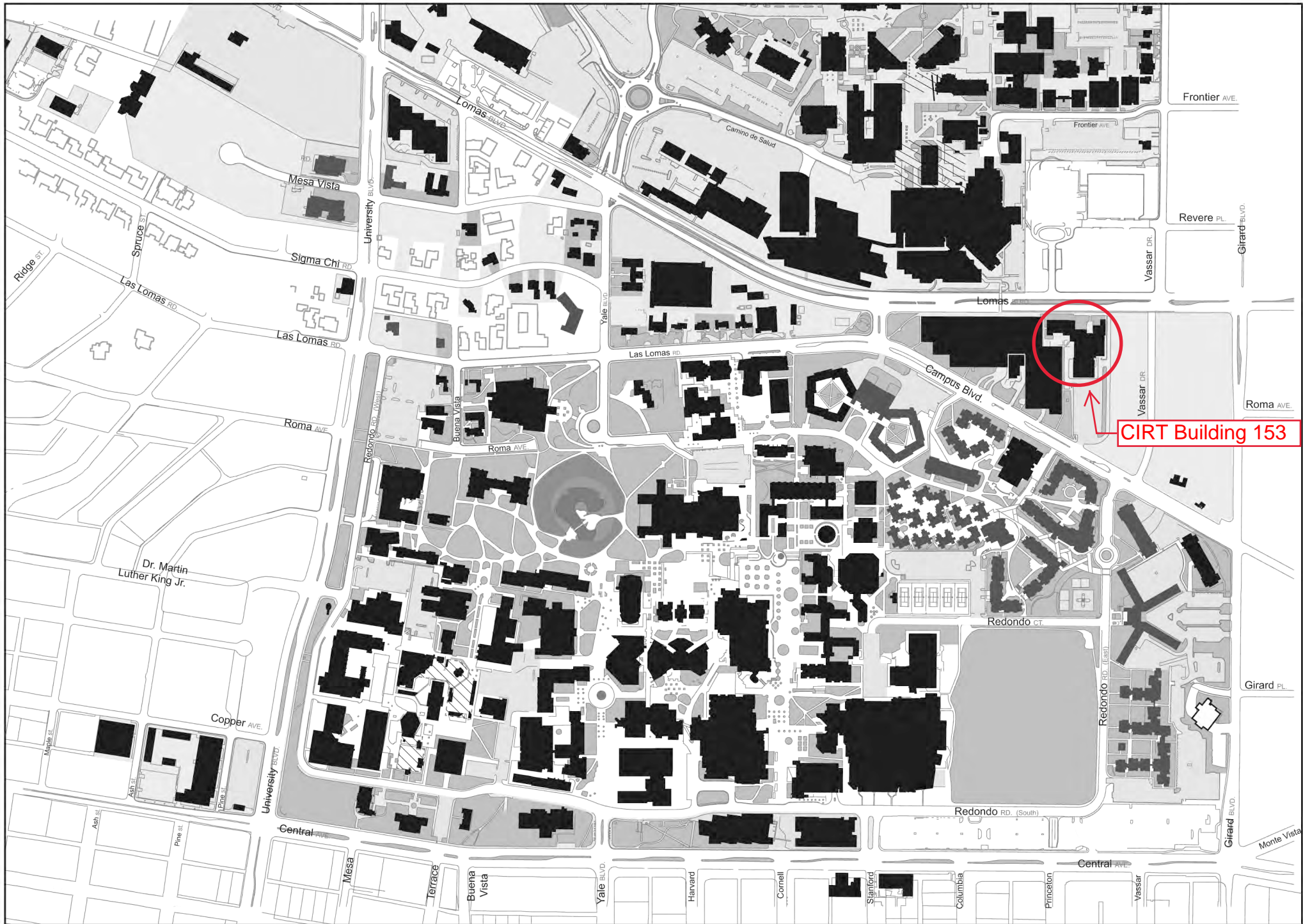
If this project is not approved, these restrooms will remain outdated and non-compliant with current standards and requirements.

FUNDING:

The total estimated Project Budget is \$310,000:

- \$310,000 is funded from FY22 Facility Investment Needs funding (from 2021 House Bill 2 funding -previously Building Renewal & Replacement Funds)

The University of New Mexico - Central Campus



UNIVERSITY OF NEW MEXICO

UNM CIRT 153 RESTROOM RENOVATION

2701 CAMPUS BLVD NE,
ALBUQUERQUE, NM 87106

SCHEMATIC DESIGN

08/17/2021

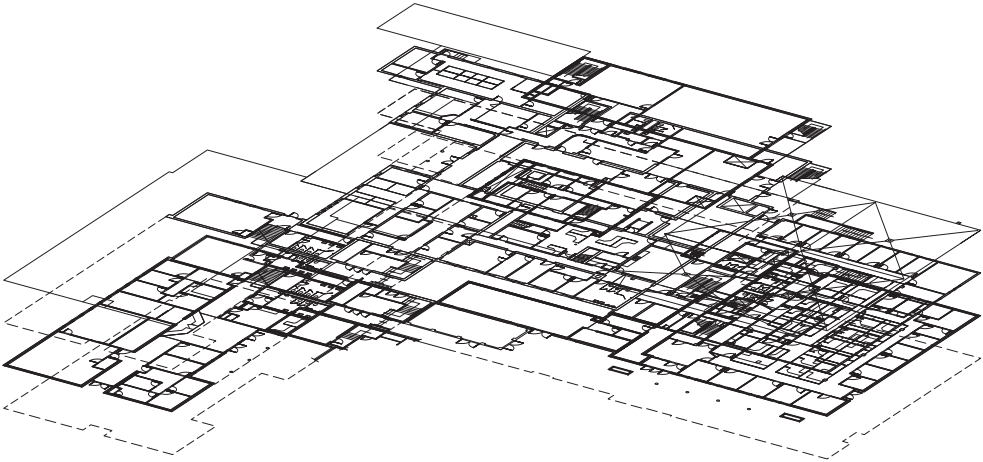


PROJECT TEAM

ARCHITECT
 MCCLAIN + YU ARCHITECTURE & DESIGN
 2010 RIDGECREST DR. SE
 ALBUQUERQUE, NM 87108
 (505) 266-2142
 WWW.MCCLAIN-YU.COM

MEP ENGINEERS
 TESTUDO ENGINEERING
 4015 CARLISLE NE, SUITE E
 ALBUQUERQUE, NM 87107
 (505) 554-1282
 WWW.TESTUDOENG.COM

UNIVERSITY OF NEW MEXICO
UNM CIRT 153 RESTROOM RENOVATION



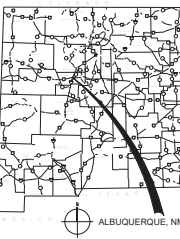
GENERAL NOTES

1. THE CONTRACTOR IS RESPONSIBLE FOR THE GENERAL PROVISIONS OF THE CONTRACT, INCLUDING GENERAL AND SUPPLEMENTARY CONDITIONS.
2. THE CONTRACTOR SHALL COMPLY WITH (RS) 14-1 TO 14-8 MMSA 1976, THE NEW MEXICO STATE CODE REGARDING LOCATION OF UTILITIES.
3. THE CONTRACTOR SHALL SUBMIT A WRITTEN REQUEST NO LESS THAN 14 DAYS PRIOR TO ANY REQUIRED INTERRUPTION OF POWER, WATER, SEWER, LABORATORY OR NATURAL GAS, STEAM, CHILLED WATER, VACUUM, COMPRESSED AIR, HVAC SECURITY, FIRE ALARMS OR SUPPRESSION OR ANY OTHER SYSTEMS, OR WHICH WILL IMPED E TRAFFIC, EMERGENCY EGRESS, OR VEHICLE ACCESS OF ANY KIND.
4. SITE CLEANING SHALL BE IN ACCORDANCE WITH SPECIFICATIONS.
5. DO NOT SCALE DRAWING. CONTRACTOR SHALL VERIFY ALL CONDITIONS AND DIMENSIONS AT THE JOB SITE.
6. THE CONTRACTOR SHALL REPORT ANY DISCREPANCIES BETWEEN DRAWINGS AND SITE CONDITIONS TO THE ARCHITECT BEFORE PROCEEDING WITH THE WORK. HE SHALL VERIFY AND COORDINATE ALL FOUNDATION PLAN DIMENSIONS WITH FLOOR PLANS, COORDINATE ALL DEMO PLANS WITH THE NEW WORK AND EXISTING CONDITIONS, AND SHALL BE RESPONSIBLE FOR PROPER EXECUTION OF ALL WORK.
7. FINISH FLOOR ELEVATIONS ARE TO THE TOP OF CONCRETE, TOP OF FINISH OR TO TOP OF PAVING UNLESS OTHERWISE NOTED. CEILING HEIGHT DIMENSIONS ARE TO FINISHED SURFACES.
8. ALL DIMENSIONS ARE TO THE FACE OF CONCRETE, THE CENTERLINE OF COLUMNS, GRID LINES, AND THE FACE OF STUDS UNLESS OTHERWISE NOTED.
9. ALL SYMBOLS AND ABBREVIATIONS USED ON DRAWINGS ARE CONSIDERED TO BE CONSTRUCTION STANDARDS AND COMPLY WITH THE NATIONAL CAD STANDARD VERSION 1.1. IF THE CONTRACTOR HAS QUESTIONS REGARDING NAME, OR THEIR EXACT MEANING, FIRST REFER TO THE NCS 3.1 AND THEN NOTIFY THE ARCHITECT TO REQUEST CLARIFICATION.
10. CONTRACTOR TO COORDINATE INSTALLATION OF ALL ITEMS INDICATED AS OWNER SUPPLIED EQUIPMENT AND FURNISHING.
11. CONTRACTOR TO PROVIDE TEMPORARY FACILITIES UTILITIES, AND SUPPORT AS REQUIRED FOR OWNER INSTALLED EQUIPMENT.
12. THE CONTRACTOR SHALL PROMPTLY CLEAN UP ANY MATERIAL ENCAVATED WITHIN THE RIGHT-OF-WAY SO THAT THE EXCAVATED MATERIAL IS NOT SUSCEPTIBLE TO BEING WASHED DOWN THE STREET OR INTO THE STORM DRAIN SYSTEM.
13. ALL EXISTING SIGNS, MARKERS DELINEATORS, ETC. WITHIN THE SITE BOUNDARY SHALL BE REMOVED, STORED AND RE-SET BY THE CONTRACTOR UNLESS OTHERWISE NOTED.
14. PROVIDE + FINISH AND INSTALL.
15. (E) OR EX = EXISTING

INDEX OF DRAWINGS

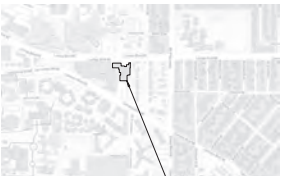
GENERAL	
G-001	OWNER CODE REVIEW, FLOOR PLANS & PARTITION LEGEND
G-002	
ARCHITECTURAL	
A-101	ENLARGED PLANS & ELEVATIONS - ROOM 1008
A-102	ENLARGED PLANS & ELEVATIONS - ROOM 2002
A-103	ENLARGED PLANS & ELEVATIONS - ROOM 2139
A-104	DETAILS AND SCHEDULES
A-502	DETAILS AND SCHEDULES
PLUMBING	
P-101	PLUMBING ENLARGED PLANS - ROOM 1008
P-102	PLUMBING ENLARGED PLANS - ROOM 2002
P-103	PLUMBING ENLARGED PLANS - ROOM 2139
MECHANICAL	
M-101	MECHANICAL ENLARGED PLANS - ROOM 1008
M-102	MECHANICAL ENLARGED PLANS - ROOM 2002
M-103	MECHANICAL ENLARGED PLANS - ROOM 2139
M-601	MECHANICAL, PLUMBING GENERAL NOTES, LEGEND & SCHEDULES
ELECTRICAL	
E-001	ELECTRICAL GENERAL NOTES, LEGEND & SCHEDULES
E-101	ELECTRICAL ENLARGED PLANS - ROOM 1008
E-102	ELECTRICAL ENLARGED PLANS - ROOM 2002
E-103	ELECTRICAL ENLARGED PLANS - ROOM 2139

PROJECT LOCATION

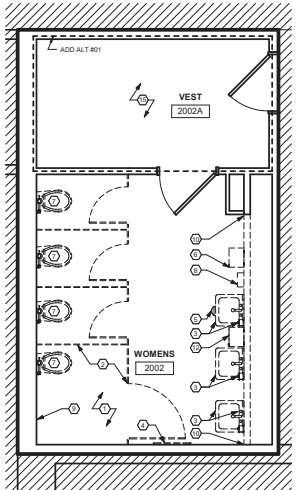


ADD ALTS

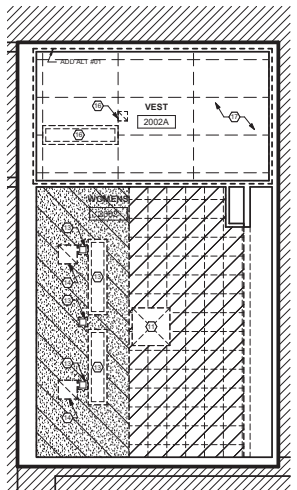
- ADD ALT #91**
- ROOMS 1008A AND 2002A DEMO EXISTING RESILIENT FLOOR FINISH TO STRUCTURE AND REMOVE EXISTING RESILIENT WALL BASE, DEMO EXISTING SUSPENDED CEILING SYSTEM INCLUDING LIGHT FIXTURES AND MECHANICAL LOUVERS-GRILLES. PREPARE EXISTING CONCRETE BLOCK WALLS, HOLLOW METAL FRAMES, AND HOLLOW METAL DOORS TO RECEIVE PAINT. PROVIDE NEW FLOOR TILE FINISH AND CONCRETE BASE. THROUGHOUT PAINT EXISTING CONCRETE BLOCK WALLS, HOLLOW METAL FRAMES & DOORS AND PROVIDE SUSPENDED DRYWALL CEILING THROUGHOUT INCLUDING LIGHTING FIXTURES AND MECHANICAL LOUVERS-GRILLES.



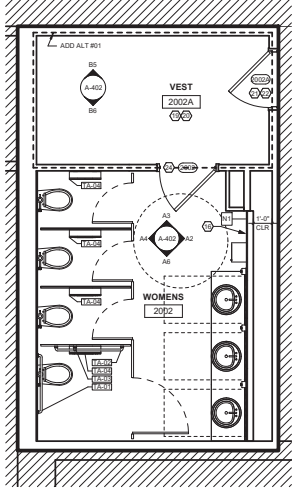
PROJECT LOCATION



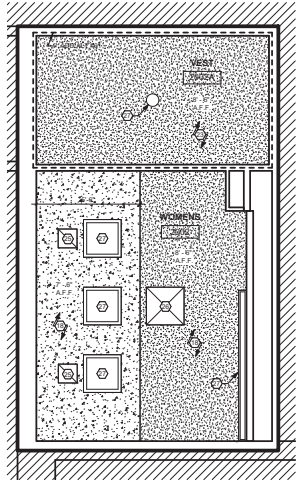
ENLARGED PLAN - DEMO
3/8" = 1'-0"



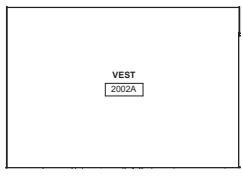
RCP - DEMO
3/8" = 1'-0"



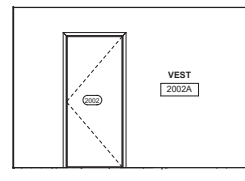
ENLARGED PLAN - NEW
3/8" = 1'-0"



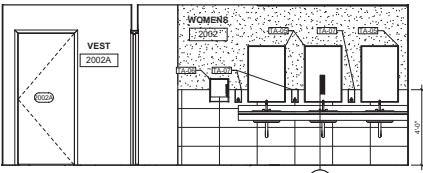
RCP - NEW
3/8" = 1'-0"



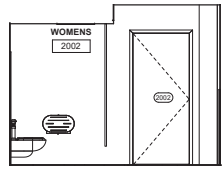
ENLARGED ELEVATION
3/8" = 1'-0"



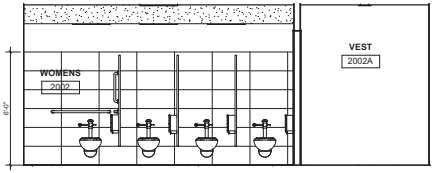
ENLARGED ELEVATION
3/8" = 1'-0"



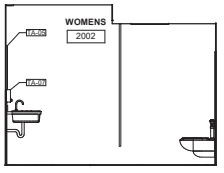
ENLARGED ELEVATION
3/8" = 1'-0"



ENLARGED ELEVATION
3/8" = 1'-0"



ENLARGED ELEVATION
3/8" = 1'-0"



ENLARGED ELEVATION
3/8" = 1'-0"

LEGEND

- AREA OF WORK
- AREA OF NO WORK
- DEMO PLASTER CLG
- DEMO 12X12 CLG
- DEMO AND REMOVE
- EXISTING TO REMAIN
- C1 - GYPSUM BOARD LEVEL 4, PAINT
- C2 - IMPACT RESISTANT GYP BS CEILING, LVL 4, PAINT
- C3 - EXIST PLASTER CEILING, PAINT

GENERAL SHEET NOTES

- A. U.N.O. ALL DIMENSIONS ARE TO FACE OF STUD, FACE OF C.M.U. FACE OF COLUMN, OR GRID LINE
- B. U.N.O. LOCATE BACK OF ALL DOOR FRAMES 4 INCHES AWAY FROM ADJACENT FACE OF STUD
- C. EVERYTHING IS EXISTING U.N.O. PROTECT EXISTING

TOILET ACCESSORY SCHEDULE

- | | |
|-------|-----------------------------------|
| TA-01 | GRAB BAR 3" - 0" L |
| TA-02 | GRAB BAR 3" - 0" L |
| TA-03 | GRAB BAR (VERTICAL) 1" - 0" L |
| TA-04 | TOILET TISSUE DISPENSER - O.F.C.I |
| TA-05 | FRAMED MIRROR 24" X 36" |
| TA-06 | PAPER TOWEL DISPENSER |
| TA-07 | SOAP DISPENSER - O.F.C.I |
| TA-08 | SURFACE MOUNT SANITARY DISPOSAL |
| TA-09 | BABY CHANGING STATION |

- NOTE:
1. SEE DETAIL D1 / A.602 FOR TYP MOUNTING HEIGHTS
2. PROVIDE BLOCKING PIER DETAIL A2 / A.502

SHEET KEYNOTES

1. DEMO EXISTING FLOOR TILE AND BASE COMPLETE - PREP FOR NEW FINISH
2. DEMO EXISTING STALL PARTITIONS COMPLETE
3. DEMO EXISTING LAV & SOAP DISPENSER COMPLETE - SEE PLUMBING
4. DEMO EXISTING MIRROR COMPLETE - PATCH AS RECD
5. EXISTING FLOOR DRAIN TO BE REFURBISHED - TRIM AS RECD FOR NEW FLOOR FINISH LEVEL. SEE PLUMBING
6. DEMO EXISTING DISPENSER COMPLETE
7. DEMO EXISTING WATER CLOSET, TOILET PAPER DISPENSER AND TOILET COVER DISPENSER COMPLETE. SEE PLUMBING
8. REMOVE AND REINSTALL EXISTING PL SYSTEM - SEE ELEC
9. DEMO EXISTING COVER PLATE & PIPING - INFILL TO MATCH EXISTING. SEE MECH
10. WHERE DEMO WALL ENDS AT EXISTING WALL TO REMAIN - PATCH TO MATCH EXISTING
11. EXISTING SUPPLY LOUVER - SEE MECH
12. DEMO EXISTING PAPER TOWEL DISPENSER COMPLETE
13. EXISTING LIGHT FIXTURE - SEE ELEC
14. EXISTING RETURN LOUVER - SEE MECH
15. ADD ALT #01 DEMO EXISTING FLOOR TILE AND BASE COMPLETE - PREP FOR NEW FINISH
16. ADD ALT #01 DEMO EXISTING LIGHT FIXTURE - SEE ELEC
17. ADD ALT #01 DEMO EXISTING CEILING COMPLETE
18. NEW SUSPENDED GYPSUM BOARD CEILING
19. ADD ALT #01 PROVIDE PORCELAIN FLOOR TILE AND COVE BASE THRU OUT
20. ADD ALT #01 PAINT EXISTING CONC BLOCK
21. ADD ALT #01 PAINT EXISTING SLOVEN METAL FRAMES AND DOORS
22. ADD ALT #01 PROVIDE FLOOR FINISH TRANSITION TRIM
23. ADD ALT #01 PROVIDE SUSPENDED GYPSUM BOARD CEILING
24. PROVIDE FLOORING TRANSITION TRIM, OMIT WITH ADD ALT #1
25. RETURN LOUVER - SEE MECH
26. SUPPLY LOUVER - SEE MECH
27. LIGHT FIXTURE - SEE ELEC



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2010 Ridgcrest Dr SE
Albuquerque, NM 87108
505.266.2142
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CONSULTANTS

PROJECT

UNM CIRT 153
RESTROOM
RENOVATION

COWNER

UNIVERSITY OF NEW
MEXICO

ADDRESS

2701 CAMPUS BLVD
NE, ALBUQUERQUE,
NM 87106

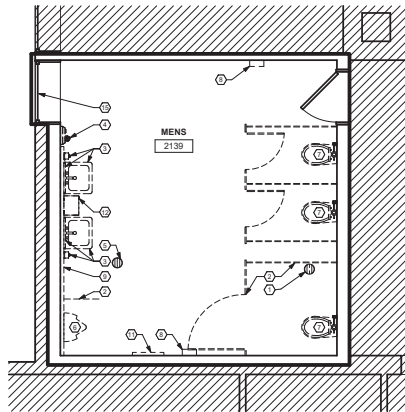
PROJECT STATUS

SCHEMATIC DESIGN

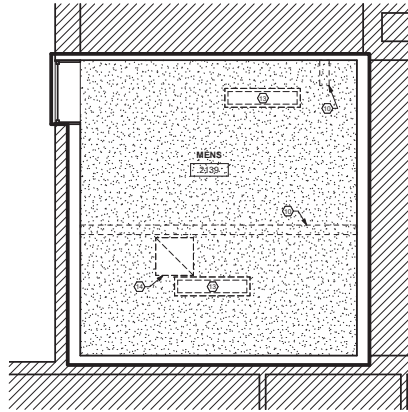
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Project Number	2021-071
Project File	
Drawn By	CM
Checked By	SB, RM

ENLARGED PLANS & ELEVATIONS - ROOM 2002

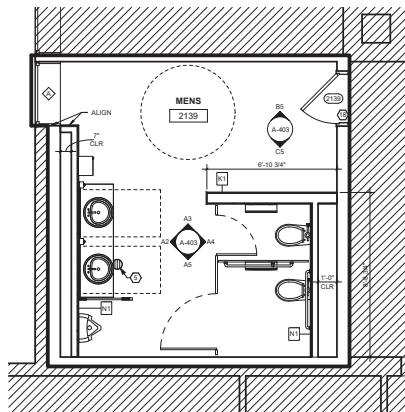
SHEET A-402



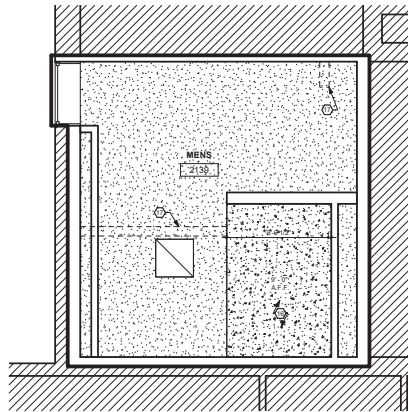
ENLARGED PLAN - DEMO
3/8" = 1'-0"



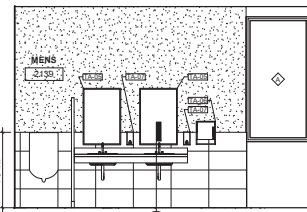
RCP - DEMO
3/8" = 1'-0"



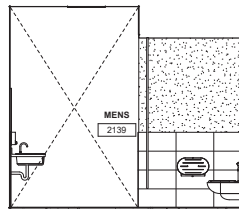
ENLARGED PLAN - NEW
3/8" = 1'-0"



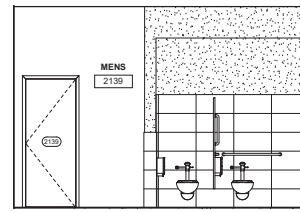
RCP - NEW
3/8" = 1'-0"



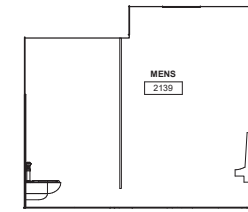
ENLARGED ELEVATION
3/8" = 1'-0"



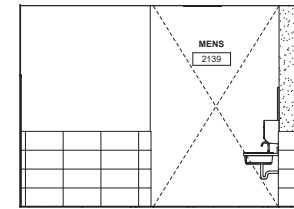
ENLARGED ELEVATION
3/8" = 1'-0"



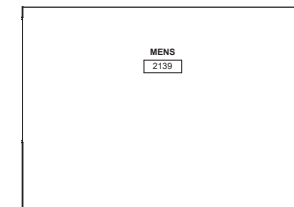
ENLARGED ELEVATION
3/8" = 1'-0"



ENLARGED ELEVATION
3/8" = 1'-0"



ENLARGED ELEVATION
3/8" = 1'-0"



ENLARGED ELEVATION
3/8" = 1'-0"

LEGEND

- AREA OF WORK
- AREA OF NO WORK
- DEMO PLASTER CLG
- DEMO 12X12 CLG
- DEMO AND REMOVE
- EXISTING TO REMAIN
- C1 - GYPSUM BOARD LEVEL 4, PAINT
- C2 - IMPACT RESISTANT GYP BS CEILING, LVL 4, PAINT
- C3 - EXIST PLASTER CEILING, PAINT

GENERAL SHEET NOTES

- A. U.N.O. ALL DIMENSIONS ARE TO FACE OF STUD, FACE OF C.M.U. FACE OF COLUMN, OR GRID LINE
- B. U.N.O. LOCATE BACK OF ALL DOOR FRAMES 4 INCHES AWAY FROM ADJACENT FACE OF STUD
- C. EVERYTHING IS EXISTING U.N.O. PROTECT EXISTING

TOILET ACCESSORY SCHEDULE

- TA-01 GRAB BAR 3'-0" L
- TA-02 GRAB BAR 3'-0" L
- TA-03 GRAB BAR (VERTICAL) 1'-0" L
- TA-04 TOILET TISSUE DISPENSER - D.F.C.I
- TA-05 FRAMED MIRROR 24" X 36"
- TA-06 PAPER TOWEL DISPENSER
- TA-07 SOAP DISPENSER - D.F.C.I
- TA-08 SURFACE MOUNT SANITARY DISPOSAL
- TA-09 BABY CHANGING STATION

NOTE:
1. SEE DETAIL D1 (A-502) FOR TYP MOUNTING HEIGHTS
2. PROVIDE BLOCKING PER DETAIL A2 (A-502)

SHEET KEYNOTES

- 1 DEMO EXISTING FLOOR DRAIN - SEE PLUMBING
- 2 DEMO EXISTING STALL PARTITIONS COMPLETE
- 3 DEMO EXISTING MIRROR & SOAP DISPENSER COMPLETE - SEE PLUMBING
- 4 DEMO EXISTING AIR DRYER AND CLOUT COMPLETE - SEE ELEC
- 5 EXISTING FLOOR DRAIN TO BE REFURBISHED - TRIM AS REQD FOR NEW
- 6 FLOOR FINISH LEVEL - SEE PLUMBING
- 7 DEMO EXISTING URINALS - SEE PLUMBING
- 8 DEMO EXISTING WATER CLOSET, TOILET PAPER DISPENSER AND TOILET COVER DISPENSER COMPLETE - SEE PLUMBING
- 9 REMOVE AND REINSTALL EXISTING FA SYSTEM - SEE ELEC
- 10 DEMO EXISTING FURS WALL COMPLETE - SEE PLUMBING
- 11 EXISTING PIPE - SEE PLUMBING / MECHANICAL
- 12 DEMO EXISTING TOILET COVER DISPENSER COMPLETE - PATCH TO MATCH EXISTING
- 13 DEMO EXISTING PAPER TOWEL DISPENSER COMPLETE
- 14 EXISTING LIGHT FIXTURE - SEE ELEC
- 15 EXISTING EXHAUST LOUVER W/ FAN - SEE MECH
- 16 EXISTING WINDOW TO REMAIN
- 17 NEW SUSPENDED GYPSUM BOARD CEILING
- 18 EXISTING PIPE - SEE PLUMBING / MECHANICAL - PAINT
- 19 PROVIDE FLOORING TRANSITION TRIM

CONSULTANTS

PROJECT

UNM CIRT 153
RESTROOM
RENOVATION

COWNER

UNIVERSITY OF NEW
MEXICO

ADDRESS

2701 CAMPUS BLVD
NE, ALBUQUERQUE,
NM 87106

PROJECT STATUS

SCHEMATIC DESIGN

Date	08/17/2021
Project Number	2021-071
Project File	
Drawn By	DM
Checked By	SB, RM

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McClain + Yu Architecture and Design

**ENLARGED PLANS &
ELEVATIONS - ROOM
2139**

SHEET

A-403

TAB 6

#6

Approval of South Campus TIDD Master Development Agreement



September 29, 2021

To: Finance & Facilities Committee, UNM Board of Regents

From: Lobo Development Corporation, Board of Directors

By: Kim D. Murphy, Consultant, LDC

Cc: Teresa Costantinidis, CEO, LDC
Tom Neale, Director of Real Estate, UNM
Kelly Ward, Director of Business Development, LDC
Jill Sweeney, Member, Sherman & Howard, LLC
Harry Relkin, Consultant, LDC

RE: South Campus TIDD Master Development Agreement

Request: Regents Finance & Facilities Committee recommendation for approval of the Development Agreement relating to the proposed South Campus Tax Increment Development District ("District") to UNM Board of Regents at its regularly scheduled meeting on October 19, 2021. Lobo Development Corporation ("LDC") Board reviewed and approved a Draft of the Development Agreement on September 9, 2021, with its recommendation for approval to the Board of Regents.

Documents provided to the Committee for consideration are:

- Cover Memorandum to LDC dated September 9, 2021- Summary & Recommendation of the Development Agreement
- TIDD Boundary Map & Illustrative Plan
- Development Agreement (Current draft dated September 29, 2021)
 - Draft Agreement is subject to final review for consistency with the State Tax Increment for Development Act ("TIDD Act") and the City Tax Increment for Development Ordinance ("TIDD Ordinance").
 - Final Agreement is subject to subsequent action by the Albuquerque City Council.

Rationale: On August 16, 2021, the City Council approved a resolution ("Intent Resolution") declaring its intent to consider for adoption a formation resolution approving the formation of the Tax Increment Development District ("TIDD" or "District") and accepting the joint TIDD application and petition of the City and LDC, acting on behalf of the Regents of UNM ("TIDD Application").

Approval of the Intent Resolution by the City Council, set in motion certain requirements for formal approval of the South Campus TIDD, including:

- A public hearing for consideration of the formation of the TIDD by the City Council scheduled for October 4, 2021. (Note that the State TIDD Act requires the City Council to hold the public hearing no less than 30 and no more than 60 days following approval of the Intent Resolution, i.e. no later than October 15.)
- Legal notice and posting of the property to be included in the District at least 14 days in advance of the public hearing.
- Negotiation of a Development Agreement among the City, the District, and the developer/landowner, incorporating recommendations of City staff relating to the TIDD and the requirements of the TIDD Ordinance. Pursuant to the State TIDD Act, the Development Agreement may address the development, improvement and use of property within the District, including obligations of the developer/owner and the City concerning zoning and subdivisions, and financial matters of the District. The Albuquerque City Council may act on the Development Agreement on October 4, 2021 or at a subsequent meeting.

Background: The Board of Regents Finance & Facilities Committee (“F&F Committee”) reviewed a summary of the TIDD Application in closed session on December 1, 2020.

Subsequently, on December 7, 2021 (regular meeting) and again on December 14, 2021 (special closed meeting), the LDC Board reviewed the Summary of the Application as presented to the Regents F&F Committee as well as the TIDD Application itself.

On March 2, 2021, following internal consideration of including additional land within the District, the TIDD Application was submitted to the City for review and comment. The City suggested the inclusion of certain park, trail, and public safety amenities within the District, and proposed that the District address the public infrastructure needs of the additional land either by constructing offsite improvements or adding land to the District following District formation.

MEMORANDUM

To: Lobo Development Corporation Board of Directors

Date: September 9, 2021

Re: Summary and Recommendation of Proposed South Campus TIDD Development Agreement

Background

The University of New Mexico (the "University"), through Lobo Development Corporation ("Lobo"), and the City of Albuquerque (the "City") have submitted a joint application to the City for the formation of the South Campus Tax Increment Development District (the "District").

The District boundaries are anticipated to encompass 337 developable acres (see Exhibit A) and, at full build out, the District is expected to contain over \$620 million in public and private investment: \$260 million in TIDD-funded public improvements and \$360 million in private-funded improvements. The public infrastructure will be financed primarily with tax increment revenue generated within the District and dedicated to the District by the City, the County, and the State of New Mexico (the "State").

In connection with the formation of the District, the City, the University, through Lobo, and the District will enter into a Development Agreement setting forth the rights and responsibilities of each party. Lobo is expected to serve as the master developer of the District, maintaining primary responsibility for the construction and financing of public infrastructure serving the District.

Summary of the Development Agreement

Key provisions in the Development Agreement include:

- The public infrastructure, including local roadway, amenity, and building improvements as well as regional transit, recreation and energy conservation improvements will be constructed by Lobo, the City or their designees;
- The District Illustrative Plan will control the development of the District, including land use (see Exhibit B);
- Development on City-owned land will conform with applicable City development policies, and development on University-owned land will conform with applicable State development policies and development policies adopted by the Regents;
- The obligation of Lobo, the City, or their designees to construct public infrastructure will be conditioned on the availability of tax increment revenue to reimburse the parties for the cost of the public infrastructure either directly from tax increment revenue or with the proceeds of bonds issued by the District;
- Completed public infrastructure will be dedicated to and owned, operated and maintained by the State and local government entities, including the University and the City;
- In addition to public infrastructure, Lobo, the City, and their designees may construct additional public infrastructure to serve the South Campus TIDD District from sources other than tax increment revenues or the proceeds of District bonds; and

- The Development Agreement will remain in place for as long as bonds of the District remain outstanding (25 years from the first date of issuance of bonds by the District) and until the obligations under the Development Agreement are fulfilled.

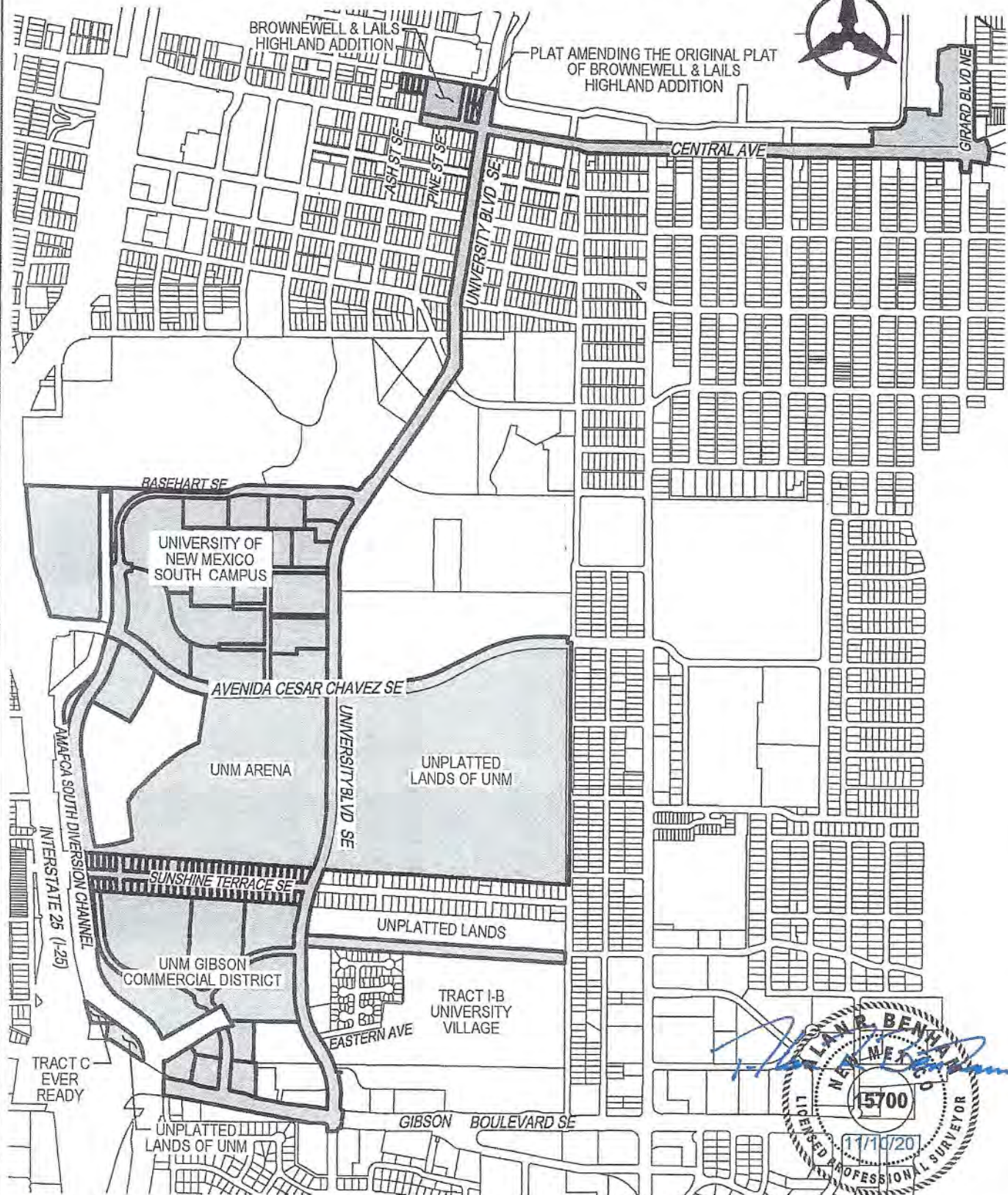
Next Steps

The City Council will consider the adoption of a resolution forming the District and act on the form of Development Agreement on October 4, 2021.

Recommendation

Approval of proposed Development Agreement for South Campus TIDD.

DISTRICT BOUNDARY MAPS



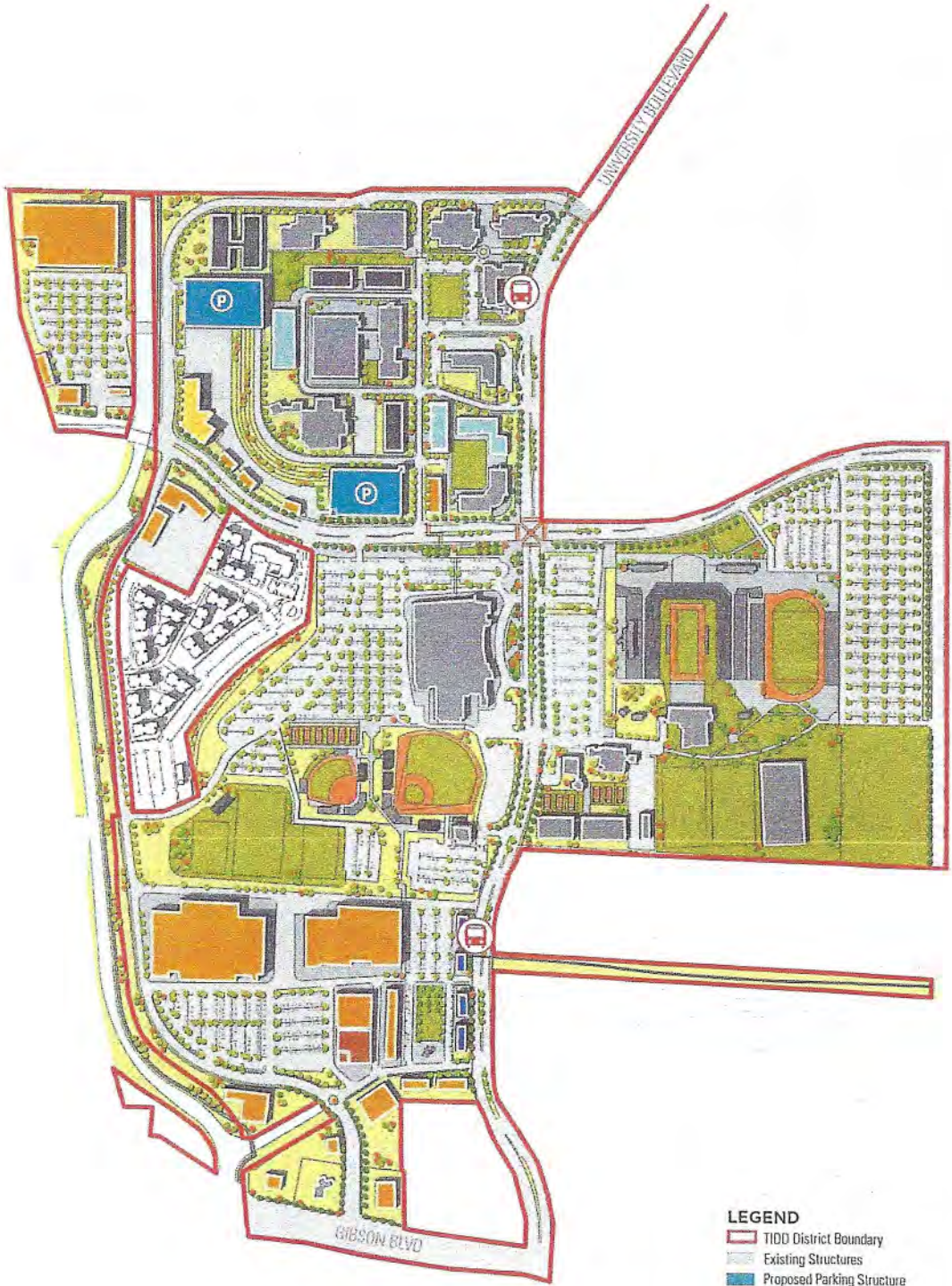
Alan R.
Benham
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1 16:40:05
-07'00"

Bohannon & Huston

Courtyard | 7500 Jefferson St. NE
Albuquerque, NM 87109 (505) 823-1000



SOUTH CAMPUS TIDD DISTRICT
ILLUSTRATIVE PLAN - SOUTH CAMPUS



- LEGEND**
- TIDD District Boundary
 - Existing Structures
 - Proposed Parking Structure
 - Proposed Retail
 - Proposed Mixed Use
 - Proposed Theater
 - Proposed Hotel
 - Proposed Research & Development
 - Proposed Office
 - Proposed BRT Station

November 12, 2020



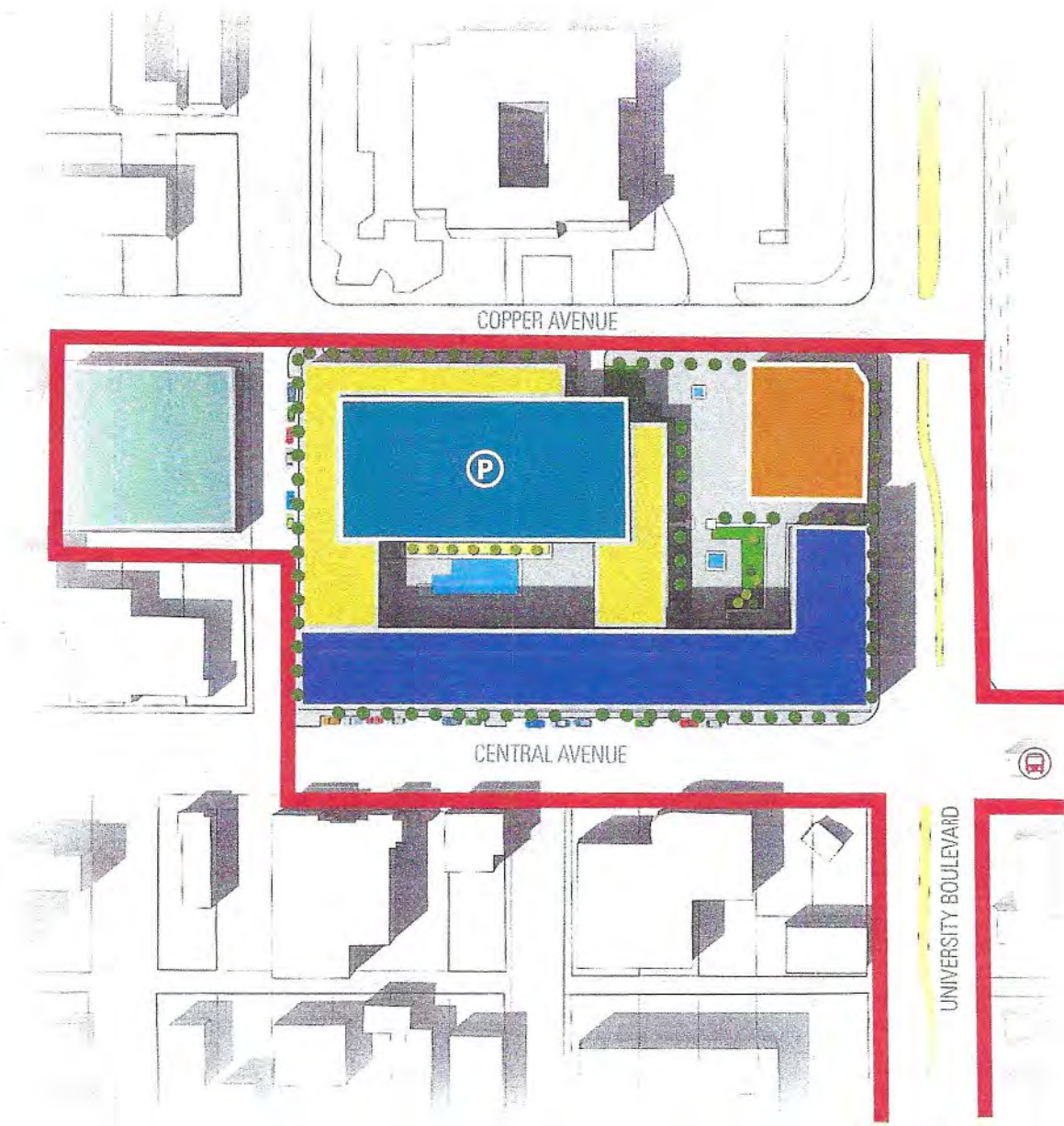
PARTNERSHIP+INVESTMENT+COMMUNITY





SOUTH CAMPUS TIDD DISTRICT

ILLUSTRATIVE PLAN - CENTRAL & UNIVERSITY



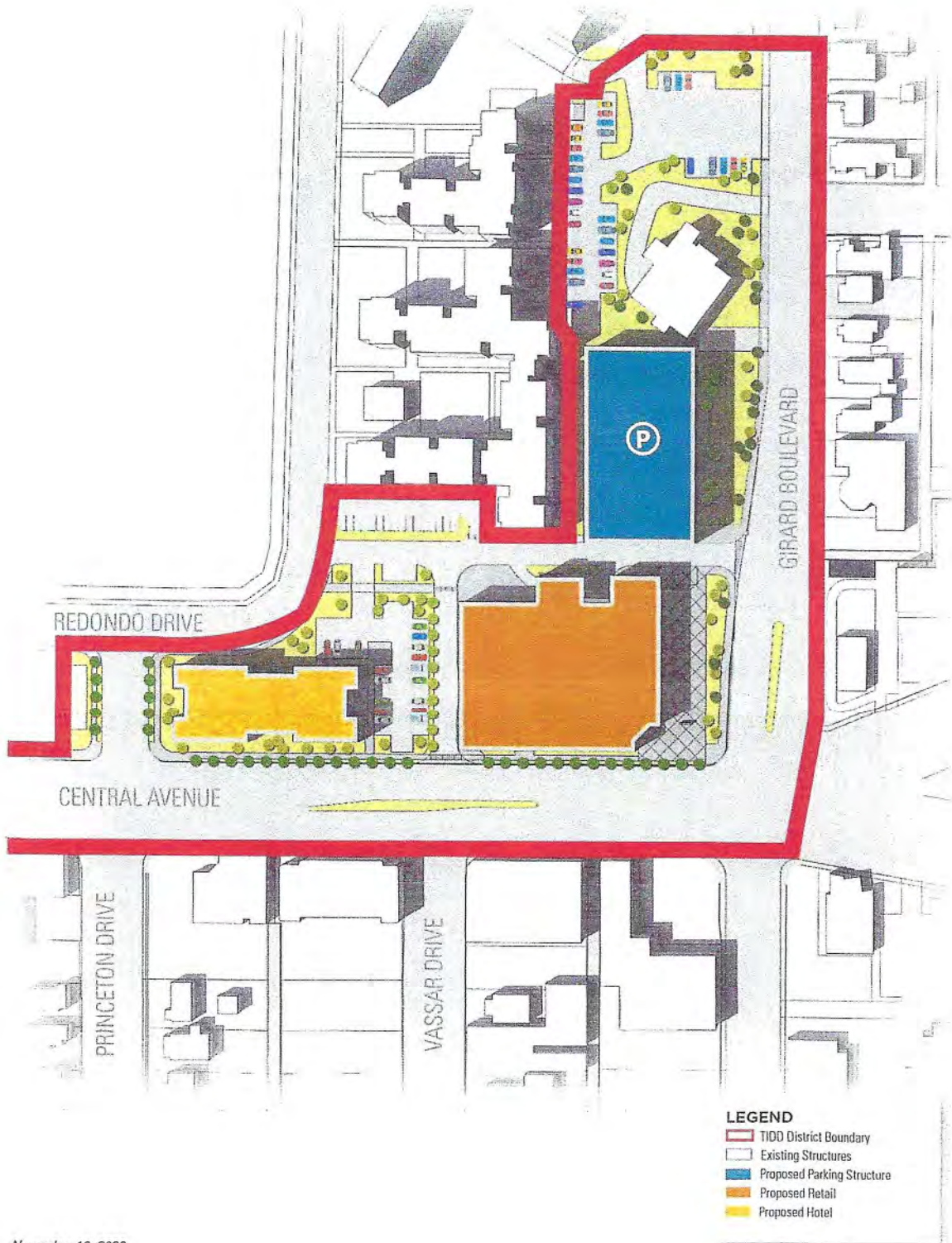
LEGEND

- TIDD District Boundary
- Existing Structures
- Proposed Parking Structure
- Proposed Retail
- Proposed Mixed Use
- Proposed Office
- Proposed Residential
- Existing ART Station

November 12, 2020



SOUTH CAMPUS TIDD DISTRICT
ILLUSTRATIVE PLAN - CENTRAL & GIRARD



LEGEND

- TIDD District Boundary
- Existing Structures
- Proposed Parking Structure
- Proposed Retail
- Proposed Hotel

November 12, 2020

DEVELOPMENT AGREEMENT

Prepared by, and after recording return to:

TAX INCREMENT DEVELOPMENT DISTRICT
INFRASTRUCTURE CONSTRUCTION DEVELOPMENT AGREEMENT
BY AND BETWEEN
THE CITY OF ALBUQUERQUE, NEW MEXICO,
SOUTH CAMPUS TAX INCREMENT DEVELOPMENT DISTRICT,
AND LOBO DEVELOPMENT CORPORATION

THIS TAX INCREMENT DEVELOPMENT DISTRICT DEVELOPMENT AGREEMENT (the "**Agreement**") is entered into as of the Effective Date (as defined below) by and among the CITY OF ALBUQUERQUE, NEW MEXICO, a charter municipality (the "**City**"), SOUTH CAMPUS TAX INCREMENT DEVELOPMENT DISTRICT, a political subdivision of the State of New Mexico as provided in Section 5-15-9(C) NMSA 1978, separate and apart from the City and State of New Mexico (the "**District**"), and LOBO DEVELOPMENT CORPORATION, a New Mexico nonprofit corporation formed under the Research Park and Economic Development Act (the "**Developer**"), owned, controlled and established by the Regents of the University of New Mexico (the "**Regents**"), with reference to the following facts and circumstances:

RECITALS

A. The Regents and the City own approximately 337 acres of land located wholly within the corporate boundaries of the City, and identified, collectively, by the legal description provided in Exhibit A to this Agreement (the "**Land**"). The Land comprises the real property included in the District and is subject to Tax Increment Development District Formation Resolution Enactment No. [] (the "**Formation Resolution**"), attached to this Agreement as Exhibit B.

B. The District has been formed for the purpose of financing of the costs of certain public infrastructure related to the development of the South Campus TIDD (the "**Project**") all as are more particularly set forth in this Agreement. Land within the District owned by the Regents is not subject to the land use zoning, platting or permitting jurisdiction of the City. The City and Developer intend that: (i) City-owned land shall conform with applicable City development processes; and (ii) Regent-owned land shall conform with applicable State of New Mexico (the "**State**") development processes and applicable University of New Mexico ("**University**") development processes. City-owned land or Regent-owned land subsequently transferred to a private entity shall conform with City development processes. All land use approvals shall be subject to the terms of this Agreement.

C. The City and the Regents entered into that certain Memorandum of Understanding dated October 11, 2011 relating to the "University of New Mexico Gibson Commercial District" attached as Exhibit C, (the "**Commercial District Memorandum**") regarding the planning, platting and development approvals of approximately 45 acres, comprising both City-owned and Regent-owned land within the District. The process set forth in the Commercial District Memorandum shall govern future development within the District.

D. The Tax Increment for Development Act, Section 5-15-2 through 5-15-29 NMSA 1978, as amended (the "**TIDD Act**") and City Ordinance Bill No. 0-06-44, Enactment No. 0-36-2006, as amended (the "**TIDD Ordinance**") provide that the owner or owners of property to be included in a tax increment development district, the municipality or county in which the district is located and the tax increment development district may enter into a development agreement to establish the obligations of the owner or developer, the county or municipality and the tax increment development district concerning the zoning, subdivision, improvement, impact fees, financial responsibilities, and other matters relating to the development, improvement and use of real property within the district.

E. The Regents, by and through the Developer, and the City jointly have submitted to the City a petition (the "**Petition**") and application (together with the Petition, the "**Application**") for the formation of the District pursuant to the TIDD Act and the TIDD Ordinance, which includes the Land that is described in a "District Boundary and Parcel Map" and more particularly identified in the legal description in Section 3 of the Application. The District Boundary and Parcel Map is attached as Attachment [1] to the "Tax Increment Development Plan" which plan is attached hereto as Exhibit D (the "**Tax Increment Development Plan**").

F. The Developer and the City intend that the Public Infrastructure shall be designed and constructed according to all applicable standards and requirements, shall be suitable for dedication to the State and other local governments, including the City and the University (each a "**Participating Agency**"), upon completion, and shall be dedicated to a Participating Agency and otherwise owned and operated by the Participating Agency.

G. The District was formed for the purpose of reimbursing the Developer and City for costs incurred in the design, construction, planning, engineering and purchase of certain public infrastructure including reimbursing the Developer and City for costs incurred in planning, designing and constructing the Public Infrastructure, as set forth herein.

H. The Developer and the City have submitted, concurrent with the Application, an Owner Consent to Petition and Joint Application for Formation of South Campus Tax Increment Development District (the "**Consent**"), requesting that the District be formed without an election of property owners. Pursuant to the Consent, the Developer and City certify that no qualified electors reside on the Land and no formation election is required pursuant to Section 5-15-8 NMSA 1978.

I. The City and the Developer anticipate that a portion of the Public Infrastructure shall be financed by and through the District (the "**TIDD Infrastructure**"). TIDD Infrastructure may be financed with the proceeds of gross receipts tax increment and/or property tax increment revenue bonds issued by the District in accordance with the TIDD Act and the TIDD Ordinance

(the “**TIDD Bonds**” or “**District Bonds**”), and payable from dedicated gross receipts tax increment revenue and property tax increment revenue generated within the District (collectively the “**Tax Increment Revenues**”).

J. TIDD Infrastructure also may be financed with property tax increment revenue and state, municipal and county gross receipts tax increment revenue received by the District without the issuance of District Bonds. Such amounts are included in the definition of Tax Increment Revenues.

K. The Developer and the City, or their designees, may construct additional public improvements (“**Applicant Improvements**” and together with the TIDD Infrastructure, the “**Public Infrastructure**”) to serve the Project, from sources other than Tax Increment Revenue or TIDD Bonds.

L. The Project at full buildout, is expected to contain over \$627 million in public and private investment, combined: \$267 million in TIDD-funded public improvements and \$360 million in private-funded improvements (the “**Private Improvements**”).

M. The City and the Developer intend that this Agreement shall govern the conduct and operation of the District with respect to matters addressed by this Agreement.

THEREFORE, in consideration of the mutual covenants of the parties set forth in this Agreement, and for other valuable consideration, the City, the District, and the Developer agree as follows:

AGREEMENT

1. The Recitals set forth above are a material part of this Agreement and are incorporated by reference.

2. Effective Date. This Agreement shall become effective upon the City’s formation of the District pursuant to the TIDD Act and that certain Resolution of Formation, attached to this Agreement as Exhibit B, irrespective of the date of execution of this Agreement by the parties hereto.

3. Condition Precedent. This Agreement is conditioned upon its execution by the City and the Developer on or before _____.

4. The District is a Party to this Agreement. Upon formation of the District, the District shall become a party to this Agreement and shall be bound to the obligations set forth herein.

5. Timing. The Developer may proceed with the design and construction of the TIDD Infrastructure in any order that the Developer may deem appropriate, following consultation with the development coordination committee composed of one person each representing the City’s Chief Operating Officer, City Council, Municipal Development Department, Planning Department, and Economic Development Department as determined by the Chief Operating Officer of the City or his or her designee, and one person each representing the Developer, the

University's Real Estate Department, and the University's Planning, Design and Construction Department as determined by Senior Vice President for Finance and Administration or his or her designee (the "Development Coordination Committee").

6. Project Costs. The Developer may be reimbursed for all or a portion of expenses incurred pursuant to this Agreement from the proceeds of District Bonds or other Tax Increment Revenue, to the extent allowable by applicable State and federal law. The Developer shall enter into a reimbursement agreement with the City pursuant to which the Developer shall reimburse the City for all or a portion of costs and expenses incurred by the City in connection with the application, formation and operation of the District from the proceeds of District Bonds or other Tax Increment Revenues, to the extent allowable by applicable State and federal law.

7. Boundaries of District. The District shall include the Land described in Exhibit A to this Agreement. The boundaries of the District may be amended as follows:

A. Consent Required. If, at the time amendment is requested, 100% of the record owners of the land within the District affected by the proposed amendment have provided written consent to the boundary amendment and either (i) no bonds of the District are then outstanding or (ii) in the determination of the trustee for the bonds as provided in the related indenture or indentures, holders of bonds of the District which are then outstanding will not be adversely affected, the amendment shall be approved by the Chief Administrative Officer of the City, which approval authority is hereby delegated.

B. No Consent Required. If, at the time amendment is requested, the record owners of less than 100% of the land within the District have provided written consent to the boundary amendment, the proposed amendment shall be subject to the provisions of Section 5-15-25 NMSA 1978.

8. District Acquisition of TIDD Infrastructure. The TIDD Infrastructure will be constructed by the Developer, the City, or their designees in accordance with the terms of this Agreement, following consultation with the Development Coordination Committee. Following the financing of TIDD Infrastructure, either with the proceeds of TIDD Bonds or directly with TIDD Tax Increment Revenue, and subject to the terms of this Section 8 and Section 10 of this Agreement, the Developer, the City, or their designees, shall convey completed TIDD Infrastructure to the District by bill of sale or other appropriate instrument of conveyance. Following acquisition by the District, the District shall immediately convey the TIDD Infrastructure to a Participating Agency in accordance with the terms of this Agreement. Water and wastewater improvements shall be dedicated to the Albuquerque Bernalillo County Water Utility Authority (the "ABCWUA"), or other appropriate entity, pursuant to a separate development agreement with such authority.

The obligation of Developer or City to construct the Public Infrastructure and Private Improvements shall be conditioned on the ability of Developer or the City to be reimbursed through TIDD Bonds or other Tax Increment Revenue for the cost of such Public Infrastructure.

A. Development Requirements. Notwithstanding any other provision herein or in any City ordinance relating to zoning, permitting or land use generally to the contrary, the land

uses shown on the District Illustrative Plan attached as Exhibit E, and further described in the Tax Increment Development Plan shall govern development within the District. The land uses described in the Tax Increment Development Plan, as approved by the Formation Resolution, constitute the approved land uses for the District.

B. Construction Duties. If the Developer, on behalf of itself or the City, determines to seek reimbursement from TIDD Bonds or other Tax Increment Revenue for the cost to construct TIDD Infrastructure, the Developer, the City, and their designees shall be obligated to construct such infrastructure and convey the same as set forth herein. To the extent that available proceeds from District Bonds or other Tax Increment Revenue are insufficient to pay the costs of TIDD Infrastructure, Developer, the City, or their designees, respectively, shall be responsible for the costs of completing such TIDD Infrastructure. The Developer, the City, and their designees shall perform their respective obligations and conduct operations with respect to the TIDD Infrastructure in a good, workmanlike, commercially reasonable manner using the standard of care normally employed in the performance of work that is comparable. If the Developer or the City determine in their sole discretion to not seek reimbursement for the cost of all or part of the TIDD Infrastructure within the District, then the TIDD Infrastructure shall not be required to be constructed unless the Developer or the City have otherwise committed to construct the TIDD Infrastructure as a condition of subdivision or site plan approval or otherwise. The Developer, the City, or their designees shall be responsible for completing the Applicant Improvements and for the costs thereof.

C. Other Financing. This Agreement shall not limit the Developer or the City from obtaining and using other sources of governmental and nongovernmental funds, including, without limitation, tax credits, tax deductions, special assessments, proceeds from bonds issued pursuant to the Public Improvement District Act (Section 5-11-1 et seq. NMSA 1978), common area charges, association dues, grants or loans to cover the cost of constructing the TIDD Infrastructure and Applicant Infrastructure.

D. Satisfaction of Conditions in which Surety or Completion Guarantee Not Required. A surety bond or other guaranty for the completion of the TIDD Infrastructure shall not be required if the following conditions are satisfied as to each work order in connection with the construction of TIDD Infrastructure:

(i) A specified amount of the proceeds of the TIDD Bonds on deposit with the trustee for the TIDD Bonds shall be used for construction or acquisition of the TIDD Infrastructure; and

(ii) The amount of available proceeds of TIDD Bonds on deposit with the trustee for the TIDD Bonds specified in Subsection (i) above, together with other dedicated moneys (which may include Tax Increment Revenue not otherwise pledged to debt service on outstanding TIDD Bonds) the payment of which is guaranteed or otherwise assured, is equal to or greater than the estimated cost of the TIDD Infrastructure to be constructed in the District.

E. Completion Guarantee Required. The Developer, the City, or their designees shall be required to provide a surety bond or other completion guarantee for the satisfactory completion of Applicant Improvements, if any.

F. Completion and Acceptance. The Developer, the City, or their designees shall prepare the TIDD Infrastructure for dedication and acceptance in accordance with the processes of the Participating Agency accepting the TIDD Infrastructure.

G. Acceptance by District. The District shall accept conveyance of TIDD Infrastructure upon written certification and warranty conveying such improvements, as follows:

(i) The TIDD Infrastructure has been constructed in substantial compliance with plans and specifications, in a good and workmanlike manner and in strict compliance with all applicable governmental and quasi-governmental regulations, laws, and building codes;

(ii) Pursuant to the applicable provisions for final acceptance, the TIDD Infrastructure has been inspected, approved for dedication to, and will be accepted by a Participating Agency; and

(iii) The costs of constructing the TIDD Infrastructure actually have been incurred by the Developer, the City, or their designees, together with reasonable documentation of those costs.

H. Issuance of District Bonds. Simultaneously with the initial delivery of any District Bonds, the District shall cause an Indenture of Trust (the “**Indenture**”) to be executed by and between the District as the issuer and the trustee, to deposit to the credit of the Project Fund (as defined in the Indenture), the balance remaining from the proceeds of the TIDD Bonds after the deposits for the payment of interest, costs of issuance, the debt service reserve account and such other funds and accounts as will be more specifically described in the Indenture. Moneys in the Project Fund shall be disbursed by the trustee to pay to, or on behalf of, (or as a reimbursement for monies previously expended by) the Developer or the City, all items of expense directly relating to the cost of the acquisition of the TIDD Infrastructure and the incidental costs and expenses relating thereto including, but not limited to: engineering expenses, legal expenses, printing, posting, publication and mailing expenses, fees and expenses incurred in making surveys, studies and estimates of costs, testing expenses, construction management expenses, and such other costs and expenses eligible for payment from the proceeds of the TIDD Bonds under the TIDD Act and the Indenture (the “**Costs of Construction**”) and to pay all incidental expenses related to the construction of the TIDD Infrastructure.

(i) Requests for disbursement from the Project Fund shall be made substantially as follows: In every case, a “**Trustee Disbursement Request**” in the form attached to the Indenture, (a) signed by a duly authorized representative of the Developer, on behalf of itself or the City, and (b) an Authorized Officer of the District (as provided in the Indenture) certifying that the work to which the payment relates has been performed by third party contractors in arm’s length transactions at market rates and in a manner satisfactory to the District, and shall be presented to the trustee; and

(ii) The authorized representatives of the District shall have the right, upon two (2) business days’ prior written notice to the Developer and during normal business

hours, to review all books and records of the Developer, the City, or their designees, pertaining to costs and expenses incurred in construction of the TIDD Infrastructure.

I. Use of Tax Increment Revenue. Tax Increment Revenue not otherwise pledged to outstanding District Bonds may be transferred to a bank or other financial institution as a depository pursuant to a depository agreement (the “**Depository Agreement**”) for disbursement to the Developer, on behalf of itself or the City, for Costs of Construction or for reimbursement for monies previously expended by the Developer, the City or their designees for items of expense directly relating to the cost of the acquisition of the TIDD Infrastructure or other Costs of Construction.

(i) Requests for disbursement of Tax Increment Revenue shall be made substantially as follows: Gross receipts tax increment revenues shall be maintained in the District’s gross receipts tax increment account, and property tax increment revenues shall be maintained in the District’s property tax increment account. In every case, a “**Tax Increment Disbursement Request**”, in the form attached to the Depository Agreement, shall be signed by a duly authorized representative of the Developer, on behalf of itself or the City, and shall be presented to the District treasurer. The party constructing the TIDD Infrastructure also shall certify that the work to which the payment relates has been performed by third party contractors in arm’s length transactions at market rates and in a manner satisfactory to the District.

(ii) Upon review and approval of a Tax Increment Disbursement Request, the District’s treasurer shall cause the transfer of funds requisitioned by the Tax Increment Disbursement Request to a bank as a depository for disbursement to the Developer. The bank shall timely release the transferred funds to the Developer for its account or the account of the City, or designees of the City and/or the Developer pursuant to separate distribution agreements with such entities (each a “**Distribution Agreement**”).

(iii) The authorized representatives of the District shall have the right, upon two (2) business days’ prior written notice to the Developer and during normal business hours, to review all books and records of the Developer pertaining to costs and expenses incurred by the Developer, the City, or their designees in construction of the TIDD Infrastructure.

J. Projected Costs of Construction. The estimated cost (including planning, design, engineering, construction, testing, surveying, construction management, inspection, fees, gross receipts taxes, and contingencies) of constructing all the improvements benefiting the District, including the TIDD Infrastructure, is estimated to be approximately \$627 million, which includes approximately \$267 million expected to be financed with the proceeds of TIDD Bonds or other Tax Increment Revenues.

(i) The “**Purchase Price**” for TIDD Infrastructure, subject to the provisions of this Section 8 shall be the Actual Cost (as defined below) of such TIDD Infrastructure.

(ii) In order to receive the Purchase Price for completed TIDD Infrastructure, Developer, on behalf of itself or the City, shall deliver to the TIDD (a) a payment

request for such TIDD Infrastructure, together with all attachments and exhibits to be included therewith, and (b) a copy of the notice of completion of such TIDD Infrastructure.

(iii) Upon receipt of a completed payment request (and accompanying documentation) for completed TIDD Infrastructure, the Participating Agency accepting the TIDD Infrastructure may conduct a review in order to confirm that such TIDD Infrastructure was constructed in accordance with the plans therefor and to verify and approve the Actual Cost of such TIDD Infrastructure specified in such payment request. Upon confirmation that such TIDD Infrastructure has been constructed in accordance with the plans therefor pursuant to its standard procedure for acceptance of public improvements, and verification and approval of the Actual Cost of such TIDD Infrastructure, the Developer, on behalf of itself or the City, may, without unreasonable delay, submit a requisition request to the trustee to pay the Purchase Price of such TIDD Infrastructure to Developer pursuant to the requisite Indenture or to the depositary to pay the Purchase Price of such TIDD Infrastructure to Developer pursuant to the requisite Depositary Agreement. “**Actual Cost**” means, with respect to TIDD Infrastructure, an amount equal to the sum of (a) the actual, reasonable cost of constructing such TIDD Infrastructure, including labor, material and equipment costs, (b) the actual, reasonable cost of preparing the plans for such TIDD Infrastructure, (c) the actual, reasonable cost of environmental evaluations required for such TIDD Infrastructure, (d) the amount of the fees actually paid to governmental agencies in order to obtain permits, licenses or other necessary governmental approvals for such TIDD Infrastructure including inspection, (e) the actual, reasonable cost for professional services directly related to the construction of such TIDD Infrastructure, including legal, engineering, inspection, construction staking, materials testing and similar professional services, (f) the actual, reasonable cost of any title insurance required hereby for such TIDD Infrastructure, provided, however, that no item of cost relating to TIDD Infrastructure shall be included in more than one category of cost under this definition.

(iv) TIDD Infrastructure shall be conveyed to a Participating Agency, as applicable, by: (a) dedication and acceptance or (b) deed, bill of sale, lease, grant of easement as applicable, and acceptance, as is required by the Participating Agency and appropriate under the circumstances. Any reference to the manner of conveyance in this Agreement shall also refer to a lease of the TIDD Infrastructure. The parties intend that although the District will be obligated to pay for the acquisition of the TIDD Infrastructure, the District may designate a Participating Agency to be conveyed title and direct that title be conveyed directly to such Participating Agency. Completed TIDD Infrastructure may be transferred to the District prior to the issuance of the TIDD Bonds to be used to finance such TIDD Infrastructure, with the understanding that the Purchase Price for such TIDD Infrastructure will be payable if, and when, such TIDD Bonds are issued. The conveyance of the TIDD Infrastructure to the District prior to the issuance of TIDD Bonds shall be made with the expectation of payment of the Purchase Price from the proceeds of said TIDD Bonds (when, and if, issued), and such conveyance shall not be construed as a dedication or gift of the TIDD Infrastructure, or a waiver of payment of the Purchase Price for such TIDD Infrastructure.

(v) The parties hereto acknowledge that in certain circumstances (a) Developer, the City, or their designees, may be constructing the TIDD Infrastructure prior to the issuance of TIDD Bonds or receipt of other Tax Increment Revenues, the proceeds of which will be used to reimburse Developer or the City for TIDD Infrastructure, (b) Developer, on behalf of

itself or the City, may be submitting payment requests in advance of such an issuance of TIDD Bonds or receipt of other Tax Increment Revenue, with knowledge that there may be insufficient funds available in the appropriate improvement funds for reimbursement, (c) the TIDD Infrastructure that is the subject of the payment requests submitted when there are insufficient proceeds will be inspected and reviewed as set forth in this Section 8 and that such payment requests will be reviewed in the manner set forth in Section 8(J) and the TIDD Infrastructure will be accepted in accordance with this Section 8, and (d) the payment for any payment requests approved in the preceding manner will be deferred until sufficient Tax Increment Revenue or proceeds in the appropriate account under the Indenture are available to make such payment, at which time the trustee or depository, as applicable, shall be directed to pay the Purchase Price for such TIDD Infrastructure to Developer in accordance with the Indenture or Depository Agreement.

K. Phasing. The Parties acknowledge that neither the Developer nor the City can predict when or at what rate the Land may be developed or when any phase of the Project may be developed. Such decisions depend upon numerous factors which are not all within the control of Developer or the City, such as market orientation and demand, interest rates and competition. It is the intent of the Parties that the Developer, in consultation with the City and the Development Coordination Committee, may phase the Project in such order and at such rate and times as Developer deems appropriate and as set forth in the Tax Increment Development Plan.

L. Advances. Notwithstanding anything to the contrary set forth in this Agreement, the District hereby agrees to make advances to the Developer from time to time not to exceed the Purchase Price for the construction of the TIDD Infrastructure (each an “**Advance**”), the proceeds of which shall be used to finance the construction of the TIDD Infrastructure.

9. District Bond Financing of TIDD Infrastructure. The District may issue TIDD Bonds payable from Tax Increment Revenue in accordance with the Tax Increment Development Plan, this Agreement and the Indenture. Through the Indenture, the District will dedicate that Tax Increment Revenue to the repayment of the TIDD Bonds and will pledge, pursuant to the Indenture, such increment revenue as security for the TIDD Bonds. Tax Increment Revenue shall be collected and remitted to a District at the first possible time that remittance can be accomplished following the formation of the District, as provided in the TIDD Act. The District may, in the future, issue TIDD Bonds as provided by the TIDD Act, the TIDD Ordinance, the Tax Increment Development Plan and this Agreement. Under no circumstances will the City or the University be responsible for the payment of bonds or any other financial obligations of the District. TIDD Bonds shall state that the Owners of the TIDD Bonds shall have no recourse to the taxing power of the City, or to any property of the City or the Regents, or funds or resources, other than Tax Increment Revenue dedicated to the District.

Tax Increment Revenue collected or received by the District and pledged to District Bonds shall be deemed to be held in trust and deposited by the District with the trustee under an Indenture or Indentures as required in order for the District to meet its obligations under this Agreement.

A. General Requirements. Pursuant to and in compliance with the TIDD Act, the TIDD Ordinance and the Tax Increment Development Plan, the District shall be authorized to issue one or more series of TIDD Bonds sufficient to generate net proceeds which shall be used for the purpose of reimbursing the Developer or its designees including the City pursuant to a

Distribution Agreement, on a continuing basis, for costs incurred in the planning, design, engineering, construction or acquisition of TIDD Infrastructure, or for the construction of eligible Public Infrastructure in an amount not to exceed the actual cost of the TIDD Infrastructure, plus a contingency, plus amounts to be used to fund debt service reserves, capitalized interest, credit enhancement, costs of issuance and other costs normally associated with the issuance of TIDD Bonds pursuant to statute.

(i) As required by the TIDD Act and the TIDD Ordinance, prior to the issuance of a series of TIDD Bonds by the District, the Developer, the City, or their designees shall have contributed at least 20% of the initial cost of TIDD Infrastructure to be financed with proceeds of that series of TIDD Bonds to be issued by the District, which contribution may be reimbursed from the proceeds of that series of TIDD Bonds, as permitted by the TIDD Act;

(ii) The maximum aggregate principal amount of TIDD Bonds issued by the District shall not exceed the actual costs of the TIDD Infrastructure serving the District plus amounts to be used to fund debt service reserves, capitalized interest, credit enhancement, costs of issuance, and other costs normally associated with the issuance of TIDD Bonds pursuant to statute;

(iii) The actual costs of the TIDD Infrastructure shall be determined or estimated at the time the District issues a series of TIDD Bonds; and

(iv) Proceeds of TIDD Bonds shall be used to reimburse the Developer, or pursuant to a Distribution Agreement reimburse the City, its designees or the designees of the Developer for costs associated with the acquisition, design, planning, engineering, construction, inspection and financing of TIDD Infrastructure or for the construction of eligible Public Infrastructure and for such other purposes as allowed by the TIDD Act.

B. Bond Financing Requirements. The issuance of TIDD Bonds by the District (other than short-term District obligations maturing not later than 30 days after issuance) shall be subject to the following requirements and limitations:

(i) Annual debt service on TIDD Bonds issued with a lien on gross receipts tax increment revenue and/or property tax increment revenue will (1) be payable solely from gross receipts tax increment revenue and/or property tax increment revenue, (2) have a minimum coverage equal to, for senior lien financing 1.25 times, and for subordinate lien financing 1.00 times actual, recurring gross receipts tax increment revenue and/or property tax increment revenue, based on projections of tax revenues which are acceptable to the District, and (3) expire not more than twenty-five (25) years after the date that the first TIDD Bonds for the District are issued.

(ii) Unless otherwise prohibited by law, each series of TIDD Bonds will include a reasonably required debt service reserve funded from bond proceeds or other legally available sources in an amount on the date of issuance of the TIDD Bonds equal to the lesser of (i) the maximum annual debt service requirements on that series of TIDD Bonds, (ii) 125% of the average annual debt service requirements on that series of TIDD Bonds, or (iii) 10% of the aggregate principal amount of that series of TIDD Bonds (issue price if there is more than a de minimis amount of original issue discount or premium);

(iii) To the extent required in order to obtain an investment grade rating by Standard & Poor's Rating Group, Moody's Investors Service, Inc., or Fitch Rating, or other nationally recognized bond rating services, the TIDD Bonds shall be supported by irrevocable letters of credit, contribution agreements or other financial guaranty arrangements, all as determined by the District in consultation with the City, provided that, notwithstanding the foregoing, no such credit support shall be required if the governing body of the District enacting a Bond Resolution and the City determine that such additional credit support is not necessary for the protection of bond investors, based on the marketing plan for the particular series of TIDD Bonds, the sophistication or request of the intended purchaser of the TIDD Bonds, and recommendations made by a financial advisor acceptable to the governing body of the District and the financial advisor to the City;

(iv) The proposed maximum principal amount, maximum interest rates, final maturity dates, provisions relating to debt service reserves, credit enhancement, minimum denominations, public or private placement, book-entry form, prior redemption, and other features of the TIDD Bonds shall be set forth in an Indenture which shall be approved by the City;

(v) The form of all material documents used in connection with the issuance of TIDD Bonds including but not limited to, the TIDD board bond resolution and bond indenture shall be provided to the City prior to issuing any TIDD Bonds.

(vi) TIDD Bonds issued by the District shall have no direct or indirect negative impact on the debt or financing capabilities of the Developer, the City or the University and shall be subject to the payment of outstanding City gross receipts tax obligations as provided in the TIDD Act; and

(vii) Such other provisions required by law, and as shall be mutually agreed upon by the City, the Developer, and the District, or their designees.

C. Issuance of TIDD Bonds: Approvals. Prior to issuing a series of TIDD Bonds, the City (through the Chief Administrative Officer (the "CAO"), Developer and District shall:

(i) Identify the TIDD Infrastructure and the estimated costs thereof to be financed with proceeds of the TIDD Bonds to be issued by the District as that infrastructure attached as Exhibit F (the "Eligible TIDD Infrastructure");

(ii) Identify the Applicant Improvements to be financed by the Developer or the City through other sources;

(iii) Provide an estimated construction schedule for the completion of the TIDD Infrastructure and Applicant Improvements;

(iv) Describe the proposed maximum principal amount, maximum interest rates, final maturity date, provisions for debt service reserves, credit enhancement, minimum denominations and other features of the TIDD Bonds to be issued by the District required by the TIDD Act, the TIDD Ordinance, the Formation Resolution and this Agreement;

(v) Provide proposed forms of the bond resolution, Indenture and other documents related to the proposed bond transaction;

(vi) Address any other matters concerning the dedication of TIDD Infrastructure to be financed by the District that should be addressed in additional detail not provided in this Agreement; and

(vii) Before the issuance of TIDD Bonds, each bond resolution shall be presented to the City Council for action.

D. Plan of Finance. The District shall implement the Plan of Finance attached as Exhibit G in accordance with the Formation Resolution.

E. Equity Contribution. The minimum equity contribution of the Developer and the City will be no less than twenty percent (20%) of the initial cost of the Project, in accordance with the provisions of the TIDD Act and Section 9A. (i) hereof.

F. Operating Tax Levy. The District is authorized to impose an operating tax levy not to exceed \$5.00 per \$1,000 of the assessed value of the taxable property within its boundaries, as provided in Section 5-15-13 of the TIDD Act.

10. Dedication/Operation of Improvements. The District shall immediately dedicate the TIDD Infrastructure to a Participating Agency. The following general provisions shall govern the dedication and operation of Public Infrastructure:

A. Conveyance. Conveyance of the real and personal property from the District to a Participating Agency shall be made by bill of sale, or “paper” conveyance.

B. Operation and Maintenance. At no time shall the District pay operation or maintenance costs associated with Public Infrastructure. Operation and maintenance costs incurred prior to the dedication of Public Infrastructure to a Participating Agency shall be paid by the Developer, the City, or their designees.

11. Default; Termination.

A. Defaults. Any failure by any party to perform any material term or provision of this Agreement, which failure continues uncured for a period of thirty (30) days following written notice of such failure from the other party, unless such period is extended by written mutual consent, shall constitute a default under this Agreement. Any notice given pursuant to the preceding sentence shall specify the nature of the alleged failure and, where appropriate, the manner in which said failure may be cured. If the nature of the alleged failure is such that it cannot reasonably be cured within such time period, then the diligent prosecution to completion of the cure thereafter shall be deemed to be a cure within such thirty (30) day period. Upon the occurrence of a default under this Agreement, the non-defaulting party may institute legal proceedings to enforce the terms of this Agreement or, in the event of a material default, terminate this Agreement. If the default is cured, then no default shall exist, and the noticing party shall take no further action.

12. Termination of Agreement. Either the Developer or the City may seek termination of this Agreement upon material breach left uncured after reasonable notice and opportunity to cure.

13. Term of Agreement. Subject to the TIDD Act, the term of this Agreement shall commence upon the execution of this Agreement by all parties and shall extend for a period the greater of twenty-five (25) years, or the date on which all District Bonds have been paid and are no longer outstanding unless said term is terminated, modified or extended by circumstances or by mutual consent of the parties. Following the expiration of the term, this Agreement shall be deemed terminated and of no further force and effect.

14. Other General Provisions.

A. Audit. The Developer and the City shall have the right to audit all expenditures of the District and expenditures of the Developer, the City, or their designees, in connection with facilities (i) at the Project to be dedicated to the City or the University or (ii) treated as the 20 percent (20%) contribution of the Developer pursuant to Section 9(A)(i) of this Agreement.

B. Covenants Running with the Land. The provisions of this Agreement constitute covenants running with the Land and are binding upon and inure to the benefit of the parties hereto, their successors and assigns.

C. Notice. Notices concerning the District shall be provided to the parties at the following addresses:

If to the City:

City of Albuquerque, New Mexico
One Civic Plaza, NW, 11th Floor (87102)
Post Office Box 1293 (87103)
Albuquerque, New Mexico
Attention: [**Member of the TIDD Board**]
Telephone: (505) 768-3679

With a copy to:

Christopher Melendrez
Director of Council Services
One Civic Plaza, NW Ninth Floor
P.O. Box 1293 (87103)
Albuquerque, New Mexico
Telephone: (505) 768-3100

If to the District:

Tax Increment Development District

Attention:
Telephone:

If to the Developer:

South Campus Tax Increment Development District c/o Lobo Development Corporation

Attention: TBD

Telephone:

For purposes of giving formal written notice, including notice of change of address, the addresses are as set forth in this paragraph unless changed by written notice. Notice may be given either in person or by certified U.S. mail, postage paid.

D. Entire Agreement. This Agreement contains the entire agreement of the parties and supersedes all other agreements or understandings, oral or written, whether previous to the execution hereof or contemporaneous herewith.

E. Changes to Agreement. Changes to this Agreement are not binding unless made in writing and signed by all parties hereto. Changes to this Agreement that are within the scope of the Formation Resolution or this Agreement shall not require additional action by the City Council. Whether a change to this Agreement is within the scope of the Formation Resolution or this Agreement shall be determined by the City Council President in consultation with the CAO and City Attorney. Notice of proposed changes to this Agreement shall be given to the Director of Council Services, CAO and the City Attorney not less than 30 days prior to the effective date of the proposed change.

F. Construction and Severability. If any part of this Agreement is held to be invalid or unenforceable, the remainder of this Agreement will remain valid and enforceable if the remainder is capable of completion.

G. Execution. This Agreement is shall be executed by the (i) the CAO, (ii) the Developer, and (iii) the District.

H. Assignment. This Agreement will not be assigned without the prior written consent of the parties, which consent shall not be unreasonably withheld, conditioned or delayed.

I. Recording. This Agreement shall be filed for record in the Bernalillo County Clerk's Office, Bernalillo County, New Mexico.

J. Governing Law. This Agreement is governed by and is to be construed in accordance with the law of the State.

IN WITNESS WHEREOF, the City has caused this Agreement to be executed in its corporate name and the seal of the City affixed and attested by its duly authorized officers; the District has executed this Agreement in its corporate name and attested by its duly authorized officer; and the Developer has executed this Agreement in its respective corporate names and attested by its respective duly authorized officers. All of the above are effective as of ____, 20[___].

CITY OF ALBUQUERQUE, NEW MEXICO

By: _____
Chief Administrative Officer

[SEAL]

ATTEST:

By: _____
Clerk

LOBO DEVELOPMENT CORPORATION, a
New Mexico nonprofit corporation formed under the
Research Park and Economic Development Act

By: [_____]]
Its: [_____]]

By: _____
Name: _____
Title: _____

ATTEST:

By: _____
Clerk

**SOUTH CAMPUS TAX INCREMENT
DEVELOPMENT DISTRICT**

By: [_____]

Its: [_____]

By: _____

Name: _____

Title: _____

ATTEST:

By: _____

Clerk

EXHIBIT A
The Land

EXHIBIT B
Formation Resolution

EXHIBIT C
Memorandum of Understanding Pertaining to the "University of New Mexico Gibson
Commercial District"

EXHIBIT D
Tax Increment Development Plan

EXHIBIT E
District Illustrative Plan

EXHIBIT F
Infrastructure Improvements List

EXHIBIT G
Plan of Finance

Plan of Finance Tax Increment

TAB 7

#7

Approval of Lobo Development Corporation Board of Directors and Reappointments of Four Non-Positional Directors



September 9, 2021

Regent Douglas Brown
President, UNM Board of Regents
The University of New Mexico
Albuquerque NM 87131

RE: Lobo Development Corporation Board of Directors
Reappointment of Four Non-Positional Directors

Dear Regent Brown,

Per the current amended Bylaws, Lobo Development Corporation's non-positional board members are to be appointed by the Board of Regents of The University of New Mexico for a three (3) year staggered term. The terms of the four non-positional board members expired on Sept. 8, 2021 and we suggest the following reappointments in order to comply with the amended Bylaws.

Non-Positional Director 1: Louis Abruzzo

One-year reappointment to expire 09/2022, with a possible three-year reappointment to 2025

Non-Positional Director 2: Maria Griego-Raby

Two-year reappointment to expire 09/2023, with a possible three-year reappointment to 2026

Non-Positional Director 3: Steven Ciepiela

Three-year reappointment to expire 09/2024, thereafter possible three-year reappointments

Non-Positional Director 4: Rick Siegel

Three-year reappointment to expire 09/2024, thereafter possible three-year reappointments

The Lobo Development Corporation Board of Directors request that you place the reappointments of Louis Abruzzo, Maria Griego-Raby, Stephen Ciepiela, and Rick Siegel on the agenda for the next meeting of the Board of Regents.

Sincerely,

A handwritten signature in cursive script that reads 'Teresa Costantinidis'.

Teresa Costantinidis
President
Lobo Development Corporation

TAB 8

#8

Approval of Appointment and Reappointment of Board Nominated Members to Harwood Foundation Governing Board

Memorandum

TO: Board of Regents of the University of New Mexico

VIA: President Garnett Stokes

FROM: Alexandra Benjamin, Chair of the Governing Board of the Harwood Foundation of the University of New Mexico

CC: Teresa Costantinidis, Executive Vice President for Administration/COO/CFO

DATE: September 15, 2021

RE: Appointment of Board Nominated Members Scott McAdams to Harwood Foundation Governing Board and re-appointment of Karl Halpert

In May 2007 the Board of Regents (the “Regents”) adopted resolutions (the “Delegation Resolution”) delegating to the Harwood Foundation Governing Board certain responsibility for the governance, oversight, management and operation of the UNM’s Harwood Museum of Art.

The Delegation Resolution provides that the Regents shall appoint all the members of the Harwood Board, including the members appointed based on recommendations of the Harwood Board.

In November 2017, the Regents approved the increase of the number of members of the Harwood Board from nine (9) to a maximum of fifteen (15). Twelve (12) members, referred to in the By-Laws as the "Board Nominated Members" are nominated by the Harwood Board.

The Harwood Board respectfully recommends appointing Board Nominated Member Scott McAdams to a three-year term position on the Board this year. Mr. McAdams will replace retiring board member, Stephanie Bennett-Smith, who has served two full terms. Information about Mr. McAdams is attached.

The Board also requests approval for appointment to a second term for Karl Halpert in a three-year term position on the Board this year as allowed by the By-Laws. Mr. Halpert has served on the Harwood Board for two years, having filled the vacant position of board member Marcia Winter who retired in 2019.

We respectfully request that the Board of Regents include the appointment of Mr. McAdams and re-appointment of Mr. Halpert to the Harwood Governing Board on the Board of Regents agenda for its meeting on October 19, 2021.

FY22 Board Nominated Member Contact Info and Bio:

Karl Halpert

PO Box 2678
Ranchos de Taos NM 87557
office 575-737-0522
mobile 575-779-6784
karl@privatelabelselect.com

Since Mr. Halpert has previously served, his short bios should be on file.

Scott McAdams

4 Farrell Street
Ranchos de Taos, NM 87557
office 575-751-3388
mobile 575-770-2666
scott@scottmcadams.com

Scott McAdams is an esteemed member of the Taos community. He has served with commitment and integrity in leadership roles in many local nonprofit organizations. We on the Harwood Governing Board have had a great opportunity to come to know and appreciate Mr. McAdams thru his role as Chair of the Marketing and Promotion Committee for the Harwood Museum's 2023 Centennial. He is a pleasure to work with.

Mr. McAdams has worked for 31 years with financial institutions in New Mexico and is currently a Financial Advisor and Retirement Planner at Knight Financial Limited in Taos. He received his M.B.A. from the University of New Mexico in Albuquerque. His resume is attached.



Scott A McAdams

Financial Advisor
Retirement Planner

PRECEPT

I believe we are here because we know that the outcomes in our lives are within our control.
That taking absolute ownership of what we do is the only way we will optimize the outcome.
We must chase excellence to grab hold of what is possible.
The mission is to live on the run, never stopping, always chasing.

CONTACT

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HOBBIES

Gardening
Fishing
Running
Woodworking
Grandfathering

EDUCATION

University of New Mexico
Albuquerque, NM
M.B.A.



Cameron University
Lawton, OK
B.B.A. Finance Concentration

WORK EXPERIENCE

Financial Advisor
Knight Financial Limited LLC
Taos, NM
October 2019 to present

Community President
Hillcrest Bank *fna* Peoples Bank
Taos, NM
June 2002 to September 2019

Chief Operations Officer
Grants State Bank
Grants, NM
June 1994 to June 2002

Bank Examiner
Federal Deposit Insurance Corporation
Albuquerque, NM
August 1989 to June 1994

Scott A McAdams

VOLUNTEER

Current

Taos Community Foundation

Director Emeritus

Grants Committee Member

Audit Commiittee Member

Leadership New Mexico

Curriculum Committee Member

Community Against Violence

Policy Committee

Recent Past

Taos County Chamber of Commerce

Board Chair

Taos Youth Hockey Association

Hockey Coach

Taos High School

Football Coach

PotCreek Homeower Association

Fire Chief

TAB 9

#9

Recommendations for Consent Agenda Items on Full Board of Regents'
Agenda

*** Recommendations for Consent Agenda Items on full Board of Regents' Agenda**

TAB 10

#10

Quarterly Consolidated Financial Report for the Month Ended June 30,
2021




University Controller
Financial Services Division, Main Campus
Phone: (505) 277-5111
FAX: (505) 277-7662

MEMORANDUM

DATE: September 22, 2021

TO: Teresa Costantinidis
Senior VP for Finance and Administration

FROM: Elizabeth Metzger, CPA 
University Controller

RE: Information Item for Board of Regents' Finance & Facilities Committee Meeting

The Quarterly Consolidated Financial Report for the month ended June 30, 2021 will be presented at the *October 12, 2021* Finance and Facilities meeting.



Monthly Financial Report

Consolidated Total Operations Current Funds

Fiscal Year to Date as of June 30, 2021

Contents

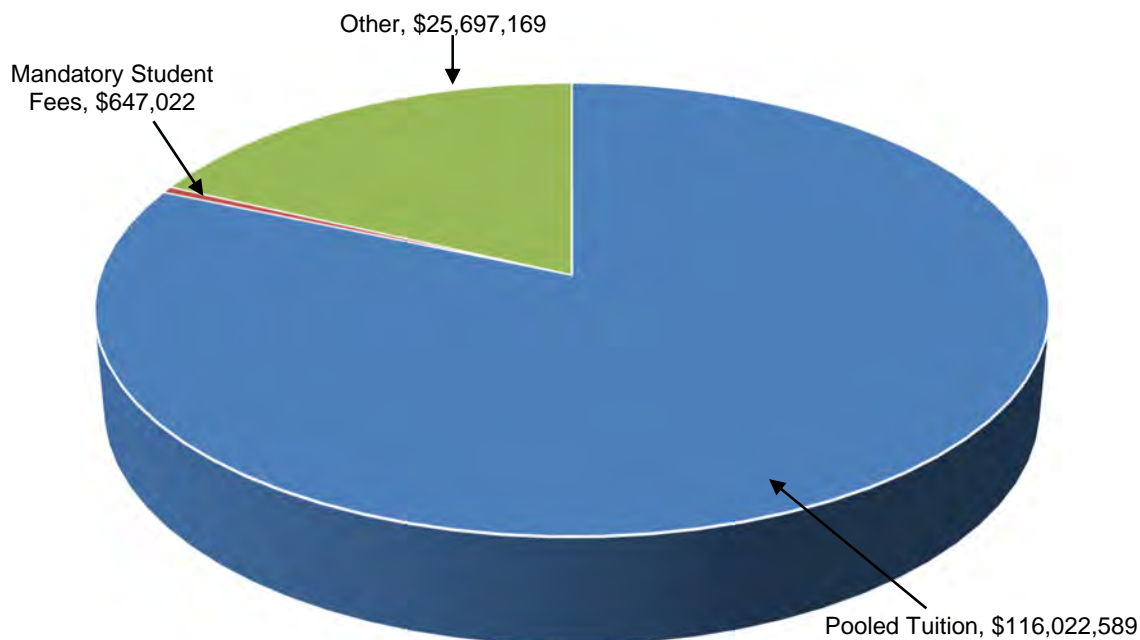
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Summary of Items in the Consolidated Financial Report As of June 30, 2021

- Instruction and General (Consolidated page 8, Main Campus page 14): Tuition and Fees - The graph below indicates the portion of Main Campuses I&G Tuition and Fee revenue that is pooled and allocated to the departments. The remainder of I&G Tuition and Fee revenue is distributed directly to the units, EX: tuition differential, course fees.

**Main Campus Tuition and Fees
as of June 30, 2021**



Other includes tuition differential, off-campus extension tuition, ASM Executive and Professional Education Center (EPEC) tuition, and various fee classifications (application, course, extended education, other student, testing binding, thesis, and reserves).

Budget	\$113,673,247
Difference	\$ 2,349,342

- HSC Clinical and Academic Operations (Consolidated page 10): Effective in FY20, HSC information was not presented as a separate report in the Consolidated Financial Report. HSC is presented in the Clinical Operations section that also includes UNM Hospitals, UNM Medical Group, and SRMC. (These actuals do not include intercompany eliminations.) Actuals for the current year and prior year through June are as follows:

Clinical Operations		FY21 – as of 06/30/21		FY20 – as of 06/30/20		Variance between FY2021 and FY2020
UNM HSC Academic Enterprise	\$	12,930,309	\$	20,324,619	\$	-7,394,310
UNM Hospitals Operations	\$	73,283,418	\$	24,311,799	\$	48,971,619
UNM Medical Group	\$	7,595,226	\$	-8,963,114	\$	16,558,340
SRMC	\$	3,425,553	\$	297,647	\$	3,127,906
Total	\$	97,234,506	\$	35,970,951	\$	61,263,555

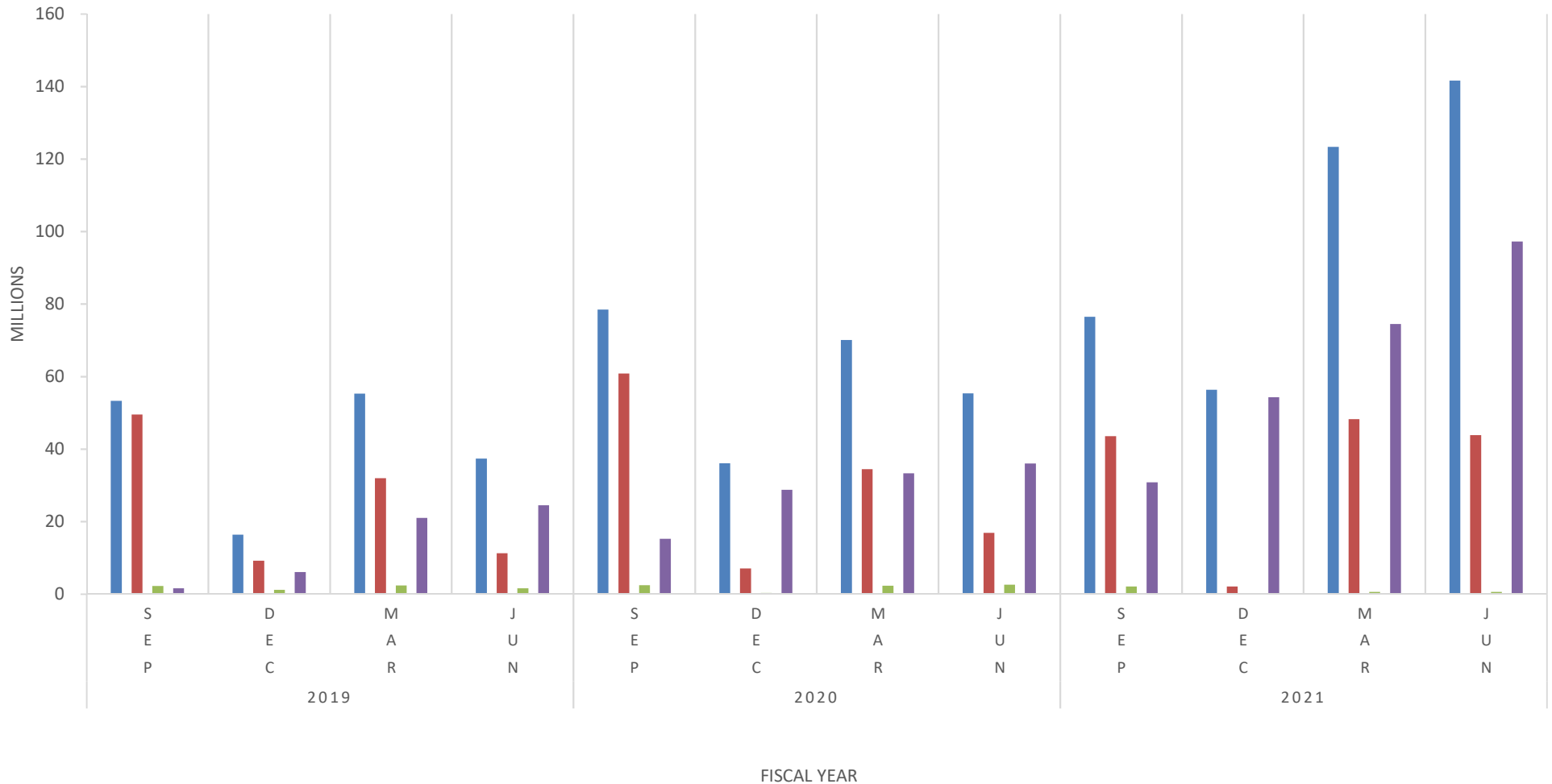
- COVID-19 CARE Funding Received in Fiscal Year 20-21 as of June 30, 2021:

Campus	Total
Main (HEERF II)	\$ 21,503,676
Main Student Awards (HEERF II)	9,667,030
Gallup (HEERF II)	406,266
Los Alamos (HEERF II)	87,040
Valencia (HEERF II)	263,633
Taos (HEERF II)	173,285
Main (HEERF III)	15,970,271
Clinical Operations	68,534,832
Non Operations	1,120,294
Total	\$ 117,726,327

HEERF – Higher Education Emergency Relief Funds

**CONSOLIDATED TOTAL OPERATIONS - 3 YEAR NET REVENUE
(NET REVENUE = TOTAL REVENUES LESS TOTAL EXPENSES FOR THE CONSOLIDATED AND EACH CAMPUS)
FISCAL YEAR TO DATE AS OF JUNE 30, 2021**

■ Consolidated (total on pg 10)
 ■ Main (total on pg 16)
 ■ Branches (total on pg 18)
 ■ HSC (total on pg 10)



Executive Budget Summary

University of New Mexico Consolidated Financial Report

Fiscal Year 2021 UNM Revised Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses, and Clinical Operations including the HSC Campus.

Instruction and General's operations projects a use of reserves of \$7.5M for the Fiscal Year 2021 UNM Revised Budget; \$2.9 use of reserves at the Main Campus and \$4.6M use of reserves at the Branch Campuses. This includes COVID-19 HEERF II and HEERF III Awards (Higher Education Emergency Relief Funds). HSC's revised budget for I&G is now included in the Clinical Operations budget.

Unrestricted Research operations projects a use of reserves of \$2.5M for the Fiscal Year 2021 UNM Revised Budget-all at the Main Campus. HSC's revised budget for research is now included in the Clinical Operations budget.

Unrestricted Public Service operations projects a use of reserves of \$658K for the Fiscal Year 2021 UNM Revised Budget; \$438K use of reserves is at the Main Campus and a \$220K use of reserves is at the Branch Campuses. HSC's revised budget for Unrestricted Public Service is now included in the Clinical Operations budget.

Student Aid operations projects a use of reserves of \$3.8M for the Fiscal Year 2021 UNM Revised Budget; \$3.8M use of reserves is at the Main Campus and a \$16K use of reserves is at the Branch Campuses. HSC's revised budget for Student Aid is now included in the Clinical Operations budget.

Student Activities are the operations of Student Government and Student organizations. The FY 2021 UNM Revised Budget projects a positive net margin of \$13K. HSC's revised budget for Student Activities is now included in the Clinical Operations budget.

Auxiliaries and Athletics

The FY 2021 UNM Revised Budget for Auxiliaries and Athletics projects a positive net margin of \$1.1M. This includes COVID-19 HEERF II HEERF III Awards (Higher Education Emergency Relief Funds).

Sponsored Programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

Clinical Operations now includes HSC (UNM HSC Academic Enterprises), UNM Hospital, UNM Medical Group, and SRMC. The FY 2021 UNM Revised Budget for Clinical Operations totals \$107,244,529 and is listed by unit below:

- UNM HSC Academic Enterprise as a budgeted net margin of \$7,362,449
- UNM Hospitals operations has a budgeted net margin of \$95,727,134
- UNM Medical Group operations has a budgeted net margin of \$4,153,735
- SRMC has a budgeted net margin of \$1,211

Statements of Revenues, Expenses and Changes in Net Position
 For the twelve month ended June 30, 2021
 Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Instruction and General						
Tuition and Fees Revenues***						
Main Campus	136,417,787	142,366,780	5,948,993	104%	136,432,459	5,934,321
Branch Campuses	6,132,947	5,781,324	(351,623)	94%	6,680,969	(899,645)
Total Tuition and Fees Revenues	142,550,734	148,148,104	5,597,370	104%	143,113,428	5,034,676
State/Local Appropriations	216,629,067	216,629,067	-	100%	226,411,961	(9,782,894)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	2,979,307	(2,979,307)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	3,025,340	2,105,273	(920,067)	70%	-	2,105,273
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	740,000	5,082,395	4,342,395	687%	-	5,082,395
F & A Revenues	20,745,000	19,965,643	(779,357)	96%	20,895,023	(929,380)
Transfers	(60,239,899)	(58,351,074)	1,888,825	97%	(58,540,894)	189,820
Other Revenues	18,277,447	18,838,660	561,213	103%	17,802,424	1,036,236
Total Instruction and General Revenues	341,727,689	352,418,068	10,690,379	103%	352,661,249	(243,181)
Salaries	214,717,804	206,031,635	8,686,169	96%	213,807,870	7,776,235
Benefits	76,066,050	73,383,715	2,682,335	96%	74,378,009	994,294
Other Expenses	58,504,579	53,785,734	4,718,845	92%	60,016,254	6,230,520
Total Instruction and General Expenses	349,288,433	333,201,084	16,087,349	95%	348,202,133	15,001,049
Net Instruction and General Revenue (Expense)	(7,560,744)	19,216,984	26,777,728		4,459,116	14,757,868
Research						
State/Local Appropriations	1,805,950	1,805,950	-	100%	2,070,950	(265,000)
Transfers	15,327,212	15,404,657	77,445	101%	16,269,695	(865,038)
Other Revenues	565,229	4,265,860	3,700,631	755%	4,987,592	(721,732)
Total Research Revenues	17,698,391	21,476,467	3,778,076	121%	23,328,237	(1,851,770)
Salaries and Benefits	10,874,052	10,734,424	139,628	99%	10,416,075	(318,349)
Other Expenses	9,320,724	6,477,079	2,843,645	69%	7,466,715	989,636
Total Research Expenses	20,194,776	17,211,503	2,983,273	85%	17,882,790	671,287
Net Research Revenue (Expense)	(2,496,385)	4,264,964	6,761,349		5,445,447	(1,180,483)
Public Service						
State/Local Appropriations	5,253,250	5,253,250	-	100%	4,139,850	1,113,400
Sales and Services Revenues	4,427,564	7,198,507	2,770,943	163%	7,630,980	(432,473)
Gifts	10,464,902	12,965,695	2,500,793	124%	11,383,545	1,582,150
Transfers	(2,720,674)	(2,719,950)	724	100%	(1,677,984)	(1,041,966)
Other Revenues	5,902,724	4,072,944	(1,829,780)	69%	3,885,727	187,217
Total Public Service Revenues	23,327,766	26,770,446	3,442,680	115%	25,362,118	1,408,328
Salaries and Benefits	13,091,378	12,225,313	866,065	93%	11,858,472	(366,841)
Other Expenses	10,894,481	9,009,640	1,884,841	83%	10,807,844	1,798,204
Total Public Service Expenses	23,985,859	21,234,953	2,750,906	89%	22,666,316	1,431,363
Net Public Service Revenue (Expense)	(658,093)	5,535,493	6,193,586		2,695,802	2,839,691

Statements of Revenues, Expenses and Changes in Net Position
 For the twelve month ended June 30, 2021
 Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Aid						
Gifts	6,419,730	7,785,201	1,365,471	121%	6,753,379	1,031,822
State Lottery Scholarship***	23,450,000	21,366,828	(2,083,172)	91%	23,254,053	(1,887,225)
Transfers	18,640,537	19,685,972	1,045,435	106%	18,771,538	914,434
Other Revenues	632,034	684,793	52,759	108%	945,070	(260,277)
Total Student Aid Revenues	49,142,301	49,522,794	380,493	101%	49,724,040	(201,246)
Salaries and Benefits	2,084,628	2,467,505	(382,877)	118%	2,045,358	(422,147)
Other Expenses	50,887,670	44,457,880	6,429,790	87%	40,630,057	(3,827,823)
Total Student Aid Expenses	52,972,298	46,925,385	6,046,913	89%	42,675,415	(4,249,970)
Net Student Aid Revenue (Expense)	(3,829,997)	2,597,409	6,427,406		7,048,625	(4,451,216)
Student Social & Cultural Programs						
Fee Revenues***	6,960,164	6,663,174	(296,990)	96%	7,367,830	(704,656)
Sales and Services Revenues	873,200	259,647	(613,553)	30%	692,791	(433,144)
Transfers	439,167	537,701	98,534	122%	669,872	(132,171)
Other Revenues	52,782	51,151	(1,631)	97%	70,795	(19,644)
Total Student Social & Cultural Programs Revenues	8,325,313	7,511,673	(813,640)	90%	8,801,288	(1,289,615)
Salaries and Benefits	5,668,392	4,180,893	1,487,499	74%	5,127,789	946,896
Other Expenses	2,669,851	2,479,306	190,545	93%	3,680,735	1,201,429
Total Student Social & Cultural Programs Expenses	8,338,243	6,660,199	1,678,044	80%	8,808,524	2,148,325
Net Student Social & Cultural Programs Revenue (Expense)	(12,930)	851,474	864,404		(7,236)	858,710
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	1,547,216	625,557	(921,659)	40%	1,333,209	(707,652)
Main Campus Auxiliaries Revenues	39,441,022	43,141,895	3,700,873	109%	42,149,744	992,151
Athletics Revenues	33,824,656	28,601,703	(5,222,953)	85%	28,471,436	130,267
Total Auxiliaries and Athletics Revenues	74,812,894	72,369,155	(2,443,739)	97%	71,954,389	414,766
Branch Campuses Auxiliary Expenses	1,857,216	906,668	950,548	49%	1,253,278	346,610
Main Campus Auxiliaries Expenses	40,026,539	33,966,272	6,060,267	85%	40,841,726	6,875,454
Athletics Expenses	31,841,383	25,569,732	6,271,651	80%	30,099,093	4,529,361
Total Auxiliaries and Athletics Expenses	73,725,138	60,442,672	13,282,466	82%	72,194,097	11,751,425
Net Auxiliaries and Athletics Revenue (Expense)	1,087,756	11,926,483	10,838,727		(239,708)	12,166,191

Statements of Revenues, Expenses and Changes in Net Position
For the twelve month ended June 30, 2021
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	150,313,995	126,702,434	(23,611,561)	84%	135,521,785	(8,819,351)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	(8,593,463)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	33,774	100%	-	9,667,030
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	(20,000,000)	0%	-	-
State and Local Grants and Contracts Revenues	19,220,230	18,135,203	(1,085,027)	94%	19,205,042	(1,069,839)
Non-Governmental Grants and Contracts Revenues	12,800,000	9,822,645	(2,977,355)	77%	10,169,325	(346,680)
Gifts	243,199	-	(243,199)	0%	-	-
Transfers	2,672,000	1,008,131	(1,663,869)	38%	1,263,200	(255,069)
Other Revenues	-	(694,358)	(694,358)	N/A	(438,813)	(255,545)
Total Sponsored Programs Revenues	214,882,680	164,641,085	(50,241,595)	77%	174,314,002	(9,672,917)
Salaries and Benefits	74,703,529	60,989,556	13,713,973	82%	53,823,676	(7,165,880)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	8,593,463
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	(33,774)	100%	-	(9,667,030)
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	20,000,000	0%	-	-
Other Expenses	110,545,895	93,984,499	16,561,396	85%	111,896,863	17,912,364
Total Sponsored Programs Expenses	214,882,680	164,641,085	50,241,595	77%	174,314,002	9,672,917
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
HSC Clinical and Academic Operations*						
State/Local Appropriations	104,763,173	104,554,528	(208,645)	100%	107,047,142	(2,492,614)
Capital Appropriations	-	-	-	N/A	33,588,918	(33,588,918)
UNM Medical Group Revenues	424,559,666	400,347,910	(24,211,756)	94%	446,460,532	(46,112,622)
UNM Hospitals Revenues	1,467,873,383	1,520,158,489	52,285,106	104%	1,278,266,753	241,891,736
SRMC Revenues	107,611,680	97,729,100	(9,882,580)	91%	89,541,144	8,187,956
Tuition and Fees Revenue	31,480,408	31,759,892	279,484	101%	30,312,860	1,447,032
F&A Revenues	28,500,000	31,122,723	2,622,723	109%	26,513,129	4,609,594
Mil Levy (Sandoval & Bernalillo Counties)	117,125,945	119,282,236	2,156,291	102%	115,084,816	4,197,420
Contract and Grant Revenues	270,738,217	253,494,143	(17,244,074)	94%	159,715,121	93,779,022
Transfers	4,503,819	3,570,906	(932,913)	79%	6,349,380	(2,778,474)
CARES ACT Funding	69,861,918	68,534,832	(1,327,086)	98%	24,954,338	43,580,494
Other Revenues	106,398,232	119,035,913	12,637,681	112%	115,360,512	3,675,401
Total Clinical Operations Revenues	2,733,416,441	2,749,590,672	16,174,231	101%	2,433,194,645	316,396,027
Salaries and Benefits	1,253,217,800	1,247,428,452	5,789,348	100%	1,086,676,610	(160,751,842)
Contract and Grant Expenses	253,183,325	235,254,972	17,928,353	93%	143,252,418	(92,002,554)
Committed for Capital Projects	30,000,000	75,000,000	(45,000,000)	250%	73,588,918	(1,411,082)
Other Expenses	1,089,770,787	1,094,672,742	(4,901,955)	100%	1,093,705,748	(966,994)
Total Clinical Operations Expenses	2,626,171,912	2,652,356,166	(26,184,254)	101%	2,397,223,694	(255,132,472)
Net Clinical Operations Revenue (Expense)	107,244,529	97,234,506	(10,010,023)		35,970,951	61,263,555
Net Current Revenue (Expense)	93,774,136	141,627,313	47,853,177		55,372,997	86,254,316

* Does not include intercompany eliminations

** Prior year actuals may have been reclassified in order to be consistent with the 2021 presentation

*** Tuition/Fees, Lottery Scholarship, and Student Fees have a benchmark of 100%

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2021
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Results of Athletics Operations:						
Athletics Revenues	16,781,141	16,463,398	(317,743)	98%	25,652,202	(9,188,804)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	2,046,871	(2,046,871)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,470,382	10,470,381	(1)	100%	-	10,470,381
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	6,000,000	1,000,000	(5,000,000)	17%	-	1,000,000
Athletics Transfers	573,133	667,924	94,791	117%	772,363	(104,439)
Total Athletics Revenues	33,824,656	28,601,703	(5,222,953)	85%	28,471,436	130,267
Athletics Expenses						
Salaries and Benefits	14,074,880	12,901,281	1,173,599	92%	13,255,745	354,464
Grant-in-Aid	-	4,010,190	(4,010,190)	N/A	3,774,724	(235,466)
Other Expenses	17,766,503	8,658,261	9,108,242	49%	13,068,624	4,410,363
Total Athletics Expenses	31,841,383	25,569,732	6,271,651	80%	30,099,093	4,529,361
Total Net Athletics Revenue (Expense)	1,983,273	3,031,971	1,048,698		(1,627,657)	4,659,628
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	7,143,399	7,168,031	24,632	100%	8,770,154	(1,602,123)
Bookstore Transfers	(341,281)	(341,281)	-	100%	(90,988)	(250,293)
Total Bookstore Revenues	6,802,118	6,826,750	24,632	100%	8,679,166	(1,852,416)
Total Bookstore Expenses	6,195,796	8,360,108	(2,164,312)	135%	9,269,435	909,327
Net Bookstore Revenue (Expense)	606,322	(1,533,358)	(2,139,680)		(590,269)	(943,089)
University Club Revenues	28,000	24,662	(3,338)	88%	62,282	(37,620)
University Club Expenses	28,000	28,595	(595)	102%	67,327	38,732
Net Faculty & Staff Club Revenue (Expense)	-	(3,933)	(3,933)		(5,045)	1,112
Food Service/Dining Revenues	673,499	452,953	(220,546)	67%	1,469,041	(1,016,088)
Food Service/Dining Transfers	183,426	280,564	97,138	153%	(416,752)	697,316
Total Food Service/Dining Revenues	856,925	733,517	(123,408)	86%	1,052,289	(318,772)
Total Food Service/Dining Expenses	856,925	862,401	(5,476)	101%	1,032,279	169,878
Net Food Service/Dining Revenue (Expense)	-	(128,884)	(128,884)		20,010	(148,894)
Golf Courses Revenues	2,663,759	2,608,876	(54,883)	98%	2,324,607	284,269
Golf Courses Transfers	(39,252)	(39,252)	-	100%	(39,252)	-
Total Golf Courses Revenues	2,624,507	2,569,624	(54,883)	98%	2,285,355	284,269
Total Golf Courses Expenses	2,495,945	2,585,553	(89,608)	104%	2,415,608	(169,945)
Net Golf Courses Revenue (Expense)	128,562	(15,929)	(144,491)		(130,253)	114,324
Housing Revenues	10,967,399	4,798,866	(6,168,533)	44%	8,350,462	(3,551,596)
Housing Transfers	(1,497,001)	(1,469,672)	27,329	98%	(2,203,524)	733,852
Total Housing Revenues	9,470,398	3,329,194	(6,141,204)	35%	6,146,938	(2,817,744)
Total Housing Expense	8,594,038	6,360,843	2,233,195	74%	6,482,207	121,364
Net Housing Revenue (Expense)	876,360	(3,031,649)	(3,908,009)		(335,269)	(2,696,380)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2021
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Lobo Cash Revenues	69,000	53,992	(15,008)	78%	90,620	(36,628)
Lobo Cash Expenses	69,000	33,039	(35,961)	48%	86,838	53,799
Net Lobo Cash Revenue (Expense)	-	20,953	20,953		3,782	17,171
Other Revenues	1,956,000	2,127,000	171,000	109%	1,963,028	163,972
Other Transfers	(2,434,188)	(2,426,188)	8,000	100%	(2,164,500)	(261,688)
Total Other Revenues	(478,188)	(299,188)	179,000	63%	(201,472)	(97,716)
Total Other Expense	(405,459)	(9,785)	(395,674)	2%	6,852	16,637
Net Other Revenue (Expense)	(72,729)	(289,403)	(216,674)		(208,324)	(81,079)
Parking and Transportation Revenues	4,155,181	3,540,279	(614,902)	85%	6,119,487	(2,579,208)
Parking and Trans Transfers	(1,471,964)	(1,471,964)	-	100%	(1,672,509)	200,545
Total Parking and Trans Revenues	2,683,217	2,068,315	(614,902)	77%	4,446,978	(2,378,663)
Total Parking and Trans Expenses	2,302,270	5,065,265	(2,762,995)	220%	5,690,731	625,466
Net Parking and Trans Revenue (Expense)	380,947	(2,996,950)	(3,377,897)		(1,243,753)	(1,753,197)
Popejoy Events Revenues	2,111,791	47,465	(2,064,326)	2%	4,006,854	(3,959,389)
Popejoy Events Transfers	422,460	-	(422,460)	0%	617,000	(617,000)
Total Popejoy Events Revenues	2,534,251	47,465	(2,486,786)	2%	4,623,854	(4,576,389)
Total Popejoy Events Expenses	1,990,375	1,362,829	627,546	68%	5,196,929	3,834,100
Net Popejoy Events Revenue (Expense)	543,876	(1,315,364)	(1,859,240)		(573,075)	(742,289)
Taos & Lawrence Ranch Revenues	55,000	55,000	-	100%	55,000	-
Taos & Lawrence Ranch Expenses	55,000	61,005	(6,005)	111%	60,908	(97)
Net Taos & Lawrence Ranch Revenue (Expense)	-	(6,005)	(6,005)		(5,908)	(97)
Ticketing Services Revenues	3,848	(1,808)	(5,656)	-47%	297,885	(299,693)
Ticketing Services Transfers	-	-	-	N/A	38,255	(38,255)
Total Ticketing Services Revenues	3,848	(1,808)	(5,656)	-47%	336,140	(337,948)
Total Ticketing Services Expenses	(12,160)	296,054	(308,214)	-2435%	525,701	229,647
Net Ticketing Services Revenue (Expense)	16,008	(297,862)	(313,870)		(189,561)	(108,301)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	4,816,333	(4,816,333)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,058,473	9,858,246	(200,227)	98%	-	9,858,246
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	7,550,000	9,887,876	2,337,876	131%	-	9,887,876
Total VP for Institutional Support Services Revenues	42,257,549	35,153,645	(7,103,904)	83%	32,393,483	2,760,162
Total VP for Institutional Support Services Expenses	22,169,730	25,005,907	(2,836,177)	113%	30,834,815	5,828,908
Net VP for Institutional Support Services Revenue (Expense)	20,087,819	10,147,738	(9,940,081)		1,558,668	8,589,070

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2021
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
VP for Student Affairs						
Student Health Center Revenues	7,364,865	6,205,322	(1,159,543)	84%	7,249,096	(1,043,774)
Student Health Center Expenses	7,364,865	7,019,719	345,146	95%	7,373,664	353,945
Net Student Health Center Revenue (Expense)	-	(814,397)	(814,397)		(124,568)	(689,829)
Student Union Revenues	2,065,033	1,706,864	(358,169)	83%	2,284,918	(578,054)
Student Union Expenses	2,270,032	1,804,983	465,049	80%	2,332,195	527,212
Net Student Union Revenue (Expense)	(204,999)	(98,119)	106,880		(47,277)	(50,842)
Total VP for Student Affairs Revenues	9,429,898	7,912,186	(1,517,712)	84%	9,534,014	(1,621,828)
Total VP for Student Affairs Expenses	9,634,897	8,824,702	810,195	92%	9,705,859	881,157
Net VP for Student Affairs Revenue (Expense)	(204,999)	(912,516)	(707,517)		(171,845)	(740,671)
Provost and Other Units						
Art Museum Revenues	300	-	(300)	0%	611	(611)
Art Museum Expenses	300	(162)	462	-54%	5,132	5,294
Net Art Museum Revenue (Expense)	-	162	162		(4,521)	4,683
CE Conference Ctr Revenues	140,000	12,068	(127,932)	9%	108,195	(96,127)
CE Conference Ctr Transfers	(54,379)	803	55,182	-1%	(26,629)	27,432
Total CE Conference Ctr Revenues	85,621	12,871	(72,750)	15%	81,566	(68,695)
Total CE Conference Ctr Expenses	73,782	43,614	30,168	59%	150,889	107,275
Net CE Conference Ctr Revenue (Expense)	11,839	(30,743)	(42,582)		(69,323)	38,580
Maxwell Museum Revenues	40,121	17,081	(23,040)	43%	26,350	(9,269)
Maxwell Museum Expenses	40,121	16,428	23,693	41%	23,295	6,867
Net Maxwell Museum Revenue (Expense)	-	653	653		3,055	(2,402)
Other Revenues	3,247	46,112	42,865	1420%	113,720	(67,608)
Other Expenses	9,709	75,783	(66,074)	781%	121,736	45,953
Net Other Revenue (Expense)	(6,462)	(29,671)	(23,209)		(8,016)	(21,655)
Total Provost and Other Units Revenues	129,289	76,064	(53,225)	59%	222,247	(146,183)
Total Provost and Other Units Expenses	123,912	135,663	(11,751)	109%	301,052	165,389
Net Provost and Other Units Revenue (Expense)	5,377	(59,599)	(64,976)		(78,805)	19,206
Auxiliary Totals						
Total Auxiliary Revenues	39,441,022	43,141,895	3,700,873	109%	42,149,744	992,151
Total Auxiliary Expenses	40,026,539	33,966,272	6,060,267	85%	40,841,726	6,875,454
Net Auxiliary Revenue (Expense)	(585,517)	9,175,623	9,761,140		1,308,018	7,867,605
Net Athletics Revenue (Expense)	1,983,273	3,031,971	1,048,698		(1,627,657)	4,659,628
Net Auxiliary and Athletics Revenue (Expense)	1,397,756	12,207,594	10,809,838		(319,639)	12,527,233
Net Branch Campuses Aux Revenue (Expense)	(310,000)	(281,111)	28,889		79,931	(361,042)
Net All Auxiliary and Athletics Revenue (Expense)	1,087,756	11,926,483	10,838,727		(239,708)	12,166,191

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Main Campus - Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Instruction and General						
Tuition and Fees Revenues***	136,417,787	142,366,780	5,948,993	104%	136,432,459	5,934,321
State/Local Appropriations	187,238,162	187,238,162	-	100%	196,138,500	(8,900,338)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	2,049,110	(2,049,110)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	2,095,116	1,175,049	(920,067)	56%	-	1,175,049
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	740,000	5,082,395	4,342,395	687%	-	5,082,395
F & A Revenues	20,745,000	19,965,643	(779,357)	96%	20,895,023	(929,380)
Transfers	(55,818,545)	(53,939,720)	1,878,825	97%	(56,118,701)	2,178,981
Other Revenues	17,270,794	17,721,339	450,545	103%	16,609,283	1,112,056
Total Instruction and General Revenues	308,688,314	319,609,648	10,921,334	104%	316,005,674	3,603,974
Salaries	193,149,434	186,000,975	7,148,459	96%	192,886,810	6,885,835
Benefits	68,724,204	66,616,182	2,108,022	97%	67,482,762	866,580
Other Expenses	49,760,771	48,221,090	1,539,681	97%	53,455,252	5,234,162
Total Instruction and General Expenses	311,634,409	300,838,247	10,796,162	97%	313,824,824	12,986,577
Net Instruction and General Revenue (Expense)	(2,946,095)	18,771,401	21,717,496		2,180,850	16,590,551
Research						
State/Local Appropriations	1,805,950	1,805,950	-	100%	2,070,950	(265,000)
Transfers	15,327,212	15,404,657	77,445	101%	16,269,695	(865,038)
Other Revenues	565,229	4,265,860	3,700,631	755%	4,987,592	(721,732)
Total Research Revenues	17,698,391	21,476,467	3,778,076	121%	23,328,237	(1,851,770)
Salaries and Benefits	10,874,052	10,734,424	139,628	99%	10,416,075	(318,349)
Other Expenses	9,320,724	6,477,079	2,843,645	69%	7,466,715	989,636
Total Research Expenses	20,194,776	17,211,503	2,983,273	85%	17,882,790	671,287
Net Research Revenue (Expense)	(2,496,385)	4,264,964	6,761,349		5,445,447	(1,180,483)
Public Service						
State/Local Appropriations	5,253,250	5,253,250	-	100%	4,139,850	1,113,400
Sales and Services Revenues	4,186,264	6,947,981	2,761,717	166%	7,321,125	(373,144)
Gifts	10,268,544	12,611,744	2,343,200	123%	10,989,115	1,622,629
Transfers	(2,670,300)	(2,671,577)	(1,277)	100%	(1,681,984)	(989,593)
Other Revenues	5,740,247	3,950,530	(1,789,717)	69%	3,640,157	310,373
Total Public Service Revenues	22,778,005	26,091,928	3,313,923	115%	24,408,263	1,683,665
Salaries and Benefits	12,701,557	11,802,815	898,742	93%	11,357,826	(444,989)
Other Expenses	10,514,135	8,798,664	1,715,471	84%	10,476,698	1,678,034
Total Public Service Expenses	23,215,692	20,601,479	2,614,213	89%	21,834,524	1,233,045
Net Public Service Revenue (Expense)	(437,687)	5,490,449	5,928,136		2,573,739	2,916,710

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Main Campus - Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Aid						
Private Grants/Gifts	6,334,587	7,663,360	1,328,773	121%	6,634,557	1,028,803
State Lottery Scholarships***	23,450,000	21,366,828	(2,083,172)	91%	23,254,053	(1,887,225)
Transfers	18,236,851	19,248,933	1,012,082	106%	18,370,697	878,236
Other Revenues	615,034	672,068	57,034	109%	930,491	(258,423)
Total Student Aid Revenues	48,636,472	48,951,189	314,717	101%	49,189,798	(238,609)
Salaries and Benefits	2,084,628	2,467,505	(382,877)	118%	2,045,358	(422,147)
Other Expenses	50,365,469	44,152,813	6,212,656	88%	40,169,970	(3,982,843)
Total Student Aid Expenses	52,450,097	46,620,318	5,829,779	89%	42,215,328	(4,404,990)
Net Student Aid Revenue (Expense)	(3,813,625)	2,330,871	6,144,496		6,974,470	(4,643,599)
Student Social & Cultural Programs						
Fee Revenues***	6,751,794	6,487,544	(264,250)	96%	7,170,040	(682,496)
Sales and Services Revenues	870,360	257,092	(613,268)	30%	683,955	(426,863)
Transfers	479,167	577,701	98,534	121%	710,372	(132,671)
Other Revenues	48,922	51,151	2,229	105%	70,795	(19,644)
Total Student Social & Cultural Programs Revenues	8,150,243	7,373,488	(776,755)	90%	8,635,162	(1,261,674)
Salaries and Benefits	5,660,553	4,178,238	1,482,315	74%	5,124,806	946,568
Other Expenses	2,500,152	2,417,872	82,280	97%	3,515,758	1,097,886
Total Student Social & Cultural Programs Expenses	8,160,705	6,596,110	1,564,595	81%	8,640,564	2,044,454
Net Student Social & Cultural Programs Revenue (Expense)	(10,462)	777,378	787,840		(5,402)	782,780
Auxiliaries						
Auxiliaries Revenues	39,441,022	43,141,895	3,700,873	109%	42,149,744	992,151
Athletics Revenues	33,824,656	28,601,703	(5,222,953)	85%	28,471,436	130,267
Total Auxiliaries Revenues	73,265,678	71,743,598	(1,522,080)	98%	70,621,180	1,122,418
Auxiliaries Expenses	40,026,539	33,966,272	6,060,267	85%	40,841,726	6,875,454
Athletics Expenses	31,841,383	25,569,732	6,271,651	80%	30,099,093	4,529,361
Total Auxiliaries Expenses	71,867,922	59,536,004	12,331,918	83%	70,940,819	11,404,815
Net Auxiliaries and Athletics Revenue (Expense)	1,397,756	12,207,594	10,809,838		(319,639)	12,527,233

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Main Campus - Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	141,794,888	120,227,167	21,567,721	85%	129,301,678	(9,074,511)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	(8,593,463)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	(33,774)	100%	-	9,667,030
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	20,000,000	0%	-	-
State and Local Grants and Contracts Revenues	17,087,338	16,853,280	234,058	99%	17,438,353	(585,073)
Non-Governmental Grants and Contracts Revenues	12,600,000	9,367,647	3,232,353	74%	9,742,232	(374,585)
Gifts	-	-	-	N/A	-	-
Transfers	2,672,000	1,008,131	1,663,869	38%	1,263,200	(255,069)
Other Revenues	-	(694,358)	694,358	N/A	(438,813)	(255,545)
Total Sponsored Programs Revenues	203,787,482	156,428,897	47,358,585	77%	165,900,113	(9,471,216)
Salaries and Benefits	67,960,226	55,604,229	12,355,997	82%	48,519,601	(7,084,628)
COVID-19 HEERF I Award (Higher Education Emergency Relief Funds)	-	-	-	N/A	8,593,463	8,593,463
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	9,633,256	9,667,030	(33,774)	100%	-	(9,667,030)
COVID-19 HEERF III Award (Higher Education Emergency Relief Funds)	20,000,000	-	20,000,000	0%	-	-
Other Expenses	106,194,000	91,157,638	15,036,362	86%	108,787,049	17,629,411
Total Sponsored Programs Expenses	203,787,482	156,428,897	47,358,585	77%	165,900,113	9,471,216
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
Net Current Revenue (Expense)	(8,306,498)	43,842,657	52,149,155		16,849,465	26,993,192

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Branch Campuses - Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Instruction and General						
Tuition and Fees Revenues***	6,132,947	5,781,324	(351,623)	94%	6,680,969	(899,645)
State/Local Appropriations	29,390,905	29,390,905	-	100%	30,273,461	(882,556)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	930,224	930,224	-	100%	-	930,224
Transfers	(4,421,354)	(4,411,354)	10,000	100%	(2,422,193)	(1,989,161)
Other Revenues	1,006,653	1,117,321	110,668	111%	1,193,141	(75,820)
Total Instruction and General Revenues	33,039,375	32,808,420	(230,955)	99%	36,655,575	(3,847,155)
Salaries	21,568,370	20,030,660	1,537,710	93%	20,921,060	890,400
Benefits	7,341,846	6,767,533	574,313	92%	6,895,247	127,714
Other Expenses	8,743,808	5,564,644	3,179,164	64%	6,561,002	996,358
Total Instruction and General Expenses	37,654,024	32,362,837	5,291,187	86%	34,377,309	2,014,472
Net Instruction and General Revenue (Expense)	(4,614,649)	445,583	5,060,232		2,278,266	(1,832,683)
Public Service						
State/Local Appropriations	-	-	-	N/A	-	-
Sales and Services Revenues	241,300	250,526	9,226	104%	309,855	(59,329)
Gifts	196,358	353,951	157,593	180%	394,430	(40,479)
Transfers	(50,374)	(48,373)	2,001	96%	4,000	(52,373)
Other Revenues	162,477	122,414	(40,063)	75%	245,570	(123,156)
Total Public Service Revenues	549,761	678,518	128,757	123%	953,855	(275,337)
Salaries and Benefits	389,821	422,498	(32,677)	108%	500,646	78,148
Other Expenses	380,346	210,976	169,370	55%	331,146	120,170
Total Public Service Expenses	770,167	633,474	136,693	82%	831,792	198,318
Net Public Service Revenue (Expense)	(220,406)	45,044	265,450		122,063	(77,019)
Student Aid						
Private Grants/Gifts	85,143	121,841	36,698	143%	118,822	3,019
Transfers	403,686	437,039	33,353	108%	400,841	36,198
Other Revenues	17,000	12,725	(4,275)	75%	14,579	(1,854)
Total Student Aid Revenues	505,829	571,605	65,776	113%	534,242	37,363
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	522,201	305,067	217,134	58%	460,087	155,020
Total Student Aid Expenses	522,201	305,067	217,134	58%	460,087	155,020
Net Student Aid Revenue (Expense)	(16,372)	266,538	282,910		74,155	192,383

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Branch Campuses - Total Operations Current Funds

	FY 2021 Full Year Revised Budget	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Social & Cultural Programs						
Fee Revenues***	208,370	175,630	(32,740)	84%	197,790	(22,160)
Sales and Services Revenues	2,840	2,555	(285)	90%	8,836	(6,281)
Transfers	(40,000)	(40,000)	-	100%	(40,500)	500
Other Revenues	3,860	-	(3,860)	0%	-	-
Total Student Social & Cultural Programs Revenues	175,070	138,185	(36,885)	79%	166,126	(27,941)
Salaries and Benefits	7,839	2,655	5,184	34%	2,983	328
Other Expenses	169,699	61,434	108,265	36%	164,977	103,543
Total Student Social & Cultural Programs Expenses	177,538	64,089	113,449	36%	167,960	103,871
Net Student Social & Cultural Programs Revenue (Expense)	(2,468)	74,096	76,564		(1,834)	75,930
Auxiliaries						
Bookstore Revenues	1,411,406	707,547	(703,859)	50%	1,269,544	(561,997)
Housing and Food Service Revenues	120,009	19,614	(100,395)	16%	94,036	(74,422)
Transfers	(107,500)	(107,500)	-	100%	(40,000)	(67,500)
Other Auxiliaries Revenues	123,301	5,896	(117,405)	5%	9,629	(3,733)
Total Auxiliaries Revenues	1,547,216	625,557	(921,659)	40%	1,333,209	(707,652)
Bookstore Expenses	1,391,406	864,006	527,400	62%	1,124,540	260,534
Housing and Food Service Expenses	362,509	41,256	321,253	11%	106,338	65,082
Other Auxiliaries Expenses	103,301	1,406	101,895	1%	22,400	20,994
Total Auxiliaries Expenses	1,857,216	906,668	950,548	49%	1,253,278	346,610
Net Auxiliaries Revenue (Expense)	(310,000)	(281,111)	28,889		79,931	(361,042)
Sponsored Programs						
Federal Grants and Contracts Revenues	8,519,107	6,475,267	(2,043,840)	76%	6,220,107	255,160
State and Local Grants and Contracts Revenues	2,132,892	1,281,923	(850,969)	60%	1,766,689	(484,766)
Non-Governmental Grants and Contracts Revenues	200,000	454,998	254,998	227%	427,093	27,905
Gifts	243,199	-	(243,199)	0%	-	-
Transfers	-	-	-	N/A	-	-
Other Revenues	-	-	-	N/A	-	-
Total Sponsored Programs Revenues	11,095,198	8,212,188	(2,883,010)	74%	8,413,889	(201,701)
Salaries and Benefits	6,743,303	5,385,327	1,357,976	80%	5,304,075	(81,252)
Other Expenses	4,351,895	2,826,861	1,525,034	65%	3,109,814	282,953
Total Sponsored Programs Expenses	11,095,198	8,212,188	2,883,010	74%	8,413,889	201,701
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
Net Current Revenue (Expense)	(5,163,895)	550,150	5,714,045		2,552,581	(2,002,431)

Statements of Revenues, Expenses and Changes in Net Assets
For the twelve month period ended June 30, 2021
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 100%
Instruction and General				
Instruction & General Appropriations	206,355,900	206,355,900	-	100%
State Special Project Appropriations	1,064,700	1,064,700	-	100%
Mill Levy	9,208,467	9,208,467	-	100%
Total Instruction and General Appropriations	216,629,067	216,629,067	-	100%
Research				
State Special Project Appropriations	1,805,950	1,805,950	-	100%
Total Research Appropriations	1,805,950	1,805,950	-	100%
Public Service				
State Special Project Appropriations	5,253,250	5,253,250	-	100%
Total Public Service Appropriations	5,253,250	5,253,250	-	100%
Clinical Operations				
Instruction & General Appropriations	62,261,040	62,261,040	-	100%
Research Appropriations	1,705,315	1,567,097	(138,218)	92%
Public Service Appropriations	245,600	245,600	-	100%
Student Aid Appropriations	188,000	188,000	-	100%
State Special Project Appropriations	36,399,002	36,373,983	(25,019)	100%
Tobacco Settlement Appropriations	840,200	840,199	(1)	100%
Cigarette Tax Revenues	3,124,016	3,078,609	(45,407)	99%
Total Clinical Operations Appropriations	104,763,173	104,554,528	(208,645)	100%
Total - All Appropriations	328,451,440	328,242,795	(208,645)	100%

TAB 11

#11

Information on FY 21 Main and Branch Campuses, Categorization of Reserves Report, Pursuant to UAP 7000



UAP 7000 CATEGORIZATION OF RESERVES

MAIN AND BRANCH CAMPUSES

TUESDAY, OCT 12, 2021

PREPARED BY: OFFICE OF PLANNING, BUDGET, AND ANALYSIS (OPBA)

Reason for this Presentation

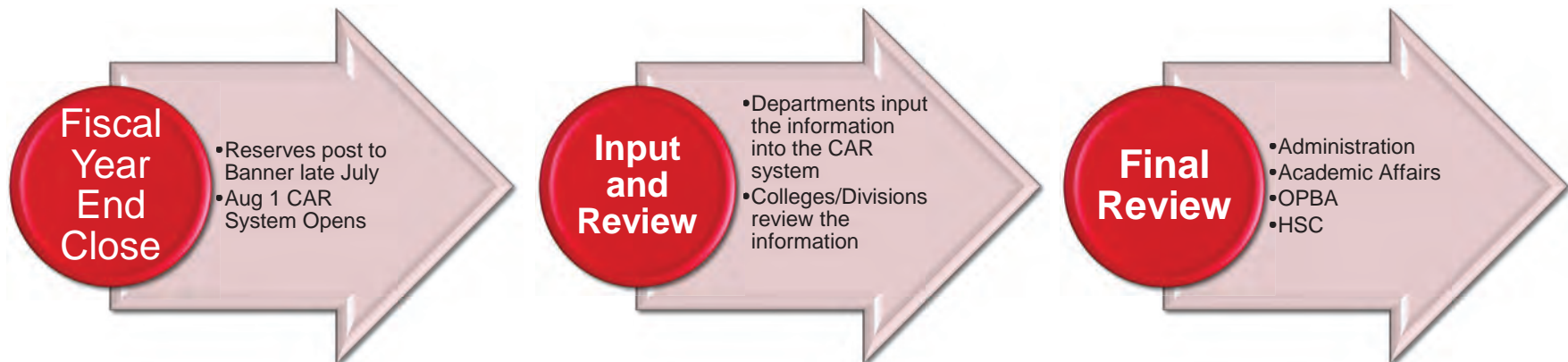
Regents' Policy 7.20 and University Administrative Policy (UAP) 7000 require the UNM budget offices to present a report to the Regents regarding University reserves each year.

The Importance of University Reserves

- University Reserves provide liquidity which is a foundational element of the University Bond Rating.
- The University uses reserves to mitigate unplanned budget reductions, adapt to changes and challenges such as enrollment reductions and to invest in mission critical initiatives.
- Reserves are non-recurring resources used as a bridge to avoid actions that damage our mission, such as un-planned layoffs, cuts in student or community services, or lapses in infrastructure maintenance.
- Cash reserves are necessary because research is cost reimbursable and universities do not have access to unsecured commercial lines of credit to bridge timing gaps between collecting revenues and operating expenditures.

How the University Plans for Multiyear Expenditures

Categorization of Reserves (CAR) Process

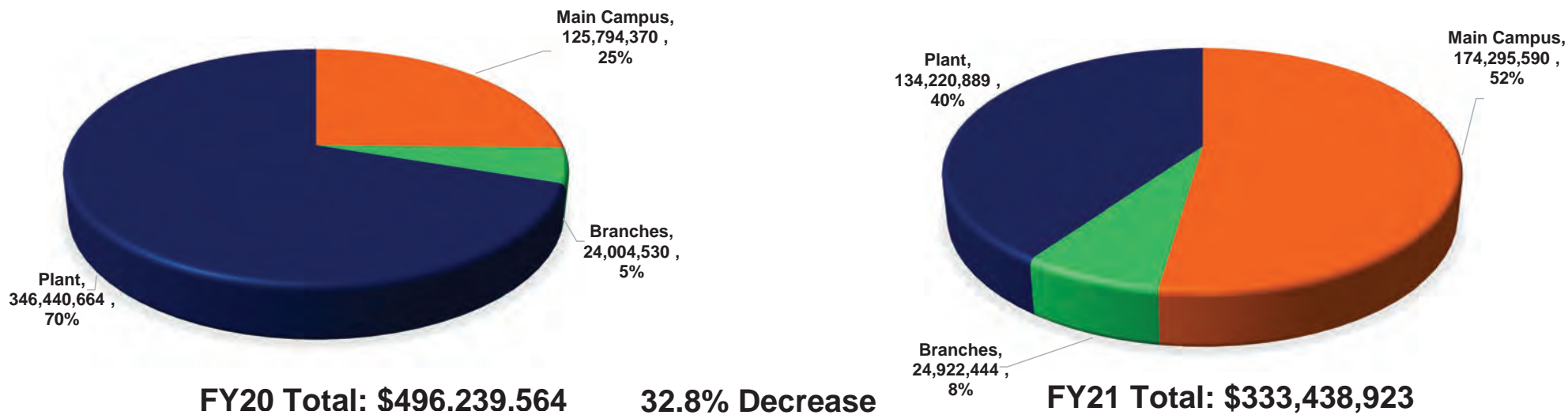


Reserve Categories

- **Committed:** this includes funds where a formal, legally binding obligation exists
 - Purchase orders
 - Signed offer letters
 - Documented cost share requirements
 - All endowed and non-endowed funds
- **Dedicated:** this includes funds with a clear, focused purpose and documented description that identifies the entity or individual initiating the dedication.
 - Reserves dedicated by deans for specific purpose
 - Purchases of equipment
 - Bridge funding
- **Discretionary:** this includes remaining reserves after accounting for all committed and dedicated funds.

FY21 Main and Branch Campuses Operations and Plant Fund Reserves

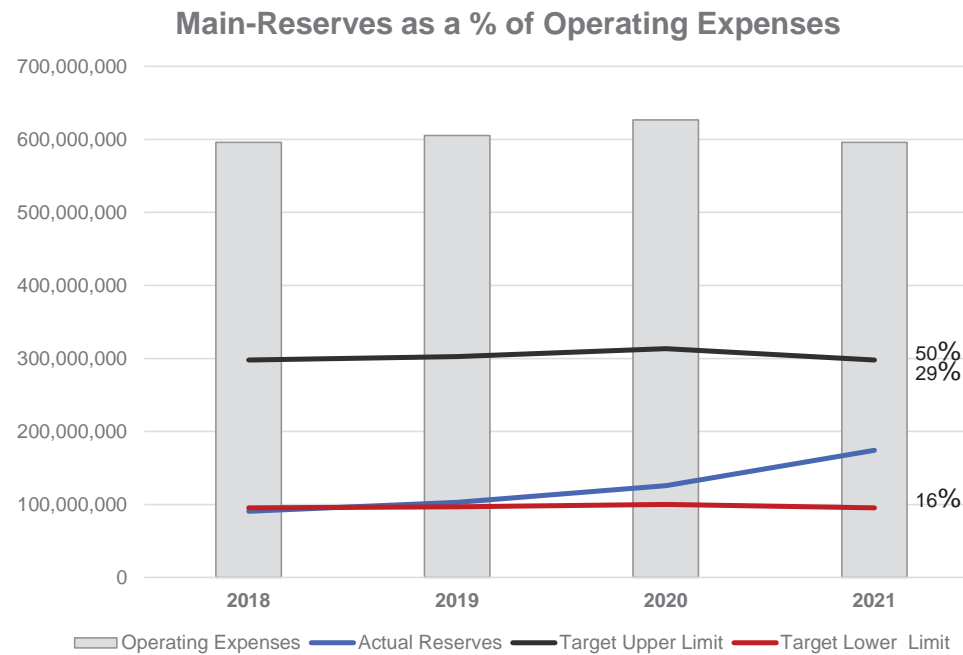
Overall, the \$162.8M decrease is due to the draw down of funds for the Hospital Tower of ~\$195M. Swings in Main Campus is primarily due to the infusion of one-time COVID Relief (HEERF2 and HEERF3) funding and reduction in spending due to limited operations.



Operating Reserves as a % of Expenditures

- NACUBO Recommended Reserve Target: Between 16% and 50% of Total Operating Expenditures.
- FY 21 Percentages:
 - Main Campus ~ 29%
 - Branches ~ 61%

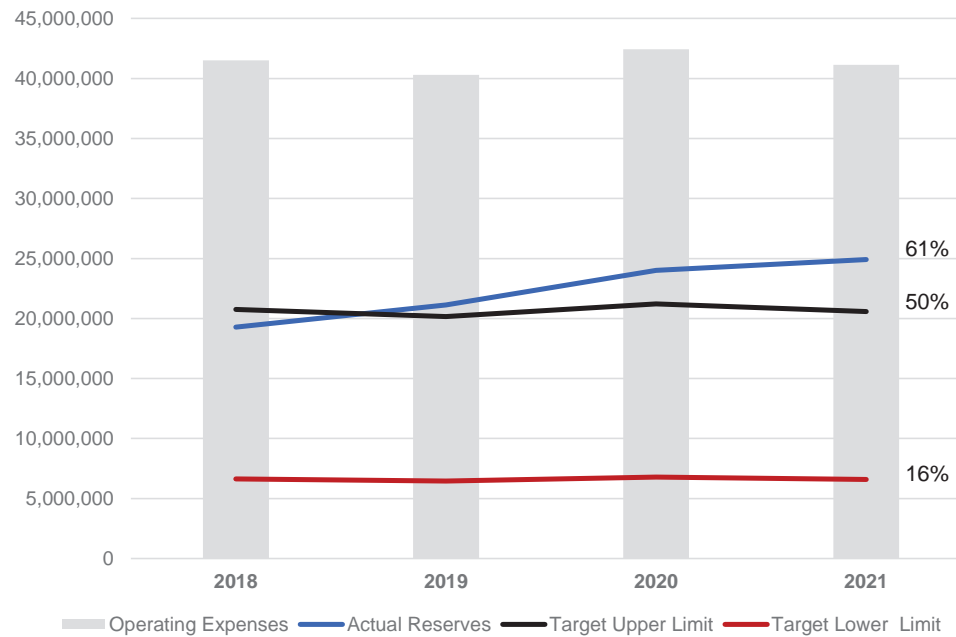
FY21 Main Campus Operations



Increase in reserves primarily due to the infusion of one-time federal COVID relief funding, pullback of department budgets due to an anticipated enrollment drop and a reduction in spending due to limited operations.

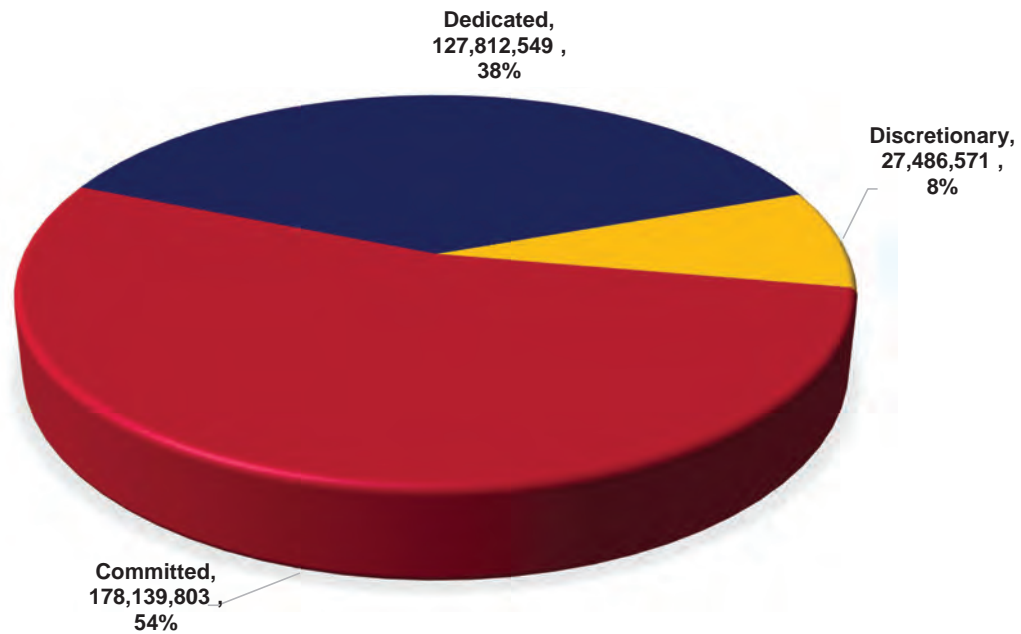
FY21 Branch Campus Operations

Branches-Reserves as a % of Operating Expenses



Increase in reserves due to the infusion of federal COVID relief funding and a reduction in spending due to limited operations.

FY 21 Main and Branch Campuses Operations and Plant Fund Reserves (Committed, Dedicated & Discretionary)



FY21 Total: \$333,438,923

* Note that committed includes contractual obligations as well as reserves that have a restricted use

FY21 Ending Reserves

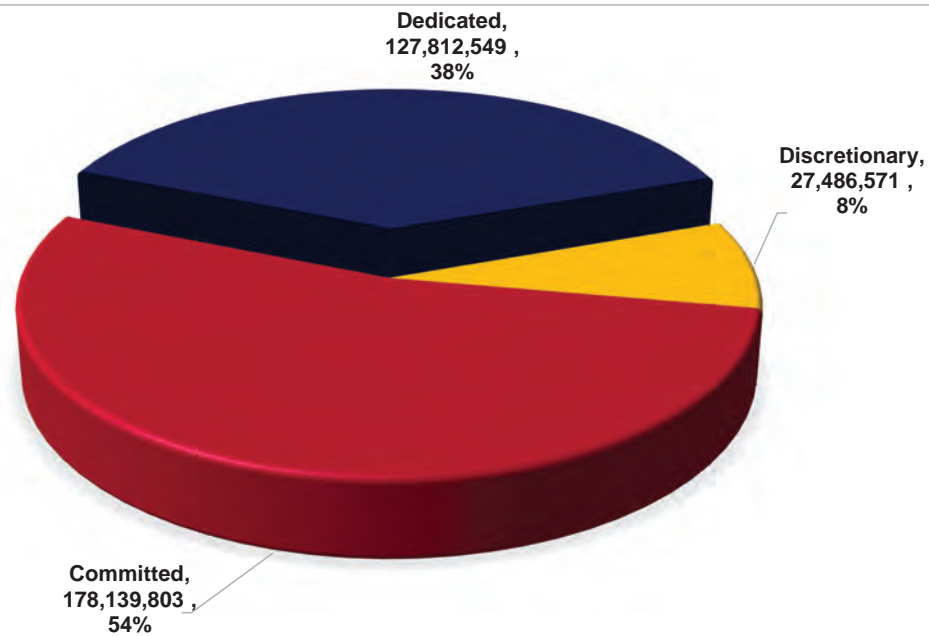
Summary of All Committed Reserves by Category Type

Committed Category	Main/Plant	Branches	Total
Capital Initiatives ¹	79,932,884	-	79,932,884
Campus Plant Funds/Capital Equipment	129,565	266,667	396,231
Donor Designated and Scholarships	45,385,935	751,338	46,137,272
Faculty Startup	602,280	-	602,280
HED 3% Reserve	9,827,961	-	9,827,961
Other ²	20,744,878	1,246,512	21,991,390
State Appropriations	1,271,804	977,282	2,249,086
Miscellaneous Fringe Benefit Reserves	8,426,114	-	8,426,114
Purchase Orders & Service Contracts	730,958	-	730,958
Cost Share & Research Awards	231,047	-	231,047
Faculty Contracts/ Chair & Dean Packages	128,858	-	128,858
Course Fees	2,883,981	-	2,883,981
Purchase Orders from Previous Year	3,709,826	891,913	4,601,739
Total	\$174,006,091	\$ 4,133,711	\$178,139,803

1. Plant Funds for all campuses (Main, HSC, Branches) are categorized together. Plant Funds is comprised of Major and Minor Capital projects and Appropriations which are dedicated to projects; capital start up costs; IT projects; Facility Investment Needs/Renewal and Replacement and Debt Service Reserves; HSC Cancer Center Projects; Neurosurgery expansion, College of Nursing Health Solutions building, SOM equipment purchases and capital initiatives cost share and remodels; Local Bonds issues by Branch Campuses, Valencia Workforce Training Center and infrastructure projects capital project appropriations for projects.

2. Other includes commitments such as: mandatory student fees, debt service, HEERF and one time University initiatives.

FY 21 Main and Branch Campuses and Plant Fund Dedicated Reserves



* Note that committed includes contractual obligations as well as reserves that have a restricted use

FY21 Total: \$333,438,923

FY21 Ending Reserves

Summary of All Dedicated Reserves by Category Type

Dedicated Category	Main/Plant	Branches	Total
Campus Plant Funds/Capital Equipment ¹	56,317,400	3,784,770	60,102,170
Startup for Researchers, Chair Initiatives & General Research	32,159,432	-	32,159,432
Research Bridge Funding	1,897,639	-	1,897,639
Other ²	37,230,624	3,887,180	41,117,804
Faculty Initiatives	45,708	-	45,708
Professional Service Contracts	1,853,369	-	1,853,369
Deficit Reduction Plan ³	(9,361,599)	(1,974)	(9,363,573)
Total	\$120,142,572	\$ 7,669,977	\$ 127,812,549

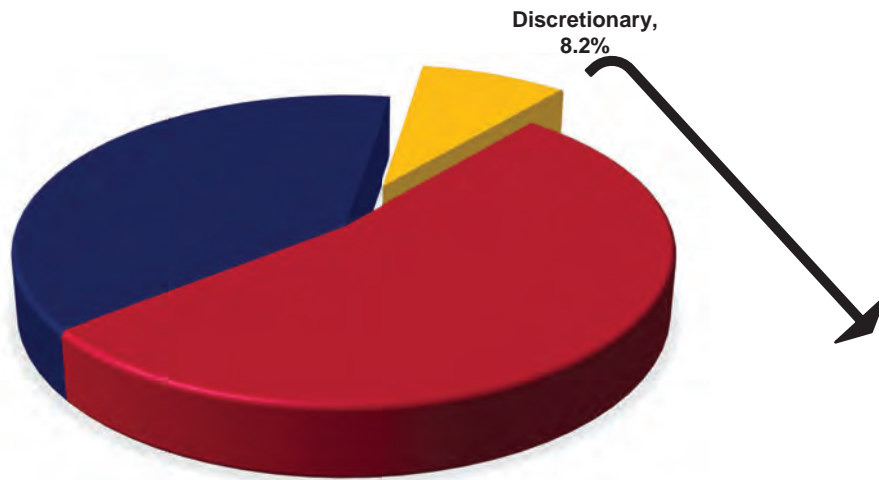
1. Campus Plant Funds is comprised of infrastructure projects, renewal and replacement projects and equipment ADA projects, replacement for Auxiliary units like Housing, Parking, Food Service; HSC primarily dedicated to SOM Maintenance and Equipment, Cancer Center Capital initiatives, CON Equipment and supplies; Branches primarily for projects.

2. Other includes dedications such as: Part-time instruction, Undesignated Scholarships, Rescission and Department Initiatives.

3. Deficit Reduction Plan is comprised of departmental deficits that will be covered from future year operating budgets.



FY 21 Main, Branch Campus and Plant Fund Discretionary Reserves



Campus	Total
Main Campus Operations	12,060,852
Branch Operations	13,118,756
Plant Funds	2,306,963
Total Discretionary	27,486,571

Thank you!

Questions?

APPENDIX

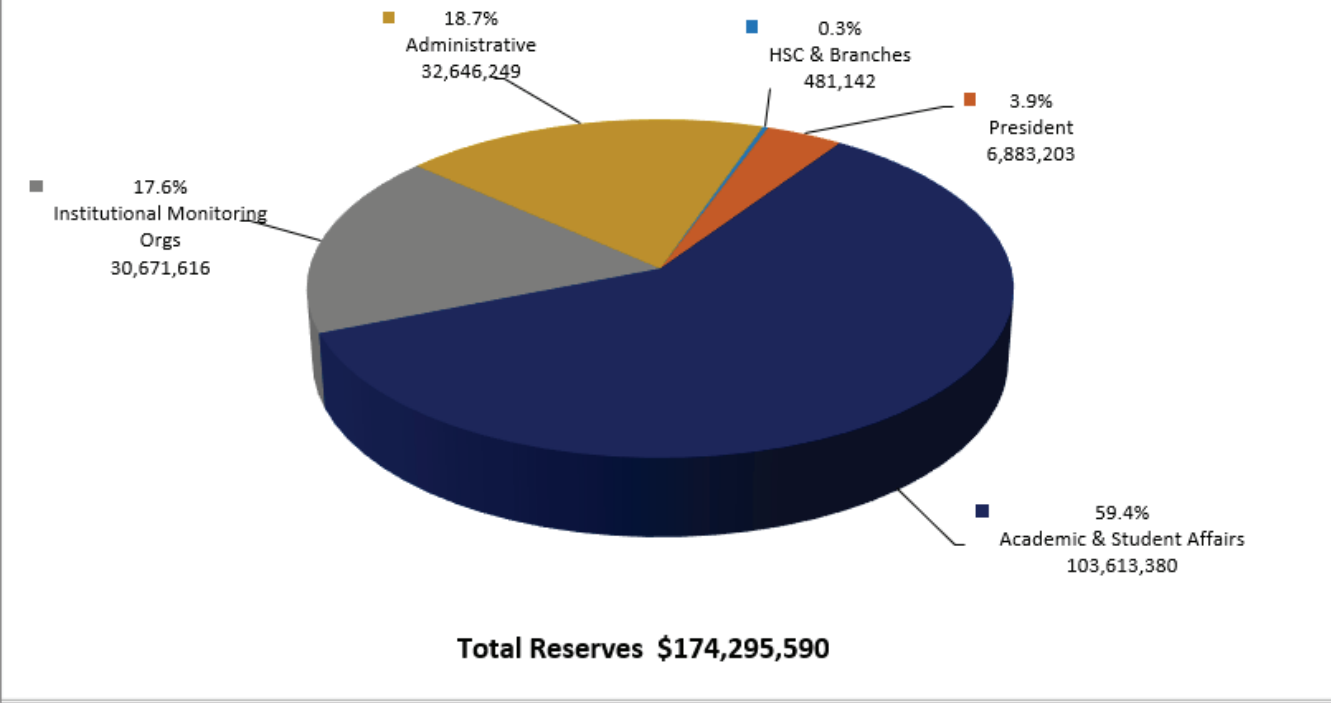
Summary of All Fiscal Year 2021 Ending Reserves for Main and Branches

	Reserves	Committed	Dedicated	Discretionary
Main Current Unrestricted	159,511,688	79,289,305	68,161,531	12,060,852
Plant Fund	134,220,889	79,932,884	51,981,041	2,306,963
Subtotal for Main Current Unrestricted and Plant Fund	293,732,577	159,222,189	120,142,572	14,367,815
Main HEERF	14,783,902	14,783,902	-	-
Total for Main Current Unrestricted, Plant Fund and HEERF	308,516,479	174,006,091	120,142,572	14,367,815
Branches Current Unrestricted	24,272,486	3,483,753	7,669,977	13,118,756
Branches HEERF	649,958	649,958	-	-
Total Branches Current Unrestricted and HEERF	24,922,444	4,133,711	7,669,977	13,118,756
Grand Total	\$333,438,923	\$178,139,803	\$127,812,549	\$ 27,486,571

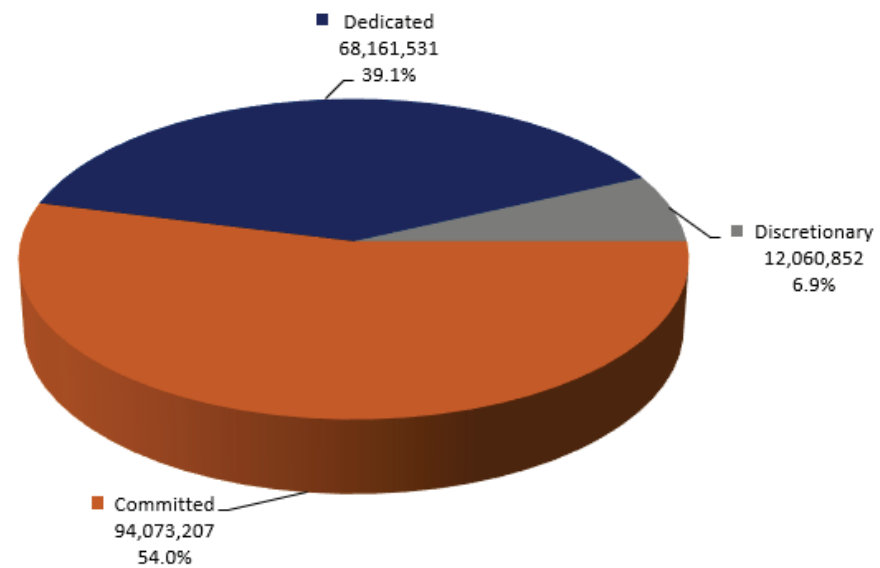
Summary of All Fiscal Year 2020 Ending Reserves for Main and Branches

	Reserves	Committed	Dedicated	Discretionary
Main Current Unrestricted	118,928,927	67,593,715	41,653,891	9,681,322
Plant Fund	346,440,664	290,843,460	54,188,276	1,408,927
Subtotal for Main Current Unrestricted and Plant Fund	465,369,591	358,437,175	95,842,167	11,090,249
Main CARES	6,865,443	6,865,443	-	-
Total for Main Current Unrestricted, Plant Fund and CARES	472,235,034	365,302,618	95,842,167	11,090,249
Branches Current Unrestricted	23,074,333	4,203,225	7,010,736	11,860,372
Branches CARES	930,197	930,197	-	-
Total Branches Current Unrestricted and CARES	24,004,530	5,133,422	7,010,736	11,860,372
Grand Total	\$496,239,564	\$370,436,039	\$102,852,903	\$ 22,950,620

**UNM Main Campus
Fiscal Year 2021 Current Unrestricted Ending Reserves by VP**

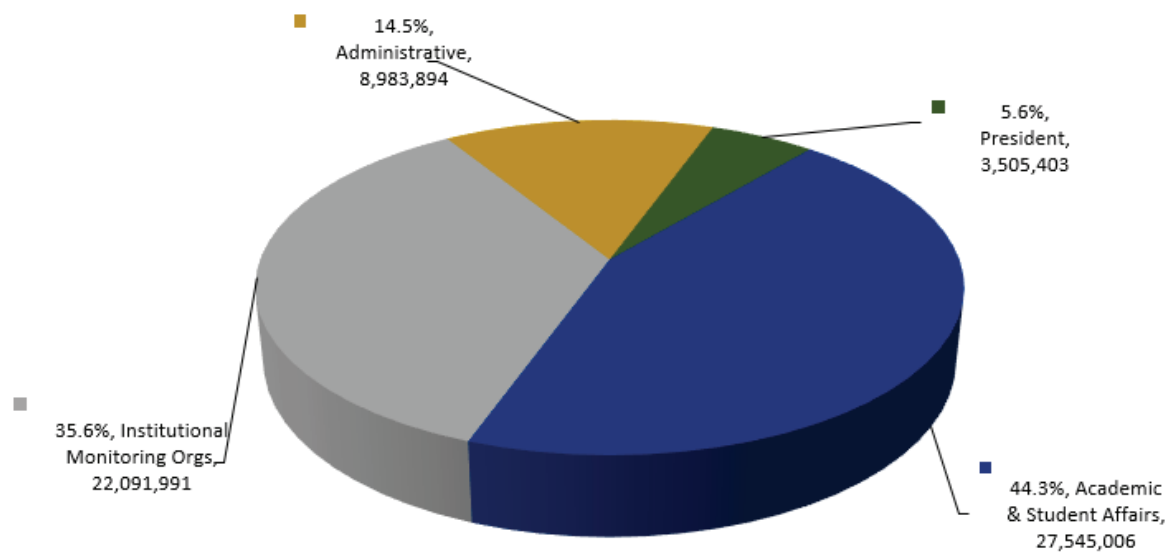


**UNM Main Campus
Fiscal Year 2021 Current Unrestricted Ending Reserves
(Committed, Dedicated, Discretionary)**



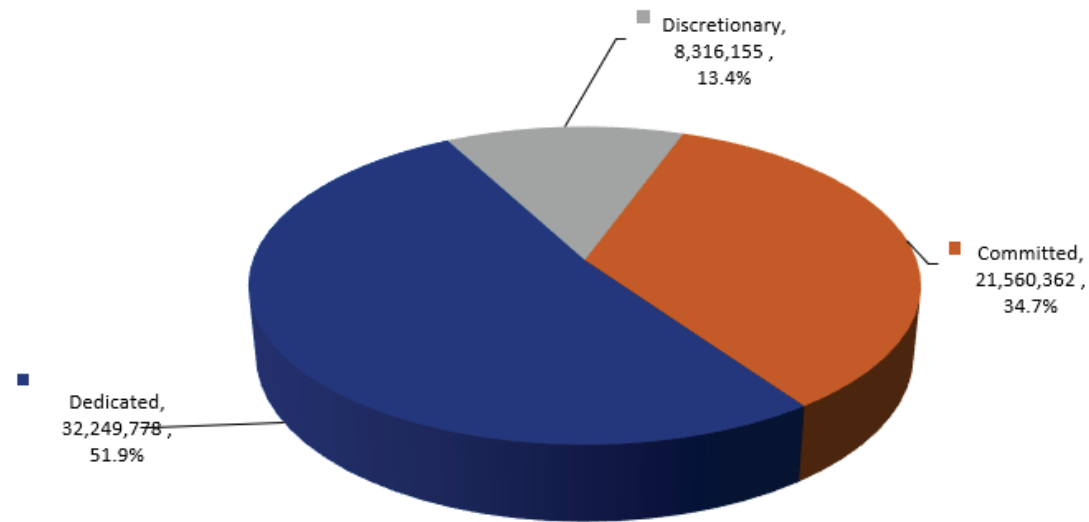
Total Reserves \$174,295,590

UNM Main Campus Fiscal Year 2021 Instruction & General Ending Reserves by VP



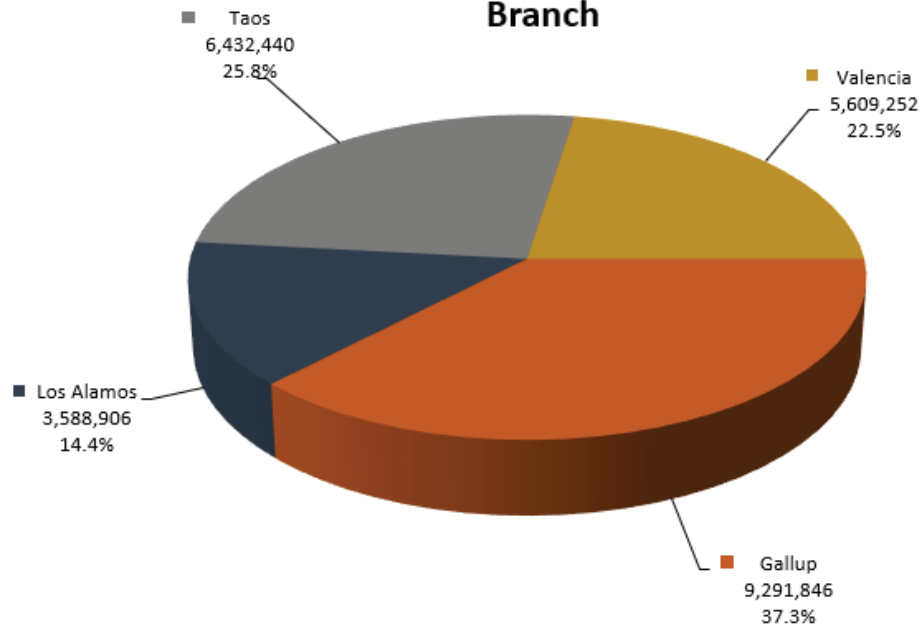
Total Reserves \$62,126,294

**UNM Main Campus
Fiscal Year 2021 Instruction & General Ending Reserves
(Committed, Dedicated, Discretionary)**



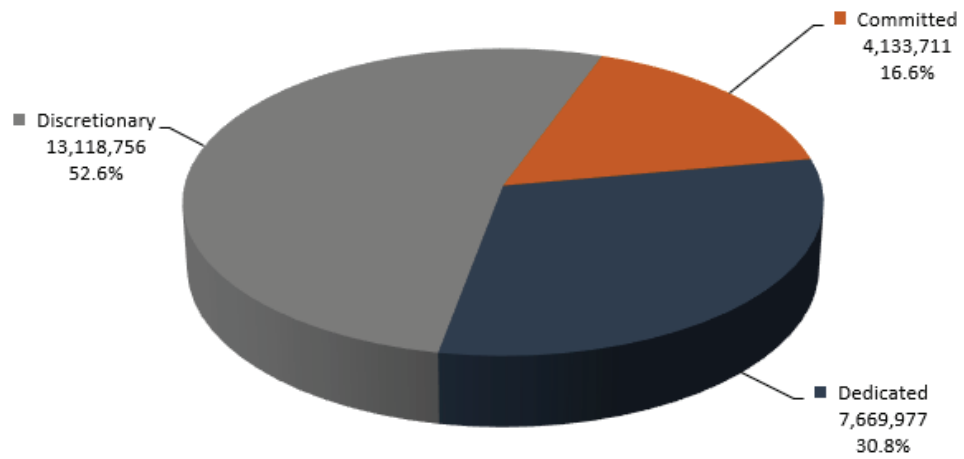
Total Reserves \$62,126,294

**UNM Branches
Fiscal Year 2021 Current Unrestricted Ending Reserves by
Branch**



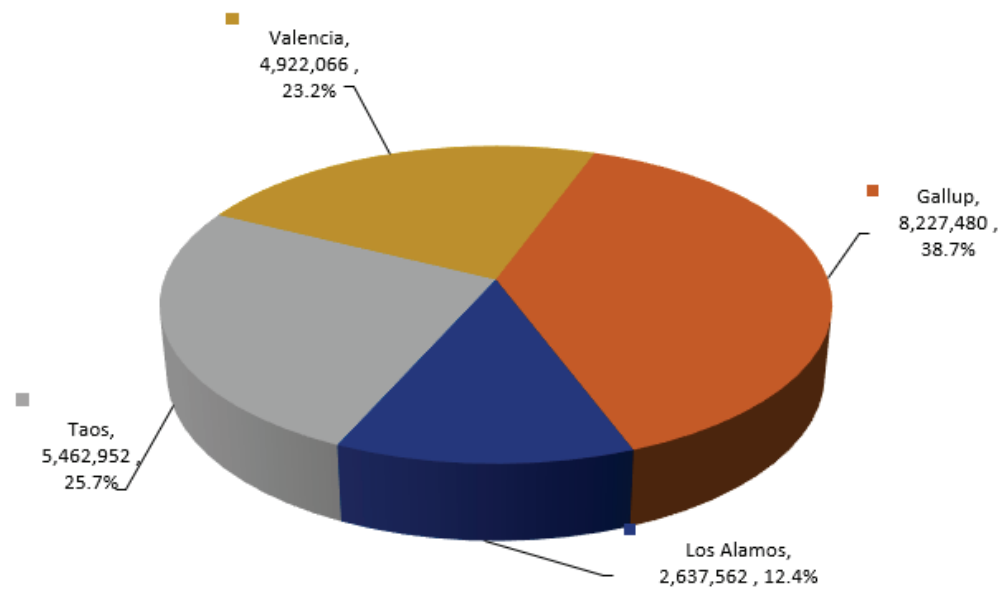
Total Reserves \$24,922,444

**UNM Branches
Fiscal Year 2021 Current Unrestricted Ending Reserves
(Committed, Dedicated, Discretionary)**



Total Reserves \$24,922,444

UNM Branches Fiscal Year 2021 Instruction & General Ending Reserves by Branch



Total Reserves \$21,250,059



Fiscal Year Ending - 2021

Attachment I-Summary of Current Unrestricted Reserves

	Reserve June 30 2020	Reserve June 30 2021	Change FY 2020-2021	% Change
Main Campus				
Instruction and General	43,383,441.65	62,126,294.39	18,742,852.74	43.20%
Student Social And Cultural	1,508,277.25	3,320,998.87	1,812,721.62	120.18%
Research	28,198,942.21	32,475,091.19	4,276,148.98	15.16%
Public Service	13,119,927.50	18,953,224.87	5,833,297.37	44.46%
Internal Services	10,437,950.09	16,014,914.80	5,576,964.71	53.43%
Student Financial Aid	24,233,894.50	26,564,788.98	2,330,894.48	9.62%
Auxiliary Enterprises	(325,848.80)	13,435,963.98	13,761,812.78	-4,223.37%
Athletics	(1,627,657.12)	1,404,313.22	3,031,970.34	-186.28%
Total Main Campus	118,928,927.28	174,295,590.30	55,366,663.02	46.55%
Branches				
Instruction and General	19,606,798.99	21,250,058.83	1,643,259.84	8.38%
Public Service	1,223,937.70	1,268,980.25	45,042.55	3.68%
Internal Services	34,475.60	38,061.31	3,585.71	10.40%
Student Financial Aid	670,040.22	936,577.64	266,537.42	39.78%
Auxiliary Enterprises	1,212,092.58	1,027,682.57	(184,410.01)	-15.21%
Student Social and Cultural	326,987.43	401,083.59	74,096.16	22.66%
Total Branches	23,074,332.52	24,922,444.19	1,848,111.67	8.01%



Fiscal Year Ending - 2021

Attachment II-Summary of Current Unrestricted Reserves by College/School/Division

College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Financial Aid	Auxiliary Enterprises	Athletics	FY 2021 Total Current Unrestricted	FY 2020 Total Current Unrestricted	Net Change
Presidents Office	1,847,972.63	0	0	16,512.14	0	39,914.60	0	0	1,904,399.37	1,007,387.35	897,012.02
Office of Equal Opportunity	267,945.78	0	0	0	0	0	0	0	267,945.78	52,808.99	215,136.79
Govt & Community Relations Office	5,404.32	0	0	281,149.67	0	0	0	0	286,553.99	292,522.63	(5,968.64)
University Counsel Office	314,790.72	0	12,761.83	0	0	0	0	0	327,552.55	195,808.96	131,743.59
LGBTQ	54,576.15	14,799.34	0	12,892.66	0	0	0	0	82,268.15	98,690.21	(16,422.06)
Univ Communication & Marketing	188,323.97	0	0	9,027.24	33,857.67	0	0	0	231,208.88	176,168.51	55,040.37
ENLACE	0	0	0	0	0	0	0	0	0	3,333.78	(3,333.78)
Compliance Office - Main Campus	18.60	0	0	0	0	0	0	0	18.60	101,407.60	(101,389.00)
VP Inst Advancement Alumni Relation	5,150.72	0	0	152,074.75	0	126,976.91	0	0	284,202.38	287,396.50	(3,194.12)
VP for Equity and Inclusion	373,998.91	18.97	623.59	2,599.94	0	0	0	0	377,241.41	170,210.15	207,031.26
Institute of Design & Innovation	61,730.03	0	1,485.72	0	0	0	0	0	63,215.75	63,774.65	(558.90)
Ombuds Services	0	0	0	0	107,023.32	0	0	0	107,023.32	46,688.54	60,334.78
Development Office	5,227.90	0	0	7,385.00	0	0	0	0	12,612.90	272,384.26	(259,771.36)
Internal Audit Department	203,387.82	0	0	0	0	0	0	0	203,387.82	204,223.41	(835.59)
Athletics	176,875.62	0	0	790,630.25	0	386,252.80	(22,500.25)	1,404,313.22	2,735,571.64	(515,885.72)	3,251,457.36
Subtotal President	3,505,403.17	14,818.31	14,871.14	1,272,271.65	140,880.99	553,144.31	(22,500.25)	1,404,313.22	6,883,202.54	2,456,919.82	4,426,282.72
Global Education Office	530,101.86	15,835.96	0	170,390.75	31,585.74	122,493.09	0	0	870,407.40	882,734.78	(12,327.38)
Harwood Foundation	20,301.15	0	0	897,141.46	0	173,952.68	39,837.95	0	1,131,233.24	924,481.42	206,751.82
OSE Optical Science and Engineering	116,768.21	0	0	1,450.00	0	0	0	0	118,218.21	90,022.06	28,196.15
Center for Teaching Excellence CTE	9.74	0	.62	0	0	45,532.83	0	0	45,543.19	52,262.25	(6,719.06)
NSMS Nano Science & Micro Systems	126,073.76	0	0	0	0	0	0	0	126,073.76	96,325.50	29,748.26
Parent Association - EM	2,378.03	0	0	47.07	0	91.20	0	0	2,516.30	3,399.38	(883.08)
Undergraduate Education Support	88,125.00	0	0	0	0	0	0	0	88,125.00	88,126.00	(1.00)
Institutional Research	47,511.64	0	0	0	0	0	0	0	47,511.64	58,888.03	(11,376.39)
GNSPI	122,901.51	0	0	0	0	0	0	0	122,901.51	70,000.00	52,901.51
KUNM	0	0	0	757,445.59	0	0	0	0	757,445.59	516,791.35	240,654.24
Univ Lbry CRS	0	0	322,074.11	0	0	175,757.96	0	0	497,832.07	418,278.54	79,553.53
Graduate Studies GS	548,147.20	2,713.37	121,528.28	7,519.95	0	264,943.83	0	0	944,852.63	725,452.15	219,400.48
CAPS	85,885.21	0	0	41,045.36	0	0	0	0	126,930.57	(53,479.24)	180,409.81
Latin American Iberian Institute	269,404.98	0	10,735.80	96,367.46	0	201,841.20	0	0	578,349.44	447,376.55	130,972.89
Provost Office Staff	3,252,957.67	0	234,058.22	(81,528.27)	62,094.92	214,037.65	0	0	3,681,620.19	2,006,029.58	1,675,590.61
CARS	0	0	0	100.00	83,213.52	0	0	0	83,313.52	75,568.96	7,744.56
Ofc of the University Secretary	111,731.97	0	56,485.83	0	0	0	0	0	168,217.80	117,245.72	50,972.08
UNM West and Branch Initiatives	22,949.63	0	0	0	0	0	0	0	22,949.63	22,949.63	.00
University College UC	(29,109.19)	48.27	4,809.48	16,800.46	0	0	0	0	(7,450.98)	75,567.71	(83,018.69)
VP Division of Enrollment Mgmt	206,860.12	0	0	216,431.70	0	9,037,458.65	0	0	9,460,750.47	8,516,529.03	944,221.44
College of Fine Arts CFA	1,500,554.61	180,807.31	76,424.02	1,453,316.68	41,324.66	1,320,446.16	3,556.12	0	4,576,429.56	4,098,957.12	477,472.44



Fiscal Year Ending - 2021

Attachment II-Summary of Current Unrestricted Reserves by College/School/Division

College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Financial Aid	Auxiliary Enterprises	Athletics	FY 2021 Total Current Unrestricted	FY 2020 Total Current Unrestricted	Net Change
College of Arts & Sciences A&S	1,214,292.61	0	8,919,674.40	3,779,844.52	585,820.99	4,480,066.98	(11,013.56)	0	18,968,685.94	20,720,649.48	(1,751,963.54)
Anderson Schools of Management ASM	3,048,393.15	0	188,735.41	654,025.60	0	1,828,288.96	0	0	5,719,443.12	3,024,405.52	2,695,037.60
College of Ed & Human Science COEHS	3,027,347.05	0	1,014,708.74	677,949.89	0	693,585.65	0	0	5,413,591.33	4,870,838.55	542,752.78
School of Engineering SOE	2,222,881.08	50.38	5,198,668.47	3,072,573.27	9,495.41	2,231,836.50	0	0	12,735,505.11	12,857,784.85	(122,279.74)
School of Law LAW	2,704,653.55	105,880.31	290,073.15	3,201,999.86	0	2,007,276.94	0	0	8,309,883.81	6,740,210.14	1,569,673.67
School of Architecture & Planning	473,922.23	0	217,007.30	598,395.84	0	1,138,956.46	0	0	2,428,281.83	1,923,395.60	504,886.23
College of Univ Lbrary & Learning Sci	628,012.38	0	20,132.37	2,072,732.51	0	404,572.29	0	0	3,125,449.55	2,182,511.34	942,938.21
Continuing Education Cont Ed	992,341.52	0	(1,915.91)	(13,430.42)	0	49,029.22	(42,582.92)	0	983,441.49	542,490.30	440,951.19
Extended University Ext Univ	2,514,818.17	0	7,891.47	0	14,312.63	0	0	0	2,537,022.27	2,495,344.91	41,677.36
Vice President for Research	108,677.08	11,014.40	15,073,637.28	508,998.35	204,548.26	294,351.49	0	0	16,201,226.86	11,977,478.11	4,223,748.75
Academic Affairs Monitoring	2,307,642.25	0	0	(6,488,074.34)	0	0	0	0	(4,180,432.09)	(5,582,776.40)	1,402,344.31
Honors College	63,225.08	0	5,591.77	20,145.58	0	115,884.25	0	0	204,846.68	293,487.68	(88,641.00)
Student Affairs	1,147,688.90	2,713,114.18	586,607.34	1,061,670.25	0	897,853.97	1,315,728.92	0	7,722,663.56	3,759,301.17	3,963,362.39
Subtotal Academic & Student Affairs	27,477,448.15	3,029,464.18	32,346,928.15	12,723,359.12	1,032,396.13	25,698,257.96	1,305,526.51	0	103,613,380.20	85,038,627.77	18,574,752.43
Police Department	96,103.55	0	0	0	0	0	0	0	96,103.55	77,590.95	18,512.60
Sr VP Finance & Admin	2,992,153.57	0	0	700.23	0	7,682.21	0	0	3,000,536.01	1,045,672.01	1,954,864.00
Environ Health & Safety	625,887.35	0	0	0	375.68	0	0	0	626,263.03	245,605.63	380,657.40
UNM Policy Office	146,731.57	0	0	0	0	0	0	0	146,731.57	102,839.43	43,892.14
Board of Regents	76,128.45	0	0	0	0	0	0	0	76,128.45	29,028.89	47,099.56
Controller	97,281.32	0	88,837.44	0	1,014,269.38	0	0	0	1,200,388.14	942,728.49	257,659.65
Associate VP for Facilities Mgmt	0	0	0	1,755.23	0	0	0	0	1,755.23	5,719.64	(3,964.41)
Human Resources HR	243,396.17	0	0	114.05	745,187.70	0	0	0	988,697.92	525,483.32	463,214.60
VP Institutional Support Services	4,260,991.78	68,477.35	20,644.53	4,603,340.02	3,421,010.72	234,575.10	12,152,937.72	0	24,761,977.22	9,559,289.48	15,202,687.74
Information Technologies	445,219.93	208,239.03	0	37,190.63	1,043,274.08	13,744.22	0	0	1,747,667.89	1,925,851.84	(178,183.95)
Subtotal Administration	8,983,893.69	276,716.38	109,481.97	4,643,100.16	6,224,117.56	256,001.53	12,152,937.72	0	32,646,249.01	14,459,809.68	18,186,439.33
EVP Admin Independent Offices	21,199,312.01	0	0	0	8,426,114.49	0	0	0	29,625,426.50	16,193,910.67	13,431,515.83
Controller Monitoring Orgs	892,679.05	0	0	153,510.90	0	0	0	0	1,046,189.95	486,071.53	560,118.42
Subtotal Institutional Monitoring Orgs	22,091,991.06	0	0	153,510.90	8,426,114.49	0	0	0	30,671,616.45	16,679,982.20	13,991,634.25
VP HSC Administration	67,558.32	0	0	146,617.62	0	0	0	0	214,175.94	106,721.64	107,454.30
School of Medicine	0	0	12.71	1,010.00	191,405.63	6,897.63	0	0	199,325.97	112,993.09	86,332.88
College of Nursing	0	0	0	0	0	21,726.85	0	0	21,726.85	44,365.80	(22,638.95)
College of Pharmacy	0	0	0	13,355.42	0	50.64	0	0	13,406.06	0	13,406.06
Branches	0	0	3,797.22	0	0	28,710.06	0	0	32,507.28	29,507.28	3,000.00
Subtotal Other HSC & Branches	67,558.32	0	3,809.93	160,983.04	191,405.63	57,385.18	0	0	481,142.10	293,587.81	187,554.29
Total Main Campus	62,126,294.39	3,320,998.87	32,475,091.19	18,953,224.87	16,014,914.80	26,564,788.98	13,435,963.98	1,404,313.22	174,295,590.30	118,928,927.28	55,366,663.02
Gallup Branch	8,227,479.78	179,091.86	0	427,851.25	(13,148.85)	361,807.92	108,763.67	0	9,291,845.63	8,702,203.38	589,642.25
Los Alamos Branch	2,637,561.89	25,631.77	0	139,573.59	0	146,492.35	639,646.64	0	3,588,906.24	2,763,644.06	825,262.18



Fiscal Year Ending - 2021

Attachment II-Summary of Current Unrestricted Reserves by College/School/Division

College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Financial Aid	Auxiliary Enterprises	Athletics	FY 2021 Total Current Unrestricted	FY 2020 Total Current Unrestricted	Net Change
Taos Branch	5,462,951.62	159,620.11	0	452,812.15	0	279,689.99	77,366.38	0	6,432,440.25	6,599,824.25	(167,384.00)
Valencia Branch	4,922,065.54	36,739.85	0	248,743.26	51,210.16	148,587.38	201,905.88	0	5,609,252.07	5,008,660.83	600,591.24
Total Branches	21,250,058.83	401,083.59	0	1,268,980.25	38,061.31	936,577.64	1,027,682.57	0	24,922,444.19	23,074,332.52	1,848,111.67
Grand Total Main and Branches	83,376,353.22	3,722,082.46	32,475,091.19	20,222,205.12	16,052,976.11	27,501,366.62	14,463,646.55	1,404,313.22	199,218,034.49	142,003,259.80	57,214,774.69



Fiscal Year Ending - 2021

Attachment III-Summary of Main Campus I and G Reserves

	Reserve June 30 2020	Reserve June 30 2021	Annual Savings	25% of Annual Savings	Explanations
President	2,065,861.44	3,505,403.17	1,439,541.73	359,885.43	Increases in reserves across President's Units were driven by the reduction in spending (travel, staff vacancy savings, event activities, and supplies) due to remote working and the pandemic. The President's initiative funds were delayed in spending due to the pandemic. These funds will be used for grand challenges, multi-year initiatives, and other strategic projects.
Academic & Student Affairs	22,561,309.60	27,545,006.47	4,983,696.87	1,245,924.22	The increase in I&G reserves were primarily due to an increase of reserves in the Provost Units \$2.2M and Monitoring account \$977K, Anderson School of Management (ASM) \$2.6M (driven by enrollment increases), Student Affairs \$738K and the School of Law \$991K, as well as minor (under \$500K) increases in balances in other schools/colleges/divisions; offset by a decrease in reserves in College of Arts and Sciences (A&S) (\$3.3M) due to the use of reserves to offset the FY21 budget reduction. Most increases across Academic Affairs were driven by the reduction in spending (travel, staff/faculty vacancy savings, event activities, and supplies) due to remote working and the pandemic.
Senior VP Finance & Administration	6,771,970.62	8,983,893.69	2,211,923.07	552,980.77	The majority of increase in the reserve is due to 2M of HEERF III funds that will be used in the FY22 towards paying off Past Due Balances of Students that were enrolled during the pandemic.
Undesignated and Other Monitoring Accounts*	11,984,299.99	22,091,991.06	10,107,691.07	2,526,922.77	Due to the anticipation of a significant drop in Student Credit Hours (SCH) due to the pandemic, the majority of the increase in the reserve is due to a pullback at the beginning of the year of Instruction and General (I&G) and mandatory student fee budgets from the units to offset a potential 10% SCH drop. However, the average SCH drop came in around 4.5%. In addition, approximately \$900K of the increase is HEERF 2 and HEERF 3 funding that has not been allocated to the unit indices.
Grand Total	43,383,441.65	62,126,294.39	18,742,852.74	4,685,713.19	

*FY 2021 Reserve Includes \$4,123,247.01, Mandatory Student Fees Reserve



Attachment IV - Summary of Main Campus I&G Undesignated Reserve
as of June 30, 2021

Actuals

FISCAL YEAR 2021		
Index 054006		
Banner Beginning Balance	13,361,993	
Pooled I&G Sweep	(2,413,430)	
Adjusted Beginning Balance		10,948,563
Pooled I&G Revenue and Expense (Attachment 1)	12,744,872	
Contingency Allocations (Attachment 2)	(6,624,754)	
Subtotal		6,120,118
Banner Ending Balance 054006		17,068,681
Index 054135		
Banner Beginning Balance	233,964	
Adjusted Beginning Balance		233,964
COVID testing support	(259,046)	
Perkins service cancellation reimbursement		25,082
1% ERB - 2R14 Split Payroll		(13,639)
Subtotal		(247,603)
Banner Ending Balance 054135		(13,639)
Total		17,055,042.03
Committed - FY22 HED Required Reserve for I&G at 3% (Attachment 3)		(9,827,961.27)
Committed - FY22 One-time requests		(195,000.00)
Committed - current year budget reduction 054135		13,639.00
Dedicated - set aside for tuition revenue shortfall		(5,000,000.00)
Dedicated - FY22 One-time requests		(2,045,719.76)
Subtotal		(17,055,042.03)
Discretionary Reserve after commitments		-



Fiscal Year Ending - 2021

Attachment V-Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000.00 or More

College/School/Division	Reserve June 30 2020	Reserve June 30 2021	Change FY 2020-2021	Explanations
Academic Affairs Monitoring	(5,582,776.40)	(4,180,432.09)	1,402,344.31	The UNM Press accumulated defect moved to the Academic Affairs monitoring account in FY19, which amounted to (\$6.9M) deficit at the beginning of FY19. The ending reserve balances for the UNM Press was (\$6.2M) reducing the accumulated deficit. Provost initiative funding also resides in this monitoring account. Reserves increased less due to less spending within the Provost initiative and contingency accounts driven by less activities because of the pandemic.



Fiscal Year Ending - 2021

Attachment VI-Summary of I and G Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
Presidents Office	2,918,522.00	1,847,972.63	63.32%
Office of Equal Opportunity	1,246,166.00	267,945.78	21.50%
Govt & Community Relations Office	401,202.00	5,404.32	1.35%
University Counsel Office	1,591,734.00	314,790.72	19.78%
LGBTQ	94,632.00	54,576.15	57.67%
Univ Communication & Marketing	2,742,131.00	188,323.97	6.87%
ENLACE	.00	.00	.00%
Compliance Office - Main Campus	26,551.00	18.60	.07%
VP Inst Advancement Alumni Relation	714,203.00	5,150.72	.72%
VP for Equity and Inclusion	972,479.00	373,998.91	38.46%
Institute of Design & Innovation	768,781.00	61,730.03	8.03%
Ombuds Services	1,262.00	.00	.00%
Development Office	294,795.00	5,227.90	1.77%
Internal Audit Department	1,062,979.00	203,387.82	19.13%
Athletics	1,248,441.00	176,875.62	14.17%
Subtotal President	14,083,878.00	3,505,403.17	24.89%
Global Education Office	1,859,392.00	530,101.86	28.51%
Harwood Foundation	768,328.00	20,301.15	2.64%
OSE Optical Science and Engineering	153,768.00	116,768.21	75.94%
Center for Teaching Excellence CTE	.00	9.74	.00%
NSMS Nano Science & Micro Systems	112,721.00	126,073.76	111.85%
Parent Association - EM	.00	2,378.03	.00%
Undergraduate Education Support	2,899.00	88,125.00	3,039.84%
Institutional Research	452,134.00	47,511.64	10.51%
GNSPI	204,902.00	122,901.51	59.98%
Graduate Studies GS	3,275,700.00	548,147.20	16.73%
CAPS	2,920,510.00	85,885.21	2.94%
Latin American Iberian Institute	1,033,972.00	269,404.98	26.06%
Provost Office Staff	5,540,494.00	3,252,957.67	58.71%
Ofc of the University Secretary	629,587.00	111,731.97	17.75%
UNM West and Branch Initiatives	.00	22,949.63	.00%
University College UC	1,653,391.00	(29,109.19)	-1.76%
VP Division of Enrollment Mgmt	8,099,834.00	206,860.12	2.55%
College of Fine Arts CFA	16,982,044.00	1,500,554.61	8.84%
College of Arts & Sciences A&S	81,539,829.00	1,214,292.61	1.49%
Anderson Schools of Management ASM	16,562,450.00	3,048,393.15	18.41%
College of Ed & Human Science COEHS	17,831,269.00	3,027,347.05	16.98%
School of Engineering SOE	22,546,711.00	2,222,881.08	9.86%



Fiscal Year Ending - 2021

Attachment VI-Summary of I and G Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
School of Law LAW	11,200,261.00	2,704,653.55	24.15%
School of Architecture & Planning	5,618,059.00	473,922.23	8.44%
College of Univ Lbry & Learning Sci	15,561,511.00	628,012.38	4.04%
Continuing Education Cont Ed	2,071,908.00	992,341.52	47.90%
Extended University Ext Univ	3,700,702.00	2,514,818.17	67.96%
Vice President for Research	317,475.00	108,677.08	34.23%
Academic Affairs Monitoring	1,129,888.00	2,307,642.25	204.24%
Honors College	1,888,427.00	63,225.08	3.35%
Student Affairs	9,265,914.00	1,147,688.90	12.39%
Subtotal Academic & Student Affairs	232,924,080.00	27,477,448.15	11.80%
Police Department	4,923,219.00	96,103.55	1.95%
Sr VP Finance & Admin	1,568,237.00	2,992,153.57	190.80%
Environ Health & Safety	4,739,646.00	625,887.35	13.21%
UNM Policy Office	151,787.00	146,731.57	96.67%
Board of Regents	160,045.00	76,128.45	47.57%
Controller	14,169,625.00	97,281.32	.69%
Human Resources HR	3,003,792.00	243,396.17	8.10%
VP Institutional Support Services	31,194,166.00	4,260,991.78	13.66%
Information Technologies	10,338,669.00	445,219.93	4.31%
Subtotal Administration	70,249,186.00	8,983,893.69	12.79%
Institutional Monitoring Orgs	(5,288,493.00)	22,091,991.06	-417.74%
Subtotal Institutional Monitoring Orgs	(5,288,493.00)	22,091,991.06	-417.74%
VP HSC Administration	55,300.00	67,558.32	122.17%
Subtotal Other HSC and Branches	55,300.00	67,558.32	122.17%
Total Main Campus	312,023,951.00	62,126,294.39	19.91%
Gallup Branch	14,700,472.00	8,227,479.78	55.97%
Los Alamos Branch	4,278,751.00	2,637,561.89	61.64%
Taos Branch	8,269,696.00	5,462,951.62	66.06%
Valencia Branch	10,425,105.00	4,922,065.54	47.21%
Total Branches	37,674,024.00	21,250,058.83	56.41%
Grand Total Main and Branches	349,697,975.00	83,376,353.22	23.84%



Fiscal Year Ending - 2021

Attachment VII-Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
Presidents Office	2,931,784.00	1,904,399.37	64.96%
Office of Equal Opportunity	1,246,166.00	267,945.78	21.50%
Govt & Community Relations Office	875,872.00	286,553.99	32.72%
University Counsel Office	1,741,734.00	327,552.55	18.81%
LGBTQ	183,632.00	82,268.15	44.80%
Univ Communication & Marketing	2,778,522.00	231,208.88	8.32%
ENLACE	.00	.00	.00%
Compliance Office - Main Campus	26,551.00	18.60	.07%
VP Inst Advancement Alumni Relation	778,008.00	284,202.38	36.53%
VP for Equity and Inclusion	973,740.00	377,241.41	38.74%
Institute of Design & Innovation	768,781.00	63,215.75	8.22%
Ombuds Services	268,452.00	107,023.32	39.87%
Development Office	352,179.00	12,612.90	3.58%
Internal Audit Department	1,062,979.00	203,387.82	19.13%
Athletics	34,425,694.00	2,735,571.64	7.95%
Subtotal President	48,414,094.00	6,883,202.54	14.22%
Global Education Office	2,735,119.00	870,407.40	31.82%
Harwood Foundation	1,280,154.00	1,131,233.24	88.37%
OSE Optical Science and Engineering	153,768.00	118,218.21	76.88%
Center for Teaching Excellence CTE	.00	45,543.19	.00%
NSMS Nano Science & Micro Systems	112,721.00	126,073.76	111.85%
Parent Association - EM	.00	2,516.30	.00%
Undergraduate Education Support	2,899.00	88,125.00	3,039.84%
Institutional Research	452,134.00	47,511.64	10.51%
GNSPI	204,902.00	122,901.51	59.98%
KUNM	1,620,598.00	757,445.59	46.74%
Univ Libry CRS	639,350.00	497,832.07	77.87%
Graduate Studies GS	4,098,937.00	944,852.63	23.05%
CAPS	2,920,510.00	126,930.57	4.35%
Latin American Iberian Institute	1,128,672.00	578,349.44	51.24%
Provost Office Staff	6,085,365.00	3,681,620.19	60.50%
CARS	509,077.00	83,313.52	16.37%
Ofc of the University Secretary	576,426.00	168,217.80	29.18%
UNM West and Branch Initiatives	.00	22,949.63	.00%
University College UC	1,728,540.00	(7,450.98)	-.43%
VP Division of Enrollment Mgmt	57,825,950.00	9,460,750.47	16.36%
College of Fine Arts CFA	19,100,996.00	4,576,429.56	23.96%
College of Arts & Sciences A&S	94,749,070.00	18,968,685.94	20.02%



Fiscal Year Ending - 2021

Attachment VII-Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
Anderson Schools of Management ASM	18,833,456.00	5,719,443.12	30.37%
College of Ed & Human Science COEHS	19,560,737.00	5,413,591.33	27.68%
School of Engineering SOE	29,313,897.00	12,735,505.11	43.45%
School of Law LAW	16,191,540.00	8,309,883.81	51.32%
School of Architecture & Planning	6,208,996.00	2,428,281.83	39.11%
College of Univ Lbrary & Learning Sci	17,966,887.00	3,125,449.55	17.40%
Continuing Education Cont Ed	2,271,290.00	983,441.49	43.30%
Extended University Ext Univ	3,700,702.00	2,537,022.27	68.56%
Vice President for Research	19,482,709.00	16,201,226.86	83.16%
Academic Affairs Monitoring	1,129,888.00	(4,180,432.09)	-369.99%
Honors College	1,982,914.00	204,846.68	10.33%
Student Affairs	27,488,029.00	7,722,663.56	28.09%
Subtotal Academic & Student Affairs	360,056,233.00	103,613,380.20	28.78%
Police Department	4,923,219.00	96,103.55	1.95%
Sr VP Finance & Admin	1,568,237.00	3,000,536.01	191.33%
Environ Health & Safety	8,359,646.00	626,263.03	7.49%
UNM Policy Office	151,787.00	146,731.57	96.67%
Board of Regents	160,045.00	76,128.45	47.57%
Controller	19,191,997.00	1,200,388.14	6.25%
Associate VP for Facilities Mgmt	.00	1,755.23	.00%
Human Resources HR	6,589,526.00	988,697.92	15.00%
VP Institutional Support Services	101,417,130.00	24,761,977.22	24.42%
Information Technologies	43,485,554.00	1,747,667.89	4.02%
Subtotal Administration	185,847,141.00	32,646,249.01	17.57%
Institutional Monitoring Orgs	192,124.00	30,671,616.45	15,964.49%
Subtotal Institutional Monitoring Orgs	192,124.00	30,671,616.45	15,964.49%
VP HSC Administration	167,909.00	214,175.94	127.55%
School of Medicine	1,100,158.00	199,325.97	18.12%
College of Nursing	15,268.00	21,726.85	142.30%
College of Pharmacy	39,800.00	13,406.06	33.68%
Branches	3,896.00	32,507.28	834.38%
Subtotal Other HSC and Branches	1,327,031.00	481,142.10	36.26%
Total Main Campus	595,836,623.00	174,295,590.30	29.25%
Gallup Branch	15,900,465.00	9,291,845.63	58.44%
Los Alamos Branch	4,749,356.00	3,588,906.24	75.57%
Taos Branch	8,874,313.00	6,432,440.25	72.48%
Valencia Branch	11,618,432.00	5,609,252.07	48.28%
Total Branches	41,142,566.00	24,922,444.19	60.58%
Grand Total Main and Branches	636,979,189.00	199,218,034.49	31.28%



Fiscal Year Ending - 2021

Attachment VIII-Summary of Categorization of I and G and Non-I and G Reserves by College/School/Division

College/School/Division	Instruction and General				Non-Instruction and General			
	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Presidents Office	1,847,972.63	135,888.39	1,686,369.35	25,714.89	56,426.74	42,603.67	.00	13,823.07
Office of Equal Opportunity	267,945.78	11,964.37	250,000.00	5,981.41	.00	.00	.00	.00
Govt & Community Relations Office	5,404.32	.00	.00	5,404.32	281,149.67	54,898.61	226,251.06	.00
University Counsel Office	314,790.72	28,107.02	271,986.91	14,696.79	12,761.83	534.01	12,227.82	.00
LGBTQ	54,576.15	.00	54,576.15	.00	27,692.00	9,379.61	14,799.34	3,513.05
Univ Communication & Marketing	188,323.97	105,105.16	83,218.81	.00	42,884.91	16,170.00	26,714.91	.00
ENLACE	.00	.01	(.01)	.00	.00	.00	.00	.00
Compliance Office - Main Campus	18.60	.00	.00	18.60	0	0	0	0
VP Inst Advancement Alumni Relation	5,150.72	48.98	5,101.74	.00	279,051.66	211,069.61	67,982.05	.00
VP for Equity and Inclusion	373,998.91	.00	372,369.81	1,629.10	3,242.50	2,599.94	623.59	18.97
Institute of Design & Innovation	61,730.03	55,000.00	6,730.03	.00	1,485.72	.00	1,485.72	.00
Ombuds Services	.00	.00	.00	.00	107,023.32	.00	107,023.32	.00
VP Advancement Administration	.00	.00	.00	.00	0	0	0	0
Development Office	5,227.90	5,227.90	.00	.00	7,385.00	7,385.00	.00	.00
Internal Audit Department	203,387.82	71,101.70	62,000.00	70,286.12	0	0	0	0
Athletics	176,875.62	.00	176,875.62	.00	2,558,696.02	1,576,835.17	980,756.80	1,104.05
Subtotal President	3,505,403.17	412,443.53	2,969,228.41	123,731.23	3,377,799.37	1,921,475.62	1,437,864.61	18,459.14
Global Education Office	530,101.86	116,809.22	413,292.26	.38	340,305.54	201,419.16	138,886.38	.00
Harwood Foundation	20,301.15	19,238.95	.00	1,062.20	1,110,932.09	1,030,700.39	80,035.38	196.32
OSE Optical Science and Engineering	116,768.21	1,035.00	114,410.16	1,323.05	1,450.00	1,450.00	.00	.00
Center for Teaching Excellence CTE	9.74	.00	.00	9.74	45,533.45	45,532.83	.62	.00
NSMS Nano Science & Micro Systems	126,073.76	(3,262.57)	121,973.70	7,362.63	0	0	0	0
Parent Association - EM	2,378.03	.00	.00	2,378.03	138.27	138.27	.00	.00
Undergraduate Education Support	88,125.00	.00	.00	88,125.00	0	0	0	0
Institutional Research	47,511.64	77.96	47,000.00	433.68	0	0	0	0
GNSPI	122,901.51	.00	110,641.22	12,260.29	0	0	0	0
KUNM	0	0	0	0	757,445.59	544,753.07	212,692.52	.00
Univ Lbrary CRS	0	0	0	0	497,832.07	235,126.15	262,705.92	.00
Graduate Studies GS	548,147.20	69,793.45	476,462.13	1,891.62	396,705.43	272,022.00	124,228.28	455.15
CAPS	85,885.21	35,053.96	50,831.25	.00	41,045.36	41,045.36	.00	.00
Latin American Iberian Institute	269,404.98	71,510.00	197,894.98	.00	308,944.46	298,208.66	10,735.80	.00



Fiscal Year Ending - 2021

Attachment VIII-Summary of Categorization of I and G and Non-I and G Reserves by College/School/Division

College/School/Division	Instruction and General				Non-Instruction and General			
	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Provost Office Staff	3,252,957.67	610,776.80	2,088,984.41	553,196.46	428,662.52	81,294.53	342,254.18	5,113.81
CARS	0	0	0	0	83,313.52	197.63	82,861.00	254.89
Ofc of the University Secretary	111,731.97	150.00	110,758.17	823.80	56,485.83	.00	56,485.83	.00
UNM West and Branch Initiatives	22,949.63	.00	.00	22,949.63	0	0	0	0
University College UC	(29,109.19)	.00	(30,282.26)	1,173.07	21,658.21	16,800.46	4,809.48	48.27
VP Division of Enrollment Mgmt	206,860.12	138,383.41	.00	68,476.71	9,253,890.35	9,238,489.76	1,194.85	14,205.74
College of Fine Arts CFA	1,500,554.61	796,371.97	60,214.57	643,968.07	3,075,874.95	2,255,345.06	258,479.55	562,050.34
College of Arts & Sciences A&S	1,214,292.61	772,466.58	(1,225,665.87)	1,667,491.90	17,754,393.33	7,346,739.52	9,335,832.77	1,071,821.04
Anderson Schools of Management ASM	3,048,393.15	465,142.93	2,409,109.64	174,140.58	2,671,049.97	2,475,163.63	168,006.34	27,880.00
College of Ed & Human Science COEHS	3,027,347.05	391,194.18	1,474,775.45	1,161,377.42	2,386,244.28	1,319,113.35	1,018,116.01	49,014.92
School of Engineering SOE	2,222,881.08	675,682.30	1,089,079.74	458,119.04	10,512,624.03	5,157,580.10	5,147,604.30	207,439.63
School of Law LAW	2,704,653.55	540,023.08	2,164,630.47	.00	5,605,230.26	5,241,061.74	357,766.10	6,402.42
School of Architecture & Planning	473,922.23	166,415.22	42,455.88	265,051.13	1,954,359.60	1,714,738.55	216,687.70	22,933.35
College of Univ Lbrary & Learning Sci	628,012.38	180,555.55	442,352.95	5,103.88	2,497,437.17	1,854,542.61	428,578.68	214,315.88
Continuing Education Cont Ed	992,341.52	375,677.00	284,575.02	332,089.50	(8,900.03)	112,074.25	(122,639.28)	1,665.00
Extended University Ext Univ	2,514,818.17	477,557.91	1,137,400.49	899,859.77	22,204.10	.00	7,891.47	14,312.63
Vice President for Research	108,677.08	.00	90,937.09	17,739.99	16,092,549.78	1,412,270.10	14,503,519.91	176,759.77
Academic Affairs Monitoring	2,307,642.25	514,201.40	708,287.10	1,085,153.75	(6,488,074.34)	.00	(6,488,074.34)	.00
Honors College	63,225.08	12,854.28	10,832.18	39,538.62	141,621.60	138,029.71	3,591.89	.00
Student Affairs	1,147,688.90	381,280.84	507,648.81	258,759.25	6,574,974.66	3,717,005.79	1,937,842.77	920,126.10
Subtotal Academic & Student Affairs	27,477,448.15	6,808,989.42	12,898,599.54	7,769,859.19	76,135,932.05	44,750,842.68	28,090,094.11	3,294,995.26
Police Department	96,103.55	10,414.19	85,689.36	.00	.00	.00	.00	.00
Sr VP Finance & Admin	2,992,153.57	2,033,790.80	904,071.08	54,291.69	8,382.44	7,682.21	.00	700.23
Environ Health & Safety	625,887.35	74,286.44	499,314.64	52,286.27	375.68	.00	.00	375.68
UNM Policy Office	146,731.57	.00	69,000.00	77,731.57	0	0	0	0
Board of Regents	76,128.45	.00	35,000.00	41,128.45	0	0	0	0
Information Technology Services	.00	.00	.00	.00	.00	.00	.00	.00
Controller	97,281.32	29,961.04	.00	67,320.28	1,103,106.82	1,025,689.38	77,417.44	.00
Associate VP for Facilities Mgmt	.00	.00	.00	.00	1,755.23	1,755.23	.00	.00
Human Resources HR	243,396.17	68,589.91	45,000.00	129,806.26	745,301.75	410,721.17	95,010.00	239,570.58
VP Institutional Support Services	4,260,991.78	216,850.82	4,044,140.96	.00	20,500,985.44	14,766,782.75	5,697,117.65	37,085.04



Fiscal Year Ending - 2021

Attachment VIII-Summary of Categorization of I and G and Non-I and G Reserves by College/School/Division

College/School/Division	Instruction and General				Non-Instruction and General			
	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Information Technologies	445,219.93	399,803.00	45,416.93	.00	1,302,447.96	1,129,862.17	172,585.79	.00
Subtotal Administration	8,983,893.69	2,833,696.20	5,727,632.97	422,564.52	23,662,355.32	17,342,492.91	6,042,130.88	277,731.53
EVP Admin Independent Offices	21,199,312.01	10,544,995.25	10,654,316.76	.00	8,426,114.49	8,426,114.49	.00	.00
Controller Monitoring Orgs	892,679.05	892,679.05	.00	.00	153,510.90	.00	.00	153,510.90
Subtotal Institutional Monitoring Orgs	22,091,991.06	11,437,674.30	10,654,316.76	.00	8,579,625.39	8,426,114.49	.00	153,510.90
VP HSC Administration	67,558.32	67,558.32	.00	.00	146,617.62	168.98	146,448.64	.00
School of Medicine	0	0	0	0	199,325.97	7,907.63	191,418.15	.19
College of Nursing	0	0	0	0	21,726.85	21,726.85	.00	.00
College of Pharmacy	0	0	0	0	13,406.06	13,406.06	.00	.00
Branches	0	0	0	0	32,507.28	28,710.06	3,797.22	.00
Subtotal Other HSC & Branches	67,558.32	67,558.32	.00	.00	413,583.78	71,919.58	341,664.01	.19
Total Main Campus	62,126,294.39	21,560,361.77	32,249,777.68	8,316,154.94	112,169,295.91	72,512,845.28	35,911,753.61	3,744,697.02
Gallup Branch	8,227,479.78	1,798,333.20	2,016,632.51	4,412,514.07	1,064,365.85	342,296.13	(10,804.21)	732,873.93
Los Alamos Branch	2,637,561.89	571,819.05	1,274,913.88	790,828.96	951,344.35	279,088.61	672,455.88	(200.14)
Taos Branch	5,462,951.62	427,815.13	648,485.00	4,386,651.49	969,488.63	74,866.44	144,834.00	749,788.19
Valencia Branch	4,922,065.54	301,675.33	2,796,640.00	1,823,750.21	687,186.53	337,817.60	126,819.87	222,549.06
Total Branches	21,250,058.83	3,099,642.71	6,736,671.39	11,413,744.73	3,672,385.36	1,034,068.78	933,305.54	1,705,011.04
Grand Total Main and Branches	83,376,353.22	24,660,004.48	38,986,449.07	19,729,899.67	115,841,681.27	73,546,914.06	36,845,059.15	5,449,708.06



Fiscal Year Ending - 2021

Attachment IX-Summary of Categorization of Current Unrestricted Reserves by College/School/Division

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Presidents Office	1,904,399.37	178,492.06	1,686,369.35	39,537.96
Office of Equal Opportunity	267,945.78	11,964.37	250,000.00	5,981.41
Govt & Community Relations Office	286,553.99	54,898.61	226,251.06	5,404.32
University Counsel Office	327,552.55	28,641.03	284,214.73	14,696.79
LGBTQ	82,268.15	9,379.61	69,375.49	3,513.05
Univ Communication & Marketing	231,208.88	121,275.16	109,933.72	.00
ENLACE	.00	.01	(.01)	.00
Compliance Office - Main Campus	18.60	.00	.00	18.60
VP Inst Advancement Alumni Relation	284,202.38	211,118.59	73,083.79	.00
VP for Equity and Inclusion	377,241.41	2,599.94	372,993.40	1,648.07
Institute of Design & Innovation	63,215.75	55,000.00	8,215.75	.00
Ombuds Services	107,023.32	.00	107,023.32	.00
VP Advancement Administration	.00	.00	.00	.00
Development Office	12,612.90	12,612.90	.00	.00
Internal Audit Department	203,387.82	71,101.70	62,000.00	70,286.12
Athletics	2,735,571.64	1,576,835.17	1,157,632.42	1,104.05
Subtotal President	6,883,202.54	2,333,919.15	4,407,093.02	142,190.37
Global Education Office	870,407.40	318,228.38	552,178.64	.38
Harwood Foundation	1,131,233.24	1,049,939.34	80,035.38	1,258.52
OSE Optical Science and Engineering	118,218.21	2,485.00	114,410.16	1,323.05
Center for Teaching Excellence CTE	45,543.19	45,532.83	.62	9.74
NSMS Nano Science & Micro Systems	126,073.76	(3,262.57)	121,973.70	7,362.63
Parent Association - EM	2,516.30	138.27	.00	2,378.03
Undergraduate Education Support	88,125.00	.00	.00	88,125.00
Institutional Research	47,511.64	77.96	47,000.00	433.68
GNSPI	122,901.51	.00	110,641.22	12,260.29
KUNM	757,445.59	544,753.07	212,692.52	.00
Univ Lbry CRS	497,832.07	235,126.15	262,705.92	.00
Graduate Studies GS	944,852.63	341,815.45	600,690.41	2,346.77
CAPS	126,930.57	76,099.32	50,831.25	.00
Latin American Iberian Institute	578,349.44	369,718.66	208,630.78	.00
Provost Office Staff	3,681,620.19	692,071.33	2,431,238.59	558,310.27
CARS	83,313.52	197.63	82,861.00	254.89
Ofc of the University Secretary	168,217.80	150.00	167,244.00	823.80
UNM West and Branch Initiatives	22,949.63	.00	.00	22,949.63
University College UC	(7,450.98)	16,800.46	(25,472.78)	1,221.34
VP Division of Enrollment Mgmt	9,460,750.47	9,376,873.17	1,194.85	82,682.45
College of Fine Arts CFA	4,576,429.56	3,051,717.03	318,694.12	1,206,018.41
College of Arts & Sciences A&S	18,968,685.94	8,119,206.10	8,110,166.90	2,739,312.94
Anderson Schools of Management ASM	5,719,443.12	2,940,306.56	2,577,115.98	202,020.58



Fiscal Year Ending - 2021

Attachment IX-Summary of Categorization of Current Unrestricted Reserves by College/School/Division

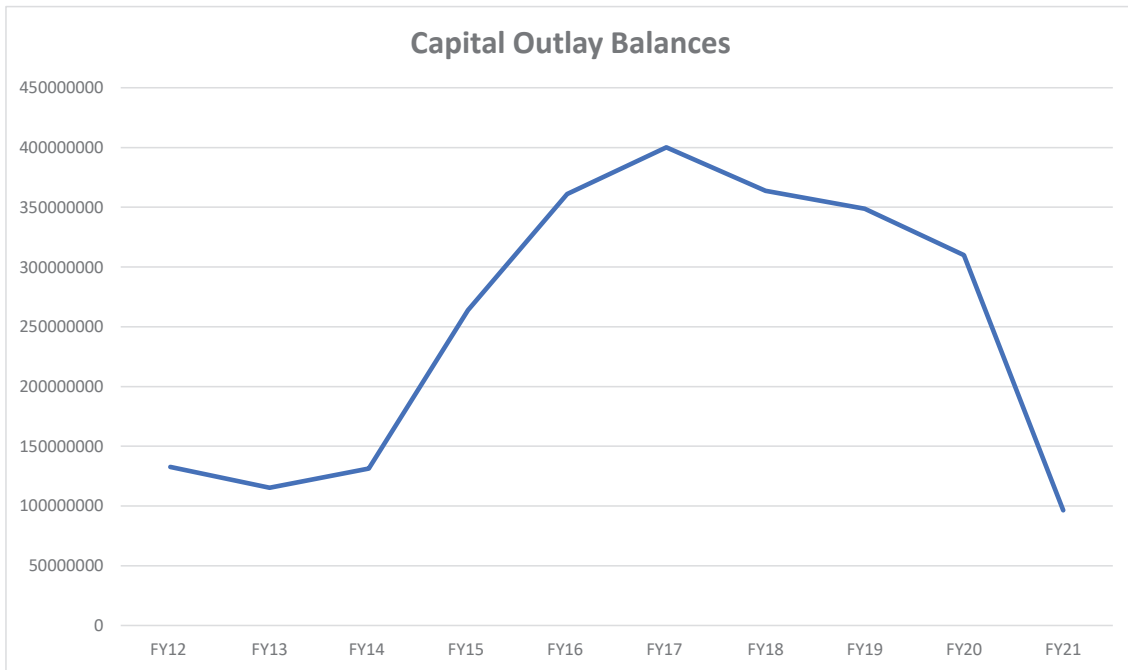
College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
College of Ed & Human Science COEHS	5,413,591.33	1,710,307.53	2,492,891.46	1,210,392.34
School of Engineering SOE	12,735,505.11	5,833,262.40	6,236,684.04	665,558.67
School of Law LAW	8,309,883.81	5,781,084.82	2,522,396.57	6,402.42
School of Architecture & Planning	2,428,281.83	1,881,153.77	259,143.58	287,984.48
College of Univ Lbry & Learning Sci	3,125,449.55	2,035,098.16	870,931.63	219,419.76
Continuing Education Cont Ed	983,441.49	487,751.25	161,935.74	333,754.50
Extended University Ext Univ	2,537,022.27	477,557.91	1,145,291.96	914,172.40
Vice President for Research	16,201,226.86	1,412,270.10	14,594,457.00	194,499.76
Academic Affairs Monitoring	(4,180,432.09)	514,201.40	(5,779,787.24)	1,085,153.75
Honors College	204,846.68	150,883.99	14,424.07	39,538.62
Student Affairs	7,722,663.56	4,098,286.63	2,445,491.58	1,178,885.35
Subtotal Academic & Student Affairs	103,613,380.20	51,559,832.10	40,988,693.65	11,064,854.45
Police Department	96,103.55	10,414.19	85,689.36	.00
Sr VP Finance & Admin	3,000,536.01	2,041,473.01	904,071.08	54,991.92
Environ Health & Safety	626,263.03	74,286.44	499,314.64	52,661.95
UNM Policy Office	146,731.57	.00	69,000.00	77,731.57
Board of Regents	76,128.45	.00	35,000.00	41,128.45
Information Technology Services	.00	.00	.00	.00
Controller	1,200,388.14	1,055,650.42	77,417.44	67,320.28
Associate VP for Facilities Mgmt	1,755.23	1,755.23	.00	.00
Human Resources HR	988,697.92	479,311.08	140,010.00	369,376.84
VP Institutional Support Services	24,761,977.22	14,983,633.57	9,741,258.61	37,085.04
Information Technologies	1,747,667.89	1,529,665.17	218,002.72	.00
Subtotal Administration	32,646,249.01	20,176,189.11	11,769,763.85	700,296.05
EVP Admin Independent Offices	29,625,426.50	18,971,109.74	10,654,316.76	.00
Controller Monitoring Orgs	1,046,189.95	892,679.05	.00	153,510.90
Subtotal Institutional Monitoring Orgs	30,671,616.45	19,863,788.79	10,654,316.76	153,510.90
VP HSC Administration	214,175.94	67,727.30	146,448.64	.00
School of Medicine	199,325.97	7,907.63	191,418.15	.19
College of Nursing	21,726.85	21,726.85	.00	.00
College of Pharmacy	13,406.06	13,406.06	.00	.00
Branches	32,507.28	28,710.06	3,797.22	.00
Subtotal Other HSC & Branches	481,142.10	139,477.90	341,664.01	.19
Total Main Campus	174,295,590.30	94,073,207.05	68,161,531.29	12,060,851.96
Gallup Branch	9,291,845.63	2,140,629.33	2,005,828.30	5,145,388.00
Los Alamos Branch	3,588,906.24	850,907.66	1,947,369.76	790,628.82
Taos Branch	6,432,440.25	502,681.57	793,319.00	5,136,439.68
Valencia Branch	5,609,252.07	639,492.93	2,923,459.87	2,046,299.27
Total Branches	24,922,444.19	4,133,711.49	7,669,976.93	13,118,755.77
Grand Total Main and Branches	199,218,034.49	98,206,918.54	75,831,508.22	25,179,607.73



**Attachment X - Summary of Plant Fund Reserves
June 30, 2021**

Main Campus	Reserve June 30, 2020	Reserve June 30, 2021	Change FY 2020 to FY 2021	Committed	Dedicated	Discretionary
Facility Investment Need(FIN)/BR&R	12,242,967	14,379,832	2,136,864	6,438,578	7,941,253	0
Retirement of Indebtedness	25,419,688	23,445,836	(1,973,851)	23,445,836	0	0
Capital Outlay ⁽¹⁾	308,778,009	96,395,221 ⁽²⁾	(212,382,788)	50,048,470	44,039,788	2,306,963
Total	346,440,664	134,220,889	(212,219,775)	79,932,884	51,981,041	2,306,963

(1)
Please see graph below which shows a ten year history of capital outlay balances. Although there are fluctuations, the peaks are usually attributable to new University Bonds being sold. For FY15 through FY18 the increases in those years was primarily attributable to a build up of balances for the new hospital tower.



(2)
Spend down of balance attributable to:

- During FY21 \$196.0M that was reserved for the new Hospital was moved to the project
- During FY21 \$12.3M reserved for the new Orthopedic Center for excellence was spent
- For FY21 balances attributable to the 2016 and 2017 UNM Bond issuance were spent down for completion of the Johnson Center Renovation; PAIS and Natural History Science Center. (AKA Bio Annex)
- During FY21 reserves spent on Valencia Westside Facility project
- Lastly it is important to note that major capital projects typically span multiple fiscal years. They build up balances during the initial phases of the projects and then spend those balances down as the project moves through construction and completes.



Attachment XI - Summary of Plant Funds by College/School/Division

FYE 2021 - Capital Outlay

College/School/Division	FY 20 YE Reserve	FY 21 YE Reserve	Change	Committed	Dedicated	Discretionary
President's Office	110,010.25	110,010.25	0.00	0.00	110,010.25	0.00
University Counsel Office	436,202.41	303,077.41	(133,125.00)	0.00	150,000.00	153,077.41
Office of Equal Opportunity	4,352.33	4,352.33	0.00	0.00	4,000.00	352.33
University Communication & Marketing	0.00	0.00	0.00	0.00	0.00	0.00
Compliance Office	0.00	0.00	0.00	0.00	0.00	0.00
Alumni Relations	0.00	0.00	0.00	0.00	0.00	0.00
Dispute Resolution	0.00	0.00	0.00	0.00	0.00	0.00
Internal Audit Department	0.00	0.00	0.00	0.00	0.00	0.00
President Subtotal	550,564.99	417,439.99	(133,125.00)	0.00	264,010.25	153,429.74
RWJ Center for Health Policy	2,476.66	0.00	(2,476.66)	0.00	0.00	0.00
International Programs Studies	0.00	1,793.86	1,793.86	0.00	1,793.86	0.00
Harwood Foundation	0.00	0.00	0.00	0.00	0.00	0.00
Optical Science and Engineering	0.00	0.00	0.00	0.00	0.00	0.00
UC Advisement Center	0.00	0.00	0.00	0.00	0.00	0.00
Office of Support for Effective Teaching	0.00	0.00	0.00	0.00	0.00	0.00
Nano Science & Micro Systems	0.00	0.00	0.00	0.00	0.00	0.00
Parent Association	0.00	0.00	0.00	0.00	0.00	0.00
Undergraduate Education Support	0.00	0.00	0.00	0.00	0.00	0.00
Institutional Research	176.81	0.00	(176.81)	0.00	0.00	0.00
KUNM	0.00	176.81	176.81	0.00	0.00	176.81
University Press	0.00	0.00	0.00	0.00	0.00	0.00
Graduate Studies	0.00	0.00	0.00	0.00	0.00	0.00
CAPS	0.00	0.00	0.00	0.00	0.00	0.00
Latin American Iberian Institute	9,515.60	0.00	(9,515.60)	0.00	0.00	0.00
Provost Office Staff	0.00	7,841.89	7,841.89	0.00	7,841.89	0.00
Spanish Colonial Res Center	0.00	0.00	0.00	0.00	0.00	0.00
CARS	0.00	0.00	0.00	0.00	0.00	0.00
Office of the University Secretary	0.00	0.00	0.00	0.00	0.00	0.00
University College	0.00	0.00	0.00	0.00	0.00	0.00
School of Public Administration	0.00	0.00	0.00	0.00	0.00	0.00
VP for Equity & Inclusion	(26,225.06)	0.00	26,225.06	0.00	0.00	0.00
VP Division of Enrollment Mgmt	22,697.52	(28,758.77)	(51,456.29)	0.00	0.00	(28,758.77)
College of Fine Arts	935,808.83	18,776.07	(917,032.76)	0.00	5,446.30	13,329.77



Attachment XI - Summary of Plant Funds by College/School/Division

FYE 2021 - Capital Outlay

College/School/Division	FY 20 YE Reserve	FY 21 YE Reserve	Change	Committed	Dedicated	Discretionary
College of Arts Sciences	9,861.10	1,048,430.60	1,038,569.50	363,545.29	359,656.73	325,228.58
Anderson Schools of Management	398,351.83	9,861.10	(388,490.73)	9,861.10	0.00	0.00
College of Education COE	706,388.38	336,327.77	(370,060.61)	0.00	303,000.00	33,327.77
School of Engineering	115,508.87	814,852.72	699,343.85	480,394.10	231,485.52	102,973.10
School of Law	61,534.04	108,724.72	47,190.68	0.00	108,724.72	0.00
School of Architecture Planning	1,351,201.87	107,228.36	(1,243,973.51)	50,000.00	0.00	57,228.36
University Libraries	0.00	1,822,793.52	1,822,793.52	29,013.00	1,793,780.30	0.22
Continuing Education	237,673.20	0.00	(237,673.20)	0.00	0.00	0.00
Extended University	330,018.03	237,673.20	(92,344.83)	237,673.20	0.00	0.00
VP Research & Economic Development	0.00	647,860.40	647,860.40	0.00	70,700.00	577,160.40
Provost Monitoring	0.00	0.00	0.00	0.00	0.00	0.00
Honors College	0.00	0.00	0.00	0.00	0.00	0.00
UNM West	0.00	0.00	0.00	0.00	0.00	0.00
Academic Affairs Subtotal	4,154,987.68	5,133,582.25	978,594.57	1,170,486.69	2,882,429.32	1,080,666.24
VP Student Affairs Administration	0.00	0.00	0.00	0.00	0.00	0.00
VP Student Affairs Independent Depts.	523,813.30	438,377.77	(85,435.53)	0.00	438,377.77	0.00
Associate VP Student Services	73,927.58	7,666.35	(66,261.23)	0.00	7,012.03	654.32
Associate VP Student Life	316,861.76	408,982.36	92,120.60	0.00	408,982.36	0.00
Student Affairs Subtotal	914,602.64	855,026.48	(59,576.16)	0.00	854,372.16	654.32
EVP of Administration Office	26,221.64	9,434.60	(16,787.04)	9,434.60	0.00	0.00
Board of Regents	0.00	0.00	0.00	0.00	0.00	0.00
Undesignated I&G Balance and Other Monitoring	0.00	0.00	0.00	0.00	0.00	0.00
Police Department	118,192.09	99,877.32	(18,314.77)	0.00	99,877.32	0.00
Environ Health and Safety	285,232.60	164,759.35	(120,473.25)	0.00	121,200.00	43,559.35
UNM Policy Office	0.00	0.00	0.00	0.00	0.00	0.00
Intercollegiate Athletics	2,038,364.80	486,091.74	(1,552,273.06)	486,091.74	0.00	0.00
Financial Services	859,342.11	995,634.97	136,292.86	534,810.71	460,824.26	0.00
Associate VP for Facilities Mgmt	83,252.02	68.11	(83,183.91)	0.00	0.00	68.11
Human Resources	164,292.05	164,292.05	0.00	0.00	160,000.00	4,292.05
VP Institutional Support Services	34,139,095.88	26,757,634.93	(7,381,460.95)	19,052,343.26	7,556,866.67	148,425.00
Government & Community Relations	0.00	0.00	0.00	0.00	0.00	0.00
Information Technologies	1,921,050.55	1,535,570.23	(385,480.32)	1,025,380.54	410,000.00	100,189.69
Controller Monitoring Orgs	20,215,315.37	8,781,291.73	(11,434,023.64)	743,771.78	8,037,519.95	0.00



Attachment XI - Summary of Plant Funds by College/School/Division

FYE 2021 - Capital Outlay

College/School/Division	FY 20 YE Reserve	FY 21 YE Reserve	Change	Committed	Dedicated	Discretionary
Administration Subtotal	59,850,359.11	38,994,655.03	(20,855,704.08)	21,851,832.63	16,846,288.20	296,534.20
Advancement	0.00	0.00	0.00	0.00	0.00	0.00
HSC Administration	200,651,710.95	12,319,670.94	(188,332,040.01)	7,996,657.84	4,323,013.10	0.00
School of Medicine	19,218,057.10	18,585,115.25	(632,941.85)	7,136,636.53	11,448,478.72	0.00
College of Nursing	3,969,890.73	5,440,263.93	1,470,373.20	2,794,444.00	2,645,819.93	0.00
College of Pharmacy	995,618.86	984,497.38	(11,121.48)	57,777.76	926,719.62	0.00
HSC Subtotal	224,835,277.64	37,329,547.50	(187,505,730.14)	17,985,516.13	19,344,031.37	0.00
Gallup Branch	570,559.60	974,239.65	403,680.05	907,249.10	66,990.55	0.00
Los Alamos Branch	1,441,684.87	1,711,531.20	269,846.33	0.00	1,711,531.20	0.00
Taos Branch	3,422,658.50	4,920,833.64	1,498,175.14	2,154,148.00	1,991,007.00	775,678.64
Valencia County Branch	13,037,313.85	6,058,365.42	(6,978,948.43)	5,979,237.23	79,128.19	0.00
Branch Subtotal	18,472,216.82	13,664,969.91	(4,807,246.91)	9,040,634.33	3,848,656.94	775,678.64
Total Plant Funds	308,778,008.88	96,395,221.16	(212,382,787.72)	50,048,469.78	44,039,788.24	2,306,963.14

TAB 12

#12

UNM Foundation Fundraising and Investment Performance Report –
June 30, 2021

Fundraising Performance Report
FY 20/21, June 30, 2021

Gift Commitments (Fiscal Year)	This Quarter		FY 20/21	GOAL	FY 19/20			
Main Campus								
- Cash/Cash Equivalents	\$	5,096,668	\$	11,460,402	N/A	\$	12,630,499	
- In-Kind	\$	7,878	\$	973,521	N/A		821,996	
- Pledges	\$	928,200	\$	3,006,950	N/A		978,500	
- Testamentary	\$	1,945,000	\$	12,286,643	N/A		16,275,747	
Sub-Total	\$	7,977,746	\$	27,727,516	\$	21,500,000	\$	30,706,742
HSC								
- Cash/Cash Equivalents	\$	2,839,334	\$	23,642,942	N/A	\$	28,251,638	
- In-Kind	\$	133,219	\$	509,929	N/A		484,600	
- Pledges	\$	28,700	\$	211,263	N/A		561,938	
- Testamentary	\$	2,204,000	\$	5,687,014	N/A		7,358,516	
Sub-Total	\$	5,205,253	\$	30,051,148	\$	24,627,500	\$	36,656,692
Athletics								
- Cash/Cash Equivalents	\$	920,013	\$	3,444,149	N/A	\$	2,474,627	
- In-Kind	\$	1,000	\$	428,733	N/A		1,406,141	
- Pledges	\$	-	\$	-	N/A		-	
- Testamentary	\$	25,000	\$	25,000	N/A		917,597	
Sub-Total	\$	946,013	\$	3,897,882	\$	4,000,000	\$	4,798,365
Other Campus Units *								
- Cash/Cash Equivalents	\$	4,106,456	\$	16,000,458	N/A	\$	15,413,983	
- In-Kind	\$	99,500	\$	442,977	N/A		668,885	
- Pledges	\$	-	\$	445,000	N/A		25,000	
- Testamentary	\$	70,000	\$	6,810,540	N/A		6,334,721	
Sub-Total	\$	4,275,956	\$	23,698,975	\$	29,872,500	\$	22,442,589
Total	\$	18,404,968	\$	85,375,521	\$	80,000,000	\$	94,604,388

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter		FY 20/21	FY 19/20	FY 18/19			
UNM Foundation	\$	12,655,291	\$	57,597,074	\$	63,793,148	\$	61,049,649
Reported Gifts *	\$	5,749,677	\$	27,778,447	\$	30,811,240	\$	40,128,593
Total	\$	18,404,968	\$	85,375,521	\$	94,604,388	\$	101,178,242

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 20/21, June 30, 2021

Gift Commitments (Fiscal Year)	FY 20/21	FY 19/20	FY 18/19
Gifts for UNM's Current Use			
Cash/Cash Equivalents	\$ 38,908,534	\$ 48,953,571	\$ 41,082,747
In Kind	\$ 2,355,160	\$ 3,381,622	\$ 11,294,356
Total Gifts for UNM's Current Use	\$ 41,263,694	\$ 52,335,193	\$ 52,377,103
Gifts for UNM's Future			
Cash/Cash Equivalents to the Endowment	\$ 15,639,417	\$ 9,817,176	\$ 9,100,408
Pledges	\$ 3,663,213	\$ 1,565,438	\$ 2,537,169
Testamentary Gifts	\$ 24,809,197	\$ 30,886,581	\$ 37,163,560
Total Gifts for UNM's Future	\$ 44,111,827	\$ 42,269,195	\$ 48,801,137
Total Gift Commitments	\$ 85,375,521	\$ 94,604,388	\$ 101,178,240

Pledges and Testamentary Gifts due	FY 20/21	FY 19/20	FY 18/19
Beginning Balance Pledges Receivable	\$ 8,730,412	\$ 19,822,964	22,466,967
Add: New Pledges	\$ 3,663,213	\$ 1,565,438	2,524,668
Less: Pledge Payments	\$ (4,951,719)	\$ (3,489,332)	(4,762,001)
Less: Pledges Cancelled/Modified/Written Off	\$ (723,000)	\$ (9,168,658)	(406,670)
Ending Balance Pledges Receivable	\$ 6,718,906	\$ 8,730,412	\$ 19,822,964
Testamentary Pledges Due	\$ 231,917,313	\$ 218,292,411	189,543,072
Total Pledges and Testamentary Gifts Due	\$ 238,636,219	\$ 227,022,823	\$ 209,366,036

Performance Measures	This Quarter	FY 20/21	FY 19/20	FY 18/19
Gift Commitment Income	\$ 18,404,968	\$ 85,375,521	\$ 94,604,388	\$ 101,178,240
# of Gifts	6,297	26,344	27,285	27,719
# of Donors	2,887	8,826	8,914	9,482

Efficiency Measures	FY 20/21	FY 19/20	FY 18/19	FY 17/18
Cost per Dollar Raised *	\$0.13	\$0.13	\$0.13	\$0.13

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 20/21, June 30, 2021

Investment Performance Results	Market Value	1-Year	3-Year	5-Year	10-Year
FY 20/21, June 30, 2021	\$ 580,297,462	31.3%	11.0%	10.6%	8.0%
Custom Benchmark *		29.9%	11.1%	10.8%	7.9%
FY 19/20 (June 30, 2020)	\$ 444,630,810	-0.2%	4.0%	4.5%	6.9%
Custom Benchmark *		0.5%	4.7%	5.0%	6.9%
NACUBO/Commonfund **		N/A	N/A	N/A	N/A

* Custom Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study median return (\$251 million to \$500 million)

Consolidated Investment Fund - Asset Allocation

FY 20/21, June 30, 2021

Investment Class	Current Allocation	Target Allocation	Investment Policy Ranges
Domestic Equity	27.5%	37.0%	10% - 50%
International Equity	20.3%	25.0%	10% - 40%
Fixed Income/Cash	14.4%	10.5%	10% - 50%
Illiquid Real Assets	3.1%	3.5%	0% - 15%
Marketable Alternatives	16.5%	8.0%	5% - 20%
Private Investments	18.2%	16.0%	0% - 20%

Consolidated Investment Fund - Spending Distribution

FY 20/21, June 30, 2021

	FY 20/21 Approved Distribution Rate	FY 21/22 Approved Distribution Rate
CIF Spending Distribution		
Endowment Spending Distribution	4.50%	4.50%



Regents' Endowment

In June 1983, the University of New Mexico Board of Regents established the Regents Endowment with \$622,315. An additional \$20,971,886 was added to the endowment from the sale of University land in fiscal years:

1985/86: \$1,332,640	1990/91: \$3,500,000
1987/88: \$1,400,000	2006/07: \$8,045,923 (Mesa Del Sol)
1988/89: \$1,723,724	2020/21: \$3,041,445
1989/90: \$1,928,154	

The Regents Endowment is a “quasi endowment” which is co-invested along with other University and UNM Foundation endowments in the Consolidated Investment Fund. The endowment corpus and any annual spending distributions from the endowment to the University may be used at its discretion.

The Board of Regents initially designated the monies for recruitment and retention of outstanding faculty, student merit-based scholarship programs and to develop University owned real estate. In 2005, the Board of Regents approved Regents' Policy 7.19 that expanded the use of monies. The University has historically designated the monies to scholarships, professorships, lectureships, fellowships, study abroad programs, minority faculty hiring, the President's Advancement Fund, and tuition assistance programs.

Market Value (at 06/31/2021): **\$32,281,671**

CIF Investment Performance: 1-Year	31.3%
3-Year	11.0%
5-Year	10.6%
10-Year	8.0%

Spending Distributions: (5-year History)	2016/17	\$959,775
	2017/18	\$964,646
	2018/19	\$939,572
	2019/20	\$917,053
	2020/21	\$947,085

Withdrawals from Corpus: (Approved by Regents)	2005/06	\$2,000,000	ASM Student Investment Fund
	2005/06	\$1,888,233	Purchase Properties
	2008/09	\$880,525	Regents Scholarship
	2012/13	\$1,100,000	Baseball Field
	2014/15	\$761,918	Innovate ABQ
	2016/17	\$1,000,000	UNM Branding Campaign
	2017/18	\$814,207	UNM Athletics Funding
	2018/19	\$798,039	UNM Athletics Funding
	2019/20	\$350,000	Enrollment Management Initiatives
	2019/20	\$487,500	Grand Challenges
	2020/21	\$162,500	Grand Challenges
	Total	\$10,242,922	



Winrock Land Sale Endowment

In November 2001, the University of New Mexico Board of Regents established the Winrock Land Sale Endowment with \$25 million in proceeds from the sale of the Winrock Shopping Center property.

The Winrock Land Sale Endowment is a “quasi endowment” which is co-invested along with other University and UNM Foundation endowments in the Consolidated Investment Fund. The endowment corpus and any annual spending distributions from the endowment to the University may be used at the Regents’ discretion.

The University has historically designated the monies to national merit scholarships, need-based financial aid, faculty retention, graduate fellowships and travel grants, capital improvements. To date, over \$20 million has been distributed from the endowment for these programs.

Market Value (at 06/30/2021): **\$34,370,729**

CIF Investment Performance:	1-Year	31.3%
	3-Year	11.0%
	5-Year	10.6%
	10-Year	8.0%

Spending Distributions:	2016/17	\$1,019,950
(5-year History)	2017/18	\$1,024,422
	2018/19	\$1,027,828
	2019/20	\$1,034,960
	2020/21	\$1,108,186



Hugh B. and Helen K. Woodward Endowment

In 1982, the University of New Mexico Board of Regents established the Hugh B. and Helen K. Woodward Endowment to receive and invest distributions from the Woodward Trust.

The University receives 45% of the annual net income from the Woodward Trust administered by the Sandia Foundation, a Hugh and Helen Woodward Charity, in accordance with the will of Hugh B. Woodward. UNM's share of these annual distributions (approximately \$1.5 million annually) from the Sandia Foundation are deposited in the Hugh B. and Helen K. Woodward Endowment.

The Hugh B. and Helen K. Woodward Endowment is co-invested along with other University and UNM Foundation endowments in the Consolidated Investment Fund

The Last Will and Testament of Mr. Woodward stated:

It is my hope and wish, but I do not require, that a substantial portion of the annual net income shall be used by the Regents of the University of New Mexico: (1) to financially assist deserving students to begin and complete their college education, (2) to establish and maintain scholastic awards, grants scholarships and prizes to be conferred upon individual students, including students in the School of Law and the School of Medicine, when established, in recognition of achievement and usefulness to said university and to its student body, and (3) to supplement regular salaries of the Dean of the School of Law and any deserving full professor of said school and the Dean of the School of Medicine and any deserving full professor of said school devoting full time to his professional employment. No more than Six Thousand Dollars (\$6,000.00) of the moneys passing to the Regents of the University of New Mexico annually shall be utilized to supplement the salaries as provided in subpart (3) of this paragraph.

Market Value (at 06/30/2021): **\$68,458,514**

CIF Investment Performance:	1-Year	31.3%
	3-Year	11.0%
	5-Year	10.6%
	10-Year	8.0%

Spending Distributions:	2016/17	\$2,053,266
(5-year History)	2017/18	\$2,146,914
	2018/19	\$2,236,177
	2019/20	\$2,330,920
	2020/21	\$2,562,137

The FY2020/21 endowment distribution was used for Regents' merit scholarships, presidential scholarships, UNM scholars and national merit scholarships.



UNIVERSITY OF NEW MEXICO

BOARD OF REGENTS

OCTOBER 2021

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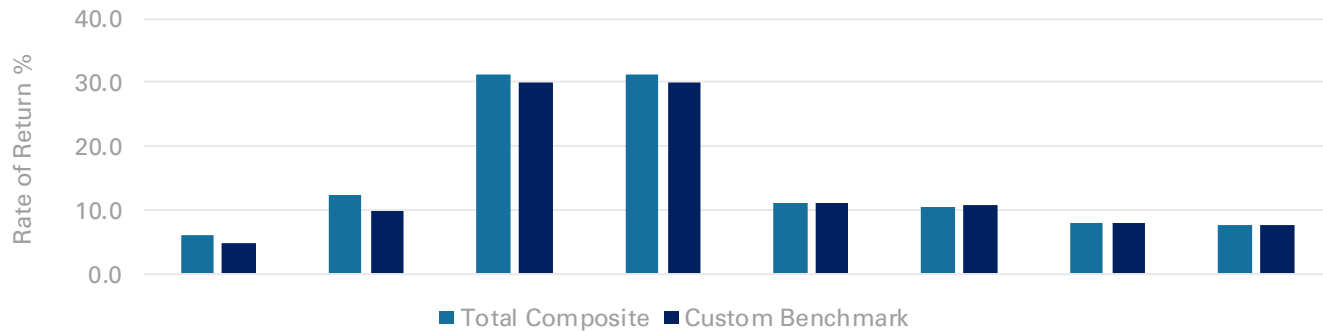
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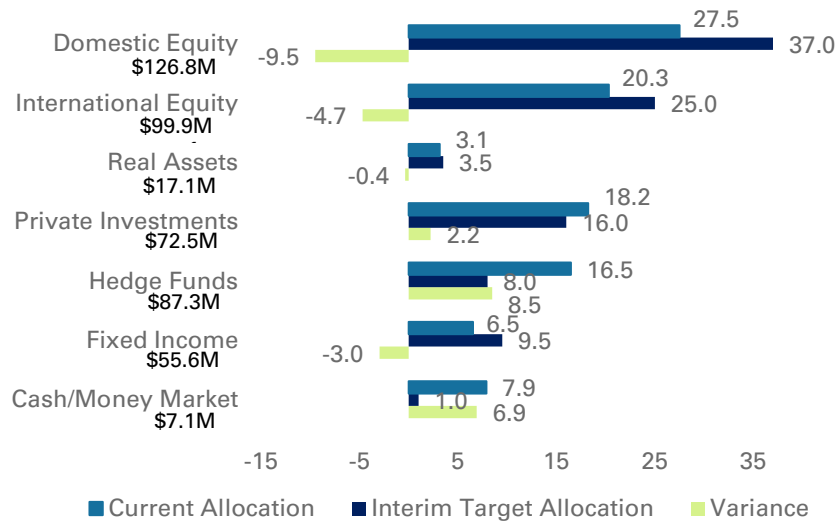


PERFORMANCE SUMMARY

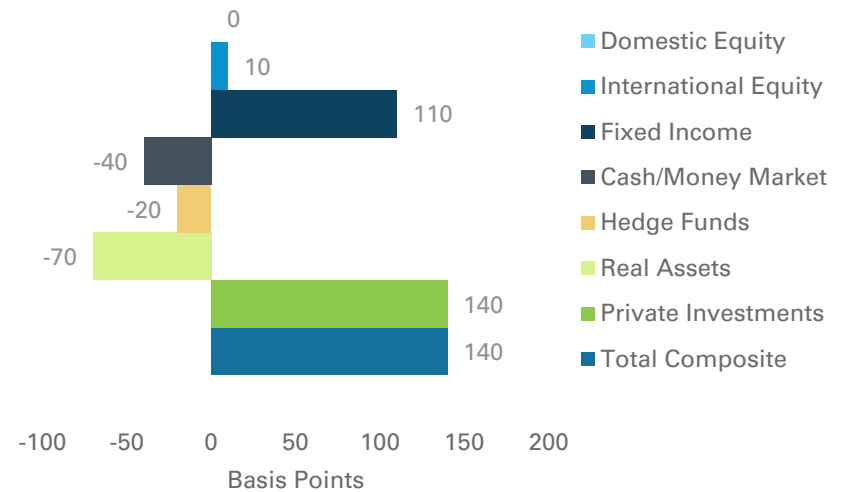
	Market Value (\$)	3 Mo (%)	Calendar YTD (%)	Fiscal YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	10 Yrs (%)	Inception (%)	Inception Date
Total Composite	578,992,478	6.2	12.4	31.3	31.3	11.0	10.6	8.0	7.6	7/1/1989
<i>Custom Benchmark</i>		<i>5.0</i>	<i>9.9</i>	<i>29.9</i>	<i>29.9</i>	<i>11.1</i>	<i>10.8</i>	<i>7.9</i>	<i>7.7</i>	<i>7/1/1989</i>



Asset Allocation



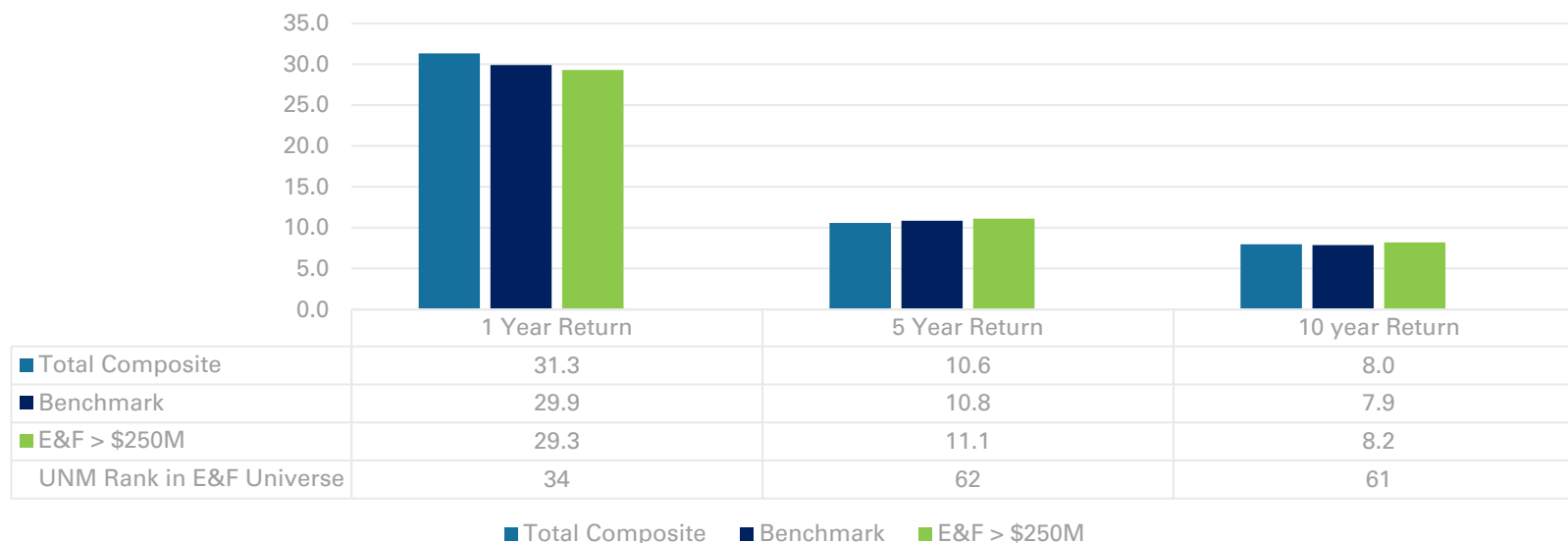
Attribution Analysis – 1 Yr ending June 30, 2021



Data as of 6/30/2021

EXECUTIVE SUMMARY

- UNM CIF's performance was very strong, **gaining 31.3%** over the last fiscal year and bringing the CIF portfolio value to **\$579 million**. The CIF outperformed the custom benchmark by 1.4%. The portfolio ranked in the first quartile of its E&F peers during the quarter and in the 34th percentile for the most recent 1-Yr period. Longer term, the CIF ranked in the third quartile of its E&F peer performance.

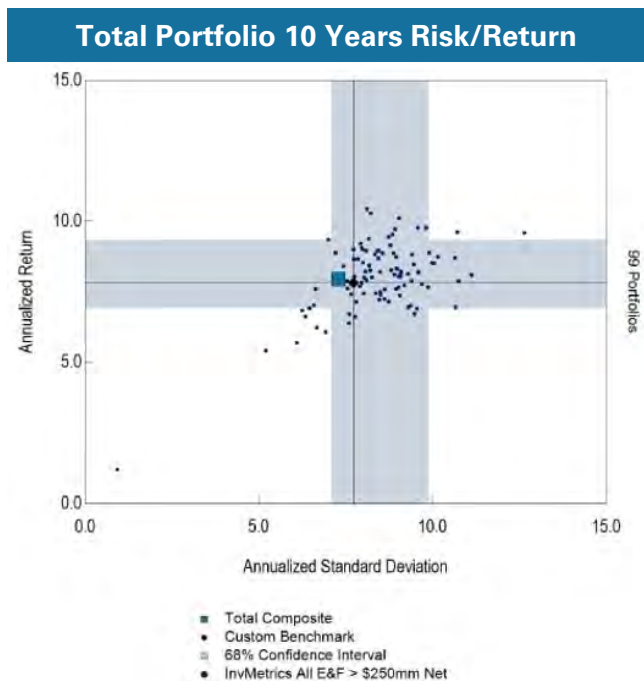


- Private markets led the rally, returning 10.3% during Q2 and 49.1% over the 1-Year period, outperforming the Private Investments Custom Benchmark by 9.9% and 6.0%, respectively. Longer term, both public and private equity have been the primary drivers of return in the portfolio.



Data as of 6/30/2021 Note: Universe used in comparison is the InvMetrics All E&F>\$250m Net

RISK/RETURN ANALYSIS



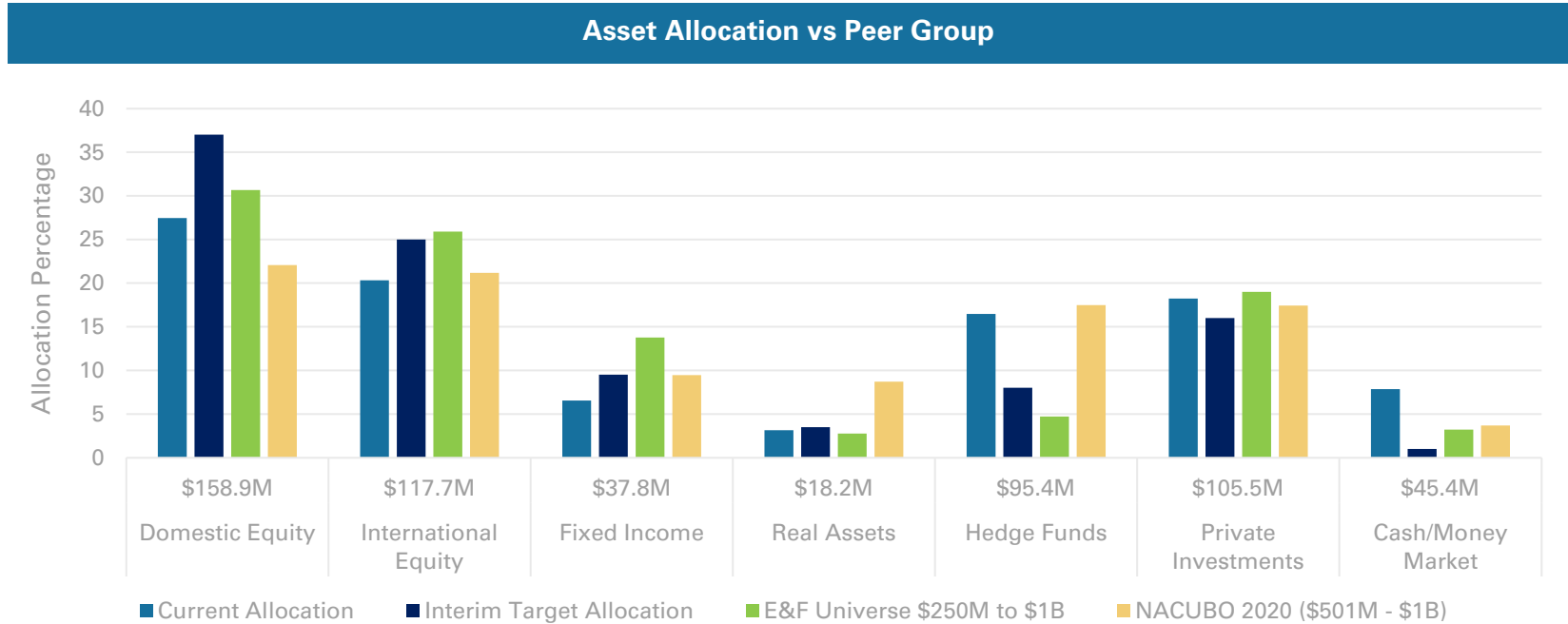
10 Years Return	Annualized Return	Annualized Excess BM Return	Sharpe Ratio (Rank)
Domestic Equity	14.34%	-0.32%	0.98
International Equity	6.11%	0.66%	0.38
Fixed Income	3.22%	0.27%	0.69
Hedge Fund	6.09%	2.23%	1.39
Real Assets	-0.45%	-7.34%	-0.11
Private Investments	13.09%	0.58%	1.47
Total Composite	7.96%	0.09%	1.01 (20)
Benchmark	7.87%	0.00%	0.94 (39)

- Total Portfolio achieved higher return with lower risk than its peers, resulting in better risk adjusted return than its benchmark and the first quartile ranking in its E&F universe based on Sharpe Ratio.
- Equity investments, both public and private, drove returns over the last 10 years, as these markets have experienced robust results following the Great Financial Crisis.
- While hedge funds performed well versus their benchmark, this active investment style did not keep up with the strong equity market.
- Real Assets were the weakest performer in the portfolio. Long-term results were negative and largely underperformed benchmark.



Data as of 6/30/2021

ASSET ALLOCATION



- Asset Classes have specific purpose in the portfolio. Please see following page for further information
- UNM Foundation has had a higher level of hedge fund investments at the expense of more traditional exposures by the peer group. The target approved in FY 21 reduces the targeted allocation to hedge funds
- Private investments exposure is on target and in line with peers
- Cash levels are above target due to the transactions being undertaken at the around the last fiscal year end



Portfolio and benchmark data as of 6/30/2021. E&F \$250M to \$1B represent 74 Endowments & Foundations reporting to Investment Metrics with greater than \$250M in reported assets and are as of 6/30/2021. NACUBO 2020 are from the 2020 NACUBO-TIAA Study of Endowments

ASSET CLASS OBJECTIVES

Asset Class	Portfolio Role	Interim Target Allocation	Long-Term Target Allocation
Return Seeking			
US and Non-US Public Equity	<ul style="list-style-type: none"> • Passive implementation – low-cost option • Capital appreciation • Capture global growth 	62%	60%
Private Investments	<ul style="list-style-type: none"> • Skill-based active management • Higher return expectations than public equity • Includes growth-oriented investments 	13%	17%
Opportunistic Fixed Income	<ul style="list-style-type: none"> • Higher return expectations than investment grade bonds • Adds diversification to portfolio 	3%	3%
Diversifying Assets			
Real Assets (Private Real Estate and Energy)	<ul style="list-style-type: none"> • Inflation hedge • Income production potential • Adds diversification 	3.5%	5%
Hedge Funds	<ul style="list-style-type: none"> • Skill-based active management • Low correlation to traditional stocks and bonds • Targeted exposure or absolute return-based strategies 	8%	5%
Capital Preservation			
Core Fixed Income & Cash	<ul style="list-style-type: none"> • Downside protection • Risk reduction 	10.5%	10%



YEAR IN REVIEW

Meeting Date	Activity	Status
November 2020	<ul style="list-style-type: none"> • Portfolio and Market Review • Total Enterprise Management Analysis (TEM Survey) • Initial Portfolio Review • Private Equity Recommendation (GreenSpring X) 	<p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p>
February 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Asset Allocation Recommendations • Private Equity Recommendation (Bond Capital II) • Real Estate Recommendation (Bain Capital Real Estate II) • TEM Analysis Update • Donor Directed Investment Options 	<p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p>
May 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Private Markets Pacing Plan • Asset Class Reviews – Equities, Hedge Funds, Fixed Income • ESG Ratings Introduction • New Target Asset Allocation Benchmarks • Public Securities Recommendations (JOHCM EAFE, Axiom Intl Small Cap, Arrowstreet Emerging Markets, SoMa Partners) • Implementation Plan and Rebalancing 	<p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed</p> <p>Completed (SoMa to be funded later)</p> <p>Ongoing</p>
August 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Portfolio Implementation/Updates • Year in Review / 2022 Workplan Review 	



WORK PLAN

Meeting Date	Activity	Status
November 2021	<ul style="list-style-type: none"> • Performance and Portfolio Review • Private Markets Pacing Plan Update • Private Equity Recommendation (tentative) • Private Credit Recommendation (tentative) • Hedge Fund Recommendation (tentative) • Public Securities Recommendations (Emerging Markets Small Cap – tentative depending on registration) 	
February 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Investment Policy Statement Update • ESG Policy Subcommittee • Asset Allocation Review • Asset Class Review – Private Markets 	
May 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Custodian Review • Asset Class Review – Hedge Funds 	
August 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Year in Review • Asset Class Review – Equity 	
November 2022	<ul style="list-style-type: none"> • Performance and Portfolio Review • Asset class review – Fixed Income 	



NEPC DISCLOSURES

Past performance is no guarantee of future results.

All investments carry some level of risk. Diversification and other asset allocation techniques do not ensure profit or protect against losses.

Some of the information presented herein has been obtained from external sources NEPC believes to be reliable. While NEPC has exercised reasonable professional care in preparing this content, we cannot guarantee the accuracy of all source information contained within.

The opinions presented herein represent the good faith views of NEPC as of the publication date and are subject to change at any time.

This presentation contains summary information regarding the investment management approaches described herein but is not a complete description of the investment objectives, portfolio management and research that supports these approaches. This analysis does not constitute a recommendation to implement any of the aforementioned approaches.



TAB 13

#13

Initial Discussion on AGB's Paper on Cash Flow Neutrality

DRAFT DISCUSSION DOCUMENT

At the July meeting of the AGB Council of Finance Committee Chairs (Council), the question was raised as to “how far is too far—that is, what is the appropriate balance between cost-cutting and serving students’ needs? Or, at what point does cutting costs ‘actually’ create unintended risk for the institution?” In considering this question, a view was expressed that boards need additional tools to evaluate the consequences of their financial decision making on the underlying financial strength of their institutional balance sheets. Specifically, there was an expressed belief that higher education required a business model that quantified and explained institutional “cash neutrality”.

For the purposes of this discussion draft, “cash neutral”, as determined by institutional leadership in consultation with a governing board, means that (a) an institution’s actual current operating revenues -i.e., Tuition, Room and Board, Grants & Contracts, etc. are in excess of actual cash outflows for all budget obligations -i.e., curricular and extra-curricular programs, student services, operations and incurred long-term liabilities related expenditures, etc., and (b) these projected revenues are reasonably expected to be at least equal to projected cash outflows on an ongoing basis.

The import of building an institutional business model that quantifies “cash neutrality” is to provide the transparency required to allow boards to understand what deficits are being built into institutional balance sheets regarding such items as deferred maintenance, retirement funding shortfalls, or other long-term commitments/obligations requiring dedicated funding streams when institutional leaders are forced to make the “hard” cost cutting/deferred spending decisions.

Questioning the need for a business model that helps quantify institutional “cash neutrality” appears timely as many higher education leaders have identified financial stability due to a variety of different factors as the most significant existential threat to their institutions today. From shifting demographics of college bound high school students, to the lingering impacts of the COVID pandemic, to increasing competitive pressure from peer institutions, higher education balance sheets are facing the strongest economic headwinds not seen since the financial crisis of 2007-2008.

In seeking to understand and mitigate the impact of the current economic climate and the consequences of the decisions made, leadership must be able to evaluate each through several lenses. What are the near-term implications to faculty, staff, and students regarding institutional operations and academic quality? But just as important is an ability to understand the longer-term implications/consequences of each decision to determine if by deferring certain actions they hold the potential for longer-term institutional financial consequences.

The importance of this discussion for Council members is two-fold. The first is to highlight a growing recognition among university and college trustees there exists a real need to build a comprehensive business model that captures an organization’s operating and non-operating revenue and expenditure elements. Given no two higher education institutions are alike, key board committees need to empower organizational leadership to define, quantify and construct a financial business model tailored to their institution’s specific requirements. Recognizing institutional complexity, board committees must further be willing to invest the time and energy to work interactively with financial leadership to ensure the model once built meets the needs of the board in exercising their fiduciary responsibilities in a dynamic economic environment.

The second relates to how the board uses the model, in consultation with institutional leadership, to develop organizational parameters regarding key financial benchmarks with the objective of prioritizing critical institutional thresholds and building guardrails around institutional financial decision making. While building the business

model will have significant institutional utility regarding understanding the implications of the financial decisions being made, its true value should be measured by how the board in consultation with institutional leadership use it to assess a tolerance for institutional risk.

DISCUSSION DRAFT