

THE UNIVERSITY OF NEW MEXICO



August 6, 2019
12:30 p.m.
Scholes Hall, Roberts Room

TAB 1

Action Item 1

Call to Order, Confirmation of a Quorum, and Adoption of Agenda

The University of New Mexico
Board of Regents' Finance and Facilities Committee
August 6, 2019, 12:30 p.m.
Scholes Hall, Roberts Room
Open Meeting
AGENDA

ACTION ITEMS:

1. Call to Order, Confirmation of a Quorum, and Adoption of Agenda
2. Approval of Finance and Facilities Committee Meeting Summary from May 7, 2019
3. Approval of Disposition of Surplus Property for Main Campus for May, June, and July 2019
(Presenter: Bruce Cherrin, Chief Procurement Officer, Purchasing Department)
4. Approval of Quarterly Financial Actions Report and Certification through June 30, 2019 *(Presenter: Elizabeth Metzger, University Controller)*
5. Approval of Athletics' Enhanced Fiscal Oversight Program Report and Certification through June 30, 2019 and Information on Athletics' Report on Revenue, Expenditures, and Compliance through June 30, 2019 *(Presenters: Eddie Nunez, Dir., Athletics)*
6. Approval of Athletics to Present Quarterly Reports on Revenue, Expenditures and Compliance Beginning October 1, 2019
7. Approval of Appointments to the Innovate ABQ, Inc. Board of Directors *(Presenters: John Freisinger, Exce. Dir., Innovate ABQ, Inc. and Randy McDonald, Esq.)*
8. Approval of Appointments to the Harwood Foundation Governing Board *(Presenter: Stephanie Bennett Smith, Vice Chair, Harwood Foundation Governing Board)*
9. Approval of Regents' Endowment Accounts *(Presenter: Garnett Stokes, President)*

****EXECUTIVE SESSION**

10. Contract Approval of Athletics Department Multi-Media Rights and Assets Plan *(Presenter: Bruce Cherrin, Chief Procurement Officer, Purchasing Department)*
11. Recommendations for Consent Agenda Items on Full Board of Regents' Agenda *(Sandra Begay, Chair, Regents' Finance & Facilities Committee)*

INFORMATION ITEMS:

- None

COMMENTS:

- Open for Comments

****EXECUTIVE SESSION:**

- A. Vote to close the meeting and proceed into executive session.
- B. Discussion during the contract negotiation process of the contents of competitive sealed proposals solicited pursuant to the Procurement Code, *pursuant to Section 10-15-1H (6), NMSA (1978)*.
- C. Vote to re-open the meeting.
- D. Certification that only those matters described in paragraph B above were discussed in executive session, and any matter discussed in executive session will, if necessary, be subsequently ratified in the open session of the public meeting.

TAB 2

Action Item 2

Approval of Finance and Facilities Committee Meeting Summary from
May 7, 2019

THE UNIVERSITY OF NEW MEXICO
Board of Regents' Finance and Facilities Committee (F&F)
May 7, 2019 – Meeting Summary <<DRAFT>>

Committee Members Present: Regent Sandra Begay, Regent Rob Schwartz, and Regent Doug Brown

Non-Voting Members Present: Regent Kim Sanchez-Rael via conference call

Administration Present: Garnett Stokes, University President and Dr. Craig White, Senior Vice President for Finance and Administration

Presenters in Attendance: Bruce Cherrin, Purchasing; Elizabeth Metzger, Financial Services Division; Eddie Nunez, Athletics; Nicole Dopson, Academic Affairs; Norma Allen, Planning, Budget, & Analysis; Vahid Staples, Planning, Budget, & Analysis; Lisa Marbury, Institutional Support Services; Christos Christodoulou, School of Engineering; Kim Kloepfel, Student Health & Counseling; Richard Goshorn, UNM Valencia; Tom Neale, Real Estate; Chris Vallejos, Institutional Support Services; Rich Wood, Academic Affairs; Kenny Stansbury, UNM Foundation; and Henry Nemcik, UNM Foundation.

ACTION ITEMS:

- 1. Call to Order, Confirmation of a Quorum, and Adoption of Agenda.** Regent Begay called the meeting to order at 12:35 p.m. in Scholes Hall, Roberts Room, and confirmed that a quorum was established. **Regent Brown moved to adopt the agenda and Regent Begay seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- 2. Approval of Finance and Facilities Committee Meeting Summary from April 2, 2019.** **Regent Brown moved to approve and Regent Begay seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- 3. Approval of Disposition of Surplus Property for Main Campus for March & April 2019.** Bruce Cherrin gave the presentation. Regents' approval was requested for the disposition of surplus property for the months of March and April 2019. Items in the E-book are obsolete or beyond repair. **Regent Brown moved to approve and Regent Begay seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- 4. Approval of Quarterly Financial Actions Report and Certification through March 31, 2019 and Information on Monthly Consolidated Financial Reports for the Month Ended March 31, 2019.** Elizabeth Metzger gave the presentation. Regents' approval of the Quarterly Financial Actions Report for the third quarter was requested. The Quarterly Financial Actions Report is a one-page report submitted to the Higher Education Department (HED), comprised of "yes" or "no" questions regarding the University's financial transactions. Answering any question "yes" requires further information to be provided to HED. Question 6 was answered "yes."

Question 6 states: “Relative to the original fiscal year budget, experience any significant actual or anticipated financial changes that are not reflected in a submitted Budget Adjustment Request (BAR). Significant financial changes refers to fiscal activity that will result in a substantially reduced year-end fund balance or any increase in a fund balance deficit.”

By responding “yes” to Question 6, the additional information reported to HED will indicate the enrollment decrease of 7.86% in student credit hours and 7.17% in student headcount for the Fall 2018 semester. Tuition and fees were expected to be under budget by \$9.7M or 5.7%.

Ms. Metzger added that the budget was balanced with one-time funding of \$3M in discretionary central reserves, \$1M of uncommitted prior year BR&R funding, and \$2M of unbudgeted permanent and land income. The remainder of the shortfall was covered by a reduction of expenditures and use of department reserves. The reports are detailed in the E-book. **Regent Brown moved to approve and Regent Begay seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

Information Item:

Ms. Metzger continued to present the Monthly Consolidated Financial Reports through March 31, 2019. These informational reports describe the University's current and historical revenues and expenses. Discussion on the timing of the use of reserves was held, and Regent Begay requested analysis of the advantages and disadvantages of monthly or quarterly use of reserve funds for cash management purposes. The reports are detailed in the E-Book.

- 5. Approval of Athletics’ Enhanced Fiscal Oversight Program (EFOP) Report and Certification through March 31, 2019 and Information on Athletics’ Report on Revenue, Expenditures, and Compliance through March 31, 2019.** Nicole Dopson and Eddie Nunez gave the presentation. Regents' approval was requested for the third quarter Athletics' Enhanced Fiscal Oversight Program (EFOP) and Certification instituted by HED. Ms. Dopson explained the EFOP report describes the financial standing on resolving Athletics’ budget deficit and is provided to HED along with the Quarterly Financial Actions Report and Certification each quarter. The reports are detailed in the E-book. **Regent Brown moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

Information Item:

Ms. Dopson gave the presentation. The report compares the FY19 budget to quarterly actuals, and FY19 year-to-date (YTD) actuals to prior YTD actuals. The reports are detailed in the E-book.

- 6. Approval of Main and Branch Campuses’ FY 2019-2020 Budget & FY 2018-2019 Budget Adjustment Request (BAR).**

Approval of Main and Branch Campuses’ FY 2019-2020 Budget

Norma Allen gave the presentation. Regents’ approval was requested for the Main and branch campuses’ FY20 budget. The Main Campus budget is \$884.1M. The primary drivers for Main Campus are spending increases on capital projects, state appropriations, compensation, and contributions to group health insurance and employer Educational Retirement Board (ERB).

The branch campuses' budget is \$51.9M, and the primary drivers for the branch campuses are federal contract and grants revenue decreases and state appropriation and compensation increases. The reports are detailed in the E-book. **Regent Brown moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

Approval of FY 2018-2019 Budget Adjustment Request (BAR)

Ms. Allen gave the presentation. Regents' approval was requested for the FY19 Budget Adjustment Request (BAR) for Main Campus and branch campuses. The BAR is required to be submitted to HED by May 1. The reports are detailed in the E-book. **Regent Brown moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

7. Approval of Projects:

Lisa Marbury gave the presentation and provided a flow sheet of the capital outlay process and funding sources.

- a. **Request for Project Construction of the Dana C. Wood Formula Society of Automotive Engineering Racing Lab.** Ms. Marbury and Christos Christodoulou gave the presentation. The estimated project cost is \$1.5M and is funded from private donations. The report is detailed in the E-book. **Regent Schwartz moved to approve and Regent Brown seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- b. **Request for Project Construction of the Student Health and Counseling Phase 1 Renovation.** Ms. Marbury and Kim Kloeppe gave the presentation. The estimated project budget is \$2.4M, and \$1.25M is funded in institutional bonds and \$1.2M in department funds. The report is detailed in the E-book. **Regent Schwartz moved to approve and Regent Begay seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- c. **Request for Project Construction of Valencia Campus Photovoltaic Solar System Phase 3.** Ms. Marbury and Richard Goshorn gave the presentation. The estimated project budget is \$880K and is funded in full from local general bonds. The report is detailed in the E-book. **Regent Brown moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- d. **Request for Demolition of the Building Located at 1705 Mesa Vista Rd., NE.** Tom Neale gave the presentation. The estimated project budget is \$155K, and if the project is approved, Real Estate will work with Institutional Support Services to identify the funds to complete the demolition project. The report is detailed in the E-book. **Regent Brown moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

- e. **Request for Tenant Improvements for Sandia National Laboratories' Lease at the Advanced Materials Laboratory at the UNM Science and Technology Park.** Tom Neale gave the presentation. The estimated project cost is \$1.2M. The project funding consists of a contribution of \$245K from Sandia National Labs, \$5K contribution from Real Estate's capital reserve fund, and a \$1M loan from the Lobo Development Corporation. The rental payments received from Sandia National Labs and energy savings from the improvements would be used to repay the loan. The report is detailed in the E-book. **Regent Schwartz moved to approve and Regent Brown seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

8. Approval of:

a. Capital Funding Requests for FY 2019-2020

Lisa Marbury gave the presentation. Regents' approval was requested for the capital outlay priorities package for FY20. The capital outlay request package for FY20 projects must be submitted to HED by June 3, 2019. The report is detailed in the E-book. **Regent Begay moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

b. Five-Year Capital Plans

Ms. Marbury gave the presentation. Regents' approval was requested for the University's Five-Year Capital Outlay Plan. The report describes projects that will significantly improve and renew designated facilities on the University's campuses. The report is detailed in the E-book. **Regent Brown moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

9. Discussion and Approval of Regents' Endowment Accounts.

***Rich Wood requested that action item #9 be moved and discussed as an information item.

10. Recommendations for Consent Agenda Items on Full board of Regents' Agenda.

Regent Begay recommended items 3, 4, 5, and 7 be placed on the full Board of Regents' consent agenda.

INFORMATION ITEMS:

***Action item #9 was moved to be discussed as an information item.

Discussion and Approval of Regents' Endowment Accounts.

Rich Wood, Kenny Stansbury, and Henry Nemcik gave the presentation. Mr. Wood explained the presentation was not an action item, and the material presented was for informational purposes. Mr. Stansbury gave an overview of the Winrock Land Sale Endowment, the Regents' Endowment, and the Hugh B. and Helen K. Woodward Endowment. Discussion was held on the management of endowment funds and their dispersion in support of the University's initiatives. Regent Brown commented on the informal process and suggested the

development of measures to prioritize funds in order to help meet the University's needs. Mr. Wood summarized the University's Grand Challenges initiatives. The reports are detailed in the E-book.

COMMENTS:

There were no information items.

EXECUTIVE SESSION:

None

Regent Begay moved to adjourn at 3:14 p.m., and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.

TAB 3

Action Item 3

Approval of Disposition of Surplus Property for Main Campus for May, June, and July 2019

University Services
Marcos Roybal
Associate Director

Business Operations
1128 University Blvd NE
505.277.2366

CRLS
Clark Hall
505.277.5109

Copy Center
Dane Smith Hall
505.277.8267

Mailing Systems
1128 University Blvd NE
505.277.4124

Records Management
1128 University Blvd NE
505.277.1136

Shipping & Receiving
915 Camino de Salud
505.272.6302

Surplus Property
1128 University Blvd NE
505.277.2923

Disposition of Surplus Property Approval May 2019, June 2019 & July 2019

Date: July 22, 2019

To: Bruce Cherrin
Chief Procurement Officer
Purchasing Department

From: Marcos Roybal
Associate Director
University Services

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition detail list for the month of May 2019, June 2019 and July 2019.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.



THE UNIVERSITY OF
NEW MEXICO®

UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY

MAY 2019



Surplus Property Disposition - May FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
4	252235	Mailing Systems	Ford E350 Van	Ford	9/14/2001	\$24,649.00	\$0.00	Obsolete
3	181809	Center for High Tech Materials CHTM	CAVITY DUMPER SYSTEM	SpectraPhy	10/22/1987	\$21,375.00	\$0.00	Obsolete
1	260363	Mailing Systems	Dodge Caravan	Dodge	4/3/2003	\$16,590.00	\$0.00	Obsolete
2	N00016869	IT Computing Platforms	Blade Server Enclosure	Dell	6/18/2008	\$10,960.01	\$0.00	Obsolete
	N00026832	IT Academic Technologies	222-4818-PowerVault	Dell	6/30/2010	\$9,533.30	\$0.00	Cannibalized
	N00026833	IT Academic Technologies	222-4818-PowerVault	Dell	6/30/2010	\$9,533.30	\$0.00	Cannibalized
	N00024619	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	N00024620	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	261121	Chemistry Department	SPECTROPHOTOMETER	Varian	5/23/2003	\$8,160.00	\$0.00	Too Costly to Repair
	N00005176	Electrical Engr PI #22	Blackbody Calibration Source	Omega	8/16/2005	\$7,915.00	\$0.00	Obsolete
	N00020538	Center for Biomedical Engineering	FACSVantage SE cell sorter	CalInsttec	4/1/2009	\$7,000.00	\$0.00	Cannibalized
	N00011663	FM Utility Main	Server	Dell	5/1/2007	\$6,575.80	\$0.00	Obsolete
	N00008735	Bkst Main Campus Administration	Server	Dell	8/2/2006	\$5,461.50	\$0.00	Obsolete
	N00030000	Chemistry General Administrative	Nanopure - Water Purification System	ThermoScien	4/1/2011	\$5,353.66	\$0.00	Too Costly to Repair
	214478	Chemistry Department	GENERATOR FUNCTION	HewlettPac	4/24/1996	\$5,000.00	\$0.00	Obsolete
Total Asset Disposition (#)								15
Total Capitalization (\$)							\$156,114.77	
Total Net Book Value (\$)							\$0.00	



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505.277.2923

Disposition of Surplus Property – UNM Tag #260363

May 17, 2019


To: Marcos Roybal
From: UNM Mailing Systems
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #260363 (Dodge Caravan SE) was purchased on October, 18 2002 by UNM Mailing Systems for \$16,590. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,



Tonya Rintala
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
260363	Dodge Caravan	\$16,590.00	\$0	04/03/2003



INFORMATION TECHNOLOGIES

TO: UNM Inventory Control
 FROM: Kirsten Martinez, IT Fiscal Operations Director
 DATE: April 22, 2019
 SUBJECT: Request to Surplus Item – Blade Server Enclosure N00016869

This memo responds to a request for additional information and approval pertaining to a request for the surplus of assets dated 12/7/18, for the high dollar asset listed below. The Director of Fiscal Operations is requesting to surplus the following asset. The blade server enclosure is one part of a system that is composed of a minimum of two parts. A blade server cannot function without the blade center. The hardware is computer equipment in a production environment that holds servers that facilitates the operation of the servers within it. It has a computer component that allows management of the servers. It is part of a system of multiple parts that are tracked individually. The blade server enclosure is a server even though it by-it-self is missing some of the parts that a “traditional” server would have.

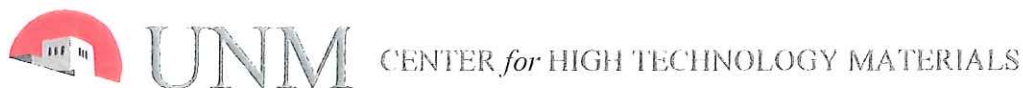
The equipment has reached its “End of Life” which means the vendor will no longer provide support the equipment or provide replacement parts. There are no longer any updates or patches offered on the equipment which can limit the ability to use it with new hardware and secure it against new threats. The hardware is now obsolete.

<u>UNM Tag#</u>	<u>Acquisition Date</u>	<u>UNM Serial #</u>	<u>Asset Desc</u>	<u>MFG</u>	<u>Model</u>	<u>Acquisition Cost</u>	<u>Net Book Value</u>
N00016869	6/30/08	BS9PFG1	Blade Server Enclosure	Dell	PowerEdge M1000E	\$ 10,960.01	0.00

Thank you.

Kirsten Martinez, Fiscal Operations Director

Asset	Description	Acq. Cost	NBV	Date
N00016869	Blade Server Enclosure	\$10,960.01	\$0	6/30/2008



Disposition of Surplus Property

April 17, 2019

To: UNM Surplus Property
From: Center for High Tech Materials
Subject: RDA for asset: 181809

To whom it may concern,

We at CHTM, request to delete asset: 181809 because it is taking up extra space in the labs and is not being used. The technology is very old, and new equipment is being used in replacement of this item which was being used as a cavity dumper system. The acquisition cost was \$21,375.00, has a net book value of \$0.00, and was acquired on October 22, 1987. To clear up space for the professors, we are asking this be taken to surplus.

Sincerely,

Nina Morales (CHTM Inventory Specialist)



Arash Mali, Director of CHTM

Asset#	Description	Acq. Cost	NBV	Date
181809	Cavity Dumpster System	\$21,375.00	\$0	10/22/1987

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1128 University Blvd NE
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Surplus Property
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505.277.2923

Disposition of Surplus Property – UNM Tag #252235

May 17, 2019

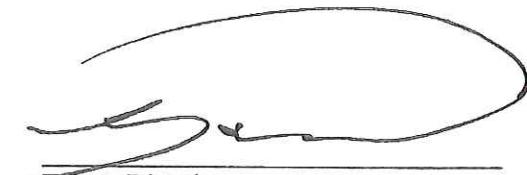
To: Marcos Roybal
From: UNM Mailing Systems
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #252235 (Ford E 350 Express Cutaway Van) was purchased on September 17, 2001 by UNM Mailing Systems for \$24,649. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,



Tonya Rintala
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
252235	Ford E350 Van	\$24,649.00	\$0	09/14/2001



UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY

JUNE 2019



Surplus Property Disposition - June FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
1	N00023984	Project ECHO	Polycorn	BTConf	1/13/2010	\$44,832.89	\$0.00	Obsolete
2	252235	UNM Mailing Systems	MV VAN	Ford	9/14/2001	\$24,649.00	\$0.00	Cannibalized
3	259045	Biology Department	ANALYZER	AgilentTech	12/6/2002	\$19,586.00	\$0.00	Obsolete
4	N00030881	IT Academic Technologies	Server	Dell	6/13/2011	\$18,312.40	\$0.00	Obsolete
4	N00030397	IT Academic Technologies	Server	Dell	4/1/2011	\$16,925.22	\$0.00	Obsolete
5	260363	UNM Mailing Systems	MV VAN	Dodge	4/3/2003	\$16,590.00	\$0.00	Cannibalized
6	N00010965	Sch Arch Planning Gen Admin	Large Format Scanner	Ideal	3/1/2007	\$14,579.32	\$0.00	Beyond Repair
7	N00014604	Institute for Public Health	Color Copier	Canon	1/3/2008	\$12,300.00	\$0.00	Obsolete
	259512	HSC CIO Office	COMPUTER SYSTEM UNIT	APC	1/30/2003	\$9,866.00	\$0.00	Obsolete
	N00017342	ASM MIDS Administration	Computer	HewlettPac	7/22/2008	\$9,255.00	\$0.00	Obsolete
	N00024621	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	N00024622	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	194272	Cell Biology Administration	SPECTROPHOTOMETER	HewlettPac	7/31/1991	\$8,296.00	\$0.00	Beyond Repair
	N00010189	AS Biology MSB Administration	Water Purification System	FisherScien	12/15/2006	\$7,658.09	\$0.00	Obsolete
	265250	Biology Department	IMAGER VIDEO SYSTEM	Kodak	3/1/2004	\$7,634.00	\$0.00	Cannibalized
	N00009227	AS Biology MSB Administration	Computer	MonarchCom	9/25/2006	\$7,129.36	\$0.00	Cannibalized
	N00018737	Physics Astronomy Department	Trailer	Duchman	10/16/2008	\$7,000.00	\$0.00	Obsolete



Surplus Property Disposition - June FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	N00031948	Pathology Faculty #R02	Freezer	VWR	7/22/2011	\$6,900.00	\$0.00	Beyond Repair
	N00030670	SOL Academic	Server	Dell	5/12/2011	\$6,772.50	\$0.00	Obsolete
8	N00059656	Vice Chancellor for Diversity	CopierMFD/BizhubC454	Konica Minolta	12/13/2016	\$6,661.32	\$369.98	Beyond Repair
	N00020776	Elec Computer Engr Administration	Dell PowerEdge Server	Dell	5/1/2009	\$6,005.23	\$0.00	Beyond Repair
	N00013477	Electrical Engr PI #3	Server	HewlettPac	10/30/2007	\$5,867.26	\$0.00	Beyond Repair
	N00022863	UME-ETS Educational Tech Support	Quad-Core Intel Xeon	Apple	9/9/2009	\$5,653.00	\$0.00	Beyond Repair
	190856	Molecular Genetics Microbiology	CYCLER THERMO	Perkin	7/20/1990	\$5,295.00	\$0.00	Obsolete
	N00021006	Elec Computer Engr Administration	Server	Dell	6/1/2009	\$5,246.01	\$0.00	Beyond Repair
	N00001564	AS Biology MSB Administration	Gradient Man	Eppendorf	11/22/2004	\$5,090.91	\$0.00	Obsolete
	N00020837	OMI Faculty #7	SDP-IP304-T10-PC Servers	Intel	6/3/2009	\$5,000.00	\$0.00	Obsolete
	N00020839	OMI Faculty #7	SDP-IP304-T10-PC Servers	Intel	6/3/2009	\$5,000.00	\$0.00	Obsolete
Total Asset Disposition (#)								28
Total Capitalization (\$)								\$306,112.71
Total Net Book Value (\$)								\$369.98





Project ECHO® (Extension for Community Healthcare Outcomes)

Disposition of Surplus Property – UNM Tag #N00023984

MEMO

February 27, 2019

To: Marcos Roybal
 From: Project ECHO
 Subject: Polycom Disposition

Dear Mr. Roybal:

UNM Tag #N00023984 (Polycom RMX2000) was purchased on September 3, 2009 by Project ECHO for \$44,832.89. The Polycom was our video conferencing bridge. It was used to bridge H.323 devices together in a video conferencing meeting. The system is over 10 years old and it is out of warranty, and does not have a current maintenance contract tied to it. The system is currently not working and we would need to purchase a maintenance contract to get it up and running. Last quote we got was 25-30k, so we decided not to go this route since it is an older outdated system. In its current state and age, I would say this system is not worth much but it is hard to say. IT looked online to see what similar systems in this state are running and it was \$100-\$1,500.

Should you have any additional questions please feel free to contact Angela Zamora (505) 272-9893.

Thank you,

Sanjeev Arora, MD
 Director
 Project ECHO

Asset #	Description	Acq. Cost	NBV	Date
N00023984	Equipment, Computer	\$44,832.89	\$0	1/05/2010

University Services
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Associate Director

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Surplus Property
1128 University Blvd NE
505.277.2923

Disposition of Surplus Property – UNM Tag #252235

May 17, 2019

To: Marcos Roybal
From: UNM Mailing Systems
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #252235 (Ford E 350 Express Cutaway Van) was purchased on September 17, 2001 by UNM Mailing Systems for \$24,649. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,



Tonya Rintala
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
252235	Ford E350 Van	\$24,649.00	\$0	09/14/2001

Dr. Melissa Sanchez
 Senior Research Scientist 1
 Molecular Biology Facility
 Department of Biology
 University of New Mexico
 Castetter Hall 229
 Albuquerque, New Mexico 87131

21 March 2019

Dear Surplus Department:

The MBF has an instrument that needs to be surplused. Instrument specifications:
 Wrong: UNM Asset Tag #: 259045 on banner S/N: 00071172D
 Correct: UNM Asset Tag #: 259045 on the actual equipment S/N: DE20901547
 Asset Description: ANALYZER
 Model: 2100 BIOANALYZE
 Total Cost: \$ \$19,586.00
 Add Date: 12/06/2002.

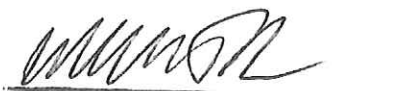
The instrument no longer functioned correctly and needed repairs and ultimately was cannibalized for parts. The MBF has since replaced the instrument with a newer working model, Instrument specifications: UNM Asset Tag #: N00014535 S/N: DE72901197 Add Date: 12/13/2007.

Please let me know if you require any other information concerning the instrument.

Yours sincerely,



Dr. Melissa Sanchez
 Senior Research Scientist 1


 William Foxman, Chair


 DATE

Asset#	Description	Acq. Cost	NBV	Date
259045	Analyzer	\$19,586.00	\$0	12/06/2002



INFORMATION
TECHNOLOGIES

TO: UNM Inventory Control

FROM: Kirsten Martinez, IT Fiscal Operations Director

DATE: May 14, 2019

SUBJECT: Request to Surplus Items

This memo responds to a request for additional information and approval pertaining to a request for the surplus of assets dated 5/2/19, for the high dollar assets listed below. The Director of Fiscal Operations is requesting to surplus the following assets. The IT Academic Technology department has determined that these servers are older and are now obsolete. The applications hosted on these systems have been retired or transferred to a virtual environment.

<u>UNM Tag#</u>	<u>Acquisition Date</u>	<u>UNM Serial #</u>	<u>Asset Desc</u>	<u>MFG</u>	<u>Model</u>	<u>Acquisition Cost</u>	<u>Net Book Value</u>
N00030881	6/7/11	8TV6VQ1	Server	Dell	PowerEdge R810	\$ 18,312.40	0.00
N00030397	4/6/11	8H6M9Q1	Server	Dell	PowerEdge R810	\$ 16,925.22	0.00

Thank you.

Kirsten Martinez, Fiscal Operations Director

Asset#	Description	Acq. Cost	NBV	Date
N00030881	Dell Server	\$18,312.40	\$0	6/07/2011
N00030397	Dell Server	\$16,925.22	\$0	4/06/2011

University Services
Marcos Roybal
Associate Director

Business Operations
1128 University Blvd NE
505.277.2366

CRLS
Clark Hall
505.277.5109

Copy Center
Dane Smith Hall
505.277.8267

Mailing Systems
1128 University Blvd NE
505.277.4124

Records Management
1128 University Blvd NE
505.277.1136

Shipping & Receiving
915 Camino de Salud
505.272.6302

Surplus Property
1128 University Blvd NE
505.277.2923

Disposition of Surplus Property – UNM Tag #260363

May 17, 2019

To: Marcos Roybal
From: UNM Mailing Systems
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #260363 (Dodge Caravan SE) was purchased on October, 18 2002 by UNM Mailing Systems for \$16,590. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,



Tonya Rintala
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
260363	Dodge Caravan	\$16,590.00	\$0	04/03/2003



May 29, 2019

To: UNM Surplus Property
From: UNM Indigenous Design and Planning Institute
Subject: Surplus: UNM Asset #N00010965

Dear UNM Surplus Property,

UNM Asset #N00010965 (Hawk-Eye G36 Scanner with wheels) was purchased on March 15, 2007 by UNM Indigenous Design and Planning Institute for \$14,579.32. The scanner was to be used to scan various maps and project posters for various projects and classes and has a net book value (NBV) of \$0. The scanner requires very high maintenance costs and parts, and is no longer used due to other efficient routes.

We believe our decision to send this scanner to UNM Surplus Property will result in cost savings, which include parts and labor. The scanner is also taking up space that is limited in the office. Thank you for your consideration.

Sincerely,

Handwritten signature of Theodore (Ted) Jojola

Theodore (Ted) Jojola, Ph.D.
Distinguished Professor • Regents' Professor
Community & Regional Planning Program
School of Architecture + Planning/UNM
Director, Indigenous Design + Planning Institute
idpi.unm.edu

tjojola@unm.edu
(505) 277-6428

Table with 5 columns: Asset#, Description, Acq. Cost, NBV, Date. Row 1: N00010965, Large Format Scanner, \$14,579.32, \$0, 3/15/2007

Disposition of Surplus Property – UNM Tag #N00014604

March 18, 2019

To: Marcos Roybal
From: Emerging Infections Program
Subject: Copy Machine Disposition

Dear Mr. Roybal:

UNM's Emerging Infections Program purchased a Canon Copier/Printer Model C33801, UNM Tag #N00014604 in January 2008 for \$12,300. This machine was used to support and conduct the daily business use of copying, scanning and printing of the department. In addition to this machine incurring high maintenance costs, the overall quality has greatly diminished, producing poor quality copies and print jobs leaving colored streaks, therefore no longer efficient for the overall productivity of the department.

We believe our decision to send this machine to UNM's Surplus Property will result in monthly cost savings in contracted maintenance and repair service fees. Thank you for your consideration.

Sincerely,



Anna Goodwin
Program Coordinator
Office for Community Health, EIP Program

Asset#	Description	Acq. Cost	NBV	Date
N00014604	Color copier	\$12,300.00	\$0	1/9/2008

May 17, 2019

Disposition of Surplus Property- UNM Asset # N00059656

From: Office for Diversity, Equity, and Inclusion
2500 Marble Ave. NE
HSSB Suite 102
Albuquerque, NM 87131

Subject: Copier/Printer Disposition

To whom it may concern,

UNM Asset Tag # N00059656 (BizHub Copier/printer) was purchased through the UNM Print Management program on September 17, 2013 by the Office for Diversity, Equity, and Inclusion for \$6661.32. The copier was used for printing and copying and has a net book value (NBV) of \$369.98. The printer has not been used since September of 2018 as it is no longer in working order. It takes up valuable real-estate in the work room area and we would greatly benefit in its removal. It was replaced last year by a more cost-efficient printer/copier.

Patrick Burwinkle, Accounting Manager from UNM Print Management and Kate Charleston, MACCT, Accounting Manager from UNM Contract and Grant Accounting, have also approved the surplus disposal of asset # N00059656.

Please let us know if you have any questions. Thank you for your consideration.

Sincerely,



Steven J Romero
Director of Operations
Office for Diversity, Equity, and Inclusion

Asset#	Description	Acq. Cost	NBV	Date
N00059656	Bizhub Copier	\$6,661.32	369.98	12/13/2016



THE UNIVERSITY OF
NEW MEXICO®

UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY

JULY 2019



Surplus Property Disposition - July FY20

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
1	N00014096	HSC CIO Office	Video Conference System	Polycom	11/28/2007	\$55,158.74	\$0.00	Obsolete
2	N00035775	IT Computing Platforms	DiskShelf/24x600GB	NetApp	5/14/2012	\$39,836.49	\$0.00	Obsolete
2	N00034130	IT Computing Platforms	DiskShelf/NetApp/24x600GB	NetApp	12/13/2011	\$35,521.36	\$0.00	Obsolete
3	168667	KUNM	TRANSMITTER	Broadcast	1/1/1985	\$34,220.00	\$0.00	Obsolete
2	N00025497	IT Computing Platforms	Fiber Channel Disk Shelf	NetApp	4/27/2010	\$21,602.36	\$0.00	Obsolete
2	N00030907	IT Computing Platforms	Networking Shelf	NetApp	6/10/2011	\$20,745.46	\$0.00	Obsolete
2	N00021436	IT Computing Platforms	Network Storage	ComDataSys	6/30/2009	\$18,904.78	\$0.00	Obsolete
4	N00027839	VPRED EPSCOR PI #1	Server	Dell	9/30/2010	\$16,808.52	\$0.00	Obsolete
2	N00025284	IT Computing Platforms	Storage System Drive	ComDataSys	4/7/2010	\$15,226.25	\$0.00	Obsolete
2	N00025285	IT Computing Platforms	Storage System Drive	ComDataSys	4/7/2010	\$15,226.25	\$0.00	Obsolete
2	N00025286	IT Computing Platforms	Storage System Drive	ComDataSys	4/7/2010	\$15,226.25	\$0.00	Obsolete
2	N00025493	IT Computing Platforms	SATA Disk Storage Unit	NetApp	4/27/2010	\$15,000.00	\$0.00	Obsolete
2	N00025495	IT Computing Platforms	SATA Disk Storage Unit	NetApp	4/27/2010	\$15,000.00	\$0.00	Obsolete
2	N00025496	IT Computing Platforms	SATA Disk Storage Unit	NetApp	4/27/2010	\$15,000.00	\$0.00	Obsolete
4	N00024446	VPRED EPSCOR PI #1	Server	Dell	2/10/2010	\$13,634.40	\$0.00	Obsolete
5	N00015957	SW Hispanic Research Institute	Multi-Media Scanner System	Totalmag	5/1/2008	\$11,817.91	\$0.00	Obsolete
5	N00015958	SW Hispanic Research Institute	Multi-Media Scanner System	Totalmag	5/1/2008	\$11,817.90	\$0.00	Obsolete



Surplus Property Disposition - July FY20

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	N00022691	Political Science Gen Admin	Ricoh Copier System	Ricoh	8/10/2009	\$7,759.34	\$0.00	Obsolete
	N00028278	IT Academic Technologies	Video Conferencing Unit	Polycom	11/17/2010	\$7,469.17	\$0.00	Obsolete
	N00022135	Taos Sci Nat Resouces Hlth Occup	Peripheral Kit Complete	Mci	7/23/2009	\$7,195.00	\$0.00	Obsolete
	260391	Physics Astronomy Department	COMPUTER CPU/SYSTEM	Tec	4/10/2003	\$6,580.00	\$0.00	Obsolete
	238124	Anthropology Department	STERILIZER	VWR	8/26/1999	\$5,811.00	\$0.00	Obsolete
	N00019834	Taos Facilities MngtFacility Rental	Server	Dell	2/1/2009	\$5,762.14	\$0.00	Obsolete
	187897	Physics Astronomy Department	OSCILLOSCOPE	HewlettPac	4/7/1990	\$5,160.00	\$0.00	Obsolete
Total Asset Disposition (#)								24
Total Capitalization (\$)								\$416,483.32
Total Net Book Value (\$)								\$0.00



MEMORANDUM

To: UNM Inventory Control/UNM Surplus

From: Thomas M. Gutierrez, Core IT Services Specialist



Date: July 2, 2019

Re: Surplus of N00014096 Polycom Video Conference System

The Health Sciences Center Chief Information Office (CIO) has the need to surplus the asset N00014096 Polycom Video Conference System. The asset was originally purchased on November 30th 2007 for \$55,158.74. It is a model SDP950/VSX7000E, serial number T7700146/8207240801E9B9. The current net book value NBV is \$0.00.

This unit was used for video conferencing in classrooms. It is no longer a supported model and we now exclusively use web-conferencing in the classroom environment, no hardware based solutions.

Please remove the asset from our inventory, Org code 340B.

Thanks,



Marcia Sletten
Manager Division Support Services

Asset#	Description	Acq. Cost	NBV	Date
N00014096	Video Conference System	\$55,158.74	\$0	11/30/2007



INFORMATION
TECHNOLOGIES

TO: UNM Inventory Control
 FROM: Kirsten Martinez, IT Fiscal Operations Director
 DATE: June 27, 2019
 SUBJECT: Request to Surplus Items Org 322A

This memo responds to a request for additional information and approval pertaining to a request for the surplus of assets dated 2/5/19, for the high dollar assets listed below. The Director of Fiscal Operations is requesting to surplus the following assets. The hardware is used for file storage. The equipment has reached its "End of Life" which means the vendor will no longer provide support on the equipment or provide replacement parts. There are no longer any updates or patches offered on the equipment which can limit the ability to use it with new hardware and secure it against new threats. The hardware is now obsolete.

<u>UNM Tag#</u>	<u>Acquisition Date</u>	<u>UNM Serial #</u>	<u>Asset Desc</u>	<u>MFG</u>	<u>Model</u>	<u>Acquisition Cost</u>	<u>Net Book Value</u>	<u>Grant</u>
N00021436	7/2/2009	SHU936310036F4A	Network Storage-X94015A-ESH4-QS-R5; SHlf	ComDataSys	DS14 MK4 SHLF	\$ 18,907.78	\$ -	2P0112
N00025493	5/3/2010	SHU897670040533	SATA Disk Storage Unit	Net App	DS14A-R5	\$ 15,000.00	\$ -	N/A
N00025284	4/16/2010	SHU93631002BE54	Storage System Drive	ComDataSys	DS14A-R5	\$ 15,226.25	\$ -	N/A
N00025285	4/16/2010	SHU93631002BE7E	Storage System Drive	ComDataSys	DS14A-R5	\$ 15,226.25	\$ -	N/A
N00025286	4/16/2010	SHU93631002FB23	Storage System Drive	ComDataSys	DS14A-R5	\$ 15,226.25	\$ -	N/A
N00030907	6/10/2011	SHU8976700405A8	Networking Shelf	Net App	R5-C	\$ 20,745.46	\$ -	N/A
N00035775	5/11/2012	SHU89767002E9A0	DiskShelf/24x600GB	Net App	24x2.0TB	\$ 39,622.78	\$ -	N/A
N00025497	5/3/2010	SHU897670040535	Fiber Channel Disk Shelf	Net App	DS14A-R5	\$ 21,602.36	\$ -	N/A
N00025496	5/3/2010	SHU89767004057A	SATA Disk Storage Unit	Net App	DS14A-R5	\$ 15,000.00	\$ -	N/A
N00025495	5/3/2010	SHU8976700404E4	SATA Disk Storage Unit	Net App	DS14A-R5	\$ 15,000.00	\$ -	N/A
N00034130	10/27/2011	SHJ936310118B67	DiskShelf/NetApp/24x600GB	Net App	24x600GB 15K 3GB SAS	\$ 35,521.36	\$ -	N/A

Thank you.



Kirsten Martinez, Fiscal Operations Director



Disposal of Surplus Property - UNM Tag # 168667 - Transmitter

May 31, 2019

To: Marcos Roybal
 From: KUNM Radio, Org 402A
 Subject: Transmitter Disposition

Dear Mr. Roybal,

UNM Tag **168667** (Broadcast Electronic radio transmitter) was purchased on January 1, 1985 for \$34,220.00. This transmitter was used to broadcast KUNM's radio signal from Sandia Crest in a UNM facility managed by NMPBS (KNME). The NBV for this transmitter is \$00.00.

In the broadcast industry, transmitters in 24x7x365 operation are generally thought to have a 12-year life span. KUNM purchased a new main transmitter (Asset 261847) on July 1, 2003. The older transmitter (**168667**) was placed into "auxiliary" service and was used during maintenance on our newer transmitter.

On 03/11/2013, KUNM bought another new transmitter (Asset N00040027) for Sandia Crest. Asset 261847 purchased in 2003 was placed into auxiliary status and Asset **168667** was retired from service put kept at the Crest for part.

Asset **168667** no longer works and will not produce a digital signal as is required in our FCC license. NMPBS has asked KUNM to remove the transmitter from our shared building due to current space constraints.

KUNM will disassemble a couple of the heavier components to make this transmitter easy to move. We will schedule with your team at Surplus so the trip by our truck from the Crest to UNM Surplus is safe and convenient for your team. The transmitter has an approximate foot print of 3'W x 4'D x 6'H. I am guessing it weighs 300 pounds. If outside storage is the best option, we can bring a tarp.

Thank you in advance for your review and approval of this disposition. Please contact me directly if you have questions or need further information.

Regards,

Richard Towne, KUNM General Manager

277-8009 and rtowne@unm.edu

Asset#	Description	Acq. Cost	NBV	Date
168667	Transmitter	\$34,220.00	\$0	1/01/1985



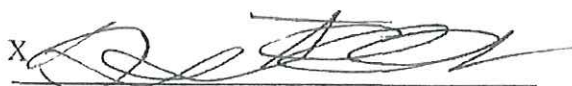
July 1, 2019

To: Macros Roybal
From: DataONE
Subject: Server disposal

Dear Mr. Roybal:

UNM Asset N00024446 was purchased on 2010-01-27 for \$13634.40 and asset N00027839 was purchased on 2010-07-08 for \$16808.52. They are both Dell servers that were used to host virtual machines for the DataONE cyber infrastructure. These servers are past end of life and now have a net book value of \$0. The systems that were previously running on these servers have been migrated to newer, faster, more reliable hosts and as such these servers are no longer used in our infrastructure.

Sincerely,

X 

Dustin Allen
Systems/Network Analyst

Asset#	Description	Acq. Cost	NBV	Date
N00024446	Dell PowerEdge Server	\$13,634.40	\$0	2/11/2010
N00027839	Dell PowerEdge Server	\$16,808.52	\$0	10/01/2010

Land Grant Studies Program

Disposition of Surplus Property – UNM Tags #N00015957 & #N00015958

June 21, 2019

To: Marcos Roybal
CC: Jacqueline Alderete; C. Arturo Archuleta
From: Land Grant Studies Program
Subject: Digital Film Viewers

Dear Mr. Roybal:

UNM Tags #N00015957 and #N00015958 were transferred from the UNM CULLS (University College of Libraries and Learning Sciences) to the New Mexico Land Grant Council/UNM Land Grant Studies Program in June of 2016. These scanners (both Scanview ST 200X models) are both severely outdated and only run on Windows PCs running on a 32-bit operating system no newer than Windows 7. Windows has not released a service pack on this software since February 2011 and has stopped supporting machines running this software since 2015.

We believe that our decision to send these items to UNM Surplus Property will have no impact on our operations and that they offer little value to any other UNM departments. UNM CULLS currently uses scanners two generations newer than these ST 200X scanners. Thank you for your consideration.

Sincerely,



Jacobo D. Baca, Ph.D.
Research Historian
Land Grant Studies Program &
New Mexico Land Grant Council



Cc: Jacqueline Alderete
Unit Administrator I
Southwest Hispanic Research Institute
Phone: 505-277-0991

Asset#	Description	NBV	Acq. Cost	Date
N00015957	Multi-Media Scanner	\$0	\$11,817.90	5/02/2008
N00015958	Multi-Media Scanner	\$0	\$11,817.90	5/02/2008

New Mexico Compilation Commission

13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.

TAB 4

Action Item 4

Approval of Quarterly Financial Actions Report and Certification through June 30, 2019




University Controller
Financial Services, Main Campus
Phone: (505) 277-5111
FAX: (505) 277-7662

MEMORANDUM

DATE: July 15, 2019

TO: Teresa Costantinidis
Senior VP for Finance and Administration

FROM: Elizabeth Metzger, CPA 
University Controller

RE: One (1) Action Item for Board of Regents' Finance & Facilities Committee Meeting

Action Items.

The New Mexico Higher Education Department, Institutional Finance Division, Quarterly Financial Actions Report and Certification through June 30, 2019 needs to be approved at the August 6, 2019 Finance & Facilities Committee meeting.

New Mexico Higher Education Department
Institutional Finance Division
Quarterly Financial Certification Report Template

Please complete and sign the following Financial Certification Report and submit with the Quarterly Financial Actions Report.

To the best of my knowledge, I certify that the information provided in the attached Financial Actions Report for the:

1st ___ 2nd ___ 3rd ___ 4th X Quarter, FY 2019

is correct as of the signature dates noted below, and that

The University of New Mexico

has a functioning financial accounting system that captures assets, liabilities, revenues, and expenditures on a timely basis, and the Governing Board receives timely notification of any significant actual or projected variances between budgeted and actual revenues and expenditures.

Sandra Begay, Chair, Board of Regents-F&F

Date

Garnett S. Stokes, President

Date

Teresa Costantinidis, Senior VP for Finance & Administration

Date

University of New Mexico

Quarterly Financial Actions Report

Fiscal year	2019	Date	7/24/2019
-------------	------	------	-----------

Period (check one)

Quarter 1	<input type="checkbox"/>	Quarter 2	<input type="checkbox"/>	Quarter 3	<input type="checkbox"/>	Quarter 4	<input checked="" type="checkbox"/>
-----------	--------------------------	-----------	--------------------------	-----------	--------------------------	-----------	-------------------------------------

During the period of time covered by this report; did your institution:

- | | | | | |
|---|-----|--------------------------|----|-------------------------------------|
| (1) Request an advance of state subsidy? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (2) Fail to make its required payments, as scheduled, to appropriate retirement system(s)? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (3) Fail to make its payroll payments, as scheduled? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (4) Fail to make its scheduled debt service payments? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (5) Fail to make payments to vendors, as scheduled, due to a cash deficiency or a substantial deficiency in the payment processing system? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (6) Relative to the original fiscal year budget, experience any significant actual or anticipated financial changes that are not reflected in a submitted Budget Adjustment Request (BAR). Significant financial changes refers to fiscal activity that will result in a substantially reduced year-end fund balance or any increase in a fund balance deficit. *See note below | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |

If the answer to any of the above questions is "Yes," please describe in a separate document:

- (i) the reason for the occurrence,
- (ii) the actions taken by your institution to resolve this particular occurrence, and
- (iii) the actions taken by your institution to prevent events such as this from occurring again.

In addition, if the answer to number 6 is "Yes," please describe in a separate document the nature of the financial changes and describe and assess the impact that the changes will have on your institution's planned year-end financial position. (See attached.)

* - BAR's for FY19 were submitted to HED on May 1

TAB 5

Action Item 5

Approval of Athletics' Enhanced Fiscal Oversight Program Report and Certification through June 30, 2019 and Information on Athletics' Report on Revenue, Expenditures, and Compliance through June 30, 2019

New Mexico Higher Education Department
Institutional Finance Division
University of New Mexico Athletics Department
Quarterly Enhanced Fiscal Oversight Program (EFOP) Report

Please certify the following EFOP report and submit with the Quarterly Financial Certification and Financial Action Reports.

To the best of my knowledge, I certify that the information provided in the attached EFOP report for the:

1st ___ 2nd ___ 3rd ___ 4th X Quarter, FY 2019

is correct as of the signature dates noted below:

The University of New Mexico

Sandra Begay, Chair, Board of Regents – Finance and Facilities Committee Date

Garnett S. Stokes, President Date

Teresa Costantinidis, SVP for Administration Date



To: Members of the Board of Regents' Finance & Facilities Committee

From: Eddie Nunez, Director of Athletics
Nicole Dopson, Director of Financial Operations for Academic Affairs

Date: August 6, 2019

Re: Approval of the FY19 Fourth Quarter Submission to Higher Education Department for the Enhanced Fiscal Oversight Program (EFOP)

In order to respond to concerns brought by the New Mexico Higher Education Department (NMHED), the University has agreed to provide the attached Enhanced Fiscal Oversight Program (EFOP) Report. This report will be provided in addition to the Quarterly Financial Actions Report and Certification that are already required for all higher education institutions.

The report will provide Athletics financial status through reporting templates provided by the Higher Education Department for the Athletics budget exhibits as well as a template for the plan associated with resolving the overall deficit within Athletics budget.

The EFOP report will accompany the report submitted by the Controller's Office until NMHED is satisfied with the University's progress on these issues.

Thank you for your consideration.

Budget Unit 350 - Administration

	Original Budget 2019		Actuals Quarter 1 - FY19		Actuals Quarter 2 - FY19		Actuals Quarter 3 - FY19		Actuals Quarter 4 - FY19		Increase / Decrease YTD	
	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues												
Tuition and Fees		3,681,708		1,936,355		-		1,450,816		-		294,537
State Appropriations		2,641,500		666,500		654,300		666,400		654,300		-
Private Gifts Grants and Contracts		-		-		-		-		-		-
Sales and Services		3,589,879		450,929		631,950		1,003,623		1,497,218		6,159
Other Sources		4,479,635		3,001,684		4,126,156		(11,436,043)		3,653,233		5,134,605
Total Revenues		14,392,722		6,055,468		5,412,406		(8,315,204)		5,804,751		5,435,301
Beginning Balance		(4,713,999)		(3,865,512)		(647,296)		-		(64,570)		(136,621)
Total Available		9,678,723		2,189,956		4,765,110		(8,315,204)		5,740,181		5,298,680
Expenditures												
Administrative Professional	31.50	2,292,949		528,067		506,877		556,047		523,688	31.50	178,270
Faculty Salaries	0.06	5,000		1,000		1,500		1,500		1,000	0.06	-
Federal Workstudy Salaries		-		-		-		134		368		(502)
GA TA RA PA Salary	6.68	204,721		33,464		36,696		37,297		34,064	6.68	63,200
Other Salaries	40.08	764,020		62,633		72,109		159,479		98,844	40.08	370,955
State Workstudy Salaries		-		-		259		329		-		(588)
Student Salaries	0.52	10,000		7,407		12,149		7,369		9,528	0.52	(26,453)
Support Staff Salary	2.00	78,780		9,907		7,255		(12,510)		1,277	2.00	72,851
Technician Salary	7.75	273,554		65,197		86,074		52,168		87,432	7.75	(17,317)
Accrued Annual Leave		-		-		-		-		(33,217)		33,217
Fica		199,993		42,628		41,672		54,899		48,754		12,040
Group Insurance		297,244		64,925		75,924		68,116		64,457		23,822
Other Staff Benefits		111,369		24,733		24,537		21,846		23,037		17,216
Retirement		363,387		88,996		79,643		143,598		26,333		24,817
Tuition Waivers		58,500		30,045		-		27,948		10,062		(9,555)
Unemployment Compensation		1,830		467		471		529		484		(121)
Workers Compensation		1,568		957		623		861		519		(1,392)
Charge Inst. Support		-		32,750		32,750		32,750		(98,250)		-
Contract Services		1,393,210		233,789		147,064		166,356		296,540		549,461
Cost of Good Sold		550		-		-		391		(5,000)		5,159
Electricity		330,000		-		-		-		-		330,000
Equipment		70,844		6,206		12,747		31,360		24,680		(4,149)
Fuel_Heat_Cool		60,000		-		-		-		-		60,000
Officials Expense 63T3		23,000		280		5,600		(5,600)		2,450		20,270
Services		-		-		-		-		-		-
Sewer_Other		175,000		543		-		1,342		2,186		170,929
Student Awards and Aid		4,750,000		2,200,046		(1,756,458)		2,364,944		(2,663,497)		4,604,965
Supplies_Expense		4,116,530		1,143,025		1,430,639		1,238,051		1,183,910		(879,095)
Travel		65,450		1,759		15,518		9,731		26,903		11,539
Travel-Group		221,500		68,857		39,713		131,649		119,424		(138,143)
Travel-Recruiting		187,027		2,775		-		1,889		-		182,363
Internal Service Ctr Internal Sales		-		(13,692)		(1,692)		-		(23,898)		39,282
Total Expenditures	88.59	16,056,026		4,636,764		871,670		5,092,473		(237,922)	88.59	5,693,041
Transfers (IN) or OUT												
Trsfr From Athletics		-		-		-		-		349,726		(349,726)
Trsfr From Auxiliaries		-		-		-		-		-		-
Trsfr From Endowments		(789,039)		-		-		(789,039)		-		-
Trsfr From I G		(254,100)		(213,528)		(13,524)		(13,524)		(13,524)		-
Trsfr From Plant Fund Minor		(774,000)		(774,000)		-		(415,287)		-		415,287
Trsfr From Public Service		-		-		-		-		-		-
Trsfr To Athletics		-		-		-		-		-		-
Trsfr To Auxiliaries		-		-		-		608		-		(608)
Trsfr To Debt Service		56,423		14,106		14,106		14,105		14,106		-
Trsfr To I G		-		2,405		-		41,000		-		(43,405)
Trsfr To Plant Fund Minor		-		5,868		-		-		-		(5,868)
Trsfr To Public Service		-		-		-		10,000		-		(10,000)
Trsfr To Student Aid		-		-		-		-		-		-
Trsfr To Student Social Cultural		97,412		97,412		-		-		82,838		(82,838)
Total Transfers (IN) or OUT		(1,663,304)		(867,737)		582		(1,152,137)		433,146		(77,158)
Ending Balance		(4,713,999)		(1,579,071)		3,892,858		(12,255,540)		5,544,957		(317,203)

Budget Unit 351 - Basketball												
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	
Revenues	Sales and Services		3,800,000		7,421		1,621,490		1,783,797		900	386,392
	Other Sources		-		145		(145)		112,970		11,184	(124,154)
Total Revenues			3,800,000		7,566		1,621,345		1,896,767		12,084	262,238
Beginning Balance			-		-		-		-		-	-
Total Available			3,800,000		7,566		1,621,345		1,896,767		12,084	262,238
Expenditures	Administrative Professional	8.00	1,414,965		494,164		478,479		478,479		338,032	8.00 (374,189)
	Other Salaries		544,172		-		22,961		11,371		33,878	- 475,962
	Student Salaries	0.47	9,000		1,001		1,664		-		-	0.47 6,335
	Support Staff Salary		-		-		337		81		-	- (418)
	Technician Salary		-		60		613		958		3,596	- (5,227)
	Accrued Annual Leave		-		-		-		-		8,081	- (8,081)
	Fica		73,437		16,880		14,567		35,048		15,947	- (9,005)
	Group Insurance		109,148		12,127		13,838		13,864		12,146	- 57,173
	Other Staff Benefits		40,895		11,299		11,090		11,105		10,957	- (3,556)
	Retirement		133,435		26,476		26,835		49,745		36,776	- (6,397)
	Unemployment Compensation		672		346		352		344		257	- (627)
	Workers Compensation		576		316		577		328		314	- (959)
	Contract Services		153,040		70		25,447		71,028		42,713	- 13,782
	Equipment		2,650		3,167		391		1,058		7,774	- (9,740)
	Officials Expense 63T3		152,500		-		-		197,550		2,630	- (47,680)
	Sewer_Other		-		-		-		1,342		2,214	- (3,556)
	Student Awards and Aid		-		8,750		121,673		6,700		112,637	- (249,760)
	Supplies_Expense		659,010		54,273		113,081		452,215		58,610	- (19,169)
	Travel		26,500		898		2,121		2,883		1,735	- 18,863
	Travel-Group		360,000		-		141,383		165,921		25,873	- 26,823
	Travel-Recruiting		120,000		36,101		34,981		17,326		37,541	- (5,949)
	Internal Service Ctr Internal Sales		-		-		(185,681)		(2,097)		-	- 187,778
Total Expenditures		8.47	3,800,000	-	665,928	-	824,709	-	1,515,249	-	751,711	8.47 42,403
Transfers (IN) or OUT	Trsfir From I G				-		-		-		-	-
	Trsfir From Plant Fund Minor				(90,000)		(29,479)		-		-	119,479
Ending Balance			-		(568,362)		826,115		381,518		(739,627)	100,356

Budget Unit 409 - Utilities											
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Other Sources						550,000				(550,000)
Total Revenues		-	-	-	-	-	550,000	-	-	-	(550,000)
Beginning Balance			4,095		(4,095)						
Total Available		-	4,095		(4,095)		550,000		-		(1,100,000)
Expenditures	Electricity		62,898		83,509		74,732		93,619	-	(314,758)
	Fuel_Heat_Cool		609		10,578		40,903		10,372	-	(62,462)
	Sewer_Other		25,821		34,855		17,273		70,580	-	(148,529)
	Supplies_Expense		447		644		665		873	-	(2,629)
Total Expenditures		-	89,775		129,586		133,573		175,444	-	(528,378)
Transfers (IN) or OUT		-	-		-		-		-		-
Ending Balance		-	(85,680)		(133,681)		416,427		(175,444)		(571,622)
Budget Unit 437 - Miscellaneous											
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Federal Grants and Contracts										-
	State Grants and Contracts										-
	Sales and Services						10,000		41,600		(51,600)
Total Revenues		-	-		-		10,000		41,600		(51,600)
Beginning Balance		-	-		-						-
Total Available		-	-		-		10,000		41,600		(51,600)
Expenditures	Administrative Professional						3,750		-		(3,750)
	Federal Workstudy Salaries						-		-		-
	Other Salaries						-		10,413		(10,413)
	State Workstudy Salaries						-		-		-
	Fica						304		719		(1,023)
	Group Insurance						679		-		(679)
	Other Staff Benefits						160		-		(160)
	Retirement						521		431		(952)
	Unemployment Compensation						3		7		(10)
	Workers Compensation						5		116		(121)
	Contract Services						-		2,441		(2,441)
	Equipment						2,420		-		(2,420)
	Supplies_Expense						63		13,746		(13,809)
Total Expenditures		-	-		-		7,905		27,873		(35,778)
Transfers (IN) or OUT		-	-		-						-
Ending Balance		-	-		-		2,095		13,727		(15,822)

	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	FY2025	FY2026	FY2027	FY2028	FY2029 (1)
Beginning Fund Balance (Deficit)	(4,713,999)	(4,492,380)	(4,492,380)	(4,005,052)	(3,517,723)	(3,030,395)	(2,543,066)	(2,055,737)	(1,568,409)	(1,081,080)	(593,751)	(106,423)
Recurring Fund Balance (Deficit)												
Net Balance (Deficit)	(4,713,999)	(4,492,380)	(4,492,380)	(4,005,052)	(3,517,723)	(3,030,395)	(2,543,066)	(2,055,737)	(1,568,409)	(1,081,080)	(593,751)	(106,423)
Revenues	32,944,217	32,097,208	31,123,208	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504
Other Sources	814,207	789,039	(383,704)									
Subtotal Revenues	33,758,424	32,886,247	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504
Expenses	33,541,301	34,373,643	33,723,643	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176
Cost containment (reduction in expenses)	(4,496)	(737,396)	(2,496,467)									
Grant-aid subsidies (reduction in expenses)		(750,000)	(975,000)									
Subtotal Expenses	33,536,805	32,886,247	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176
Transfers	0	0	0	0	0	0	0	0	0	0	0	0
Net Annual Balance (2)	221,619	0	487,329	487,329	487,329	487,329	487,329	487,329	487,329	487,329	487,329	487,329
Ending Fund Balance (Deficit)	(4,492,380)	(4,492,380)	(4,005,052)	(3,517,723)	(3,030,395)	(2,543,066)	(2,055,737)	(1,568,409)	(1,081,080)	(593,751)	(106,423)	380,906

(1) 10 year deficit reduction plan beginning in FY20 to FY29

(2) Annual contribution to the accumulated deficit per deficit reduction plan

(3) The deficit reduction plan was approved by the Board of Regents at their April 17th, 2018 meeting. The plan calls for an infusion of dollars in FY18 and FY19 along with cost containment in those years.

Additional significant reductions in spending in FY20 will occur and those will be applied forward through the term of the plan.



THE UNIVERSITY OF
NEW MEXICO



Athletics' FY19 Revised Budget and Preliminary Actuals (Exhibit P21)

Updated through June 30, 2019 (Fiscal Year-End)

FY19 Preliminary Actuals:

1. **Net balance-** FY19 preliminary actuals in projected to end the fiscal year with a positive net balance of \$116,546. This accounts for slightly less than .5% of the total Athletics' budget, which demonstrates that Athletics stayed within the targeted revised budget approved in May. Positive ending balances can be attributed to the following-
 - a. Land proceeds subsidy
 - b. Additional Grant-in-Aid subsidy
 - c. Vacancy savings on administrative positions
2. **Stayed within expenditure authority-** FY19 preliminary actual expenses is projected to end \$601,389 less than total revised budget expenses, therefore is within the HED approved expenditure authority. All pending expenses and posted expenses were reviewed in detail to ensure expenses were paid and appropriately booked in the correct fiscal year.

Although, the department is projected to end the fiscal year with a positive balance, this is primarily due to the additional University one-time subsidies made in FY19. Additional revenues and/or additional expenditure reductions will be necessary in order to maintain a balanced budget forward.

Schedule A: (FY19 Revised Budget and Preliminary Actuals)- This schedule details out pooled and directed revenues by sport for the FY19 budget and FY19 preliminary year-end actuals.

Schedule B: (FY19 Expenses by Sports)- This schedule details out FY19 revised expense budget and FY19 preliminary actuals by sport.



Schedule A

FY19 Revised Budget and Preliminary Actuals by Exhibit P21

Revenue/Expense Description (2)	FY19 Original Budget	FY19 Revised Budget	Fiscal Year 2018-19 (FY19)				FY19 YTD	FY19 Budget to Projected Actuals Variance	Actuals% of Revised Budget	FY18 YTD Actuals	FY19 YTD vs. FY18 YTD Actuals
			Quarter 1 (7/1-9/30/18)	Quarter 2 (10/1-12/31/18)	Quarter 3 (1/1-3/31/19)	Quarter 4 (4/1-6/30/19)					
Pooled Revenues											
NCAA/Mountain West Conference	4,750,000	4,850,000	1,324,305	1,187,499	1,050,696	1,355,928	4,918,428	68,428	101.4%	4,811,244	107,185
Media Rights/Sponsorship/Licensing	5,625,000	5,134,000	1,239,126	1,239,126	1,239,126	1,239,122	4,956,500	(177,500)	96.5%	4,808,500	148,000
Commissions	800,000	880,000	220,000	219,999	219,998	219,999	879,996	(4)	100.0%	800,000	79,996
Naming Rights	200,000	200,000	-	-	200,000	-	200,000	-	100.0%	200,000	-
Student Fees	3,681,708	3,387,171	1,936,355	-	1,450,816	-	3,387,171	-	100.0%	3,851,603	(464,432)
Fundraising	2,400,000	2,400,000	600,000	600,000	600,000	600,000	2,400,000	-	100.0%	2,200,000	200,000
State Appropriation	2,641,500	2,641,500	666,500	654,300	666,400	654,300	2,641,500	-	100.0%	2,617,300	24,200
Facility Rental/Merchandise/GIK	2,400,000	2,305,379	14,121	996,407	475,655	370,783	1,856,966	(448,413)	80.5%	1,893,895	(36,930)
Special Events and Other Revenues	2,035,000	2,899,990	320,778	590,189	829,202	1,622,005	3,362,174	462,184	115.9%	2,836,016	526,158
Transfers to/from Campus	974,000	1,358,009	957,737	68,672	363,097	(433,146)	956,360	(401,649)	70.4%	1,588,632	(632,271)
Land Proceed Transfer	789,039	789,039	-	-	789,039	-	789,039	-	100.0%	814,207	(25,168)
Sub-total	26,296,247	26,845,088	7,278,922	5,556,192	7,884,028	5,628,992	26,348,134	(496,954)	98.1%	26,421,396	(73,262)
Directed Revenues (by Sports)											
Men's Basketball Tickets	3,800,000	3,595,290	-	1,783,226	1,812,064	(1,641)	3,593,649	(1,641)	100.0%	3,653,733	(60,084)
Football Tickets	1,200,000	884,995	323,610	557,485	3,900	38,376	923,371	38,376	104.3%	1,539,833	(616,462)
Women's Basketball Tickets	375,000	397,606	-	189,055	208,551	(824)	396,782	(824)	99.8%	398,630	(1,848)
Other Sports Tickets (1)	115,000	120,161	32,750	46,873	40,538	(23,799)	96,362	(23,799)	80.2%	144,832	(48,470)
Football Game Guarantees	1,100,000	1,100,000	1,100,000	-	-	-	1,100,000	-	100.0%	1,600,000	(500,000)
Sub-total	6,590,000	6,098,052	1,456,360	2,576,639	2,065,053	12,112	6,110,164	12,112	100.2%	7,337,028	(1,226,864)
Total	32,886,247	32,943,140	8,735,282	8,132,830	9,949,082	5,641,104	32,458,298	(484,842)	98.5%	33,758,424	(1,300,126)
Expenses											
Salaries	11,272,844	10,568,753	2,604,690	2,742,567	2,666,308	2,579,464	10,593,029	24,276	100.2%	11,240,784	(647,755)
Payroll Benefits	3,271,643	3,157,687	718,224	687,688	863,670	651,955	2,921,537	(236,150)	92.5%	3,167,131	(245,594)
Communication Charges	110,268	95,909	17,535	18,038	23,450	15,040	74,062	(21,847)	77.2%	86,663	(12,601)
Other Expense	782,164	1,970,733	218,423	1,252,401	777,248	412,990	2,661,061	690,328	135.0%	2,495,040	166,022
Patient Care Costs	98,300	88,721	56,778	19,074	25,368	17,966	119,186	30,465	134.3%	158,631	(39,444)
Plant Maintenance	250,457	259,277	51,597	56,267	126,337	97,520	331,722	72,445	127.9%	200,961	130,762
Services	4,967,038	4,125,858	507,645	1,077,855	1,085,107	1,165,438	3,836,045	(289,813)	93.0%	4,216,582	(380,537)
Student Costs (includes Grant-in-Aid)	5,694,190	6,117,420	2,653,964	706,028	2,600,487	(317,315)	5,643,164	(474,256)	92.2%	6,164,950	(521,786)
Supplies	2,055,696	1,760,780	742,211	395,514	494,766	82,191	1,714,682	(46,098)	97.4%	1,380,376	334,307
Travel	3,809,977	4,237,042	1,017,809	1,299,734	1,065,730	503,684	3,886,957	(350,085)	91.7%	3,864,523	22,434
Utilities	573,670	560,960	89,871	133,092	156,989	180,352	560,305	(655)	99.9%	561,162	(858)
Total	32,886,247	32,943,140	8,678,747	8,388,259	9,885,459	5,389,285	32,341,751	(601,389)	98.2%	33,536,802	(1,195,051)
Net	-	-	56,535	(255,429)	63,622	251,819	116,546	116,546	0.4%	221,621	(105,075)

(1) Other sports tickets include men's and women's soccer and track, baseball, softball, and volleyball

(2) Preliminary fiscal year-end actuals (through June 30, 2019) pending final audited actuals

(3) Does not include accumulated deficit



FY19 Revised Budgeted Expenses and Preliminary Actuals by Sport Exhibit P21 (1)

Football			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	2,615,585	2,616,080	(495)
Payroll Benefits	804,797	663,312	141,485
Communication Charges	28,550	22,185	6,365
Other Expense	79,908	73,394	6,514
Patient Care Costs	18,000	16,516	1,484
Plant Maintenance	83,407	103,347	(19,940)
Services	703,600	699,720	3,880
Student Costs/Grant-in-Aid (2)	1,826,392	2,032,863	(206,471)
Supplies	305,350	249,481	55,869
Travel	1,275,550	1,236,323	39,227
Utilities	7,800	23,793	(15,993)
Total	7,748,939	7,737,015	11,924

Men's Baseball			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	357,672	389,264	(31,592)
Payroll Benefits	107,609	94,570	13,039
Communication Charges	1,460	540	920
Other Expense	7,852	9,001	(1,149)
Patient Care Costs	-	1,920	(1,920)
Plant Maintenance	3,900	3,993	(93)
Services	55,760	97,356	(41,596)
Student Costs/Grant-in-Aid (2)	214,083	211,140	2,943
Supplies	78,230	86,416	(8,186)
Travel	168,100	148,778	19,322
Utilities	-	-	-
Total	994,666	1,042,978	(48,312)

Women's Softball			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	183,000	174,557	8,443
Payroll Benefits	65,294	59,773	5,521
Communication Charges	2,400	810	1,590
Other Expense	3,942	5,273	(1,331)
Patient Care Costs	-	-	-
Plant Maintenance	-	1,103	(1,103)
Services	28,800	27,589	1,211
Student Costs/Grant-in-Aid (2)	177,793	200,045	(22,252)
Supplies	27,300	44,763	(17,463)
Travel	140,100	132,453	7,647
Utilities	-	-	-
Total	628,629	646,366	(17,737)

Men's Basketball			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	2,009,737	1,865,674	144,063
Payroll Benefits	296,792	329,615	(32,823)
Communication Charges	5,759	5,988	(229)
Other Expense	42,418	41,841	577
Patient Care Costs	9,421	8,278	1,143
Plant Maintenance	38,468	46,125	(7,657)
Services	634,267	710,045	(75,778)
Student Costs/Grant-in-Aid (2)	334,743	359,885	(25,142)
Supplies	109,708	107,605	2,103
Travel	508,147	466,763	41,384
Utilities	2,684	3,556	(872)
Total	3,992,144	3,945,375	46,769

Women's Basketball			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	808,486	814,606	(6,120)
Payroll Benefits	273,663	249,054	24,609
Communication Charges	2,920	1,530	1,390
Other Expense	16,596	18,113	(1,517)
Patient Care Costs	-	1,377	(1,377)
Plant Maintenance	7,400	29,125	(21,725)
Services	290,250	286,178	4,072
Student Costs/Grant-in-Aid (2)	290,871	337,389	(46,518)
Supplies	48,850	39,742	9,108
Travel	403,598	385,273	18,325
Utilities	-	1,354	(1,354)
Total	2,142,634	2,163,741	(21,107)

Men's Soccer			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	225,969	214,590	11,379
Payroll Benefits	84,310	72,151	12,159
Communication Charges	2,420	1,332	1,088
Other Expense	5,628	4,651	977
Patient Care Costs	1,000	1,886	(886)
Plant Maintenance	-	-	-
Services	34,025	22,213	11,812
Student Costs/Grant-in-Aid (2)	156,631	166,388	(9,757)
Supplies	19,825	20,244	(419)
Travel	120,750	113,686	7,064
Utilities	-	92	(92)
Total	650,558	617,231	33,327

Women's Soccer			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	196,000	196,400	(400)
Payroll Benefits	70,889	67,955	2,934
Communication Charges	1,060	581	479
Other Expense	3,491	5,516	(2,025)
Patient Care Costs	-	152	(152)
Plant Maintenance	-	252	(252)
Services	4,200	13,966	(9,766)
Student Costs/Grant-in-Aid (2)	266,129	232,865	33,264
Supplies	15,250	17,708	(2,458)
Travel	127,100	121,929	5,171
Utilities	-	89	(89)
Total	684,119	657,413	26,706

Men's Golf			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	163,000	165,346	(2,346)
Payroll Benefits	50,816	59,185	(8,369)
Communication Charges	320	496	(176)
Other Expense	2,534	3,169	(635)
Patient Care Costs	-	-	-
Plant Maintenance	1,300	-	1,300
Services	450	317	133
Student Costs/Grant-in-Aid (2)	76,658	73,201	3,457
Supplies	2,475	28	2,447
Travel	61,250	92,611	(31,361)
Utilities	520	874	(354)
Total	359,323	395,228	(35,905)

Women's Golf			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	144,905	146,360	(1,455)
Payroll Benefits	41,064	56,918	(15,854)
Communication Charges	560	0	560
Other Expense	6,119	3,119	3,000
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	-	16,505	(16,505)
Student Costs/Grant-in-Aid (2)	113,465	121,005	(7,540)
Supplies	8,560	17,974	(9,414)
Travel	56,000	44,810	11,190
Utilities	350	728	(378)
Total	371,023	407,419	(36,396)

Men's Tennis			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	103,000	94,155	8,845
Payroll Benefits	24,253	23,669	584
Communication Charges	290	270	20
Other Expense	3,195	2,832	363
Patient Care Costs	-	100	(100)
Plant Maintenance	-	-	-
Services	15,810	18,032	(2,222)
Student Costs/Grant-in-Aid (2)	62,811	63,782	(971)
Supplies	15,300	13,342	1,958
Travel	48,700	52,440	(3,740)
Utilities	-	-	-
Total	273,359	268,623	4,736

Women's Tennis			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	105,000	84,485	20,515
Payroll Benefits	31,174	28,689	2,485
Communication Charges	610	660	(50)
Other Expense	2,036	2,755	(719)
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	12,910	18,475	(5,565)
Student Costs/Grant-in-Aid (2)	125,761	149,887	(24,126)
Supplies	13,615	20,224	(6,609)
Travel	50,500	46,889	3,611
Utilities	-	-	-
Total	341,606	352,065	(10,459)

Cross Country (M/W) (3)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	111,538	112,426	(888)
Payroll Benefits	39,731	37,039	2,692
Communication Charges	-	-	-
Other Expense	2,885	2,883	2
Patient Care Costs	-	111	(111)
Plant Maintenance	-	487	(487)
Services	4,036	4,311	(275)
Student Costs/Grant-in-Aid (2)	255,717	241,747	13,970
Supplies	1,900	1,853	47
Travel	55,255	55,064	191
Utilities	-	-	-
Total	471,062	455,922	15,140

Track (M/W) (3)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	197,678	198,296	(618)
Payroll Benefits	71,737	68,118	3,619
Communication Charges	560	600	(40)
Other Expense	3,078	6,887	(3,809)
Patient Care Costs	-	890	(890)
Plant Maintenance	12,350	-	12,350
Services	6,750	3,581	3,169
Student Costs/Grant-in-Aid (2)	258,427	244,896	13,531
Supplies	17,813	34,318	(16,505)
Travel	170,397	207,722	(37,325)
Utilities	-	-	-
Total	738,790	765,309	(26,519)

Women's Volleyball (4)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	132,914	172,623	(39,709)
Payroll Benefits	42,351	52,805	(10,454)
Communication Charges	1,605	1,180	425
Other Expense	3,372	5,095	(1,723)
Patient Care Costs	-	-	-
Plant Maintenance	15,300	9,376	5,924
Services	52,950	41,263	11,687
Student Costs/Grant-in-Aid (2)	201,815	245,300	(43,485)
Supplies	33,650	20,756	12,894
Travel	94,650	116,049	(21,399)
Utilities	-	-	-
Total	578,607	664,449	(85,842)

Sand Volleyball (4)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	113,514	76,419	37,095
Payroll Benefits	42,351	23,446	18,905
Communication Charges	-	-	-
Other Expense	1,174	976	198
Patient Care Costs	-	-	-
Plant Maintenance	3,000	3,000	-
Services	-	1,000	(1,000)
Student Costs/Grant-in-Aid (2)	17,317	19,601	(2,284)
Supplies	500	481	19
Travel	32,250	22,775	9,475
Utilities	-	-	-
Total	210,106	147,699	62,407

Skiing (M/W)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	132,162	116,928	15,234
Payroll Benefits	49,310	46,510	2,800
Communication Charges	350	330	20
Other Expense	14,874	3,745	11,129
Patient Care Costs	-	-	-
Plant Maintenance	9,600	5,811	3,789
Services	7,000	7,804	(804)
Student Costs/Grant-in-Aid (2)	143,061	180,051	(36,990)
Supplies	21,260	10,046	11,214
Travel	102,950	111,169	(8,219)
Utilities	-	-	-
Total	480,567	482,394	(1,827)

Swimming/Diving			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	146,000	148,112	(2,112)
Payroll Benefits	54,473	51,522	2,951
Communication Charges	350	330	20
Other Expense	3,251	4,644	(1,393)
Patient Care Costs	-	525	(525)
Plant Maintenance	-	-	-
Services	1,200	1,150	50
Student Costs/Grant-in-Aid (2)	244,709	267,898	(23,189)
Supplies	26,195	17,517	8,678
Travel	95,900	112,244	(16,344)
Utilities	-	-	-
Total	572,078	603,942	(31,864)

Spirit			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	-	-	-
Payroll Benefits	-	-	-
Communication Charges	-	-	-
Other Expense	960	576	384
Patient Care Costs	-	-	-
Plant Maintenance	500	-	500
Services	850	4,810	(3,960)
Student Costs/Grant-in-Aid (2)	-	-	-
Supplies	4,458	(21)	4,479
Travel	32,200	33,595	(1,395)
Utilities	-	-	-
Total	38,968	38,960	8

Administration/Events/Other Operating (5)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	2,822,593	3,006,709	(184,116)
Payroll Benefits	1,007,073	937,204	69,869
Communication Charges	46,695	37,229	9,466
Other Expense	1,767,420	2,466,590	(699,170)
Patient Care Costs	60,300	87,432	(27,132)
Plant Maintenance	84,052	129,102	(45,050)
Services	2,273,000	1,861,731	411,269
Student Costs/Grant-in-Aid (2)	1,351,037	495,220	855,817
Supplies	1,010,541	1,012,206	(1,665)
Travel	693,645	386,383	307,262
Utilities	549,606	529,819	19,787
Total	11,665,962	10,949,624	716,338

Total **32,943,140** **32,341,751** **601,389**

(1) Does not include special events and bowl games; Preliminary fiscal year-end actuals (through June 30, 2019) pending final audited actuals

(2) Grant-in-Aid was budgeted based on FY18 actuals, FY19 actuals will be allocated to individual sports as expenses post

(3) M/W track and cross-country coaches salaries split 50/50 between sports.

(4) Women's volleyball and sand volleyball's coaches salaries split 50/50 between sports.

(5) Includes Events Management, Special Events, Bowl Games, Championships, Gifts-in-Kind, Parking, Concessions, Ticket Office, Administration, Business Office, Leagues and Clubs, Compliance, Advisement, Facility Rentals, Sports Camps, Utilities, Student Assistance Funds, Life skills, Pre Season Training, Athletic Vans, Insurance, Marketing and Media Relations

TAB 6

Action Item 6

Approval of Athletics to Present Quarterly Reports on Revenue, Expenditures, and Compliance Beginning October 1, 2019

TAB 7

Action Item 7

Approval of Appointments to the Innovate ABQ, Inc. Board of Directors



801 University Blvd. SE, Suite 207
Albuquerque, NM 87106

TO: THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO
FROM: CARLOS PEREA, CHAIR, INNOVATE ABQ, INC
DATE: JULY 24, 2019
SUBJECT: Innovate ABQ, Inc. Request for Appointment of Board Members

As the Chair of Innovate ABQ, Inc., a UNM research park corporation formed pursuant to the New Mexico University Research Park and Economic Development Act, I am pleased to present to the Board of Regents four outstanding candidates for appointment to the Innovate ABQ, Inc. board of directors. They are:

MICHAEL F. BUEHLER - Executive Vice President, NUSENDA Credit Union

CARLOS GARCIA - Executive Manager, Garcia Automotive Group

CARRIE T. FREEMAN - Managing Partner, SecondMuse

DANIEL H. LOPEZ - President Abante, LLC and former President of the New Mexico Institute of Mining and Technology

Additional information regarding each individual is included. Pursuant to Section 3.2 of the Innovate ABQ, Inc. Bylaws, Innovate directors are to have education, skills, experience, and interests in areas related to the development of a research, innovation and high technology business district, which areas include, but are not limited to, business development, real estate, research and development of technology, technology transfer and commercialization, banking and financing, workforce development and training, experimental and problem base learning, and local government. These individuals meet those criteria and have been approved by the current Innovate ABQ, Inc. board of directors to join the board.

Pursuant to §21-28-4 NMSA 1978, each research park corporation is to be governed by, and all its functions, powers and duties exercised by, a board of directors appointed by the regents that created the corporation. In accordance with Section 3.2 of the bylaws of the Innovate ABQ, Inc. the corporation shall have that number of directors as approved by the UNM Regents. Assuming the appointment of these four individuals, Innovate ABQ, Inc. will continue to have 14 members on its board. A roster of the board, assuming appointment of these new members, is attached as Schedule 1.

On behalf of the Board of Directors of Innovate ABQ, Inc., I request that the Board of Regents appoint Ms. Freeman and Messrs. Buehler, Garcia, and Lopez to the Innovate ABQ, Inc, board of directors for 3 year terms, bringing the total number of directors to 14.

SCHEDULE 1

INNOVATE ABQ, INC. BOARD OF DIRECTORS

Current Board Members

Carlos Perea, Chair

Pat Vincent Collawn

Chuck Wellborn

The Hon. Timothy Keller, Mayor City of Albuquerque

Richard Larson

John Sanchez

The Hon. Lonnie C. Talbert, Bernalillo County Commissioner

Dr. Garnett Stokes, UNM President, *ex-officio*

Ms. Teresa Costantinidis, UNM Sr. V.P. for Finance and Administration, *ex-officio*

Katharine Winograd, President Central New Mexico Community College, *ex-officio*

New Appointees

Michael F. Buehler

Carrie T. Freeman

Carlos Garcia

Daniel H. Lopez

MICHAEL F. BUEHLER

FINANCIAL SERVICES EXECUTIVE

9216 Lexie Lane NE, Albuquerque, New Mexico 87122 | 505-239-6473 | michael.buehler@gmail.com

EDUCATION

Bachelor of Business Administration
Accounting Concentration
Anderson Schools of Management
University of New Mexico

Master of Business Administration
Anderson Schools of Management
University of New Mexico

LEADERSHIP SNAPSHOT

- Catalyst in Optimizing Profitability
- Financial Expert
- Board Liaison
- People Focused and Results Oriented

DESIGNATION

Certified Credit Union Executive (CCUE)
Credit Union National Association

PROFESSIONAL PROFILE:

Nusenda Credit Union, Albuquerque, New Mexico

January 2005 – Present

Executive Vice President, Finance and Lending
Executive Vice President, Chief Financial Officer
Vice President, Senior Analyst

2014 – Present

2007 – 2014

2005 – 2007

Reporting to the President/CEO and serving as the Executive Vice President for the \$2.3B credit union, leading and executing the operational strategy of business initiatives of the credit union. Direct oversight and leadership of five direct reports in the areas of accounting/finance, business services, consumer and indirect lending and collections, mortgage services, secondary marketing, and financial analysis.

Collaborates with the Board of Directors, CEO, and Executive Leaders in the delivery of business initiatives established by the credit union. Critical team member on organizational initiatives related to Community Involvement, Nusenda Foundation setup and operations, innovateABQ business incubator investment, re-branding, the sale of a subsidiary Credit Union Service Organization (CUSO), and the formation of the new multi-owner CUSO.

Appointed liaison to manage legal matters of the credit union, and partner with the real estate community for acquisition and development of new brick and mortar branch locations. Primary contact with regulatory entities on examination findings and resolution.

Selected Responsibilities & Accomplishments:

- Executive responsible for the financial reporting, analysis, investments, budgeting, loan and deposit pricing, and Asset/Liability Management for the \$2.3B organization.
- Developed the Business Services Department to include hiring key leaders, which includes the SVP Business Services and VP Business Lending Manager.
- Responsible for the Consumer, Indirect Lending, and Member Solutions (collections) divisions which includes underwriting parameters and processes, consumer loan portfolio oversight, indirect auto and RV operations, and consumer collections.
- Instituted an expanded first mortgage origination and servicing operation platform (FNMA and GNMA Seller/Servicer).
- Work directly with the CFO on the pipeline and closed loan hedging and securitization functions for the Secondary Marketing Division.
- Developed the profitability measures of the credit union's branch locations, loan, and share products.
- Utilize mortgage and real estate background to assist with the management of the credit union's real estate holdings and development.

Realty Mortgage & Investment Company, Albuquerque, New Mexico

October 2004 – January 2005

Vice President & Senior Analyst

Conducted analysis of property economics for valuation purposes and discussed alternative financing structures with borrowers and investors. Underwrote and submitted investment grade income property loans for life insurance investors.

New Mexico Educators Federal Credit Union, Albuquerque, New Mexico

April 1999 – October 2004

Financial Analyst

Reporting to the Chief Financial Officer, responsibilities included. financial analysis and reporting of the credit union's financial operations, operating budgets, measurement of the profitability on the branch locations, loan and share products.

Financial Processing Services, Inc., Albuquerque, New Mexico

August 1995 – April 1999

Vice President/Treasurer

Accountable for handling monthly interest billings, loan, and bank statement reconciliations, all accounting functions and financial statement preparation. Disbursed loan dollars, managing bank account balances and commercial lines of credit. Assisted in the underwriting, credit review, title policy review and loan closing. Responsible for all legal matters of the company including foreclosures.

RealtyMortgage&InvestmentCompany, Albuquerque, New Mexico

January 1995 – May 1997

Vice President

1996 – 1997

Mortgage Loan Analyst

1995 – 1996

As Vice President was responsible for the management of the commercial/income property loan origination process, application through closing, to include, property inspection, borrower qualification, tenant credit review, and investor submission and presentation.

Prepared and submitted loan offerings for investor's consideration and approval of the financial statement and historical operating analysis. Managed the closing process of commercial mortgage loans.

Real Estate Financial Corporation/Credit Union Mortgage Service, Ltd., Albuquerque, New Mexico

June 1990 – May 1994

Vice President & Comptroller

1993 – 1994

Comptroller

1991 – 1993

Pricing Coordinator

1990 – 1991

Direct oversight of the loan operations including pricing, closing, funding, warehousing, and loan sales.

CARLOS GARCIA



CGARCIA@GARCIACARS.COM



505.217.3015

GARCIACARS.COM

SNAPSHOT

Human capital focused leader with a track record of proven results and strong community involvement.

SKILLS

Active Listening
Problem Solving
Customer Service

EXPERIENCE

GARCIA AUTOMOTIVE GROUP EXECUTIVE MANAGER

2004-Present

Manage all aspects of daily operations for several retail automobile dealerships

9-time Infiniti National Award of Excellence winner

Active in Garcia Realty & Development

GENERAL MOTORS ACCEPTANCE CORP. COMMERCIAL LENDING ANALYST

2000-2004

Credit analyst for large-scale automotive groups

EDUCATION

SOUTHERN METHODIST UNIVERSITY

BBA, 2000

Hispanic student senator

Edwin L. Cox School of Business Outstanding Intern award

Mentor, President's Developing Leaders of Tomorrow

LEADERSHIP

Museum of New Mexico Foundation
Albuquerque Economic Development
Santa Fe Chamber Music Festival
Museum of Albuquerque Foundation

CARRIE T. FREEMAN

148 Hollywood Blvd, Corrales, NM 8704 - (505)301-4574 - carrie.freeman@secondmuse.com

SUMMARY: A leader at the intersection of business and positive impact, with expertise in strategy, management, innovation, corporate responsibility, sustainability, information and communication technology, impact investing, climate adaptation, natural resource management, business startups and gender equality.

WORK EXPERIENCE:

4/17- Current

SecondMuse Managing Partner

- Responsible for the overall performance and direction of a 60 person company with 10 offices on three continents and programming across 80 countries.
- Lead the company in 100% growth rate over 24 months.
- Manage the partners responsible for the business units and the board of advisors.
- Oversee the day to day activities and strategy for companywide operations and human resources.

8/12- 3/17

SecondMuse Partner

- Oversee company operations, finances, program management and staff development
- Jointly responsible for strategic direction of company including growth rate, target markets/clients, geographical focus.
- Guide company on gaining Benefit Corporation Certification.
- Subject matter expert on corporate strategy, innovation, policy, information and communication technology, corporate social responsibility, sustainability, water, climate adaptation, organizational development.
- Managing partner responsible for system innovation programs with clients such as the World Bank, NASA, United Nations Environment Program, Rio Tinto and Nike. Program thematic focus areas include: sustainable products and supply chains, data for country, community and environmental resiliency, citizen engagement, early childhood development, women in STEM.

3/10- 8/12

Intel Corporation

Director Sustainable Business Innovation, Corporate Responsibility Office & Eco Technology Office

- Lead the strategy development and approval of a corporate impact investing fund in conjunction with Intel Capital and the Intel Foundation targeting direct investments in emerging markets with financial, social and business strategic returns.
- Advise internal procurement teams, sales and marketing and external industry consortia such as the Electronic Industry Citizenship Coalition on supply chain environment, social, governance.
- Provide recommendations to CEO and Executive Staff on corporate strategic direction in the areas of sustainability, climate change adaptation and water.
- Coordinate the resources across business groups to build the business case for Intel to enter into billion dollar new markets with information and communication technology solutions that help solve global sustainability problems.
- Pathfind technology solutions, engage business partners and execute global pilots related to climate and weather prediction and water management.
- Develop go to market strategies for technology platform products.
- Engage with federal government entities, development agencies and foundations to develop public private partnerships.
- Corporate representative for forums such as World Economic Forum, UN Global Compact, Corporate Eco- Forum, Sustainability 50, Business for the Environment, Water Innovations Alliance, LAUNCH Forum.
- Regular speaker at national and international sustainability, corporate responsibility, technology and water events.
- Advisor to technology start-up companies seeking venture capital funding.

03/09-3/10

Intel Corporation

Corporate Sustainability Strategist, Corporate Sustainability Group

- Responsible for the formation and operations of the corporate sustainability group and extended staff with direct accountability to the Corporate Vice President of Sustainability, the General Counsel, the Chief Operating Officer, the Chief Technology Officer and the Senior Vice President of Sales and Marketing.
- Facilitate strategic direction setting and corporate alignment to goals across operations, product development, public policy, human resources and employee engagement
- Provide technical assistance and executive coverage for Corporate Vice President of Sustainability.
- Lead matrix managed teams with employees in twelve countries.
- Conduct benchmarking on corporate sustainability performance and reporting, engage in benchmarking consortiums, and conduct external trend assessments.
- Develop the framework and execution strategy for transformation of organizational culture with sustainability attributes.
- Corporate representative and relationship owner to key international environmental NGOs and executive level sustainability consortiums.

05/08-03/09

Intel Corporation

Southwest Environmental Relations Manager, Corporate Affairs Group

- Responsible for the development and management of the environmental strategy for the Intel New Mexico site; encompassing a technologically advanced industrialized manufacturing facility, 3,500 employees, a vocally active community and strong relationships with state and federal agencies.
- Public relations contact for the community, non governmental agencies, academic institutions, and city, county, state on environmental issues.
- Provide industry expertise to the state for the environmental legislation process.
- Lead a \$800,000 United Way fundraising campaign while covering for peer sabbatical.
- Coach internal efforts for increasing employee engagement in sustainability actions focused on the workplace, community and home.

07/05- 08/08

Intel Corporation

Engineering Manager, Technology Manufacturing Group, F11X

- Responsible for the strategic and tactical capacity planning of \$1.5 billion of highly advanced semiconductor equipment; 50% of factory of factory operations.
- Manage team of 8 capacity engineers, factory improvement engineers and labor productivity model owner.
- Deliver high confidence long range capacity analysis by coaching engineers to provide high quality data, data analysis, capital system data integrity and innovative equipment improvement recommendations.
- Create and improve systems and business processes to streamline capacity planning and factory cost models.
- Drive factory improvement in cycle time, tool performance, operational efficiency and labor productivity by partnering with factory critical module teams and manufacturing staff in applying manufacturing science principles.
- Enhance the organization capability of the department. Develop training modules and integration plan for new engineers and ensure execution to the plan. Support the personal development of employees.
- Leader of Diversity, Volunteer and Great Place to Work Programs. Initiate and coordinate Women's Leadership events/curriculum. Initiate New Mexico Intel Employee Sustainability Network. Develop/facilitate Energy for Performance Workshops.

06/01- 06/05

Intel Corporation

Program Manager, Technology Manufacturing Group, F11X

- Provide factory leadership and management to manufacturing, automation and engineering departments to develop and execute an aggressive automated operations roadmap resulting in improved labor and operations productivity.
- Identify and develop the key elements of an infrastructure to enable Intel to achieve world class equipment maintenance. Collaborate with various global manufacturing, engineering and automation organizations to deliver enabling information technology infrastructure to 200mm and 300mm factories.

- Responsible for implementation of systems and processes in twelve different departments to support the success of Intel's newest technology introduction into the high volume production environment.
- Influence the development of the organization from a systemic aspect as well as cultural by understanding organizational needs and developing solutions to meet those needs.

1/98- 6/01-

Intel Corporation

Operations Manager, Technology Manufacturing Group, F11

- Achieve functional area output goals and manage Manufacturing Technicians in a high volume, manufacturing environment. Manage 48 people, 3 module teams and equipment resources.
- Responsible for creating an incident and injury free work environment.
- Hire and develop Manufacturing Technicians by role modeling, leading and mentoring them to produce high- quality results.
- Lead cross-departmental (manufacturing, engineering, automation, capacity and product planning) area improvement teams.
- Improve work team performance by providing guidance and tools, and implementing appropriate measurement, recognition and reward systems.
- Effectively and appropriately empower individuals and teams by delegating the appropriate tasks and identifying desired results, pitfalls, resources and natural consequences.
- Facilitate team building and team development activities for various different groups throughout the organization. Assist in developing team development roadmaps and vision.

EDUCATION & AWARDS:

2012 New Mexico 40 Under 40 Business leader

MBA of Business Administration, May 2002. Concentration: Environmental Policy and Planning. University of New Mexico, Albuquerque, NM.

BA of Business Administration, December 1997. Major: Productions and Operations Management. New Mexico State University, Las Cruces, NM.

BA of Accountancy, December 1997. New Mexico State University, Las Cruces, NM.

BOARD & ADVISORY POSITIONS, AFFILIATIONS:

YPO Members, World Economic Forum, World Policy Institute, U.S. EPA, The Nature Conservancy Chapter of New Mexico, Permaculture Credit Union, Bonneville Environmental Foundation, Packard Foundation, Government of Costa Rica, Technology Venture Corporation, Water Innovations Alliance

Daniel H. López

505-239-1582
dhlopez2300@outlook.com

PERSONAL DATA

Marital Status:	Married
Date of Birth:	February 14, 1946
Place of Birth:	Puerto de Luna, New Mexico
Health:	Excellent
Military Status:	Honorable Discharge, USAF

EDUCATION

1970	University of New Mexico	Political Science (B.A.)
1972	University of New Mexico	Political Science (M.A.)
1972	University of California at Los Angeles	Political Science (Ph.D. Student)
1982	University of New Mexico	Political Science, with a supporting field in Public Administration (Ph.D.)
1982	Dissertation: "The State Department of Education and Public School Policy Making in New Mexico: A Political Perspective"	

SUMMARY OF EXPERIENCE

Forty-two (42) years of service and experience in the public sector and higher education with extensive experience in administration, planning, finance and budgeting and social research. Taught part-time at the university level and have delivered numerous lectures to all types of audiences on topics including public finance, management, administration, history, proposal writing, cultural affairs and New Mexico literature. In addition, have over thirty years experience in working with and providing expert testimony to federal and state legislatures and assisting decision makers in evaluating complex issues and recommending legislative approaches for dealing with such issues. Served as sole lobbyist for university business at the state level.

Extensive experience in serving in public and private Boards and Commissions, including holding leadership positions in these entities.

PROFESSIONAL

July 1993 to August 2016	President of the New Mexico Institute of Mining and Technology in Socorro, New Mexico
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Selected from a national search to lead New Mexico Tech as its President. Position involved overseeing and leading a research science and engineering institution of approximately 2,000 students, over 100 tenured faculty, and a total of almost 1,000 employees, with yearly expenditures of approximately \$183.0 million.

Personally directed the activities of four vice presidencies, three research and testing units, and a number of other ancillary programs. Work closely with the Commission on Higher Education, the federal and state legislatures and the executive branches of government in securing funding and developing higher education policy. Devote a lot of time and effort and am extensively involved with various boards and commissions as well as the general public in support of higher education.

Presidential role involves primarily setting policy, articulating goals of institution, developing public support, securing funding, administering, and generally providing leadership for the institution. Served as chief lobbyist for the university at the state level.

Also, was instrumental in helping start, develop and expand a new cyber security company under New Mexico Tech Universities' Research Porct Act. Tech, as the lead partner in this LLC Company, is a 50% equity owner, a company named previously CAaNES now known as RiskSense. RiskSense is one of the fastest growing start-up companies in New Mexico, with a growth of 140% in revenues over the previous year.

Selected accomplishments:

- Oversaw the development and implementation of the university's strategic plan aimed at enhancing the quality, effectiveness, and efficiency of Tech's research, education, and public service delivery capabilities.
- Have been instrumental in helping increase federal funding from a few million to about at our peak growth \$123.0 million in direct support of research and testing programs at New Mexico Tech, along with securing large multi-million-dollar ancillary programs in which Tech plays a leading role. For example, helped enact legislation to secure \$67.0 million for carbon sequestration, \$62.0 million to build the Magdalena Ridge Observatory, and \$500 million over ten years for the Research Partnership to Secure Energy for America (RPSEA), and other such large research and development projects.
- Served as chief lobbyist effort for Tech increasing, over the years, state capital outlay to the tune of over \$200.0 million in appropriations for the school.
- Successfully worked with the legislature to add operational funds for higher education, especially by introducing core-level funding, and adding discretionary funding for all 4-year universities in New Mexico.
- Expanded and attained approval for graduate and undergraduate programs in science and engineering at New Mexico Tech.
- Dramatically improved working relationships with faculty and staff at Tech, especially introducing team building and participatory management practices.
- Developed a faculty and staff salary structure designed to bring compensation for Tech employees in line with peer institutions. Implementation of compensation adjustments were phased in over a few years.
- Improved relationships of the school with the local community and the state, particularly the state legislature.
- Despite a small alumni base, raised more than \$30.0 million in private funds during my tenure as President.

May 2006 to
2012

First Community Bank Board of Directors, Member

Served as a member of the Board of Directors of the First Community Bank's holding company. As a Bank Director, I chaired the Compensation Committee and am member of the Compliance, Executive and Audit committees. Have developed extensive knowledge of the banking business and attendant regulatory environment.

July 1993 to
June 2016

President of the Council of New Mexico University Presidents

In concert with my official capacity as President of New Mexico Tech, I was elected by my colleagues and served as president of our 4-year college presidents' association. Duties included providing higher education leadership, developing agendas, convening and presiding at meetings, and presenting the association's views to the public, as well as to the executive and legislative branches of government. Specifically, major responsibility has been to be the chief spokesperson and advocate on behalf of 4-year colleges and universities in New Mexico. Provided testimony to both the Higher Education Department and the various legislative committees at the state level.

April 1989 to
June 1993

Vice President for Institutional Development, New Mexico
Institute of Mining and Technology

Promoted to the position of Vice President for Institutional Development and made responsible for the Institute's research park, office of alumni and development, public information office, print shop, human resources, admissions, financial aid, auxiliary services, and lobbying the state legislature as well as handling most major legal negotiations with Institute attorneys and working with numerous other relevant outside groups. Responsible, without direct control, for providing advice and helping make major affirmative action decisions. Other principal responsibilities included overseeing fund raising, internal auditing, student recruitment, auxiliary services, awarding of financial student aid, and providing administrative direction to major components of the Institute. As Vice President at Tech, was given broad responsibilities by the President to represent him and promote the interest of Tech in many different forums. Successfully negotiated settlement of a wide range of civil rights cases, have represented the president before the Legislature, Commission on Higher Education, and other high-level executive meetings; and worked closely with most major divisions and other sub-units of the Institute. In addition, successfully dealt with the financial deficits of several major units of the Institute and, indeed, successfully eliminated deficits and improved the financial positions of selected units, along with helping directly secure over \$200.0 million in capital funds for the school. Was instrumental in bringing a more coordinated approach to the development of the Institute. Involved, also, in bringing staff functions under a uniform set of written directives designed to standardize Institute's operational practices, especially those dealing with broad administrative areas.

Updated all the operational policies for TERA (now EMRTC), one of the largest components of the Institute, including purchasing and personnel operations policies. In addition, developed a comprehensive marketing strategy for EMRTC designed to increase business volume.

Overall, positive changes and contributions to Tech resulting from efforts of the Vice President for Institutional Development are substantial. Major accomplishments include enrollment increases, acquiring additional state funding for large capital projects (i.e., new library and Workman Center), as well as funding for academic lab equipment and faculty salary adjustments, settlement of civil rights and administrative problems and establishing better relations with significant outside groups. In addition, eliminated a \$100,000 deficit in auxiliaries and a \$50,000 bookstore deficit, and helped restructure TERA, all the while eliminating an approximately one-half million dollar deficit in the organization within a few years. Provided Tech with strong lobbying presence in the legislature, before the Commission on Higher Education and the executive branch of government. Also designed and instituted a uniform internal audit procedure for the entire Institute. In general,

have stabilized and improved all units reporting to Institutional Development, improved management practices, helped resolve major Institute conflicts, contributed to the overall improved operations of the school, and enhanced the public standing of Tech among key and important constituents.

Other responsibilities included:

- administered, through the financial aid office, all grants, fellowships, scholarships and other financial aid for students;
- reviewed and approved all public information efforts on behalf of the institute, including editing major news releases and other publications; directing major radio, television and print media student recruitment campaigns for New Mexico Tech.
- administered, campus life support services, through auxiliary services unit, for students; and building a brand new \$20.0 million state-of-the-art student services center.
- provided general staff support to the president in major policy areas, including carrying out the functions of the affirmative action office in the absence of the director for that unit;
- administered, through the alumni and development office, all fundraising and funds donated to the Institute for their intended purpose;
- administered, through admissions office, recruitment of students for the Institute;
- administered, through the human resources (personnel) unit, all employment functions, including appointments, recruiting, training, benefits and the like;
- provided direction and general support to other administrative officers of the Institute, including supporting the Institute's effort before the State Legislature and other state agencies;
- coordinated and interfaced with school's general counsel on most legal matters affecting the Institute;
- oversaw external relations for the Institute, including representing the Institute in meeting with the Commission on Higher Education, the Legislature, the Executive and other groups who share our interest with the Institute.

Exercising general appointive powers and carrying out oversight signature authority for personnel action, travel, and purchasing.

August 1987 to
March 1989

Associate and Deputy Director, New Mexico Tech, TERA
Group, Finance and Administration

Responsible for the overall finance and administrative functions of the TERA Group, a ballistics research, munitions and explosives testing and evaluation organization of the Institute. Managed and controlled all TERA expenditures, capital improvements and acquisitions, travel, personnel appointments and contractual commitments.

Operationally, developed a complete set of administrative and financial control policies for TERA, a contract monitoring system, and personnel utilization and classification directives. Through these written directives succeeded in standardizing financial and personnel management practices, developing documented audit trails, and contract compliance systems. All these new practices have contributed to a more professionally managed and streamlined operation.

Have developed and written an extensive in-depth analysis of TERA operations, identifying major structural and functional weaknesses and proposed corrective action. Proposal includes detailed findings of specific major organizational deficiencies and corresponding recommendations to remedy those deficiencies.

Oversaw, as deputy director, some 100 professional staff and research and testing engineers, along with another 100 support staff. Worked closely with senior staff in preparing, reviewing, negotiating and executing contracts with private companies and the federal government, collectively valued at more than \$10 million annually.

Responsible for controlling and approving all purchasing for TERA. Function involves detailed knowledge of FAR regulations, OMB Circular A-21 provisions, State procurement code and all other federal and state as well as institute purchasing and bidding regulations. Have successfully incorporated and established procedures for ensuring that all appropriate state and federal regulations are adhered to in all TERA purchasing and other management practices.

January 1987 to July 1987 President, S & L Associates, Private Consulting and Investment Firm

Conducted a number of in-depth studies of state and higher education entities. Reviewed and assessed personnel policies, staff training standards and requirements, and assessed electronic data systems capabilities for these public bodies. Based on these studies, major functional changes and improvements were instituted. All of these studies were developed based upon rigorous data collection and analysis procedures and subsequently were formally written and presented to the client organizations.

Developed and held a significant portfolio of rental and other properties.

(January 1987 to March 1989) Chief of Staff for Senate Finance Committee (SFC) and Senior Staff Analyst for House Appropriations and Finance Committee (HAFC), Session Employee

Executive and staff level positions responsible for personnel supervision, budget preparation, and negotiations over enactment of the state budget at the highest level of state government. Involves working with key executive and legislative staffs, including legislative leaders and the Governor's office. Directed a staff of budget analysts, general support staff and clerical employees. Possess intimate knowledge and experience of the budget and general legislative process, with particular expertise in handling legislative lobbying and testimony. Responsible for preparing -- in many cases delivering -- testimony to finance committees and defending key positions of legislative leaders.

Broad knowledge in state revenue forecasting and analysis of such revenue forecasts. Provide assessment and advice on the reliability of economic forecasts and capital bonding capacity of the state.

Experienced lobbyist and negotiator in dealing with funding requests and legislative proposals. Have been instrumental in shepherding the General Appropriation Act, the funding instrument for education and general government, and other special legislation through the executive and legislative process. Understand and am highly skilled in developing strategies and making timely presentations to legislative committees in order to garner necessary support for ultimate enactment of legislation.

Know and understand interest groups and am highly skilled in working with and/or garnering their support. Have track record in helping enact legislation with interest group support, at both the state and federal levels.

September 1984 to
December 1986

Secretary of the Department of Finance and Administration
(DFA)

Cabinet-level position, responsible by statute to the Governor for administration of the Department and control agency for all of State government. DFA's purpose includes to make state government more efficient and responsible and to administer laws relating to finance of state government. Duties of the Secretary include administering all operations of the Department and enforcing the laws for which DFA is responsible. Was also responsible for control of a \$2.8 billion* state and education budget and a \$6 million DFA operational budget, with direct administrative responsibility for 176 employees.

DFA functions for which the Secretary has overall responsibility included:

- administered, through the Budget Division, the annual state budget process, and assuring the coordination of planning and budgeting functions;
- administered, through the Office of Education, the public school budget process;
- monitored and accounted for the spending of General Fund and other revenues through the Financial Control Division;
- administered, through the Local Government Division, state funding appropriated for the use of local governmental units, providing technical assistance, and entering into agreements with local governments for participation in federal programs;
- provided, through the Management and Contracts Review Division, training, management studies and review of proposed state agency contracts to improve efficiency in state operations;
- staffed the State's Board of Finance, through the Board of Finance Division;
- prepared and reported General Fund revenue estimates;
- provided planning, coordination and assistance, including the review and coordination of state agency plans and applications for federal assistance, coordinating comments on draft environmental impact documents, developing and maintaining a planning process for evaluating the need for and utility of capital projects, and taking actions necessary to ensure the capability of the state to consider long-range implications in allocating resources to respond to the needs of New Mexico citizens;
- created and defended presentations on state economic conditions to brokerage houses, i.e., Moody's and Standard Poors; and
- received and coordinated recommendations of the Commission on Higher Education, a unit of state government administratively attached to DFA.

Powers of the office included general appointment authority, authority to organize the Department, and to issue orders and instructions to carry out statutory mandates.

**Note: Approximately half of the budget included General Funds and the balance involved federal outlays.*

January 1983 to
August 1984

Secretary of the Employment Security Department (ESD)

Responsible for administration of the Employment Security Department (now Department of Labor), serving as a member of the Governor's Cabinet. Administered all federal and state employment programs statewide. Planned, directed and coordinated overall operations of ESD, including supervision of the Employment Service, Unemployment Insurance and federal Job Training Partnership Act programs, requiring detailed knowledge of applicable state and federal laws and regulations. Responsible, in addition, for 600 employees, multi-million dollar operational budget and \$100 million in the unemployment trust fund.

Presented and had enacted annual budgets in excess of \$30 million to the State Legislature and U.S. Department of Labor. Recommended changes in law to the Legislature to improve the Unemployment Compensation laws. Directed research activities to improve the Department operations and provide maximum benefits to the citizens of the state.

ESD functions for which the secretary has overall responsibility also include:

- Cabinet appointment authority for all agency employees requiring the majority consensus of the legislative senate body;
- enacted general regulations for the effective control and management of trust funds and other program revenues;
- negotiated and secured discretionary federal funds for program expansion or upgrades;
- oversaw the special manpower training and employment programs and ensured its compliance with federal statutory and regulatory requirements;
- negotiated union contracts for management and administering agency under the terms of union agreement;
- directed a system of employment, training and unemployment compensation throughout an entire state, with local offices in all the major communities across the state;
- maintained an effective and accountable multi-million dollar cash receipts and cash disbursement system for receiving and distributing unemployment compensation funds.

November 1973 to
December 1982

Executive Director, New Mexico Advisory Council on
Vocational-Technical Education

Administered and managed the overall functions of the Advisory Council. Responsible for monitoring and ensuring proper expenditure of all federal funds for vocational-technical education for the state of New Mexico, including assessing responsiveness of ongoing programs to manpower needs and maintenance of federally required programming for the disadvantaged and handicapped. Compiled and presented detailed reports to the legislature, the State Board of Education and other public bodies. Prepared and administered budget for the Council and supervised staff. Prepared proposals and obtained federal funds to develop innovative training projects. Developed sophisticated instruments for evaluating program effectiveness and for assessing student attitudes regarding career goals. Presented testimony before Congressional and New Mexico Legislative committees. Gave major addresses at national, state and regional conferences, and made presentations to school administrators and other public groups, as well as participating in and producing both TV and radio talk shows.

January 1973

Manpower Services Officer for the National Alliance

November 1973

of Businessmen

Responsible for finding and placing veterans in technical and professional jobs. Involved and worked with state and municipal manpower service programs in an effort to direct and effect job placements or enrollments in technical training programs for veterans. Additionally, engaged in public relations work with a significant portion of the state's business community. Established strong community support and exposure for the National Alliance of Businessmen. Responsibilities also included job-seeking client counseling, interviewing, and other related duties. Conducted manpower surveys, work-force attitudinal studies, and related research. Was responsible for writing detailed reports on surveys and other research projects. Finally, was responsible for economic development efforts and was instrumental in developing and relocating companies in New Mexico.

May 1972

Project Director for a Special Manpower Program,

September 1972

Department of Labor (DOL), Washington, D.C.

Organized and administered operations of a special summer intern program for the U. S. Department of Labor; instrumental in developing 45 jobs in federal agencies for as many students assembled in Washington, D.C., under DOL auspices. Supervised and coordinated staff and intern activities with an \$80,000 budget for administration of the program. Evaluated program in terms of its impact on manpower training and potential job placement. Worked to achieve close liaison among project students and their congressional delegations, including persuading respective congressmen to endorse a similar project for other students in subsequent years.

May 1971 to

Staff Counselor for a Special Manpower Program,

September 1971

Department of Labor (DOL), Washington, D.C.

Responsible for administering and managing a \$50,000 budget. Planned and organized a congressional dinner and a number of other high level gatherings for program students and sponsors. In addition, counseled students and made sure they were placed in jobs which met their particular interests and background. Wrote a detailed report to the U. S. Department of Labor outlining the administration of the program and developed plans for a similar and improved summer program.

Appeared on regional TV to explain the program to the public and gain support for the continuation of a similar program on a permanent basis.

May 1970 to

Congressional Liaison for the Small Business

September 1970

Administration in Washington, D.C.

Attended and reviewed all Congressional hearing testimony dealing with the operations of the Small Business Administration (SBA); helped keep the SBA senior staff informed about bills affecting the agency. Worked closely with the staff on Capitol Hill to keep them abreast of our work at the SBA. Helped coordinate information about awarded contracts between the interested parties. Evaluated and re-wrote selected SBA publication in language suitable for target audiences.

Managed awards/contracts and Congressional inquiries with regard to Small Business loans and loan guarantees. Worked to improve dialogue among contractors and SBA officials and scheduled regular meetings between clients and SBA personnel.

July 1969 to

Salesman for the Goodyear Service Center,

September 1969

Albuquerque, New Mexico

Responsible for tire sales and customer service. Developed proper and accurate bookkeeping procedures for tire distribution and sales. Performed public speaking duties in connection with the tire business.

May 1964 to
September 1968

Personnel Specialist in the U.S. Air Force and other
summer jobs while attending school

During service commitment, worked as a Personnel Specialist. Responsible for officer record-keeping and accounting, and personnel finance. In this capacity, worked to regulate efficient finance procedures for voucher recording and money disbursement.

Other employment, especially summer jobs, included industrial work.

MISCELLANEOUS

Have been active in numerous civic and public organizations. Was instrumental in organizing and obtaining funding (\$250,000) for a theater company, a public non-profit school for autistic children and other civic clubs.

Was instrumental, as chairman of a subcommittee of the Board of Educational Finance (BEF), now the Commission on Higher Education, in developing a state strategic plan for higher education. In this capacity, chaired all meetings of the committee and public hearings, including making formal presentations to the Legislative School Study Committee and Legislative Finance Committee.

In addition, as a member of the BEF, worked on and analyzed operational and capital outlay projects for higher education and became intimately familiar with budget preparation and presentation for the legislature and other components of state government.

Have also developed a number of business interests, including real estate holdings, apartment ownership, and stock portfolio.

Served as an adjunct professor of political science at the University of New Mexico. Taught American Politics, with an emphasis on the "Chicano Political Experience;" more recently, as adjunct professor, taught Public Administration at New Mexico Tech.

Other miscellaneous experiences include radio "spot" announcer, TV presentations on live-talk shows, industrial summer jobs in California and Colorado, tour guide in Washington, D.C. area and lecturer on historical and political topics.

Have a fairly extensive working knowledge of both print and electronic media. Have given scores of interviews and have done numerous 30-minute television programs dealing with state finances, educational issues and other questions related to state government and higher education. Finally, have worked directly with state judicial staff and Justices in preparation and/or modifications of their budgets. Helped negotiate changes and funding levels for the state judicial system.

Have worked closely with a number of chief executives and possess considerable experience and knowledge in providing expert advice on a broad range of topics, including public announcements, budget issues, affirmative action and other sensitive matters. Indeed, was one of the chief advisors to a former governor and also played a very similar role for a college president.

PERSONAL INTERESTS

Write poetry, enjoy reading, and listening to classical music as well as jogging and other outdoor sports. In addition, enjoy traveling and have visited all of the states including Hawaii and Alaska, plus have toured parts of Europe, Canada, China, Mexico, the Caribbean, Japan, Thailand, South Korea, North Africa, Israel, India, and Australia.

HIGHER EDUCATION MEMBERSHIP COMMITTEES

Board of Educational Finance/Commission on Post-secondary Education, past Member
Sub-Committee on the State Plan for Higher Education for the BEF, past Chairman
Governor's Commission on Higher Education, Member
University Alliance for Research, Education & Technology, Member and past President of the Executive Committee
Council of New Mexico University Presidents, Chair
The Energy Council, advisory member on higher education
National Petroleum Council, Member (advisory body to the Secretary of Energy)
Research Partnership to Secure Energy for America, Board of Directors Chair
Con Alma Health Foundation Board of Trustees, President
Youth Development, Inc. Board of Directors

Other Education Committee Membership

Governor's Search Committee for Cabinet Secretary for Higher Education, Chairman
Governor's Search Committee for Department of Public Education Cabinet Secretary, Co-Chair
Lt. Governor's Co-Chair of Transition Team
Sub-Cabinet Committee for Economic Employment Development/Investment, Member
District Occupational Education Advisory Committee, Member
American Vocational Education Association, Member
New Mexico Vocational Education Association, Member
New Mexico Bilingual Education Association, Member
New Mexico Tech Research Foundation, Vice President
T-VI Arts and Science Advisory Committee
NM Association of Community Colleges, Strategic Advisory Board Member

Other Organization Membership

LULAC Educational Service Center, Member
Albuquerque Hispano Chamber of Commerce, Member and past President
American GI Forum, Member
League of United Latin American Citizens, Member
IMAGE, Member

General Membership

Albuquerque Charter Revision Committee, Member
American Society of Public Administration, Member
Private Industry Council, Member
State Job Training Coordinating Council, Member
National Political Science Honor Society - Pi Sigma Alpha, Member
New Mexico First, Executive and Research Committees
New Mexico Children's Foundation, Board Member
Industry Network Corporation, Board Member
New Mexico Amigos, Member
Governor's Technical Excellence Committee (GTEC)
Maxie L. Anderson Foundation, Board Member
New Mexico Manufacturing Extension Partnership, Board Member
New Mexico Distinguished Public Service Awards Council, Co-Chair
First Community Bank, Board of Directors, Member

Miscellaneous Memberships

La Compania Teatro de Albuquerque, Past President
Teatro de la Raza Cosmica, Past President
Peanut Butter and Jelly Pre-School, Vice President of the Board

AWARDS AND HONORS

Santa Rosa Chamber of Commerce, 1984 Annual Award
Hispanic Leadership Award, De Colores Hispanic Culture Festival, 1996
Albuquerque Hispano Chamber of Commerce, 1994 Chairman's Award
New Mexico Vocational Association Special Appreciation Award, 1984
MANA, Mexican American Women's National Association, 1984 Brindis Award
New Mexico Distinguished Public Service Award, May 2000
Bernard S. Rodey Award for Leadership in Higher Education, The University of New Mexico Alumni Association, February 21, 2002
William S. Dixon First Amendment Freedom Award, New Mexico Foundation for Open Government, September 27, 2002
Top 100 Power Brokers in New Mexico for past five years, New Mexico Business Weekly, 2002, 2003, 2004, 2005, and 2006.
National Jewish Medical and Research Center Spirit of Achievement Award, 2005
2013 Vanguard Award for the Hispanic Engineer National Achievement

PUBLICATIONS AND REPORTS

"Education & Politics: A Realistic View," LULAC Magazine, 1982.

"The State Department of Education and Public School Educational Policy Making in New Mexico: A Political Perspective." University of New Mexico. Ph.D. dissertation, 1982.

"Valencia County Manpower Survey, National Alliance of Businessmen," 1973.

"Vocational and Technical Education Student Attitudes," New Mexico Advisory Council on Vocational Education, 1979.

"Annual Vocational Education Reports," New Mexico.

"Report on Two-Year Schools in New Mexico," Report to Commission on Postsecondary Education, 1978.

"A Comprehensive Evaluation of New Mexico Postsecondary Area Vocational Schools," 1974.

"Bilingual/Bicultural Vocational Training Projects," 1977.

"Workshops and In-Service Training Assistance for Guidance Counseling Personnel," 1980.

Diagnostic and Management Review of Electronic Data Processing Systems, Study for State Corporation Commission, 1987.

Study of Northern New Mexico Community College Compensation Plan, 1987.

Business Employer Survey: Training Needs for New Mexico High School Graduates, Study for the New Mexico Council on Vocational Education, 1987.

TERA In Transition: A Critical Analysis and Corresponding Recommendations, Report to the President, New Mexico Tech, 1988.

Have prepared and delivered over 200 speeches and testimony on education, economic development, manpower training, politics and historical topics, 1972 to present.

REFERENCES

Mr. Thomas J. O'Brien
1988, "Transition to the 21st Century," TERA
1987, "Manpower Training: A New Paradigm,"
1986, "The Role of Education in Economic
Development," TERA
1985, "The Role of Education in Economic
Development," TERA
1984, "The Role of Education in Economic
Development," TERA
1983, "The Role of Education in Economic
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1976, "The Role of Education in Economic
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1975, "The Role of Education in Economic
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1974, "The Role of Education in Economic
Development," TERA
1973, "The Role of Education in Economic
Development," TERA
1972, "The Role of Education in Economic
Development," TERA

Addendum

September, 2016
Present

Abante, LLC, President

Established an LLC focused on providing business development and lobbying services.

In addition to my approximately 40 years of lobbying at the federal, state and municipal levels as a public employee, I have been involved in lobbying for both private and public clients. Clients have included the University of New Mexico (UNM), RiskSense, a cyber security company, New Mexico School for the Arts, G & K Government Affairs, LLC and the UNM Retiree Association.

In my various professional capacities, including in my current role as a private consultant/lobbyist, I have developed extensive knowledge of the entire legislative and executive processes, including working directly with key legislative and executive staffs and not only with legislators and governors. In addition, I have extensive experience in testifying before legislative committees in support of or in opposition to legislation pertaining to my clients.

TAB 8

Action Item 8

Approval of Appointments to the Harwood Foundation Governing Board

Memorandum

TO: Board of Regents of the University of New Mexico

THROUGH: President Garnett Stokes

FROM: Alexandra Benjamin, Chair of the Governing Board of the Harwood Foundation of the University of New Mexico

CC: Teresa Costantinidis, Executive Vice President for Administration/COO/CFO

DATE: July 23, 2019

RE: Appointment of Alexandra Benjamin, Barbara DeVane, Dora Dillistone, Paula Fasken, Karl Halpert, Juanita Lavadie and Janet Mockovciak to the Harwood Foundation Governing Board

In May, 2007 the Board of Regents (the "Regents") adopted resolutions (the "Delegation Resolution") delegating to the Harwood Foundation Governing Board certain responsibility for the governance, oversight, management and operation of the UNM's Harwood Museum.

The Delegation Resolution provides that the Regents shall appoint the members of the Harwood Board, including six (6) members appointed based on recommendations of the Harwood Board.

In November, 2017 the Regents approved increasing the number of members of the Harwood Board from nine (9) members to a maximum of fifteen (15) members.

The Harwood Board recommends appointing Dora Dillistone to a three year term on the Board this year. Ms. Dillistone has previously served two full terms, however as allowed by the By-Laws, she is eligible to serve again having been off the Board for more than one year. Ms. Dillistone will replace retiring board member, Lucile Grieder, who has served two full terms. Ms. Dillistone's updated Bio is attached.

The Board also requests the approval of a second three year term for Alexandra Benjamin as allowed by the By-Laws. Further information can be provided on Ms. Benjamin, however since she has previously served, her bio should be on file.

The Harwood Governing Board recommends the appointments of five new members: Barbara DeVane, Paula Fasken, Karl Halpert, Juanita Lavadie and Janet Mockovciak. Mr. Halpert will replace retiring board member, Marcia Winter who has served two full terms and a year. Ms. DeVane, Ms. Fasken, Ms. Lavadie and Ms. Mockovciak will fill four new seats on the board as approved by the Regents in November, 2017. Brief bio statements for the five new members are attached.

We respectfully request the Board of Regents include the re-appointments of Ms. Dillistone and Ms. Benjamin, and the appointment of Ms. DeVane, Ms. Fasken, Mr. Halpert, Ms. Lavadie and Ms. Mockovciak to the Harwood Governing Board on the Board of Regent's agenda for its next scheduled meeting.

July 23,2019

The Harwood Governing Board recommends the appointments of five new members:

Barbara DeVane divides her time between Winter Park, Florida and Taos. In Winter Park she has served as City Commissioner, as Business Manager at the Center for Reproductive Medicine and as Assistant Professor of Finance at the University of Central Florida. For decades she has been an avid volunteer in her community and has served with dedication as a trustee on numerous boards, including Winter Park Community Land Trust, Winter Park Health Foundation, Orlando Philharmonic Orchestra and Winter Park Historical Association.

Paula Fasken is a landscape painter, she particularly enjoys working plein air – the practice of painting outdoors to fully experience the landscape.

Fasken is a signature member of the American Impressionist Society and has exhibited in galleries in the United States since 1975. Her paintings have been published in numerous magazines and publications and are included in numerous private and corporate collections in the USA, Europe and Asia.

Fasken is a trustee of the Fasken Foundation and an avid supporter of education in New Mexico and Texas. A native Texan, she now resides full time in New Mexico, dividing her time between Santa Fe and Taos.

Karl S. Halpert is the founder of *Private Label Select Ltd Co* (PLS) of Taos. In 2016, Halpert was named New Mexico Small Business Person of the Year by the U.S. Small Business Association. Established in 1994, PLS has over 60 employees and manufactures USDA certified organic personal care and cosmetics for companies and retailers such as Walgreens, Target and Estee Lauder. The company's global capacity provides goods to thousands of retail locations throughout the world. Halpert served on Governor Bill Richardson's task force for International Trade and participated in numerous State Department trade missions to Asia and the Middle East. He is an editorial contributor to trade publications as well as a recognized leader in developing organic standards and technologies for the cosmetics industry. Halpert was previously a founding Board member of the Taos Jewish Center. He divides his time between Taos and Portland, Maine.

Juanita Lavadie is a Taoseña, and was born into a family of weavers. She is an educator, artist, acequiera and historian. Lavadie taught elementary bilingual education for three decades in Taos and worked for a time as curator at the Millicent Rogers Museum. Since retirement, she has used her collaborative skills for community involvements and for artistic pursuits in graphic and fiber arts. Lavadie's creative and cultural interests are deeply connected to the acequia system that supports the land, water and inhabitants of Northern New Mexico in general, and the traditional Hispano and indigenous cultures in particular.

Janet Mockovciak currently serves on the board of *Dallas Afterschool*, an organization she co-founded in 2007, and she is Vice Chair of the Advisory Council for the *Taos School Zone*, a special project of the Taos Community Foundation. Since 2006 she has served on the Executive Board of the Southern Methodist University Taos campus. A lifelong volunteer, her work has centered around children, education, and healthcare. Before devoting herself full time to the community in 1998, Mockovciak spent twenty years in consumer banking in middle to upper level management positions. She divides her time between Dallas, Texas and Taos.

Dora Ruth Dillistone
Taos, New Mexico
Dillistone, Inc. www.doradillistone.com
dillistone@taosnet.com
575-776-8370, 713-444-1698

Education:

Gulf Park College for Women: Magna cum Laude, A.A. Certificate in Art 1969
University of Houston: Magna cum Laude, B.S. Art Education- All Levels 1973
Texas Tech P.B. Painting, Art History 1975-76
University of Houston: P.B. Painting, Art History 1976-77, 1979
MFAH Glassell School of Art: Advanced Painting, Advanced Painting Critique, Art History 1981- 2010
Workshop: Larry Bell, Taos, New Mexico 2000
Jung Center: Chinese Watercolor, Pei Hong Endris: 2005-2010
UNM-Taos: advanced painting 2011-2014

Work:

Houston Independent School system, High School Art educator 1974-1977, 1981-1983
Independent Artist: 1977-current

Community:

City of Southside Place: Planning and Zoning Commission
City development of area zones and adoption of ordinances to support the zoning-2002-2009
Tree Committee: to protect and preserve inner city tree canopy
Houston Ladies Tennis Association: Board and President, established a scholarship for high school graduate, Won USTA Texas award for Best Organization of the Year (2001)
Board of the Houston Tennis Association
Served on the USTA Texas Section Community Development Board
Established the "Serve Up a Cure" Tennis tournament for Breast Cancer diagnosis and prevention.
Board of Directors for the Harwood Museum, 20012-2018: Worked on Art of Wine and Bash fundraisers.
Alliance Board of Harwood Museum of Art
Harwood Museum: Collections Committee

Juried Exhibitions:

Gulf Park College for Women, University of New Mexico, Blaffer Gallery University of Houston, the Jung Center Houston 2000-2009, Williams Tower Gallery Houston, MFAH Glassell Art School 1982-2009, Assistance League Annual Juried Exhibition, New Mexorado Exhibition 2011, Harwood Museum, Paradigm Shifts and Other Clichés, The Jung Center one woman juried show 2012. Highlights from the Gus Foster Collection, UNM Harwood Museum, 2014, Taos Fall Arts Select, 2014, Taos Fall Arts Select, 2015, Taos Now, Art of the 21st Century 2015, Jung Center Houston One person show 2016, Taos Center for the Arts one person show 2017

Exhibitions:

Numerous shows including Gallery 101, History of Printmaking Museum, Mind Puddles Gallery, The Jung Center Annual Exhibitions, The Hispanic Cultural Center of Houston, Commerce Street Warehouse, The Taos Art Organization Annual Studio Tour, The Phoenix Group of Houston Annual Exhibition, Bank One Building Oriental Exhibition Houston, TAO Studio Tour 2010, Taos Fall Open 2010, Phoenix Group show, Houston 2011, Gallery 203, Taos group show 2011, Stables Gallery, Taos 2011, TAO Studio Tour 2011, Gallery 203 group show 2011, Stables Gallery, Taos Group Show, 2012, Parks Gallery Show, 2012, Taos Fall Arts Festival Open, 2012, TAO Studio Tour, 2012, UNM Harwood Museum of Art Contemporary Collections Exhibit, 2014. TCA Sight Unseen, En Plein Air, Earth Wind and Fire, New Work, Stables Gallery, Taos Center for the Arts, Taos Fall Arts Festival Select and Open 2014, 2015, 2016, 2017, "Earthy Elements" Group show Bareiss Gallery 2017, Outside the lines group show 2018

The work is in numerous private collections as well as the UNM Harwood Museum of Art.

In addition to my continuing art practice, I co-own and operate the MorningStar stables with my husband Carl Dillistone.

Harwood Governing Board Terms and Expirations

	6 original Board appointed seats						3 UNM appointed seats			4 seats added in 2019					
year	1	2	3	4	5	6	7	8	9	10	11	12	13		
2007	Sudbury	Berkowitz	Strong	Warning	McLean	Foster	Goering								
2008	Sudbury	Berkowitz			Strong									Warning	
2009			Nottingham	Foster											
2010															
2011	Sudbury	Winter	Dillistone	Warning										Wohlert	
2012					Grieder	Farmer									
2013			Dillistone	Bennett Smith											vacant
2014	Larsen	Winter			Grieder	Benjamin									
2015			Larsen	Reyna											vacant
2016					Winter	Bennett Smith								2019	
2017			Halpert	2021			Dillistone	Benjamin	Fasken 2020	Mockovciak 2020	Lavadie 2021	DeVane 2022			
2018					Halpert	2021	2022	2022	Fasken	Mockovciak	Lavadie	DeVane			
2019	2020	2020	Reyna	2023									2024	2026	2026
2020					2023	2024	2026	2026	2027	2027					
2021			2026	2026							2027	2027			
2022					2027	2027									
2023			2027	2027											
2024					2027	2027									
2025			2027	2027											
2026					2027	2027									
2027			2027	2027											
2028					2027	2027									
2029			2027	2027											
2030					2027	2027									

STAGGERED TERMS - NEW SEATS

1 year Fasken
Mockovciak

2 year DeVane
Lavadie

TAB 9

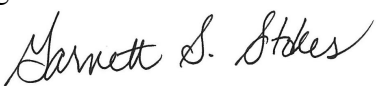
Action Item 9

Approval of Regents' Endowment Accounts

MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO

DATE: August 6, 2019

TO: Members of the Board of Regents Finance & Facilities Committee

FROM: Garnett S. Stokes, President 

RE: Approval of Regents' Endowment Accounts

RECOMMENDED ACTIONS:

1. For FY 2019-20, approve the use of up to \$650,000 of endowment funds in support of the Grand Challenges.
2. For FY 2019-20, approve the use of up to \$350,000 of endowment funds in support of enrollment management initiatives to increase enrollment.
3. Approval by the Board of Regents that, effective FY 2020-21, as part of the annual operating budget process for the University of New Mexico, the President will designate the use of the Regents' Endowment funds in accordance with the terms of the endowments as established and approved by the Board of Regents.

TAB 10

Action Item 10

Contract Approval of Athletics' Multi-Media Rights and Assets Plan



MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
FAX (505) 277-7774

MEMORANDUM

To: Teresa Costantinidis, Sr. Vice President for Finance & Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Approval

Date: July 24, 2019

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

Proposals were solicited on May 3, 2019 on behalf of the Athletics Department to license certain UNM multi-media rights and assets and provide and implement a strategic multi-media rights plan for UNM's Athletic Facilities. Those facilities include UNM Football Stadium, The Pit, UNM Baseball Stadium, UNM Soccer and Track Facility, Softball Facility, Tennis Complex, Volleyball Gym and Swimming and Diving Complex at Johnson Gymnasium, surrounding parking lots on south campus to maximize revenue and enhance fans' experiences, and other areas as agreed upon by UNM and the contractor. There were a total of 5 respondents for the request for proposal as follows:

1. JMI Sports, LLC
2. Learfield Communications, Inc.
3. National Advertising Partners
4. Van Wagner Sports & Entertainment, LLC
5. Outfront Media Sports, Inc.

In accordance with the criteria established for the procurement, the evaluation committee recommends awarding the contract to Outfront Media Sports Inc. as the highest ranked offer.

UNM is currently in negotiations with OutFront Media Sports Inc.

TAB 11

Action Item 11

Recommendations for Consent Agenda Items on Full Board of Regents'
Agenda

*** Recommendations for Consent Agenda Items on full Board of Regents' Agenda**