

THE UNIVERSITY OF NEW MEXICO



**May 4, 2021**  
**\*1:30 p.m.\***  
**Scholes Hall, Roberts Room**

# TAB 1

#1

Call to Order, Confirmation of a Quorum, and Adoption of Agenda

**The University of New Mexico**  
**Board of Regents' Finance and Facilities Committee**  
**May 4, 2021, 1:30 p.m.**  
**Held Virtually, Via Zoom**  
<https://live.unm.edu/board-of-regents>  
**AGENDA**

**ACTION ITEMS:**

1. Call to Order, Confirmation of a Quorum, and Adoption of Agenda

**COMMENTS:**

- Open for Comments

**ACTION ITEMS (Continued):**

2. Approval of Finance and Facilities Committee Meeting Summary from April 6, 2021
3. Approval of 3rd Quarter Financial Actions Report and Certification through March 31, 2020 and 3rd Quarter Informational Financial Report through March 31, 2020 *(Presenter: Elizabeth Metzger, University Controller)*
4. Approval of 3<sup>rd</sup> Quarter Athletics' Enhanced Fiscal Oversight Program Report and Certification through March 31, 2021 and 3<sup>rd</sup> Quarter Information on Athletics' Report by Sport through March 31, 2021 *(Presenters: Eddie Nunez, Dir., Athletics and Nicole Dopson, Dir., Financial Operations, EVP for AA/Provost's Office)*
5. Approval of Main, HSC, and Branch Campuses' FY 2021-2022 Budget and FY 2020-2021 Budget Adjustment Request (BAR) *(Presenters: Teresa Costantinidis, SVP for Finance & Administration; James Holloway, Provost and EVP for Academic Affairs; Norma Allen, Dir., OPBA; Ava Lovell, Senior Exec. Officer, HSC Finance & Administration and Alice Letteney, Branch Chancellor)*
6. Approval of Projects:
  - a. UNM Valencia Campus Fire Suppression Upgrades
  - b. Laguna & De Vargas Roof Replacement
  - c. University House Exterior Repairs *(Presenter: Lisa Marbury, Assistant VP, Campus Environments & Administration)*
7. Approval of:
  - a. Capital Outlay request package for FY 2021-2022. Projects which must be submitted to the Higher Education Department (HED)
  - b. Five Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on The University of New Mexico Campuses *(Presenters: Teresa Costantinidis, SVP for Finance & Administration and James Holloway, Provost and EVP for Academic Affairs)*
8. Approval of Lobo Energy, Inc. Board Member Nominations *(Presenter: Jason Strauss, President/Chief Exec. Officer, Lobo Energy, Inc.)*
9. Recommendations for Consent Agenda Items on Full Board of Regents' Agenda *(Sandra Begay, Chair, Regents' Finance & Facilities Committee)*

**INFORMATION ITEMS:**

None

**EXECUTIVE SESSION:**

None

# TAB 2

#2

Approval of Finance and Facilities Committee Meeting Summary  
from April 6, 2021

**THE UNIVERSITY OF NEW MEXICO**  
**Board of Regents' Finance and Facilities (F&F) Committee**  
**April 6, 2021 – Meeting Summary**  
<<<DRAFT>>>

**Committee Members Present:** Regent Sandra Begay, Regent William Payne, and Regent Rob Schwartz

**Administration Present:** Garnett Stokes, University President, and Teresa Costantinidis, Senior Vice President for Finance and Administration (SVPFA)

**Presenters in Attendance:** Bruce Cherrin, Purchasing; Chad Cooper, Alumni Association; Connie Beimer Alumni Relations; Lisa Marbury, Campus Environments and Facilities; James Holloway, Academic Affairs; Norma Allen, OPBA; Alice Letteney, UNM-Valencia; Ava Lovell, Health Sciences Center (HSC) Finance and Administration; Kelly Ward, Lobo Development Corporation (LDC); and Kenny Stansbury, UNM Foundation

**ACTION ITEMS:**

- 1. Call to Order, Confirmation of a Quorum, and Adoption of Agenda.** Regent Begay called the virtual meeting to order at 12:47 p.m. and confirmed that a quorum was established with Regent Begay and Regent Payne present. **Regent Payne moved to adopt the agenda and Regent Begay seconded. The motion passed unanimous vote with a quorum of committee members present and voting.**

**COMMENTS:**

Mia Amin, Associated Students of the University of New Mexico (ASUNM) President, opposed the proposal for the Athletics Department moving to a headcount model that bypasses the Student Fee Review Board (SFRB) and review of tuition increases. She recommended more student involvement and transparency before implementing financial increases or changes that will have negative effects on students.

Nikhil Paddy, Graduate Professional Student Association (GPSA) President, opposed the Athletics Department's proposed changes of moving to a headcount model and tuition increases, and the collection of a fee from medical students.

Regent Begay acknowledged receipt of an email with the medical student survey from Regent Ko, and she will forward the results to the University's Administration.

**\*\*\*Regent Schwartz entered the meeting during this presentation, the full committee with three regents were present.\*\*\***

**ACTION ITEMS (continued):**

- 2. Approval of Finance and Facilities Committee Meeting Summary from February 9, 2021.**

**Regent Schwartz moved to approve and Regent Begay seconded. The motion passed by unanimous vote with a quorum of committee members present and voting. The minutes are in the E-book.**

- 3. Approval of Disposition of Surplus Property for January and February 2021.** Bruce Cherrin gave the presentation. Regents' approval was requested for the disposition of surplus property for January and February 2021. Items listed in the E-Book are either obsolete or beyond repair. The detailed report is in the E-book. **Regent Schwartz moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- 4. Approval of Bylaw Amendments for the University of New Mexico Alumni Association.** Chad Cooper and Connie Beimer gave the presentation. Regents' approval was requested for the Bylaw amendments for the University of New Mexico Alumni Association. On February 26, 2021, the UNM Alumni Association Board of Directors voted to approve the three amendments which address the number of directors, board appointments, and Chapters. The detailed changes to the amendments are in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- 5. Approval of Projects:**
  - a. UNM Taos Klauer Campus Water Tank.** Lisa Marbury gave the presentation. Regents' approval was requested for the UNM Taos Klauer Campus water tank. The total estimated Project Budget is \$2.5M. \$2M is funded by the 2020 General Obligation Bond and \$500K is funded by the UNM-Taos Campus Educational Gross Receipt Tax (EGRT) funds. The detailed report is in the E-book. **Regent Schwartz moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
  - b. Re-Approval of the Reserve Officer Training Corps (ROTC) Renovation.** Lisa Marbury gave the presentation. After clarifying the bond funding language, Regents' re-approval was requested for the ROTC renovation. The total estimated budget is \$9.5M. \$6.8M is funded by UNM Plant Fund Reserves, \$2M is funded by University Income Fund, and \$7.14K is funded by 2019 State General Funds. The detailed report is in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- 6. Approval of the Proposed Fiscal Year (FY) 2021-22 Budget Planning Assumptions.** Regents' approval was requested for the proposed FY 2021-2022 Budget Planning assumptions. Teresa Costantinidis gave an overview of the presentations.
  - A. Branch Campuses - Gallup, Los Alamos, Valencia, Taos:**
    - i.) Tuition, Fees, and Compensation Proposal.** Regents' approval was requested for the branch campuses proposed FY 2021-2022 budget planning assumptions. Dr. Alice Letteny gave the presentation. Branch campuses do not intend to increase tuition and fees and support a 1.5% compensation increase for faculty and staff. The detailed reports are in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded.**

**The motion passed by unanimous vote with a quorum of committee members present and voting.**

**B. Main Campus:**

**i.) Core Financial Plan and Fees**

**1.) Overall Budget Scenario**

Regents' approval was requested for the proposed FY 2021-2022 budget assumptions. Norma Allen gave the presentation and explained the main campus' budget for FY 2021-2022.

**2.) Tuition and Fees**

Norma Allen gave the presentation on the main campus' proposed revenue and expenses assumptions. Discussion was held on the various fees, the purpose of the assumptions, and the budget development process.

**3.) Compensation**

Norma Allen gave the presentation on the compensation proposal for FY 2021-2022 and summarized the proposed compensation increase of 1.5% for faculty and staff.

President Stokes thanked the Budget Leadership Team (BLT) and the branch campuses for their work on the budget assumptions. She then summarized the reasons for her support of the proposed budget assumptions. **Regent Payne moved to approve the main campus budget planning assumptions and Regent Schwartz seconded the motion to allow for discussion (1<sup>st</sup> Payne, 2<sup>nd</sup> Schwartz).** Regent Schwartz shared his views, and while he does support Athletics' as a whole, he does not believe students should be burdened with supporting Athletics' by paying a \$100 fee in a headcount model. **Regent Schwartz recommended excluding the Athletics' fee proposal from the overall budget assumptions.** Regent Begay and Regent Payne agreed to remove Athletics' from the budget assumptions. Discussion was held on the proposed fees and the role of Athletics. Regent Begay and Regent Payne were in favor of the assumptions. The detailed presentation is in the E-book. The motion passed by a vote of 3-0. **Regent Payne moved to reinsert the Athletics' proposal into the campus budget assumptions and Regent Begay seconded (1st Payne, 2nd Begay). The motion to reinsert the Athletics' fee change in the budget assumptions passed by a vote of 2-1; Regent Payne and Regent Begay voted for; Regent Schwartz voted against.**

**C. Health Sciences Center (HSC):**

**i.) Tuition, Fees, and Compensation Proposal**

Ava Lovell gave the presentation. The proposed HSC tuition changes and compensation budget proposals were presented at the Regents' HSC Committee meeting held on April 6, 2021. Ava Lovell summarized the main points of the budget assumptions that include a proposed 2.5% increase for undergraduate and a 5% increase for graduate in-state tuitions. For out-of-state students, HSC is proposing a 2.5% increase for undergraduate and 5% increase for graduate tuitions. The tuition increases exclude the School of Medicine (SOM) Doctor of Medicine (MD) and the PharmD programs. Additionally, Ms. Lovell

summarized the proposed tuition fee increases and the proposed 1.5% faculty and staff salary increase. The detailed report is in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

- 7. Approval of Project Development Proposal and Agreement Between the Regents of the University of New Mexico and Lobo Development Corporation for the Real Estate Development and Management of Innovate ABQ Project Real Estate.** Kelly Ward gave the presentation. Regents' approval was requested for the project development proposal and agreement between the Regents of the University of New Mexico and the Lobo Development Corp. for the real estate development and management of Innovate ABQ Project Real Estate. The detailed agreement is in the E-book. **Regent Payne moved to approve and Regent Schwartz seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
- 8. Recommendations for Consent Agenda Items on Full Board of Regents' Agenda.** Regent Begay recommended items 3, 4, and 5 be placed on the full Board of Regents' consent agenda. **Regent Schwartz moved to approve and Regent Payne seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**

#### **INFORMATION ITEM:**

- 9. UNM Foundation Second Quarter Finance & Endowment Portfolio Report.** Kenny Stansbury gave the presentation. Regent Begay recommended the presentation be given at next Board of Regents meeting. The detailed report is in the E-book.

#### **EXECUTIVE SESSION:**

Executive session was not held.

**Regent Schwartz moved to adjourn at 3:05 p.m. and Regent Payne seconded. The motion passed by unanimous vote with a quorum of Committee members present and voting.**



# TAB 3

#3

Approval of 3rd Quarter Financial Actions Report and Certification through March 31, 2020 and 3rd Quarter Informational Financial Report through March 31, 2020




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**University Controller**  
**Financial Services, Main Campus**  
**Phone: (505) 277-5111**  
**FAX: (505) 277-7662**

**MEMORANDUM**

**DATE:** April 13, 2021

**TO:** Teresa Costantinidis  
Senior Vice President for Finance & Administration

**FROM:** Elizabeth Metzger, CPA   
University Controller

**RE:** Two (2) Items: One (1) Action Item and One (1) Information Item for Board of Regents' Finance & Facilities Committee Meeting

*Action Items.*

The New Mexico Higher Education Department, Institutional Finance Division, Quarterly Financial Actions Report and Certification through March 31, 2021 needs to be approved at the May 4, 2021 Finance & Facilities Committee meeting.

*Information Items.*

The Monthly Consolidated Financial Reports for the month ended March 31, 2021 will be presented at the May 4, 2021 Finance & Facilities Committee meeting.

New Mexico Higher Education Department  
Institutional Finance Division  
Quarterly Financial Certification Report Template

Please complete and sign the following Financial Certification Report and submit with the Quarterly Financial Actions Report.

To the best of my knowledge, I certify that the information provided in the attached Financial Actions Report for the:

1<sup>st</sup> \_\_\_\_\_ 2<sup>nd</sup> \_\_\_\_\_ 3<sup>rd</sup> X 4<sup>th</sup> \_\_\_\_\_ Quarter, FY 2021

is correct as of the signature dates noted below, and that

The University of New Mexico

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has a functioning financial accounting system that captures assets, liabilities, revenues, and expenditures on a timely basis, and the Governing Board receives timely notification of any significant actual or projected variances between budgeted and actual revenues and expenditures.

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Sandra Begay, Chair, Board of Regents-F&F

Date

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Garnett S. Stokes, President

Date

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Teresa Costantinidis, Senior VP for Finance & Administration

Date

# University of New Mexico

## Quarterly Financial Actions Report

Fiscal year	2021	Date	4/21/2021
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Period (check one)

Quarter 1	<input type="checkbox"/>	Quarter 2	<input type="checkbox"/>	Quarter 3	<input checked="" type="checkbox"/>	Quarter 4	<input type="checkbox"/>
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**During the period of time covered by this report; did your institution:**

- |   |     |                          |    |                                     |
|---|-----|--------------------------|----|-------------------------------------|
| (1) Request an advance of state subsidy?  | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (2) Fail to make its required payments, as scheduled, to appropriate retirement system(s)?  | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (3) Fail to make its payroll payments, as scheduled?  | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (4) Fail to make its scheduled debt service payments?   | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (5) Fail to make payments to vendors, as scheduled, due to a cash deficiency or a substantial deficiency in the payment processing system?  | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (6) Relative to the original fiscal year budget, experience any significant actual or anticipated financial changes that are not reflected in a submitted Budget Adjustment Request (BAR). Significant financial changes refers to fiscal activity that will result in a substantially reduced year-end fund balance or any increase in a fund balance deficit. *See note below | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |

If the answer to any of the above questions is "Yes," please describe in a separate document:

- (i) the reason for the occurrence,
- (ii) the actions taken by your institution to resolve this particular occurrence, and
- (iii) the actions taken by your institution to prevent events such as this from occurring again.

In addition, if the answer to number 6 is "Yes," please describe in a separate document the nature of the financial changes and describe and assess the impact that the changes will have on your institution's planned year-end financial position. (See attached.)

\* - BAR's for FY21 were submitted to HED on or before May 3, 2021



**Monthly Financial Report**

**Consolidated Total Operations Current Funds**

**Fiscal Year to Date as of March 31, 2021**

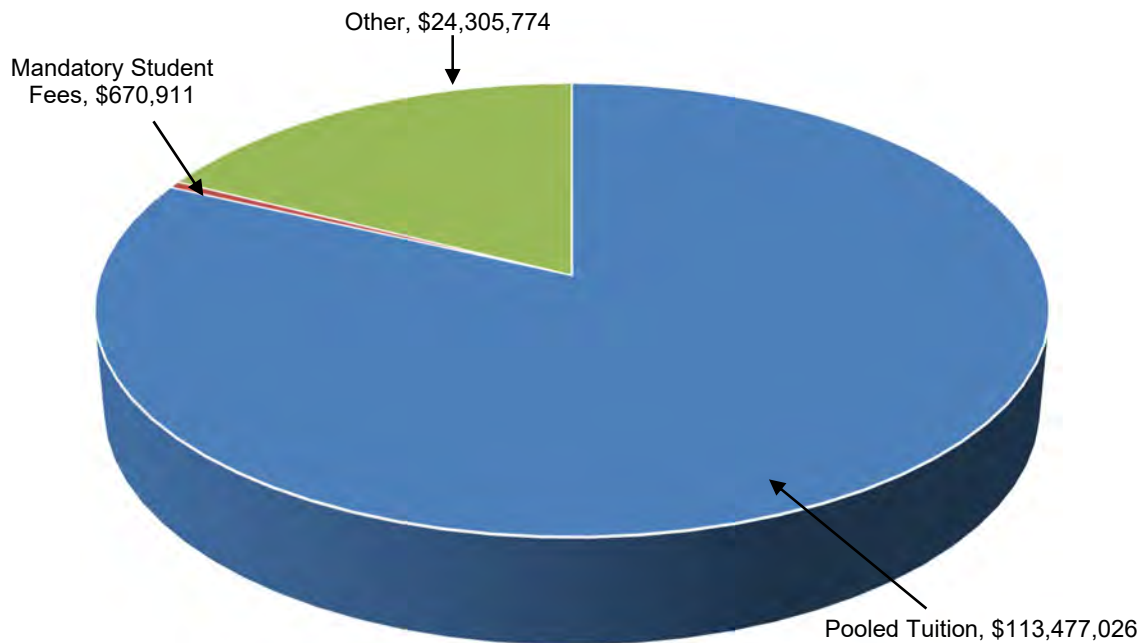
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## Summary of Items in the Consolidated Financial Report As of March 31, 2021

- Instruction and General (Consolidated page 8, Main Campus page 14): Tuition and Fees - The graph below indicates the portion of Main Campuses I&G Tuition and Fee revenue that is pooled and allocated to the departments. The remainder of I&G Tuition and Fee revenue is distributed directly to the units, EX: tuition differential, course fees.

**Main Campus Tuition and Fees  
as of March 31, 2021**



Other includes tuition differential, off-campus extension tuition, ASM Executive and Professional Education Center (EPEC) tuition, and various fee classifications (application, course, extended education, other student, testing binding, thesis, and reserves).

Budget	\$105,088,891
Difference	\$ 8,388,135

- HSC Clinical and Academic Operations (Consolidated page 10): Effective in FY20, HSC information was not presented as a separate report in the Consolidated Financial Report. HSC is presented in the Clinical Operations section that also includes UNM Hospitals, UNM Medical Group, and SRMC. (These actuals do not include intercompany eliminations.) Actuals for the current year and prior year through March are as follows:

<b>Clinical Operations</b>		<b>FY21 – as of 03/31/21</b>		<b>FY20 – as of 03/31/20</b>		<b>Variance between FY2021 and FY2020</b>
UNM HSC Academic Enterprise	\$	13,792,133	\$	14,244,830	\$	-452,697
UNM Hospitals Operations	\$	54,415,368	\$	26,943,464	\$	27,471,904
UNM Medical Group	\$	6,053,941	\$	-7,885,989	\$	13,939,930
SRMC	\$	250,219	\$	27,652	\$	222,567
<b>Total</b>	<b>\$</b>	<b>74,511,661</b>	<b>\$</b>	<b>33,329,957</b>	<b>\$</b>	<b>41,181,704</b>

- COVID-19 CARE Funding Received in Fiscal Year 20-21 as of March 31, 2021:

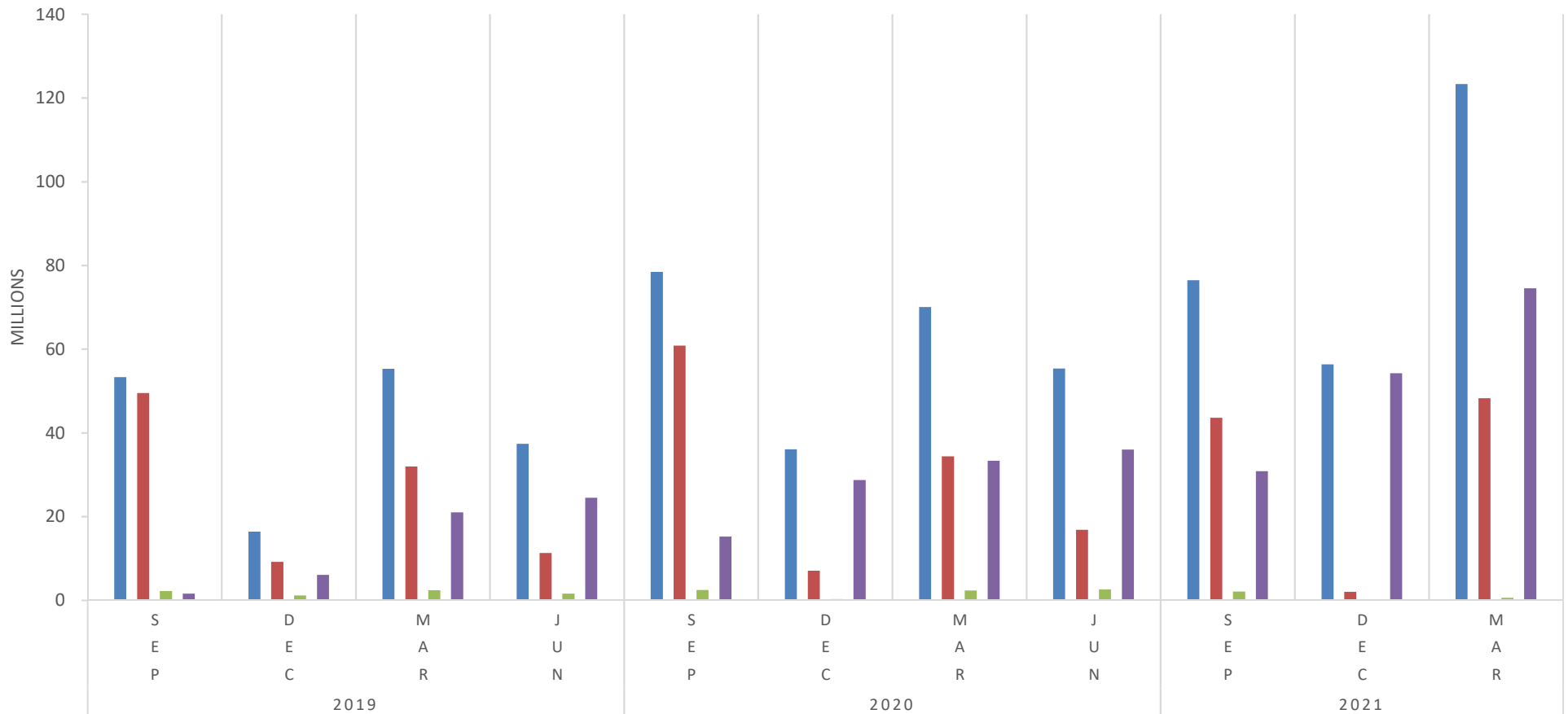
<b>Campus</b>	<b>Total</b>
Main (HEERF II)	\$ 32,291,900
Gallup (HEERF II)	406,266
Los Alamos (HEERF II)	87,040
Valencia (HEERF II)	263,633
Taos (HEERF II)	173,285
Clinical Operations	67,875,026
<b>Total</b>	<b>\$ 101,097,150</b>

HEERF – Higher Education Emergency Relief Funds



**CONSOLIDATED TOTAL OPERATIONS - 3 YEAR NET REVENUE**  
**(NET REVENUE = TOTAL REVENUES LESS TOTAL EXPENSES FOR THE CONSOLIDATED AND EACH CAMPUS)**  
**FISCAL YEAR TO DATE AS OF MARCH 31, 2021**

■ Consolidated (total on pg 10)    
 ■ Main (total on pg 16)    
 ■ Branches (total on pg 18)    
 ■ HSC (total on pg 10)



FISCAL YEAR

## **Executive Budget Summary**

### **University of New Mexico Consolidated Financial Report**

### **Fiscal Year 2021 UNM Operating Budget with Revisions**

This report covers current fund operations for the University, including Main Campus, Branch Campuses, and Clinical Operations including the HSC Campus.

**Instruction and General's** operations projects a use of reserves of \$1.0M for the Fiscal Year 2021 UNM Operating Budget with Revisions; \$9K net margin at the Main Campus and a \$1.0M use of reserves at the Branch Campuses. This includes the COVID-19 HEERF II Award (Higher Education Emergency Relief Funds). HSC's revised budget for I&G is now included in the Clinical Operations budget.

**Unrestricted Research** operations projects a use of reserves of \$2.8M for the Fiscal Year 2021 UNM Operating Budget with Revisions-all at the Main Campus. HSC's revised budget for research is now included in the Clinical Operations budget.

**Unrestricted Public Service** operations projects a use of reserves of \$2.7M for the Fiscal Year 2021 UNM Operating Budget with Revisions; \$2.6M use of reserves is at the Main Campus and a \$61K use of reserves is at the Branch Campuses. HSC's revised budget for Unrestricted Public Service is now included in the Clinical Operations budget.

**Student Aid** operations projects a use of reserves of \$9.9M for the Fiscal Year 2021 UNM Operating Budget with Revisions; \$9.9M use of reserves is at the Main Campus and a \$18K use of reserves is at the Branch Campuses. HSC's revised budget for Student Aid is now included in the Clinical Operations budget.

**Student Activities** are the operations of Student Government and Student organizations. The FY 2021 UNM Operating Budget with Revisions projects a positive net margin of \$118K. HSC's revised budget for Student Activities is now included in the Clinical Operations budget.

#### **Auxiliaries and Athletics**

The FY 2021 UNM Operating Budget with Revisions for Auxiliaries and Athletics projects a positive net margin of \$22.1M. This includes \$(416K) for any contingencies and COVID-19 HEERF II Award (Higher Education Emergency Relief Funds).

**Sponsored Programs** operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

**Clinical Operations** now includes HSC (UNM HSC Academic Enterprises), UNM Hospital, UNM Medical Group, and SRMC. The FY 2021 UNM Operating Budget with Revisions for Clinical Operations totals \$23,860,126 and is listed by unit below:

- UNM HSC Academic Enterprise as a budgeted net margin of \$(3,082,178)
- UNM Hospitals operations has a budgeted net margin of \$18,757,408
- UNM Medical Group operations has a budgeted net margin of \$8,180,158
- SRMC has a budgeted net margin of \$4,738

Statements of Revenues, Expenses and Changes in Net Position  
For the nine month ended March 31, 2021  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
<b>Instruction and General</b>						
Tuition and Fees Revenues***						
Main Campus	127,246,269	138,453,711	11,207,442	109%	133,318,216	5,135,495
Branch Campuses	6,175,183	5,657,131	(518,052)	92%	6,525,596	(868,465)
Total Tuition and Fees Revenues	133,421,452	144,110,842	10,689,390	108%	139,843,812	4,267,030
State/Local Appropriations	216,629,067	162,471,802	(54,157,265)	75%	169,666,782	(7,194,980)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	3,025,340	3,025,340	-	100%	-	3,025,340
F & A Revenues	20,745,000	14,174,258	(6,570,742)	68%	15,238,034	(1,063,776)
Transfers	(53,151,906)	(46,783,103)	6,368,803	88%	(44,805,465)	(1,977,638)
Other Revenues	18,715,599	14,172,049	(4,543,550)	76%	13,500,163	671,886
Total Instruction and General Revenues	339,384,552	291,171,188	(48,213,364)	86%	293,443,326	(2,272,138)
Salaries	207,767,148	158,108,688	49,658,460	76%	162,486,386	4,377,698
Benefits	69,838,989	57,136,381	12,702,608	82%	56,817,563	(318,818)
Other Expenses	62,783,372	41,208,019	21,575,353	66%	46,591,411	5,383,392
Total Instruction and General Expenses	340,389,509	256,453,088	83,936,421	75%	265,895,360	9,442,272
Net Instruction and General Revenue (Expense)	(1,004,957)	34,718,100	35,723,057		27,547,966	7,170,134
<b>Research</b>						
State/Local Appropriations	1,805,950	1,354,463	(451,487)	75%	1,553,288	(198,825)
Transfers	15,812,779	11,545,088	(4,267,691)	73%	11,547,896	(2,808)
Other Revenues	264,110	747,336	483,226	283%	539,941	207,395
Total Research Revenues	17,882,839	13,646,887	(4,235,952)	76%	13,641,125	5,762
Salaries and Benefits	9,626,628	7,987,955	1,638,673	83%	7,695,402	(292,553)
Other Expenses	11,068,148	4,854,142	6,214,006	44%	5,728,586	874,444
Total Research Expenses	20,694,776	12,842,097	7,852,679	62%	13,423,988	581,891
Net Research Revenue (Expense)	(2,811,937)	804,790	3,616,727		217,137	587,653
<b>Public Service</b>						
State/Local Appropriations	5,253,250	3,939,939	(1,313,311)	75%	3,104,888	835,051
Sales and Services Revenues	4,329,111	4,421,994	92,883	102%	5,959,437	(1,537,443)
Gifts	8,432,938	9,302,362	869,424	110%	7,907,525	1,394,837
Transfers	(638,414)	(642,782)	(4,368)	101%	30,128	(672,910)
Other Revenues	4,728,661	2,017,255	(2,711,406)	43%	2,962,457	(945,202)
Total Public Service Revenues	22,105,546	19,038,768	(3,066,778)	86%	19,964,435	(925,667)
Salaries and Benefits	12,156,426	8,787,967	3,368,459	72%	8,962,110	174,143
Other Expenses	12,606,126	5,846,161	6,759,965	46%	8,538,895	2,692,734
Total Public Service Expenses	24,762,552	14,634,128	10,128,424	59%	17,501,005	2,866,877
Net Public Service Revenue (Expense)	(2,657,006)	4,404,640	7,061,646		2,463,430	1,941,210

Statements of Revenues, Expenses and Changes in Net Position  
For the nine month ended March 31, 2021  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
<b>Student Aid</b>						
Gifts	6,419,137	5,297,369	(1,121,768)	83%	4,752,920	544,449
State Lottery Scholarship***	23,450,000	23,450,000	-	100%	23,728,994	(278,994)
Transfers	18,905,796	14,564,871	(4,340,925)	77%	13,816,266	748,605
Other Revenues	632,034	292,903	(339,131)	46%	241,916	50,987
Total Student Aid Revenues	49,406,967	43,605,143	(5,801,824)	88%	42,540,096	1,065,047
Salaries and Benefits	1,861,660	1,764,672	96,988	95%	1,541,879	(222,793)
Other Expenses	57,440,169	43,594,897	13,845,272	76%	39,499,960	(4,094,937)
Total Student Aid Expenses	59,301,829	45,359,569	13,942,260	76%	41,041,839	(4,317,730)
Net Student Aid Revenue (Expense)	(9,894,862)	(1,754,426)	8,140,436		1,498,257	(3,252,683)
<b>Student Social &amp; Cultural Programs</b>						
Fee Revenues***	7,422,767	6,094,542	(1,328,225)	82%	7,295,632	(1,201,090)
Sales and Services Revenues	912,907	214,015	(698,892)	23%	678,198	(464,183)
Transfers	570,873	332,923	(237,950)	58%	531,235	(198,312)
Other Revenues	51,472	34,512	(16,960)	67%	58,197	(23,685)
Total Student Social & Cultural Programs Revenues	8,958,019	6,675,992	(2,282,027)	75%	8,563,262	(1,887,270)
Salaries and Benefits	5,836,122	3,014,358	2,821,764	52%	3,866,672	852,314
Other Expenses	3,003,553	1,879,471	1,124,082	63%	3,103,347	1,223,876
Total Student Social & Cultural Programs Expenses	8,839,675	4,893,829	3,945,846	55%	6,970,019	2,076,190
Net Student Social & Cultural Programs Revenue (Expense)	118,344	1,782,163	1,663,819		1,593,243	188,920
<b>Auxiliaries and Athletics</b>						
Branch Campuses Auxiliary Revenues	1,838,085	531,202	(1,306,883)	29%	1,215,862	(684,660)
Main Campus Auxiliaries Revenues	55,751,761	30,188,026	(25,563,735)	54%	37,691,744	(7,503,718)
Athletics Revenues	42,328,869	24,261,886	(18,066,983)	57%	22,963,559	1,298,327
Total Auxiliaries and Athletics Revenues	99,918,715	54,981,114	(44,937,601)	55%	61,871,165	(6,890,051)
Branch Campuses Auxiliary Expenses	2,138,085	875,546	1,262,539	41%	1,277,407	401,861
Main Campus Auxiliaries Expenses	43,840,434	24,712,030	19,128,404	56%	31,983,358	7,271,328
Athletics Expenses	31,858,487	20,524,451	11,334,036	64%	25,210,262	4,685,811
Total Auxiliaries and Athletics Expenses	77,837,006	46,112,027	31,724,979	59%	58,471,027	12,359,000
Net Auxiliaries and Athletics Revenue (Expense)	22,081,709	8,869,087	(13,212,622)		3,400,138	5,468,949

Statements of Revenues, Expenses and Changes in Net Position  
For the nine month ended March 31, 2021  
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University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
<b>Sponsored Programs</b>						
Federal Grants and Contracts Revenues	144,191,462	98,169,422	(46,022,040)	68%	99,819,004	(1,649,582)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	1,034,674	112%	8,593,463	1,074,467
State and Local Grants and Contracts Revenues	18,948,148	12,513,013	(6,435,135)	66%	13,957,926	(1,444,913)
Non-Governmental Grants and Contracts Revenues	12,800,000	7,596,949	(5,203,051)	59%	8,426,323	(829,374)
Gifts	50,000	-	(50,000)	0%	-	-
Transfers	-	866,667	866,667	N/A	1,030,963	(164,296)
Other Revenues	-	-	-	N/A	-	-
Total Sponsored Programs Revenues	184,622,866	128,813,981	(55,808,885)	70%	131,827,679	(3,013,698)
Salaries and Benefits	74,500,832	44,150,357	30,350,475	59%	45,183,499	1,033,142
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	(1,034,674)	112%	8,593,463	(1,074,467)
Other Expenses	101,488,778	74,995,694	26,493,084	74%	78,050,717	3,055,023
Total Sponsored Programs Expenses	184,622,866	128,813,981	55,808,885	70%	131,827,679	3,013,698
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
<b>HSC Clinical and Academic Operations*</b>						
State/Local Appropriations	104,799,431	78,423,630	(26,375,801)	75%	79,656,204	(1,232,574)
Capital Appropriations	-	-	-	N/A	33,588,918	(33,588,918)
UNM Medical Group Revenues	439,945,555	304,669,570	(135,275,985)	69%	332,042,818	(27,373,248)
UNM Hospitals Revenues	1,328,034,201	1,078,220,146	(249,814,055)	81%	969,195,988	109,024,158
SRMC Revenues	108,876,818	71,370,606	(37,506,212)	66%	72,243,511	(872,905)
Tuition and Fees Revenue (Earned Only)	30,612,386	22,959,290	(7,653,096)	75%	22,992,080	(32,790)
F&A Revenues	25,500,000	22,322,167	(3,177,833)	88%	19,558,572	2,763,595
Mil Levy (Sandoval & Bernalillo Counties)	116,983,023	85,585,643	(31,397,380)	73%	84,265,307	1,320,336
Contract and Grant Revenues	180,662,420	156,428,873	(24,233,547)	87%	117,692,432	38,736,441
Transfers	6,925,853	2,235,535	(4,690,318)	32%	4,512,823	(2,277,288)
CARES ACT Funding	-	67,875,026	67,875,026	N/A	-	67,875,026
Other Revenues	102,512,570	84,061,072	(18,451,498)	82%	81,649,225	2,411,847
Total Clinical Operations Revenues	2,444,852,257	1,974,151,558	(470,700,699)	81%	1,817,397,878	156,753,680
Salaries and Benefits	1,165,076,761	928,811,735	236,265,026	80%	814,066,874	(114,744,861)
Contract and Grant Expenses	164,121,671	142,755,165	21,366,506	87%	105,428,359	(37,326,806)
Committed for Capital Projects	30,000,000	30,000,000	-	100%	33,588,918	3,588,918
Other Expenses	1,061,793,699	798,072,997	263,720,702	75%	830,983,770	32,910,773
Total Clinical Operations Expenses	2,420,992,131	1,899,639,897	521,352,234	78%	1,784,067,921	(115,571,976)
Net Clinical Operations Revenue (Expense)	23,860,126	74,511,661	50,651,535		33,329,957	41,181,704
<b>Net Current Revenue (Expense)</b>	<b>29,691,417</b>	<b>123,336,015</b>	<b>93,644,598</b>		<b>70,050,128</b>	<b>53,285,887</b>

\* Does not include intercompany eliminations

\*\* Prior year actuals may have been reclassified in order to be consistent with the 2021 presentation

\*\*\* Tuition/Fees, Lottery Scholarship, and Student Fees have a benchmark of 100%

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
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**University of New Mexico - Main Campus Athletics & Auxiliary Operations**

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
<b>Results of Athletics Operations:</b>						
Athletics Revenues	31,251,524	13,351,429	(17,900,095)	43%	23,144,278	(9,792,849)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,470,381	10,470,381	-	100%	-	10,470,381
Athletics Transfers	606,964	440,076	(166,888)	73%	(180,719)	620,795
Total Athletics Revenues	42,328,869	24,261,886	(18,066,983)	57%	22,963,559	1,298,327
Athletics Expenses						
Salaries and Benefits	14,137,567	9,512,684	4,624,883	67%	10,198,398	685,714
Grant-in-Aid	-	3,633,841	(3,633,841)	N/A	3,684,767	50,926
Other Expenses	17,720,920	7,377,926	10,342,994	42%	11,327,097	3,949,171
Total Athletics Expenses	31,858,487	20,524,451	11,334,036	64%	25,210,262	4,685,811
Total Net Athletics Revenue (Expense)	10,470,382	3,737,435	(6,732,947)		(2,246,703)	5,984,138
<b>Results of Auxiliary Operations:</b>						
<b>VP for Institutional Support Services</b>						
Bookstore Revenues	9,512,702	5,092,131	(4,420,571)	54%	7,971,233	(2,879,102)
Bookstore Transfers	(341,281)	(255,961)	85,320	75%	(262,500)	6,539
Total Bookstore Revenues	9,171,421	4,836,170	(4,335,251)	53%	7,708,733	(2,872,563)
Total Bookstore Expenses	8,565,099	5,964,811	2,600,288	70%	7,742,665	1,777,854
Net Bookstore Revenue (Expense)	606,322	(1,128,641)	(1,734,963)		(33,932)	(1,094,709)
University Club Revenues	68,000	19,720	(48,280)	29%	32,307	(12,587)
University Club Expenses	68,000	30,419	37,581	45%	47,148	16,729
Net Faculty & Staff Club Revenue (Expense)	-	(10,699)	(10,699)		(14,841)	4,142
Food Service/Dining Revenues	1,425,434	948,419	(477,015)	67%	1,999,852	(1,051,433)
Food Service/Dining Transfers	(130,559)	(10,000)	120,559	8%	(37,500)	27,500
Total Food Service/Dining Revenues	1,294,875	938,419	(356,456)	72%	1,962,352	(1,023,933)
Total Food Service/Dining Expenses	1,294,875	668,990	625,885	52%	809,841	140,851
Net Food Service/Dining Revenue (Expense)	-	269,429	269,429		1,152,511	(883,082)
Golf Courses Revenues	2,513,305	1,658,007	(855,298)	66%	1,352,179	305,828
Golf Courses Transfers	(39,252)	(29,439)	9,813	75%	(29,439)	-
Total Golf Courses Revenues	2,474,053	1,628,568	(845,485)	66%	1,322,740	305,828
Total Golf Courses Expenses	2,345,491	1,807,567	537,924	77%	1,743,198	(64,369)
Net Golf Courses Revenue (Expense)	128,562	(178,999)	(307,561)		(420,458)	241,459
Housing Revenues	9,501,000	4,766,818	(4,734,182)	50%	9,574,528	(4,807,710)
Housing Transfers	(1,497,001)	(1,153,504)	343,497	77%	(1,509,996)	356,492
Total Housing Revenues	8,003,999	3,613,314	(4,390,685)	45%	8,064,532	(4,451,218)
Total Housing Expense	7,127,639	4,732,748	2,394,891	66%	5,046,052	313,304
Net Housing Revenue (Expense)	876,360	(1,119,434)	(1,995,794)		3,018,480	(4,137,914)

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**University of New Mexico - Main Campus Athletics & Auxiliary Operations**

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Lobo Cash Revenues	69,000	73,918	4,918	107%	65,672	8,246
Lobo Cash Expenses	69,000	16,157	(52,843)	23%	27,411	11,254
Net Lobo Cash Revenue (Expense)	-	57,761	57,761		38,261	19,500
Other Revenues	1,906,000	680,000	(1,226,000)	36%	983,000	(303,000)
Other Transfers	(2,457,000)	(1,107,688)	1,349,312	45%	(832,500)	(275,188)
Total Other Revenues	(551,000)	(427,688)	123,312	78%	150,500	(578,188)
Total Other Expense	(478,271)	(9,710)	(468,561)	2%	-	9,710
Net Other Revenue (Expense)	(72,729)	(417,978)	(345,249)		150,500	(568,478)
Parking and Transportation Revenues	6,617,888	2,997,697	(3,620,191)	45%	6,024,409	(3,026,712)
Parking and Trans Transfers	(1,645,195)	(1,097,882)	547,313	67%	(1,326,396)	228,514
Total Parking and Trans Revenues	4,972,693	1,899,815	(3,072,878)	38%	4,698,013	(2,798,198)
Total Parking and Trans Expenses	4,688,002	3,624,656	1,063,346	77%	4,086,918	462,262
Net Parking and Trans Revenue (Expense)	284,691	(1,724,841)	(2,009,532)		611,095	(2,335,936)
Popejoy Events Revenues	9,627,030	56,915	(9,570,115)	1%	3,916,455	(3,859,540)
Popejoy Events Transfers	422,460	-	(422,460)	0%	-	-
Total Popejoy Events Revenues	10,049,490	56,915	(9,992,575)	1%	3,916,455	(3,859,540)
Total Popejoy Events Expenses	9,603,338	1,045,981	8,557,357	11%	4,588,049	3,542,068
Net Popejoy Events Revenue (Expense)	446,152	(989,066)	(1,435,218)		(671,594)	(317,472)
Taos & Lawrence Ranch Revenues	55,000	55,000	-	100%	55,000	-
Taos & Lawrence Ranch Expenses	55,000	36,547	18,453	66%	38,759	2,212
Net Taos & Lawrence Ranch Revenue (Expense)	-	18,453	18,453		16,241	2,212
Ticketing Services Revenues	540,000	8,948	(531,052)	2%	393,115	(384,167)
Ticketing Services Transfers	-	-	-	N/A	-	-
Total Ticketing Services Revenues	540,000	8,948	(531,052)	2%	393,115	(384,167)
Total Ticketing Services Expenses	540,000	250,923	289,077	46%	401,464	150,541
Net Ticketing Services Revenue (Expense)	-	(241,975)	(241,975)		(8,349)	(233,626)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,058,473	10,058,473	-	100%	-	10,058,473
Total VP for Institutional Support Services Revenues	46,206,004	22,761,572	(23,444,432)	49%	28,369,419	(5,607,847)
Total VP for Institutional Support Services Expenses	33,878,173	18,169,089	15,709,084	54%	24,531,505	6,362,416
Net VP for Institutional Support Services Revenue (Expense)	12,327,831	4,592,483	(7,735,348)		3,837,914	754,569



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University of New Mexico - Main Campus Athletics & Auxiliary Operations

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<b>VP for Student Affairs</b>						
Student Health Center Revenues	6,922,416	5,651,210	(1,271,206)	82%	6,967,727	(1,316,517)
Student Health Center Expenses	6,922,416	5,139,739	1,782,677	74%	5,546,096	406,357
Net Student Health Center Revenue (Expense)	-	511,471	511,471		1,421,631	(910,160)
Student Union Revenues	2,172,775	1,721,127	(451,648)	79%	2,128,396	(407,269)
Student Union Expenses	2,172,775	1,314,924	857,851	61%	1,666,159	351,235
Net Student Union Revenue (Expense)	-	406,203	406,203		462,237	(56,034)
Total VP for Student Affairs Revenues	9,095,191	7,372,337	(1,722,854)	81%	9,096,123	(1,723,786)
Total VP for Student Affairs Expenses	9,095,191	6,454,663	2,640,528	71%	7,212,255	757,592
Net VP for Student Affairs Revenue (Expense)	-	917,674	917,674		1,883,868	(966,194)
<b>Provost and Other Units</b>						
Art Museum Revenues	300	-	(300)	0%	611	(611)
Art Museum Expenses	300	(161)	461	-54%	5,132	5,293
Net Art Museum Revenue (Expense)	-	161	161		(4,521)	4,682
CE Conference Ctr Revenues	140,000	13,404	(126,596)	10%	113,100	(99,696)
CE Conference Ctr Transfers	(66,218)	803	67,021	-1%	(26,629)	27,432
Total CE Conference Ctr Revenues	73,782	14,207	(59,575)	19%	86,471	(72,264)
Total CE Conference Ctr Expenses	73,782	23,974	49,808	32%	125,864	101,890
Net CE Conference Ctr Revenue (Expense)	-	(9,767)	(9,767)		(39,393)	29,626
Maxwell Museum Revenues	40,121	17,082	(23,039)	43%	25,145	(8,063)
Maxwell Museum Expenses	40,121	5,602	34,519	14%	6,096	494
Net Maxwell Museum Revenue (Expense)	-	11,480	11,480		19,049	(7,569)
Other Revenues	44,330	22,828	(21,502)	51%	113,975	(91,147)
Other Expenses	44,464	58,863	(14,399)	132%	102,506	43,643
Net Other Revenue (Expense)	(134)	(36,035)	(35,901)		11,469	(47,504)
Total Provost and Other Units Revenues	158,533	54,117	(104,416)	34%	226,202	(172,085)
Total Provost and Other Units Expenses	158,667	88,278	70,389	56%	239,598	151,320
Net Provost and Other Units Revenue (Expense)	(134)	(34,161)	(34,027)		(13,396)	(20,765)
<b>Auxiliary Totals</b>						
Total Auxiliary Revenues	55,751,761	30,188,026	(25,563,735)	54%	37,691,744	(7,503,718)
Total Auxiliary Expenses	43,840,434	24,712,030	19,128,404	56%	31,983,358	7,271,328
Net Auxiliary Revenue (Expense)	11,911,327	5,475,996	(6,435,331)		5,708,386	(232,390)
Net Athletics Revenue (Expense)	10,470,382	3,737,435	(6,732,947)		(2,246,703)	5,984,138
Net Auxiliary and Athletics Revenue (Expense)	22,381,709	9,213,431	(13,168,278)		3,461,683	5,751,748
Net Branch Campuses Aux Revenue (Expense)	(300,000)	(344,344)	(44,344)		(61,545)	(282,799)
Net All Auxiliary and Athletics Revenue (Expense)	22,081,709	8,869,087	(13,212,622)		3,400,138	5,468,949

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**Main Campus - Total Operations Current Funds**

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
<b>Instruction and General</b>						
Tuition and Fees Revenues***	127,246,269	138,453,711	11,207,442	109%	133,318,216	5,135,495
State/Local Appropriations	187,238,162	140,428,622	(46,809,540)	75%	147,103,650	(6,675,028)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	2,095,116	2,095,116	-	100%	-	2,095,116
F & A Revenues	20,745,000	14,174,258	(6,570,742)	68%	15,238,034	(1,063,776)
Transfers	(51,992,189)	(42,361,749)	9,630,440	81%	(42,543,272)	181,523
Other Revenues	17,797,428	13,476,282	(4,321,146)	76%	12,704,751	771,531
Total Instruction and General Revenues	303,129,786	266,266,240	(36,863,546)	88%	265,821,379	444,861
Salaries	186,042,647	142,872,823	43,169,824	77%	146,730,402	3,857,579
Benefits	62,800,914	52,081,541	10,719,373	83%	51,794,713	(286,828)
Other Expenses	54,277,504	37,220,820	17,056,684	69%	41,782,095	4,561,275
Total Instruction and General Expenses	303,121,065	232,175,184	70,945,881	77%	240,307,210	8,132,026
Net Instruction and General Revenue (Expense)	8,721	34,091,056	34,082,335		25,514,169	8,576,887
<b>Research</b>						
State/Local Appropriations	1,805,950	1,354,463	(451,487)	75%	1,553,288	(198,825)
Transfers	15,812,779	11,545,088	(4,267,691)	73%	11,547,896	(2,808)
Other Revenues	264,110	747,336	483,226	283%	539,941	207,395
Total Research Revenues	17,882,839	13,646,887	(4,235,952)	76%	13,641,125	5,762
Salaries and Benefits	9,626,628	7,987,955	1,638,673	83%	7,695,402	(292,553)
Other Expenses	11,068,148	4,854,142	6,214,006	44%	5,728,586	874,444
Total Research Expenses	20,694,776	12,842,097	7,852,679	62%	13,423,988	581,891
Net Research Revenue (Expense)	(2,811,937)	804,790	3,616,727		217,137	587,653
<b>Public Service</b>						
State/Local Appropriations	5,253,250	3,939,939	(1,313,311)	75%	3,104,888	835,051
Sales and Services Revenues	4,087,811	4,206,281	118,470	103%	5,663,766	(1,457,485)
Gifts	8,312,530	9,098,752	786,222	109%	7,530,025	1,568,727
Transfers	(638,414)	(594,408)	44,006	93%	26,128	(620,536)
Other Revenues	4,568,184	1,927,072	(2,641,112)	42%	2,803,892	(876,820)
Total Public Service Revenues	21,583,361	18,577,636	(3,005,725)	86%	19,128,699	(551,063)
Salaries and Benefits	11,810,044	8,476,335	3,333,709	72%	8,620,323	143,988
Other Expenses	12,369,290	5,675,153	6,694,137	46%	8,267,714	2,592,561
Total Public Service Expenses	24,179,334	14,151,488	10,027,846	59%	16,888,037	2,736,549
Net Public Service Revenue (Expense)	(2,595,973)	4,426,148	7,022,121		2,240,662	2,185,486

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**Main Campus - Total Operations Current Funds**

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
<b>Student Aid</b>						
Private Grants/Gifts	6,334,587	5,209,354	(1,125,233)	82%	4,663,573	545,781
State Lottery Scholarships***	23,450,000	23,450,000	-	100%	23,728,994	(278,994)
Transfers	18,512,536	14,167,053	(4,345,483)	77%	13,419,458	747,595
Other Revenues	615,034	281,621	(333,413)	46%	227,783	53,838
Total Student Aid Revenues	48,912,157	43,108,028	(5,804,129)	88%	42,039,808	1,068,220
Salaries and Benefits	1,861,660	1,764,672	96,988	95%	1,541,879	(222,793)
Other Expenses	56,927,772	43,308,099	13,619,673	76%	39,089,772	(4,218,327)
Total Student Aid Expenses	58,789,432	45,072,771	13,716,661	77%	40,631,651	(4,441,120)
Net Student Aid Revenue (Expense)	(9,877,275)	(1,964,743)	7,912,532		1,408,157	(3,372,900)
<b>Student Social &amp; Cultural Programs</b>						
Fee Revenues***	7,214,397	5,926,558	(1,287,839)	82%	7,105,079	(1,178,521)
Sales and Services Revenues	908,907	211,900	(697,007)	23%	669,605	(457,705)
Transfers	610,873	372,923	(237,950)	61%	571,735	(198,812)
Other Revenues	47,772	34,512	(13,260)	72%	58,197	(23,685)
Total Student Social & Cultural Programs Revenues	8,781,949	6,545,893	(2,236,056)	75%	8,404,616	(1,858,723)
Salaries and Benefits	5,828,283	3,013,382	2,814,901	52%	3,863,860	850,478
Other Expenses	2,832,422	1,837,753	994,669	65%	2,974,546	1,136,793
Total Student Social & Cultural Programs Expenses	8,660,705	4,851,135	3,809,570	56%	6,838,406	1,987,271
Net Student Social & Cultural Programs Revenue (Expense)	121,244	1,694,758	1,573,514		1,566,210	128,548
<b>Auxiliaries</b>						
Auxiliaries Revenues	55,751,761	30,188,026	(25,563,735)	54%	37,691,744	(7,503,718)
Athletics Revenues	42,328,869	24,261,886	(18,066,983)	57%	22,963,559	1,298,327
Total Auxiliaries Revenues	98,080,630	54,449,912	(43,630,718)	56%	60,655,303	(6,205,391)
Auxiliaries Expenses	43,840,434	24,712,030	19,128,404	56%	31,983,358	7,271,328
Athletics Expenses	31,858,487	20,524,451	11,334,036	64%	25,210,262	4,685,811
Total Auxiliaries Expenses	75,698,921	45,236,481	30,462,440	60%	57,193,620	11,957,139
Net Auxiliaries and Athletics Revenue (Expense)	22,381,709	9,213,431	(13,168,278)		3,461,683	5,751,748

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the nine month ended March 31, 2021  
Preliminary and Unaudited

**Main Campus - Total Operations Current Funds**

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	135,833,632	93,846,841	(41,986,791)	69%	95,210,421	(1,363,580)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	1,034,674	112%	8,593,463	1,074,467
State and Local Grants and Contracts Revenues	17,087,338	11,722,926	(5,364,412)	69%	12,938,480	(1,215,554)
Non-Governmental Grants and Contracts Revenues	12,600,000	7,250,940	(5,349,060)	58%	8,121,665	(870,725)
Transfers	-	866,667	866,667	N/A	1,030,963	(164,296)
Total Sponsored Programs Revenues	174,154,226	123,355,304	(50,798,922)	71%	125,894,992	(2,539,688)
Salaries and Benefits	67,960,226	40,327,619	27,632,607	59%	41,417,232	1,089,613
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	(1,034,674)	112%	8,593,463	(1,074,467)
Other Expenses	97,560,744	73,359,755	24,200,989	75%	75,884,297	2,524,542
Total Sponsored Programs Expenses	174,154,226	123,355,304	50,798,922	71%	125,894,992	2,539,688
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
<b>Net Current Revenue (Expense)</b>	<b>7,226,489</b>	<b>48,265,440</b>	<b>41,038,951</b>		<b>34,408,018</b>	<b>13,857,422</b>

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the nine month ended March 31, 2021  
Preliminary and Unaudited

**Branch Campuses - Total Operations Current Funds**

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
<b>Instruction and General</b>						
Tuition and Fees Revenues***	6,175,183	5,657,131	(518,052)	92%	6,525,596	(868,465)
State/Local Appropriations	29,390,905	22,043,180	(7,347,725)	75%	22,563,132	(519,952)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	930,224	930,224	-	100%	-	930,224
Transfers	(1,159,717)	(4,421,354)	(3,261,637)	381%	(2,262,193)	(2,159,161)
Other Revenues	918,171	695,767	(222,404)	76%	795,412	(99,645)
<b>Total Instruction and General Revenues</b>	<b>36,254,766</b>	<b>24,904,948</b>	<b>(11,349,818)</b>	<b>69%</b>	<b>27,621,947</b>	<b>(2,716,999)</b>
Salaries	21,724,501	15,235,865	6,488,636	70%	15,755,984	520,119
Benefits	7,038,075	5,054,840	1,983,235	72%	5,022,850	(31,990)
Other Expenses	8,505,868	3,987,199	4,518,669	47%	4,809,316	822,117
<b>Total Instruction and General Expenses</b>	<b>37,268,444</b>	<b>24,277,904</b>	<b>12,990,540</b>	<b>65%</b>	<b>25,588,150</b>	<b>1,310,246</b>
<b>Net Instruction and General Revenue (Expense)</b>	<b>(1,013,678)</b>	<b>627,044</b>	<b>1,640,722</b>		<b>2,033,797</b>	<b>(1,406,753)</b>
<b>Public Service</b>						
State/Local Appropriations	-	-	-	N/A	-	-
Sales and Services Revenues	241,300	215,713	(25,587)	89%	295,671	(79,958)
Gifts	120,408	203,610	83,202	169%	377,500	(173,890)
Transfers	-	(48,374)	(48,374)	N/A	4,000	(52,374)
Other Revenues	160,477	90,183	(70,294)	56%	158,565	(68,382)
<b>Total Public Service Revenues</b>	<b>522,185</b>	<b>461,132</b>	<b>(61,053)</b>	<b>88%</b>	<b>835,736</b>	<b>(374,604)</b>
Salaries and Benefits	346,382	311,632	34,750	90%	341,787	30,155
Other Expenses	236,836	171,008	65,828	72%	271,181	100,173
<b>Total Public Service Expenses</b>	<b>583,218</b>	<b>482,640</b>	<b>100,578</b>	<b>83%</b>	<b>612,968</b>	<b>130,328</b>
<b>Net Public Service Revenue (Expense)</b>	<b>(61,033)</b>	<b>(21,508)</b>	<b>39,525</b>		<b>222,768</b>	<b>(244,276)</b>
<b>Student Aid</b>						
Private Grants/Gifts	84,550	88,015	3,465	104%	89,347	(1,332)
Transfers	393,260	397,818	4,558	101%	396,808	1,010
Other Revenues	17,000	11,282	(5,718)	66%	14,133	(2,851)
<b>Total Student Aid Revenues</b>	<b>494,810</b>	<b>497,115</b>	<b>2,305</b>	<b>100%</b>	<b>500,288</b>	<b>(3,173)</b>
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	512,397	286,798	225,599	56%	410,188	123,390
<b>Total Student Aid Expenses</b>	<b>512,397</b>	<b>286,798</b>	<b>225,599</b>	<b>56%</b>	<b>410,188</b>	<b>123,390</b>
<b>Net Student Aid Revenue (Expense)</b>	<b>(17,587)</b>	<b>210,317</b>	<b>227,904</b>		<b>90,100</b>	<b>120,217</b>

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the nine month ended March 31, 2021  
Preliminary and Unaudited

**Branch Campuses - Total Operations Current Funds**

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Social & Cultural Programs						
Fee Revenues***	208,370	167,984	(40,386)	81%	190,553	(22,569)
Sales and Services Revenues	4,000	2,115	(1,885)	53%	8,593	(6,478)
Transfers	(40,000)	(40,000)	-	100%	(40,500)	500
Other Revenues	3,700	-	(3,700)	0%	-	-
Total Student Social & Cultural Programs Revenues	176,070	130,099	(45,971)	74%	158,646	(28,547)
Salaries and Benefits	7,839	976	6,863	12%	2,812	1,836
Other Expenses	171,131	41,718	129,413	24%	128,801	87,083
Total Student Social & Cultural Programs Expenses	178,970	42,694	136,276	24%	131,613	88,919
Net Student Social & Cultural Programs Revenue (Expense)	(2,900)	87,405	90,305		27,033	60,372
Auxiliaries						
Bookstore Revenues	1,698,163	614,629	(1,083,534)	36%	1,121,427	(506,798)
Housing and Food Service Revenues	13,235	18,413	5,178	139%	85,271	(66,858)
Transfers	(40,000)	(107,500)	(67,500)	269%	-	(107,500)
Other Auxiliaries Revenues	166,687	5,660	(161,027)	3%	9,164	(3,504)
Total Auxiliaries Revenues	1,838,085	531,202	(1,306,883)	29%	1,215,862	(684,660)
Bookstore Expenses	1,224,303	856,885	367,418	70%	1,178,215	321,330
Housing and Food Service Expenses	-	16,905	(16,905)	N/A	79,706	62,801
Other Auxiliaries Expenses	913,782	1,756	912,026	0%	19,486	17,730
Total Auxiliaries Expenses	2,138,085	875,546	1,262,539	41%	1,277,407	401,861
Net Auxiliaries Revenue (Expense)	(300,000)	(344,344)	(44,344)		(61,545)	(282,799)
Sponsored Programs						
Federal Grants and Contracts Revenues	8,357,830	4,322,581	(4,035,249)	52%	4,608,583	(286,002)
State and Local Grants and Contracts Revenues	1,860,810	790,087	(1,070,723)	42%	1,019,446	(229,359)
Non-Governmental Grants and Contracts Revenues	200,000	346,009	146,009	173%	304,658	41,351
Gifts	50,000	-	(50,000)	0%	-	-
Total Sponsored Programs Revenues	10,468,640	5,458,677	(5,009,963)	52%	5,932,687	(474,010)
Salaries and Benefits	6,540,606	3,822,738	2,717,868	58%	3,766,267	(56,471)
Other Expenses	3,928,034	1,635,939	2,292,095	42%	2,166,420	530,481
Total Sponsored Programs Expenses	10,468,640	5,458,677	5,009,963	52%	5,932,687	474,010
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
<b>Net Current Revenue (Expense)</b>	<b>(1,395,198)</b>	<b>558,914</b>	<b>1,954,112</b>		<b>2,312,153</b>	<b>(1,753,239)</b>

Statements of Revenues, Expenses and Changes in Net Assets  
For the nine month period ended March 31, 2021  
Preliminary and Unaudited

Detail of State/Local Appropriations  
Consolidated - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%
<b>Instruction and General</b>				
Instruction & General Appropriations	206,355,900	154,766,927	(51,588,973)	75%
State Special Project Appropriations	1,064,700	798,525	(266,175)	75%
Mill Levy	9,208,467	6,906,350	(2,302,117)	75%
Total Instruction and General Appropriations	<u>216,629,067</u>	<u>162,471,802</u>	<u>(54,157,265)</u>	<u>75%</u>
<b>Research</b>				
State Special Project Appropriations	1,805,950	1,354,463	(451,487)	75%
Total Research Appropriations	<u>1,805,950</u>	<u>1,354,463</u>	<u>(451,487)</u>	<u>75%</u>
<b>Public Service</b>				
State Special Project Appropriations	5,253,250	3,939,939	(1,313,311)	75%
Total Public Service Appropriations	<u>5,253,250</u>	<u>3,939,939</u>	<u>(1,313,311)</u>	<u>75%</u>
<b>Clinical Operations</b>				
Instruction & General Appropriations	62,246,300	46,789,368	(15,456,932)	75%
Research Appropriations	1,705,315	1,127,587	(577,728)	66%
Public Service Appropriations	245,600	184,700	(60,900)	75%
Student Aid Appropriations	188,000	141,200	(46,800)	75%
State Special Project Appropriations	36,450,000	27,293,083	(9,156,917)	75%
Tobacco Settlement Appropriations	840,200	630,151	(210,049)	75%
Cigarette Tax Revenues	3,124,016	2,257,541	(866,475)	72%
Total Clinical Operations Appropriations	<u>104,799,431</u>	<u>78,423,630</u>	<u>(26,375,801)</u>	<u>75%</u>
<b>Total - All Appropriations</b>	<u><b>328,487,698</b></u>	<u><b>246,189,834</b></u>	<u><b>(82,297,864)</b></u>	<u><b>75%</b></u>

# TAB 4

## #4

Approval of 3rd Quarter Athletics' Enhanced Fiscal Oversight Program Report and Certification through March 31, 2021 and 3rd Quarter Information on Athletics' Report by Sport through March 31, 2021



New Mexico Higher Education Department  
Institutional Finance Division  
University of New Mexico Athletics Department  
Quarterly Enhanced Fiscal Oversight Program (EFOP) Report

Please certify the following EFOP report and submit with the Quarterly Financial Certification and Financial Action Reports.

To the best of my knowledge, I certify that the information provided in the attached EFOP report for the:

1<sup>st</sup> \_\_\_\_ 2<sup>nd</sup> \_\_\_\_ 3<sup>rd</sup> X 4<sup>th</sup> \_\_\_\_ Quarter, FY 2021

is correct as of the signature dates noted below:

**The University of New Mexico**

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Sandra Begay, Chair, Board of Regents – Finance and Facilities Committee      Date

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Garnett S. Stokes, President      Date

---

Teresa Costantinidis, SVP for Administration      Date



**To:** Members of the Board of Regents

**From:** Eddie Nunez, Director of Athletics

Nicole Dopson, Director of Financial Operations for Academic Affairs

**Date:** May 4, 2021

**Re:** Approval of the FY21 Third Quarter Submission to Higher Education Department for the Enhanced Fiscal Oversight Program (EFOP)

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In order to respond to concerns brought by the New Mexico Higher Education Department (NMHED), the University has agreed to provide the attached Enhanced Fiscal Oversight Program (EFOP) Report. This report will be provided in addition to the Quarterly Financial Actions Report and Certification that are already required for all higher education institutions.

The report will provide Athletics financial status through reporting templates provided by the Higher Education Department for the Athletics budget exhibits as well as a template for the plan associated with resolving the overall deficit within Athletics budget.

The EFOP report will accompany the report submitted by the Controller's Office until NMHED is satisfied with the University's progress on these issues.

Thank you for your consideration.



Exhibit 21 - UNM MAIN Campus  
Summary of InterCollegiate Athletics

		Original Approved Budget Budget 2021				Actuals Quarter 1 - FY21				Actuals Quarter 2 - FY21				Actuals Quarter 3 - FY21				Actuals Quarter 4 - FY21				Increase / Decrease YTD				
		FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	
<b>Revenues</b>	Tuition and Fees		3,285,556		-		1,697,536		-		-			984,841		-							603,179		-	
	State Appropriations		4,063,600		-		960,800		-		938,700		-		938,700		-						1,225,400		-	
	Federal Grants and Contracts		-		30,601		-		-		-		-		10,470,381		-						(10,470,381.00)		30,601	
	State Grants and Contracts		-		25,500		-		-		-		-		-		-						-		25,500	
	Private Gifts Grants and Contracts		800,000		-		-		-		-		-		-		-						800,000		-	
	Sales and Services		9,704,400		-		469,635		-		207,940		-		(258,273)		-						9,285,097		-	
	Other Sources		14,189,846		-		3,383,504		-		1,915,212		-		2,112,833		-						6,778,297		-	
	<b>Total</b>		<b>32,043,402</b>		<b>56,101</b>		<b>6,511,475</b>		<b>0</b>		<b>3,061,852</b>		<b>0</b>		<b>14,248,482</b>		<b>0</b>		<b>0</b>	<b>0</b>			<b>8,221,593</b>		<b>56,101</b>	
<b>Beginning Balance Total Available</b>	Beginning Balance		(3,464,380)		-		(1,627,657)		-		(1,346,804)		-		(4,628,089)		-		<b>0</b>	<b>0</b>		(1,836,723)		-		
														<b>9,620,393</b>		<b>0</b>		<b>0</b>	<b>0</b>			<b>6,384,870</b>		<b>56,101</b>		
<b>Expenditures</b>	Administrative Professional	89	9,100,029		-		2,275,784		-		2,412,662		-		2,227,240		-					89.00	2,184,344	0.00	0	
	Federal Workstudy Salaries		-	2	30,601		915		-		-		-		22		-					0.00	(937)	2.00	30,601	
	GA TA RA PA Salary	9	223,934		-		59,924		-		71,006		-		60,869		-					9.00	32,136	0.00	0	
	Other Salaries	28	1,255,355		-		2,937		-		2,512		-		7,866		-					28.00	1,242,040	0.00	0	
	State Workstudy Salaries		-	1	25,500		(872)		-		1,029		-		1,194		-					0.00	(1,351)	1.00	25,500	
	Student Salaries	6	110,300		-		1,084		-		5,549		-		10,374		-					6.00	93,294	0.00	0	
	Support Staff Salary	2	52,312		-		10,664		-		14,084		-		12,505		-					2.00	15,059	0.00	0	
	Technician Salary	6	234,714		-		51,817		-		68,930		-		50,125		-					6.00	63,842	0.00	0	
	Accrued Annual Leave		-		-		-		-		-		-		-		-						0		0	
	Fica		712,775		-		127,062		-		121,429		-		167,114		-							297,171		0
	Group Insurance		575,710		-		163,080		-		183,758		-		189,440		-							39,433		0
	Other Staff Benefits		502,359		-		98,441		-		99,896		-		97,584		-							206,438		0
	Retirement		1,192,636		-		259,052		-		254,588		-		312,667		-							366,329		0
	Tuition Waivers		97,644		-		44,817		-		-		-		33,095		-							19,732		0
	Unemployment Compensation		8,387		-		2,107		-		2,249		-		2,068		-							1,963		0
	Workers Compensation		6,758		-		2,042		-		2,036		-		1,943		-							737		0
	Contract Services		1,085,636		-		23,333		-		66,424		-		60,480		-							935,399		0
	Cost of Good Sold		550		-		-		-		-		-		-		-							550		0
	Electricity		312,264		-		4,175		-		75,461		-		65,355		-							167,273		0
	Equipment		118,035		-		25,557		-		9,926		-		24,220		-							58,332		0
	Fuel_Heat_Cool		61,200		-		522		-		9,071		-		30,207		-							21,400		0
	Officials Expense 63T3		597,750		-		(13,885)		-		12,227		-		112,179		-							487,229		0
	Sewer_Other		201,200		-		52,348		-		44,958		-		11,304		-							92,590		0
	Student Awards and Aid		4,590,180		-		2,484,907		-		321,855		-		1,510,466		-							272,952		0
	Supplies_Expense		8,321,950		-		1,073,821		-		964,285		-		1,474,463		-							4,809,382		0
	Travel		136,700		-		7,165		-		3,572		-		968		-							124,995		0
	Travel-Group		2,840,838		-		15,599		-		1,513,390		-		1,027,114		-							284,735		0
	Travel-Recruiting		592,150		-		50		-		25		-		225		-							591,850		0
	Internal Service Ctr																									0
	Internal Sales		(281,000)		-		-		-		-		-		-		-							(281,000)		0
	<b>Total Expenditures</b>		<b>140.00</b>	<b>32,650,366</b>	<b>3.00</b>	<b>56,101</b>	<b>6,772,445</b>		<b>0</b>	<b>0</b>	<b>6,260,920</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7,491,086</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>140</b>	<b>12,125,915</b>	<b>3</b>	<b>56,101</b>	
	<b>Transfers (IN) or OUT</b>	<b>Transfers (IN) or OUT</b>		<b>(606,964)</b>		<b>0</b>		<b>(541,823)</b>		<b>0</b>		<b>82,217</b>		<b>0</b>		<b>19,530</b>		<b>0</b>						<b>(166,888)</b>		<b>0</b>
<b>Ending Balance</b>	<b>Ending Balance</b>		<b>(3,464,380)</b>		<b>0</b>		<b>(1,346,804)</b>		<b>0</b>		<b>(4,628,089)</b>		<b>0</b>		<b>2,109,778</b>		<b>0</b>						<b>(5,574,158)</b>		<b>0</b>	



	Sales and Services		3,376,314		3,050,000		-		272		7,500				3,042,228
	Other Sources		(214,844)		(125,000)		(170,675)		-		201,216				(155,541)
<b>Total Revenues</b>			<b>3,161,470</b>		<b>2,925,000</b>		<b>(170,675)</b>		<b>272</b>		<b>208,716</b>				<b>2,886,687</b>
<b>Beginning Balance</b>			54,778		-		-		-		-				-
<b>Total Available</b>			<b>3,216,248</b>		<b>2,925,000</b>		<b>(170,675)</b>		<b>272</b>		<b>208,716</b>				<b>2,886,687</b>
<b>Expenditures</b>	Administrative Professional	8.96	1,470,972	7.77	1,515,594	353,349		374,608		345,072			7.77	442,565	
	GA TA RA PA Salary		0		-			-		-				-	
	Other Salaries	.01	9,554	.74	15,000			-		-			0.74	15,000	
	State Workstudy Salaries							454		1,058					
	Student Salaries		0		-			2,808		10,374				(13,182)	
	Support Staff Salary		1,985		-			-		-				-	
	Technician Salary		6,893		-			-		-				-	
	Accrued Annual Leave		400		-			-		-				-	
	Fica		71,031		80,753	14,563		15,041		25,042				26,107	
	Group Insurance		60,114		65,349	18,070		19,544		8,547				8,547	
	Other Staff Benefits		46,844		49,532	11,167		12,179		11,753				14,433	
	Retirement		142,638		147,244	22,583		25,592		48,827				50,242	
	Unemployment Compensation		1,031		951	318		337		311				(15)	
	Workers Compensation		954		739	268		285		270				(84)	
	Contract Services		55,823		50,000	793		70		140				48,997	
	Cost of Good Sold							-		-				-	
	Equipment		4,765		2,650	1,272		1,039		2,778				(2,439)	
	Officials Expense 63T3		0		-			-		-				-	
	Sewer_Other		3,280		-			-		-				-	
	Student Awards and Aid		270,169		18,000			-		201,216				(183,216)	
	Supplies_Expense		770,829		634,688	68,736		57,915		44,921				463,116	
	Travel		2,627		14,500			-		-				14,500	
	Travel-Group		470,749		410,000			92,530		321,330				(3,860)	
	Travel-Recruiting		109,146		120,000			-		-				120,000	
	Internal Service Ctr Internal Sales		(145,980)		(200,000)			-		-				(200,000)	
<b>Total Expenditures</b>		<b>8.97</b>	<b>3,353,824</b>	<b>8.51</b>	<b>2,925,000</b>	<b>491,119</b>		<b>602,402</b>		<b>1,032,280</b>			<b>8.51</b>	<b>800,711</b>	
<b>Transfers (IN) or OUT</b>			0		-			-		-				-	
<b>Ending Balance</b>			<b>-137,575</b>		<b>-</b>			<b>(661,795)</b>		<b>(602,130)</b>				<b>(823,564)</b>	
<b>Budget Unit 352 - Football</b>															
			<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	
<b>Revenues</b>	Sales and Services		1,929,492		2,960,000		299,933		33,379		(327,560)			2,954,248	
	Other Sources		5,830,365		4,008,115		3,969,037		-		1,789,886			(1,750,808)	
<b>Total Revenues</b>			<b>7,759,857</b>		<b>6,968,115</b>		<b>4,268,970</b>		<b>33,379</b>		<b>1,462,326</b>			<b>1,203,440</b>	
<b>Beginning Balance</b>			0		-		-		-		-			-	
<b>Total Available</b>			<b>7,759,857</b>		<b>6,968,115</b>		<b>4,268,970</b>		<b>33,379</b>		<b>1,462,326</b>			<b>1,203,440</b>	
<b>Expenditures</b>	Administrative Professional	14.46	2,859,645	14.00	2,442,693	735,409		721,575		683,486			14.00	302,223	
	Federal Workstudy Salaries							22							
	GA TA RA PA Salary	2.63	71,160	3.00	60,000	17,669		20,386		16,310			3.00	5,635	
	Other Salaries	.03	30,762	3.44	523,772			81					3.44	523,691	
	State Workstudy Salaries							419		135					
	Student Salaries		72		-			644		-				(644)	
	Technician Salary		2,112		-			-		-				-	
	Accrued Annual Leave		4,757		-			-		-				-	
	Fica		161,590		203,985	32,560		24,477		49,295				97,653	
	Group Insurance		120,201		146,993	26,359		35,142		35,919				49,573	
	Other Staff Benefits		105,593		119,034	29,539		28,881		27,596				33,018	
	Retirement		277,381		303,191	59,505		59,508		84,515				99,663	
	Tuition Waivers		26,250		30,000	15,533		-		9,912				4,555	
	Unemployment Compensation		2,017		2,399	662		649		616				472	
	Workers Compensation		2,846		1,909	702		552		522				133	
	Contract Services		126,703		209,633	91		1,128		14,925				193,489	
	Cost of Good Sold		0		-			-		-				-	

Equipment	17,757	13,400	256	1,854	2,853	-	8,437					
Officials Expense 63T3	150,470	162,000	-	3,432	106,145	-	52,423					
Sewer_Other	24,527	25,000	-	-	-	-	25,000					
Student Awards and Aid	1,766,535	25,000	-	-	1,566,104	-	(1,541,104)					
Supplies_Expense	1,132,918	1,238,106	199,892	138,700	193,490	-	706,024					
Travel	13,174	36,000	-	1,736	-	-	34,264					
Travel-Group	1,185,450	1,200,000	-	1,337,853	145,593	-	(283,446)					
Travel-Recruiting	172,897	265,000	-	-	175	-	264,825					
Internal Service Ctr Internal Sales	(29,137)	(40,000)	-	-	-	-	(40,000)					
<b>Total Expenditures</b>	<b>17.12</b>	<b>8,225,680</b>	<b>20.44</b>	<b>6,968,115</b>	<b>1,118,177</b>	<b>-</b>	<b>2,377,017</b>	<b>-</b>	<b>2,937,613</b>	<b>-</b>	<b>20.44</b>	<b>535,884</b>
<b>Transfers (IN) or OUT</b>	<b>0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Balance</b>	<b>-465,824</b>	<b>-</b>	<b>-</b>	<b>3,150,794</b>	<b>(2,343,638)</b>	<b>(1,475,287)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>667,556</b>	
Budget Unit 353 - Other Mens Sports												
	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>
<b>Revenues</b>												
Sales and Services	24,973	15,500	-	2,000	8,148	-	5,352					
Other Sources	1,553,114	1,346,250	1,301,590	-	299,674	-	(255,014)					
<b>Total Revenues</b>	<b>1,578,087</b>	<b>1,361,750</b>	<b>1,301,590</b>	<b>2,000</b>	<b>307,822</b>	<b>-</b>	<b>(249,662)</b>					
<b>Beginning Balance</b>	<b>0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>					
<b>Total Available</b>	<b>1,578,087</b>	<b>1,361,750</b>	<b>1,301,590</b>	<b>2,000</b>	<b>307,822</b>	<b>-</b>	<b>(249,662)</b>					
<b>Expenditures</b>												
Administrative Professional	5.90	590,341	6.87	640,172	150,542	150,542	150,542	6.87	188,546			
GA TA RA PA Salary	.80	41,600	-	5,000	7,200	7,200	-	-	(19,400)			
Other Salaries	6,399	26,000	1.28	-	-	-	-	1.28	26,000			
Technician Salary	1,300	-	-	-	-	-	-	-	-			
Accrued Annual Leave	535	-	-	-	-	-	-	-	-			
Fica	37,993	46,900	8,152	7,682	11,107	-	19,959					
Group Insurance	44,870	36,868	12,692	14,372	14,912	-	(5,108)					
Other Staff Benefits	24,201	27,945	6,534	6,534	6,534	-	8,343					
Retirement	83,808	83,071	21,302	21,301	21,302	-	19,166					
Tuition Waivers	8,267	-	2,440	-	2,441	-	(4,881)					
Unemployment Compensation	418	552	136	135	136	-	145					
Workers Compensation	461	429	122	124	124	-	59					
Contract Services	5,778	14,600	141	310	144	-	14,005					
Equipment	39,235	32,500	4,064	825	10,338	-	17,273					
Fuel_Heat_Cool	604	600	63	38	449	-	50					
Officials Expense 63T3	40,375	56,500	-	-	150	-	56,350					
Sewer_Other	0	-	-	-	-	-	-					
Student Awards and Aid	245,019	-	-	-	299,674	-	(299,674)					
Supplies_Expense	113,843	91,670	20,903	7,050	31,953	-	31,764					
Travel	1,624	-	-	-	5,941	-	(5,941)					
Travel-Group	219,781	278,068	(2,401)	-	82,016	-	198,453					
Travel-Recruiting	34,267	25,875	-	25	-	-	25,850					
Internal Service Ctr Internal Sales	(280)	-	-	-	-	-	-					
<b>Total Expenditures</b>	<b>6.70</b>	<b>1,540,439</b>	<b>8.15</b>	<b>1,361,750</b>	<b>229,690</b>	<b>-</b>	<b>216,138</b>	<b>-</b>	<b>644,963</b>	<b>-</b>	<b>8.15</b>	<b>270,959</b>
<b>Transfers (IN) or OUT</b>	<b>0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Balance</b>	<b>37,650</b>	<b>-</b>	<b>-</b>	<b>1,071,900</b>	<b>(214,138)</b>	<b>(337,141)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(520,621)</b>	
Budget Unit 354 - Other Womens Sports												
	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>	<b>FTE</b>	<b>Unrestricted</b>
<b>Revenues</b>												
Sales and Services	419,293	336,000	-	272	20,678	-	315,050					
Other Sources	6,140,995	4,556,777	4,487,249	-	1,609,798	-	(1,540,270)					
<b>Total Revenues</b>	<b>6,560,288</b>	<b>4,892,777</b>	<b>4,487,249</b>	<b>272</b>	<b>1,630,476</b>	<b>-</b>	<b>(1,225,220)</b>					
<b>Beginning Balance</b>	<b>117,200</b>	<b>-</b>	<b>16,727</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(16,727)</b>					
<b>Total Available</b>	<b>6,677,488</b>	<b>4,892,777</b>	<b>4,503,976</b>	<b>272</b>	<b>1,630,476</b>	<b>-</b>	<b>(1,241,947)</b>					
<b>Expenditures</b>												
Administrative Professional	26.40	1,928,058	27.82	2,002,022	474,676	490,751	487,428	27.82	549,167			
GA TA RA PA Salary	1.10	39,950	1.06	17,000	11,277	11,277	-	1.06	(16,832)			



**UNM- Department of Athletics Deficit Reduction Plan**

	<b>FY2018</b>	<b>FY2019</b>	<b>FY2020</b>	<b>FY2021</b>	<b>FY2022</b>	<b>FY2023</b>	<b>FY2024</b>	<b>FY2025</b>	<b>FY2026</b>	<b>FY2027</b>	<b>FY2028</b>	<b>FY2029</b>
Beginning Fund Balance (Deficit)	(4,713,999)	(4,492,380)	(4,375,833)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)
Recurring Fund Balance (Deficit)												
Net Balance (Deficit) (1)	<b>(4,713,999)</b>	<b>(4,492,380)</b>	<b>(4,375,833)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>
Revenues	32,944,217	31,669,259	27,699,073	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092
Other Sources	814,207	789,039										
Subtotal Revenues	<b>33,758,424</b>	<b>32,458,298</b>	<b>27,699,073</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>
Expenses	33,541,301	33,829,147	33,570,560	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092
Cost containment (reduction in expenses)	(4,496)	(737,396)	(2,496,467)									
Grant-aid subsidies (reduction in expenses)		(750,000)	(975,000)									
Subtotal Expenses	<b>33,536,805</b>	<b>32,341,751</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>	<b>30,099,092</b>
Transfers												
Transfer for Accumulated Deficit (2)			4,520,857									
Other Transfers	0	0	627,338	0	0	0	0	0	0	0	0	0
Subtotal Transfers	<b>0</b>	<b>0</b>	<b>5,148,195</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Net Annual Balance	<b>221,619</b>	<b>116,547</b>	<b>2,748,175</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Ending Fund Balance (Deficit) (3)	<b>(4,492,380)</b>	<b>(4,375,833)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>	<b>(1,627,658)</b>

(1) Net balance does not include use of reserves for sport expenses already committed in FY20, total accumulated deficit for FY20 was (\$4.5M)

(2) Transfer to eliminate the accumulated deficit

(3) FY20 ending balance was (\$1.6M), which reflects the fiscal impact of COVID-19





THE UNIVERSITY OF  
NEW MEXICO



**Athletics' FY21 Budget and Actuals (Exhibit P21)**

**Updated through March 31, 2021 (YTD)**

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**Schedule A: (FY21 Budget and Actuals)**- This schedule details out pooled revenues and directed revenues by sport for FY21 budget and actuals year-to-date through March 31, 2021. Please note that a majority of revenues are pooled centrally into Athletics and are not distributed by sport, however ticket sales and game guarantees are distributed by sport.

This report also compares FY21 budget to quarterly actuals, and FY21 year-to-date actuals to prior year-to-date actuals. Major variances are due to timing on when revenue accruals and expenses were posted in the prior year and differences in institutional transfers.

**Schedule B: (Expenses by Sports)**- This schedule details out the FY21 expense budget and year-to-date expense actuals through March 31, 2021. Please note grant-in-aid expenses have been budgeted centrally and then reallocated based on FY21 year-to-date actuals, which will be allocated to individual sports as expenses post throughout the fiscal year.



## Schedule A

### FY21 Budget and Actuals by Exhibit P21

Revenue/Expense Description (2)	FY21 Original Budget	FY21 Revised Budget	Fiscal Year 2020-21 (FY21)				FY21 YTD	FY21 Budget to Projected Actuals Variance	Actuals % of Revised Budget	FY20 YTD Actuals	FY21 YTD vs. FY20 YTD Actuals
			Quarter 1 (7/1-9/30/20)	Quarter 2 (10/1-12/31/20)	Quarter 3 (1/1-3/31/21)	Quarter 4 (4/1-6/30/21)					
<b>Pooled Revenues</b>											
NCAA/Mountain West Conference	6,364,846	6,364,846	1,594,556	787,290	787,290		3,169,136	(3,195,710)	49.8%	3,617,659	(448,523)
Media Rights/Sponsorship/Licensing	3,400,000	3,400,000	861,681	191,704	172,121		1,225,506	(2,174,494)	36.0%	2,562,003	(1,336,497)
Commissions	680,000	680,000	169,997	170,001	-		339,998	(340,002)	50.0%	643,331	(303,333)
Naming Rights	800,000	800,000	-	-	-		-	(800,000)	0.0%	525,000	(525,000)
Student Fees	3,285,556	2,682,377	1,697,536	-	984,841		2,682,377	-	100.0%	3,571,257	(888,880)
Scholarship Fund (Fundraising)	2,200,000	2,200,000	549,999	483,333	553,334		1,586,666	(613,334)	72.1%	1,649,997	(63,331)
State Appropriation	4,063,600	3,776,900	960,800	938,700	938,700		2,838,200	(938,700)	75.1%	2,857,600	(19,400)
Facility Rental/Merchandise/GIK	1,716,900	1,716,900	375,000	455,415	383,982		1,214,397	(502,503)	70.7%	1,173,987	40,409
Special Events and Other Revenues	3,193,500	3,193,500	1,972	3,050	272,656		277,678	(2,915,822)	8.7%	1,356,499	(1,078,821)
CARES Act Distribution	-	10,470,382	-	-	10,470,381		10,470,381	(1)	0.0%	(180,720)	10,651,101
Transfers to/from Campus	606,964	573,133	541,823	(82,217)	(19,530)		440,076	(133,057)	76.8%	-	440,076
Budgeted Use of Reserves	-	(10,453,655)	-	-	-		-	10,453,655	0.0%	-	-
<b>Sub-total</b>	<b>26,311,366</b>	<b>25,404,383</b>	<b>6,753,365</b>	<b>2,947,276</b>	<b>14,543,774</b>	<b>-</b>	<b>24,244,415</b>	<b>(1,159,968)</b>	<b>95.4%</b>	<b>17,776,614</b>	<b>6,467,801</b>
<b>Directed Revenues (by Sports)</b>											
Men's Basketball Tickets	3,250,000	3,250,000	-	-	-		-	(3,250,000)	0.0%	3,132,733	(3,132,733)
Football Tickets	800,000	800,000	299,933	(142,641)	(152,661)		4,631	(795,369)	0.6%	805,072	(800,441)
Women's Basketball Tickets	350,000	350,000	-	-	-		-	(350,000)	0.0%	358,327	(358,327)
Other Sports Tickets (1)	20,000	20,000	-	-	12,839		12,839	(7,161)	64.2%	29,228	(16,389)
Football Game Guarantees	2,200,000	2,200,000	-	175,000	(175,000)		-	(2,200,000)	0.0%	1,050,000	(1,050,000)
<b>Sub-total</b>	<b>6,620,000</b>	<b>6,620,000</b>	<b>299,933</b>	<b>32,359</b>	<b>(314,822)</b>	<b>-</b>	<b>17,470</b>	<b>(6,602,530)</b>	<b>0.3%</b>	<b>5,375,360</b>	<b>(5,357,890)</b>
<b>Total</b>	<b>32,931,366</b>	<b>32,024,383</b>	<b>7,053,298</b>	<b>2,979,635</b>	<b>14,228,952</b>	<b>-</b>	<b>24,261,885</b>	<b>(7,762,498)</b>	<b>75.8%</b>	<b>23,151,974</b>	<b>1,109,911</b>
<b>Expenses</b>											
Salaries	10,976,644	10,927,399	2,402,252	2,575,771	2,370,195		7,348,217	(3,579,182)	67.2%	7,941,037	(592,819)
Payroll Benefits	3,096,269	3,082,827	696,601	663,955	803,911		2,164,467	(918,360)	70.2%	2,257,362	(92,895)
Communication Charges	86,768	86,768	13,768	13,738	15,416		42,921	(43,847)	49.5%	58,500	(15,578)
Other Expense	2,876,677	2,026,881	432,118	425,946	430,631		1,288,694	(738,187)	63.6%	1,732,378	(443,684)
Patient Care Costs	179,861	179,861	8,713	13,839	198,721		221,272	41,411	123.0%	85,007	136,266
Plant Maintenance	214,849	214,849	29,429	17,806	131,429		178,664	(36,185)	83.2%	230,476	(51,812)
Services	4,138,925	4,138,925	141,332	110,507	226,073		477,913	(3,661,012)	11.5%	2,418,751	(1,940,838)
Student Costs (includes Grant-in-Aid)	5,397,328	5,397,328	2,797,922	406,900	1,664,730		4,869,553	(527,775)	90.2%	5,133,280	(263,727)
Supplies	1,819,693	1,825,193	170,451	385,981	514,808		1,071,239	(753,954)	58.7%	1,496,683	(425,445)
Travel	3,569,688	3,569,688	22,814	1,516,987	1,028,307		2,568,108	(1,001,580)	71.9%	3,652,801	(1,084,693)
Utilities	574,664	574,664	57,045	129,491	106,866		293,402	(281,262)	51.1%	396,605	(103,203)
<b>Total</b>	<b>32,931,366</b>	<b>32,024,383</b>	<b>6,772,445</b>	<b>6,260,920</b>	<b>7,491,086</b>	<b>-</b>	<b>20,524,451</b>	<b>(11,499,932)</b>	<b>64.1%</b>	<b>25,402,880</b>	<b>(4,878,430)</b>
<b>Net</b>	<b>-</b>	<b>-</b>	<b>280,853</b>	<b>(3,281,285)</b>	<b>6,737,866</b>	<b>-</b>	<b>3,737,434</b>	<b>3,737,434</b>	<b>11.7%</b>	<b>(2,250,906)</b>	<b>5,988,341</b>

(1) Other sports tickets include women's soccer, track, baseball, softball, and volleyball

(2) Actuals through March 31, 2021


**FY21 Budgeted Expenses and Actuals by Sport Exhibit P21 (1)**

<b>Football</b>			
<b>Expense Description</b>	<b>FY21 Revised Budget</b>	<b>FY21 YTD Actuals</b>	<b>FY21 Budget to Actuals Variance</b>
Salaries	2,572,693	1,844,005	728,688
Payroll Benefits	755,029	493,883	261,146
Communication Charges	25,050	8,494	16,556
Other Expense	72,847	49,126	23,721
Patient Care Costs	18,000	6,173	11,827
Plant Maintenance	35,300	121,519	(86,219)
Services	984,733	158,112	826,621
Student Costs/Grant-in-Aid (2)	1,944,886	1,828,519	116,367
Supplies	90,600	55,023	35,577
Travel	1,501,000	1,485,357	15,643
Utilities	25,000	-	25,000
<b>Total</b>	<b>8,025,138</b>	<b>6,050,211</b>	<b>1,974,927</b>

<b>Men's Baseball</b>			
<b>Expense Description</b>	<b>FY21 Revised Budget</b>	<b>FY21 YTD Actuals</b>	<b>FY21 Budget to Actuals Variance</b>
Salaries	394,672	276,501	118,171
Payroll Benefits	106,168	79,781	26,387
Communication Charges	-	405	(405)
Other Expense	7,379	3,996	3,383
Patient Care Costs	-	-	-
Plant Maintenance	3,900	548	3,352
Services	51,200	21,410	29,790
Student Costs/Grant-in-Aid (2)	174,135	173,930	205
Supplies	35,155	16,732	18,423
Travel	185,675	50,554	135,121
Utilities	-	-	-
<b>Total</b>	<b>958,284</b>	<b>623,856</b>	<b>334,428</b>

<b>Women's Softball</b>			
<b>Expense Description</b>	<b>FY21 Revised Budget</b>	<b>FY21 YTD Actuals</b>	<b>FY21 Budget to Actuals Variance</b>
Salaries	183,000	124,091	58,909
Payroll Benefits	58,376	43,436	14,940
Communication Charges	540	608	(68)
Other Expense	4,209	2,525	1,684
Patient Care Costs	-	-	-
Plant Maintenance	2,422	705	1,717
Services	29,360	556	28,804
Student Costs/Grant-in-Aid (2)	147,992	145,992	2,000
Supplies	19,016	12,714	6,302
Travel	146,900	49,265	97,635
Utilities	-	-	-
<b>Total</b>	<b>591,815</b>	<b>379,892</b>	<b>211,923</b>

<b>Men's Basketball</b>			
<b>Expense Description</b>	<b>FY21 Revised Budget</b>	<b>FY21 YTD Actuals</b>	<b>FY21 Budget to Actuals Variance</b>
Salaries	1,582,594	1,087,723	494,871
Payroll Benefits	348,629	245,339	103,290
Communication Charges	12,750	3,848	8,902
Other Expense	32,284	15,930	16,354
Patient Care Costs	5,000	685	4,315
Plant Maintenance	39,140	14,354	24,786
Services	724,790	49,652	675,138
Student Costs/Grant-in-Aid (2)	306,716	264,944	41,772
Supplies	58,550	46,496	12,054
Travel	544,500	423,624	120,876
Utilities	-	-	-
<b>Total</b>	<b>3,654,953</b>	<b>2,152,594</b>	<b>1,502,359</b>

Women's Basketball			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	804,741	559,169	245,572
Payroll Benefits	244,723	175,494	69,229
Communication Charges	1,550	1,148	403
Other Expense	17,927	8,979	8,948
Patient Care Costs	-	-	-
Plant Maintenance	15,700	4,273	11,427
Services	288,852	34,078	254,774
Student Costs/Grant-in-Aid (2)	222,341	186,453	35,888
Supplies	44,941	9,769	35,172
Travel	452,375	244,474	207,901
Utilities	-	-	-
<b>Total</b>	<b>2,093,150</b>	<b>1,223,837</b>	<b>869,313</b>

Women's Soccer			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	201,900	139,088	62,812
Payroll Benefits	65,115	49,681	15,434
Communication Charges	1,060	450	610
Other Expense	3,599	3,110	489
Patient Care Costs	-	1,560	(1,560)
Plant Maintenance	-	-	-
Services	9,600	6,309	3,291
Student Costs/Grant-in-Aid (2)	287,475	290,080	(2,605)
Supplies	6,650	6,900	(250)
Travel	127,100	32,523	94,577
Utilities	-	-	-
<b>Total</b>	<b>702,499</b>	<b>529,700</b>	<b>172,799</b>

Men's Golf			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	168,500	126,375	42,125
Payroll Benefits	55,606	51,010	4,596
Communication Charges	-	203	(203)
Other Expense	2,182	1,864	318
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	2,680	3,650	(970)
Student Costs/Grant-in-Aid (2)	84,920	86,038	(1,118)
Supplies	(2,000)	14,518	(16,518)
Travel	69,568	17,907	51,661
Utilities	600	550	50
<b>Total</b>	<b>382,056</b>	<b>302,115</b>	<b>79,941</b>

Women's Golf			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	151,726	113,794	37,932
Payroll Benefits	50,069	46,776	3,293
Communication Charges	-	-	-
Other Expense	3,137	1,756	1,381
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	12,600	5,590	7,010
Student Costs/Grant-in-Aid (2)	114,077	113,509	568
Supplies	3,150	5,983	(2,833)
Travel	51,850	13,972	37,878
Utilities	600	550	50
<b>Total</b>	<b>387,209</b>	<b>301,931</b>	<b>85,278</b>

Men's Tennis			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	103,000	68,150	34,850
Payroll Benefits	33,991	27,289	6,702
Communication Charges	290	203	88
Other Expense	3,214	1,043	2,171
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	18,810	1,095	17,715
Student Costs/Grant-in-Aid (2)	48,619	45,896	2,723
Supplies	13,300	4,022	9,278
Travel	48,700	17,121	31,579
Utilities	-	-	-
<b>Total</b>	<b>269,924</b>	<b>164,819</b>	<b>105,105</b>

Women's Tennis			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	103,000	70,350	32,650
Payroll Benefits	33,991	21,549	12,442
Communication Charges	610	52	558
Other Expense	1,924	1,158	766
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	17,910	357	17,553
Student Costs/Grant-in-Aid (2)	96,288	94,353	1,935
Supplies	11,615	2,712	8,903
Travel	50,500	12,004	38,496
Utilities	-	-	-
<b>Total</b>	<b>315,838</b>	<b>202,536</b>	<b>113,302</b>

Cross Country (M/W) (3)			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	110,325	82,744	27,581
Payroll Benefits	36,406	28,567	7,839
Communication Charges	-	-	-
Other Expense	1,648	1,128	520
Patient Care Costs	111	-	111
Plant Maintenance	487	-	487
Services	3,314	-	3,314
Student Costs/Grant-in-Aid (2)	51,405	52,350	(945)
Supplies	1,855	190	1,665
Travel	55,060	20,429	34,631
Utilities	-	-	-
<b>Total</b>	<b>260,611</b>	<b>185,406</b>	<b>75,205</b>

Track (M/W) (3)			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	196,825	147,101	49,724
Payroll Benefits	70,877	59,652	11,225
Communication Charges	560	203	358
Other Expense	4,864	3,673	1,191
Patient Care Costs	-	493	(493)
Plant Maintenance	-	-	-
Services	725	1,251	(526)
Student Costs/Grant-in-Aid (2)	376,289	368,451	7,838
Supplies	(2,187)	4,133	(6,320)
Travel	227,950	25,217	202,733
Utilities	-	-	-
<b>Total</b>	<b>875,903</b>	<b>610,175</b>	<b>265,728</b>

Women's Volleyball			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	237,007	177,496	59,511
Payroll Benefits	78,212	65,668	12,544
Communication Charges	330	248	83
Other Expense	4,646	3,323	1,323
Patient Care Costs	-	59	(59)
Plant Maintenance	23,300	-	23,300
Services	38,100	998	37,102
Student Costs/Grant-in-Aid (2)	216,332	218,394	(2,062)
Supplies	28,437	11,765	16,672
Travel	111,253	54,606	56,647
Utilities	-	-	-
<b>Total</b>	<b>737,617</b>	<b>532,555</b>	<b>205,062</b>

Swimming/Diving			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	146,810	110,108	36,702
Payroll Benefits	48,448	41,725	6,723
Communication Charges	-	-	-
Other Expense	2,975	1,646	1,329
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	500	70	430
Student Costs/Grant-in-Aid (2)	164,247	162,282	1,965
Supplies	22,725	4,632	18,093
Travel	107,700	299	107,401
Utilities	-	-	-
<b>Total</b>	<b>493,405</b>	<b>320,762</b>	<b>172,643</b>

Spirit			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	-	-	-
Payroll Benefits	-	-	-
Communication Charges	-	-	-
Other Expense	508	171	337
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	-	-	-
Student Costs/Grant-in-Aid (2)	-	7,500	(7,500)
Supplies	33,900	8,924	24,976
Travel	-	-	-
Utilities	-	-	-
<b>Total</b>	<b>34,408</b>	<b>16,596</b>	<b>17,812</b>

Administration/Events/Other Operating (4)			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	3,970,606	2,421,524	1,549,082
Payroll Benefits	1,097,187	734,617	362,570
Communication Charges	44,028	27,062	16,966
Other Expense	1,863,538	1,189,265	674,273
Patient Care Costs	156,750	212,302	(55,552)
Plant Maintenance	94,600	37,265	57,335
Services	1,955,751	194,785	1,760,966
Student Costs/Grant-in-Aid (2)	1,161,606	830,860	330,746
Supplies	1,459,486	866,724	592,762
Travel	(110,443)	120,759	(231,202)
Utilities	548,464	292,302	256,162
<b>Total</b>	<b>12,241,573</b>	<b>6,927,467</b>	<b>5,314,106</b>

**Total** 32,024,383 20,524,451 11,499,932

(1) Does not include special events and bowl games

(2) FY21 Grant-in-Aid actuals will be allocated to individual sports as expenses post

(3) M/W track and cross-country coaches salaries split 50/50 between sports.

(4) Includes Events Management, Special Events, Bowl Games, Championships, Gifts-in-Kind, Parking, Concessions, Ticket Office, Administration, Business Office, Leagues and Clubs, Compliance, Advisement, Facility Rentals, Sports Camps, Utilities, Student Assistance Funds, Life skills, Pre Season Training, Athletic Vans, Insurance, Marketing and Media Relations

# TAB 5

## # 5

Approval of Main, HSC, and Branch Campuses' FY 2021-2022 Budget and FY 2020-2021 Budget Adjustment Request (BAR)

# **Request Regent Approval**

Fiscal 2020-2021 Main and Branch  
Budget Adjustment Request (BAR)





**MAIN and BRANCH  
BUDGET ADJUSTMENT  
REQUEST  
FISCAL YEAR 2020-2021**

# Budget Adjustment Request (BAR)

- The FY 21 Original Operating and Capital Budget was submitted to HED last spring and subsequently revised in July 2020 due to the Special Session of the NM legislature.
- Budget to Actuals are monitored through the Monthly Financial Report presented by the UNM Controller.
- The University is required to submit a revised budget to the HED by May 1 if year end projections show that actual revenue, transfers, or expenditure levels will exceed those initially budgeted. Note: HED does allow a post May 1 board approval.
- Must not exceed budget authority by exhibit --- 5.3.4.10 NMAC

# Budget Adjustment Request (BAR) Drivers

- Change in revenue and expenditure projections
- Use of reserves for one-time expenditures
- Increase in restricted grant and contract revenues and expenditures
- Other changes in transfers

Note: Included in your ebook materials is the FY 21 BAR booklet that provides more details about the changes.

## Main Campus (Operating) and All UNM Capital Revenue & Expenses (In Millions)

Main Campus	Fiscal Year 2021 Current Approved	Fiscal Year 2021 Revised Budget (BAR)
Revenues	871.5	1,141.0
Expenses	871.5	1,141.0

Net \$269.5M Increase or 30.9%.

Primarily due to:

- The movement of the UNMH plant reserve from Main Campus for the Hospital Tower Project-\$195M.
- Orthopedic Center of Excellence-\$14M.

# Summary of Main Campus Operating and All UNM Capital Changes

- ***\$136.3M Revenues and Transfers and Use of Reserves \$133.2M***
  - ~ \$79.6M Bond Refunding revenue
  - ~\$29.6M HEERF 2 and estimated HEERF3 Student Aid
  - ~\$17.3M Transfers in for capital projects
  - ~ \$9.7M **Net** Other Revenue
    - HEERF2 revenue, estimated HEERF3 revenue, Tuition and Fees, and Gift revenue offset by lost auxiliary and athletic revenues. See page 4 of the FY 21 BAR ebook.
  - Use of Reserves primarily the movement of reserves for the Hospital Tower Project.
- ***\$269.5M Expenses***
  - Movement of UNMH reserves from Main Campus for the Hospital Tower Project.
  - Orthopedic Center of Excellence
  - 2021 Bond Refunding Activities and transfers to plant for various capital projects.
  - Student Aid expenses-HEERF 2 and estimated HEERF 3

## Summary of Branch Campus Changes

- **Gallup \$291K Increase, 1.6%**-primarily due to an increase in Restricted Contract and Grant research activity.
- **Los Alamos \$16K Decrease, -.3%**-primarily transfer spending from auxiliaries to capital projects.
- **Valencia \$1.233M Increase, 8.5%**-primarily due to an increase in Restricted Contract and Grant research activity and auxiliary COVID costs.
- **Taos \$219K Decrease, -1.7%**- primarily due a decrease in Restricted Contract and Grant research activity. TRIO grant ended.
- HEERF II Funding received-\$930,224

Requesting Approval of the  
Fiscal 2020-2021 Main and Branch  
Budget Adjustment Request (BAR)



THE UNIVERSITY OF  
NEW MEXICO®

# Health Sciences

**Budget Adjustment Request  
Fiscal Year 2020-2021**



# UNM HSC Budget Adjustment Request (BAR)

- The FY 2021 Original Operating and Capital Budget was submitted to HED on May 1, 2020.
- The FY 2021 First Revised Budget was approved by Regents and submitted to HED on July 14, 2020 due to the Special Legislative Solvency session in June 2020.
- The University is required to submit a Final Revised Budget to the HED by May 1, 2021.
- Primary purpose of the final BAR: To ensure actual expenditures will not exceed budget authority by exhibit --- 5.3.4.10 NMAC
- HSC Academic and UNM Hospitals are required by HED to submit a BAR – SRMC and UNM MG are not

*Note: Your ebook materials include the detailed FY21 BAR*

# UNM HSC Academic Enterprise Year-End Changes

- **\$16.5M Increase** in Unrestricted Revenue and Transfers
- **\$10.0M Increase** in Unrestricted Expenditure authority for FY21. This increase is primarily due to the following:
  - **Public Service** expense increased primarily due Health System activity.
  - **Independent Operations** increased due to Housestaff and Office of Medical Investigator.
  - **\$10.0M** represents 1.6% of the \$642.6M Total Unrestricted expense

# UNM Hospitals Year-End Changes

- **\$220.1M Net Increase** in Revenue due to \$170.3M increase in patient revenues with increased Hospital volumes and the \$61.3M Cares Act revenue.
  - This represents 17.2% of the \$1.28 billion original total budgeted revenue
- **\$124.8M Net Increase** in Expense due to increased volumes and non-labor patient care costs as well as increases in contract labor.
  - This represents 10.0% of the \$1.25 billion original total budgeted expense

Requesting Approval of the  
Fiscal 2020-2021 UNM HSC  
Budget Adjustment Request (BAR)

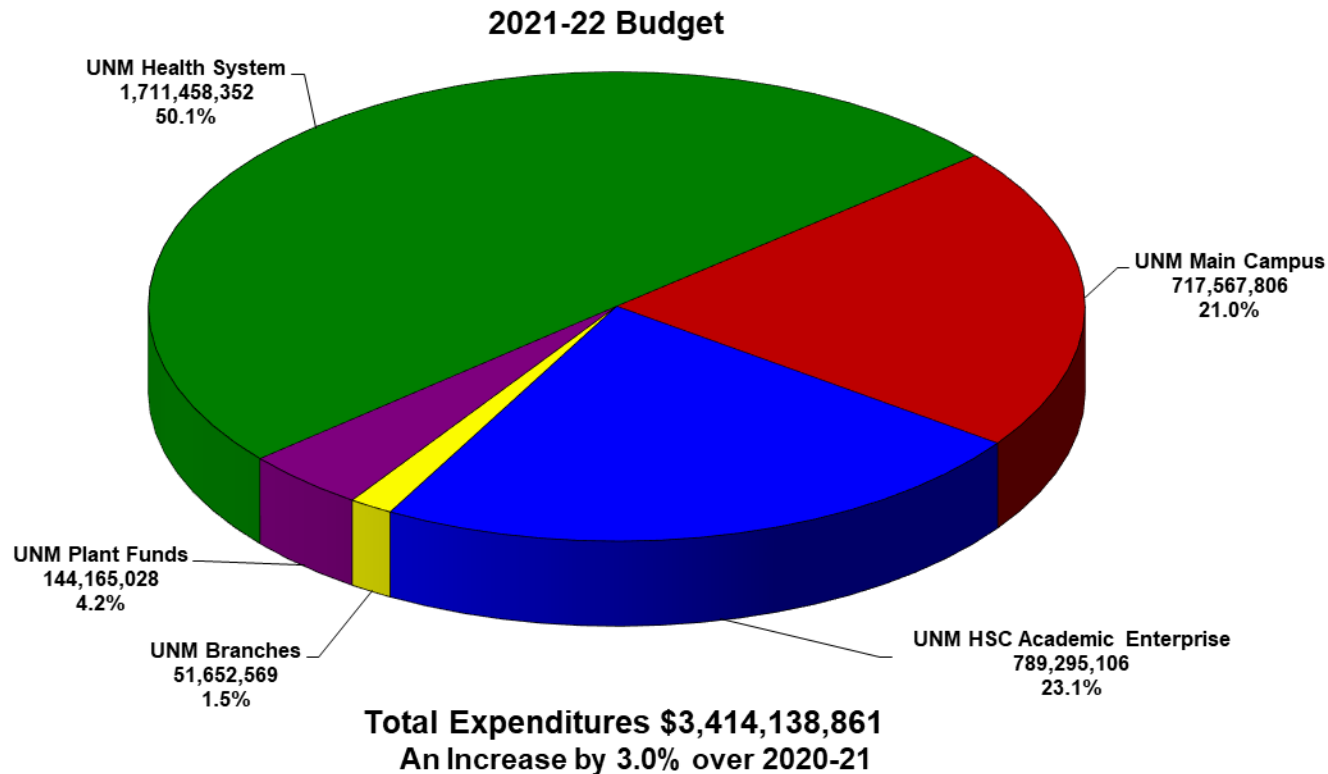
# **Request Regent Approval**

Fiscal 2021-2022

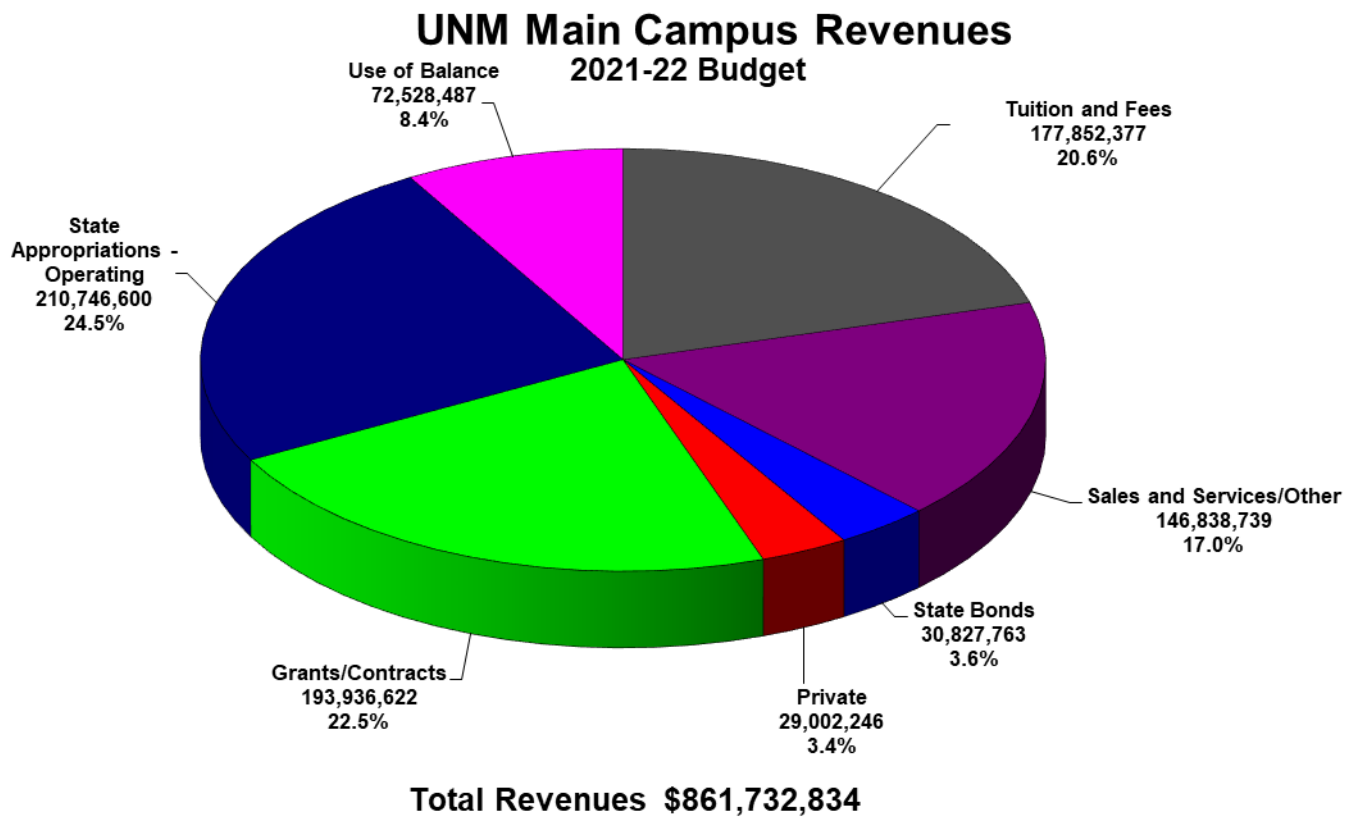
UNM

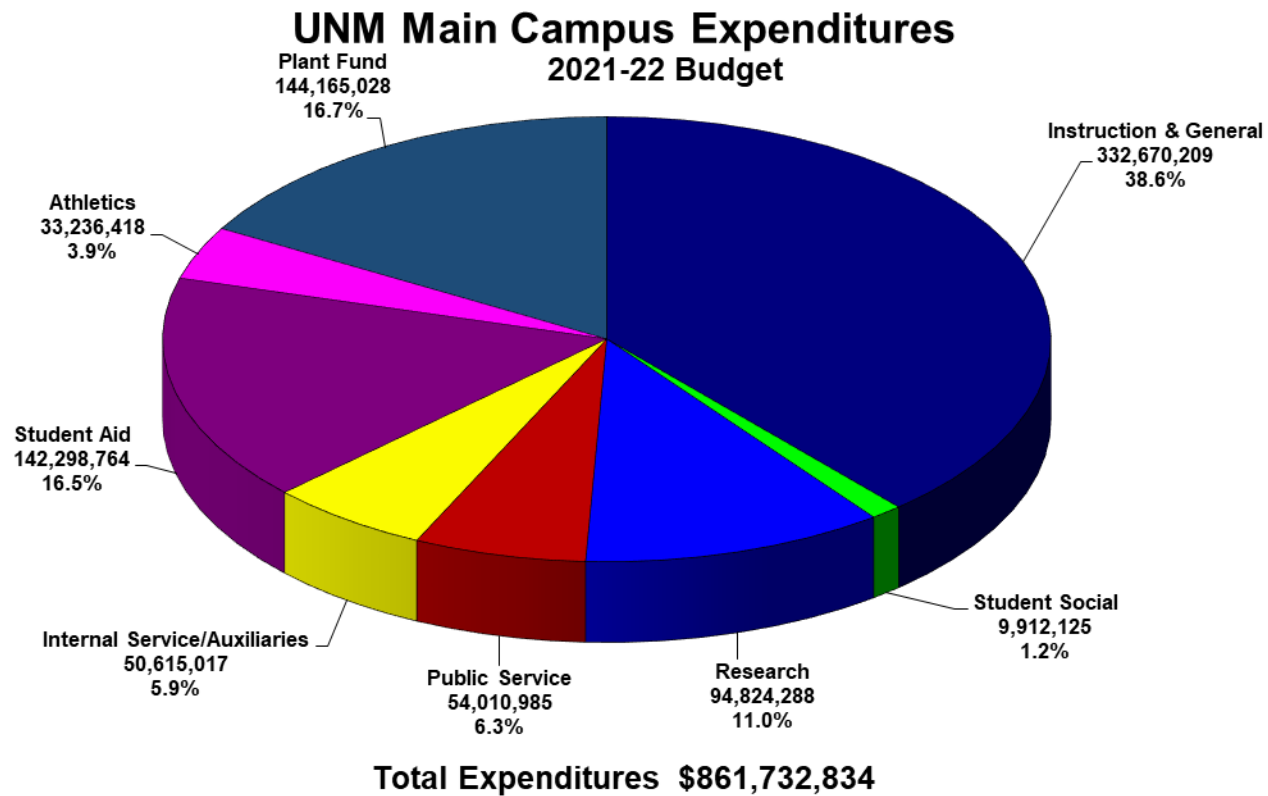
Operating and Capital Plans

# UNM Consolidated Budget



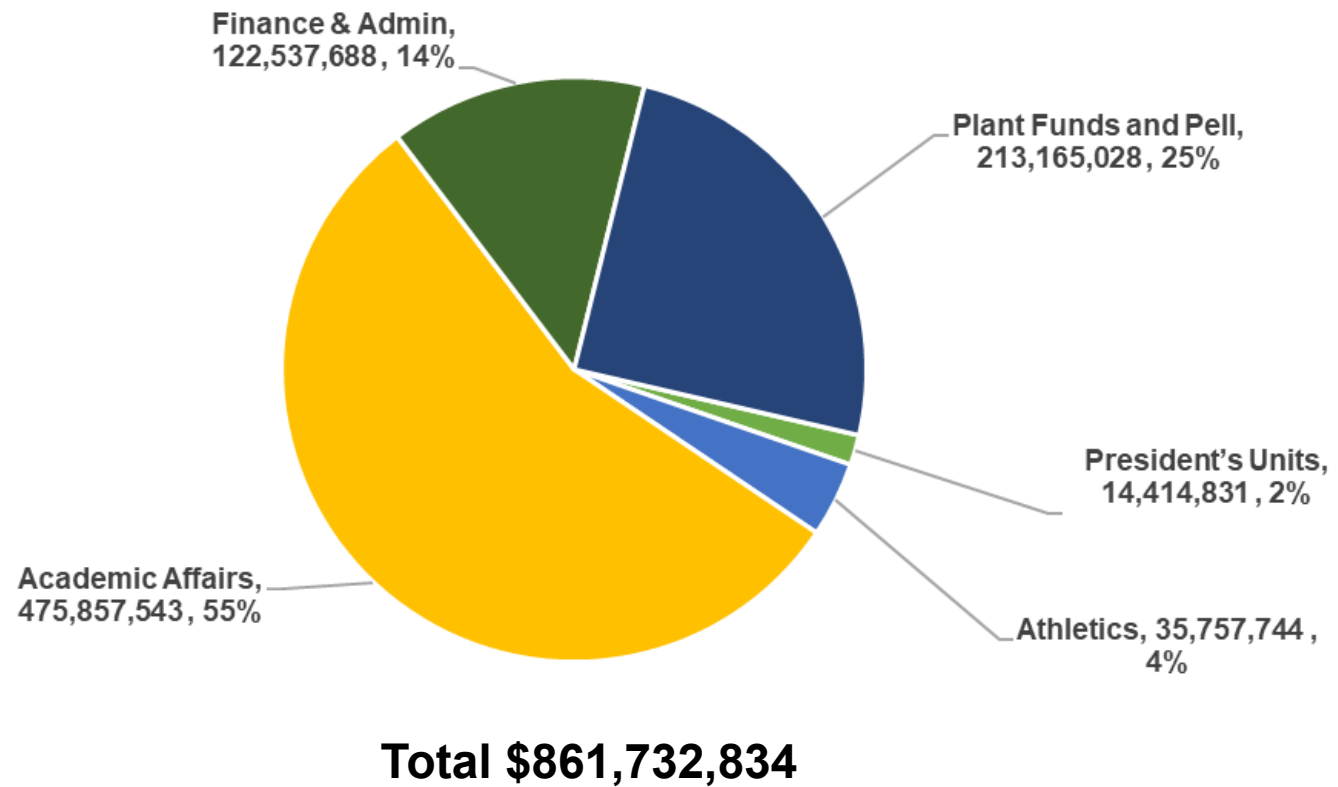
Note: The FY 2021-2022 Operating and Capital Book that provides more details about the budget will be included in the Full BOR May 11, 2021 ebook







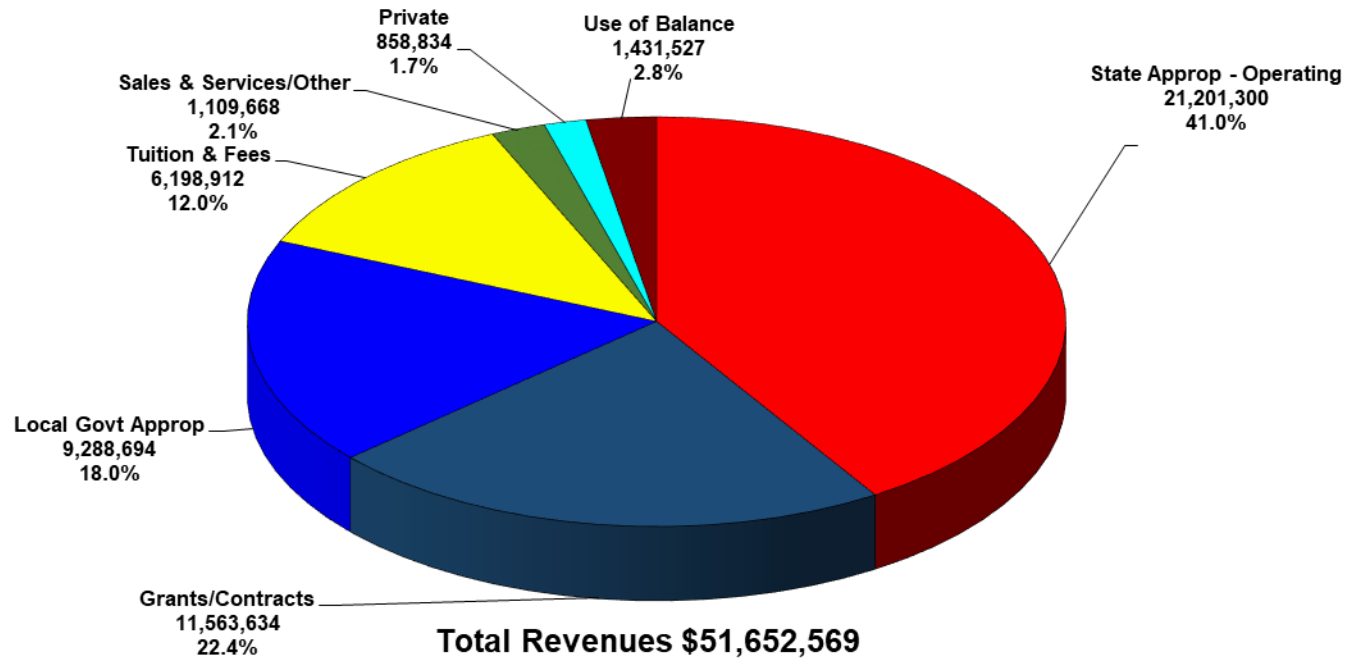
## UNM Main Campus Expenditures by Unit



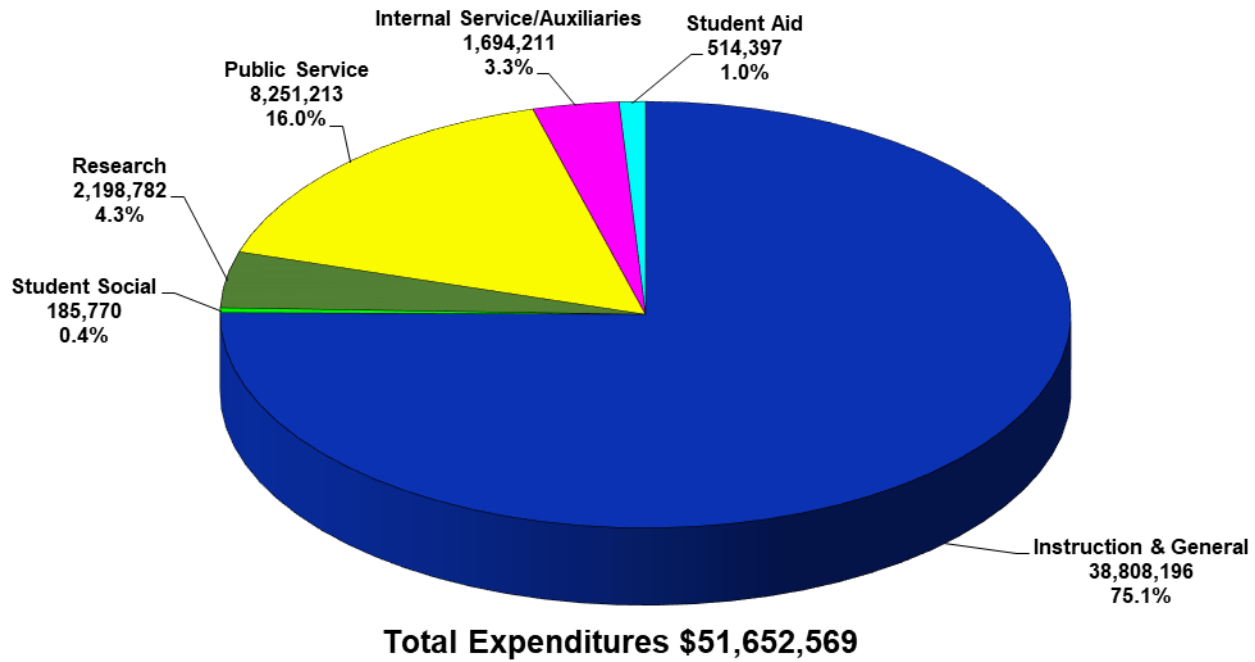
# Main Campus

- Main Campus-\$861.7M
- 4.8% Decrease over FY21 Original
- 24.5% Decrease over FY 21 Revised Budget
  
- Primary Drivers:
  - Increase in State Appropriations
  - Tuition and Fee and Compensation increases approved at April 13<sup>th</sup> BOR meeting.
  - The budget reflects the new not yet approved Athletics Fee structure.
  - 1.5% Compensation Increase, other must fund costs, and funding initiatives.
  - Decreases in Auxiliary Revenues

## UNM Branches Revenues 2021-22 Budget

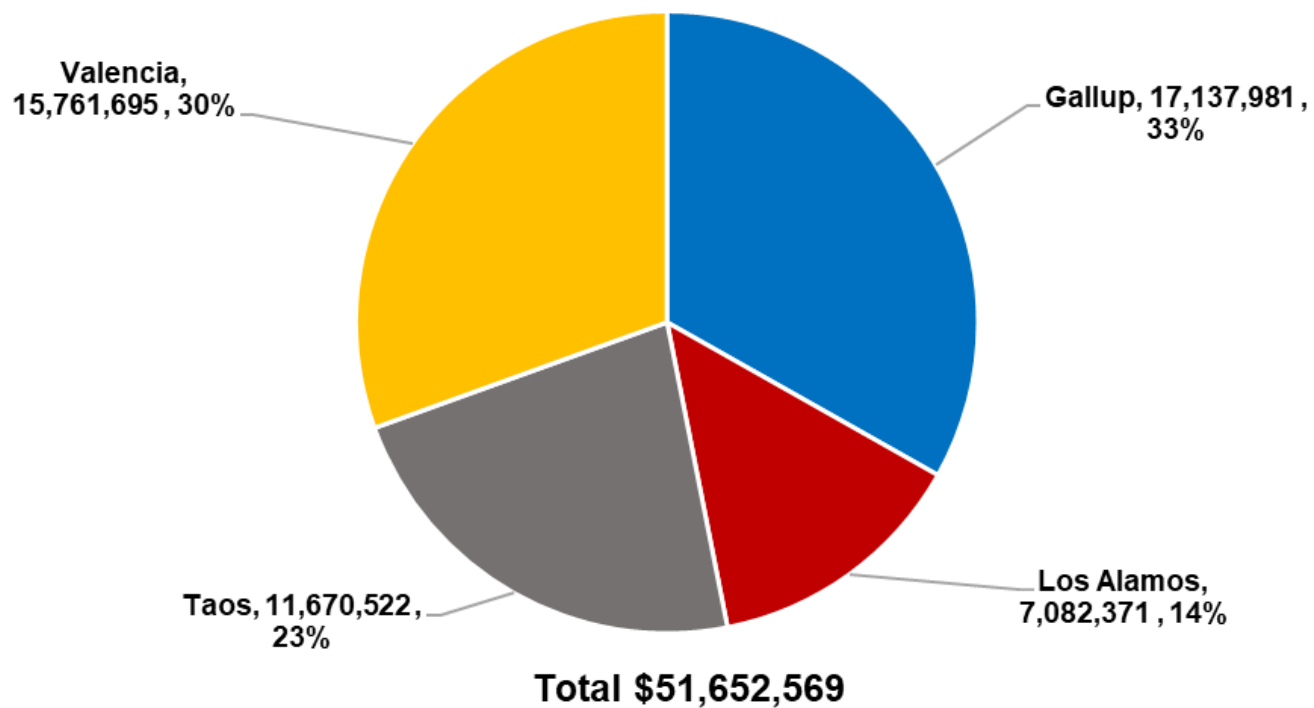


## UNM Branches Expenditures 2021-22 Budget



## UNM Branch Expenditures by Campus

2021-22 Budget



# Branch Campuses

- Branch Campuses-\$51.7M
- -.82% Net Decrease from FY 21 Revised Budget
- Primary Drivers:
  - Increase in State Appropriations
  - Decrease in Tuition, Fees, and Auxiliary Revenues
  - 1.5% Compensation Increase and 1% ERB Increase
  - Decreased spending in non-salary expenses

Requesting Approval of the  
Fiscal 2021-2022 Main and Branch  
Operating and Capital Budget



THE UNIVERSITY OF  
NEW MEXICO®

# Health Sciences

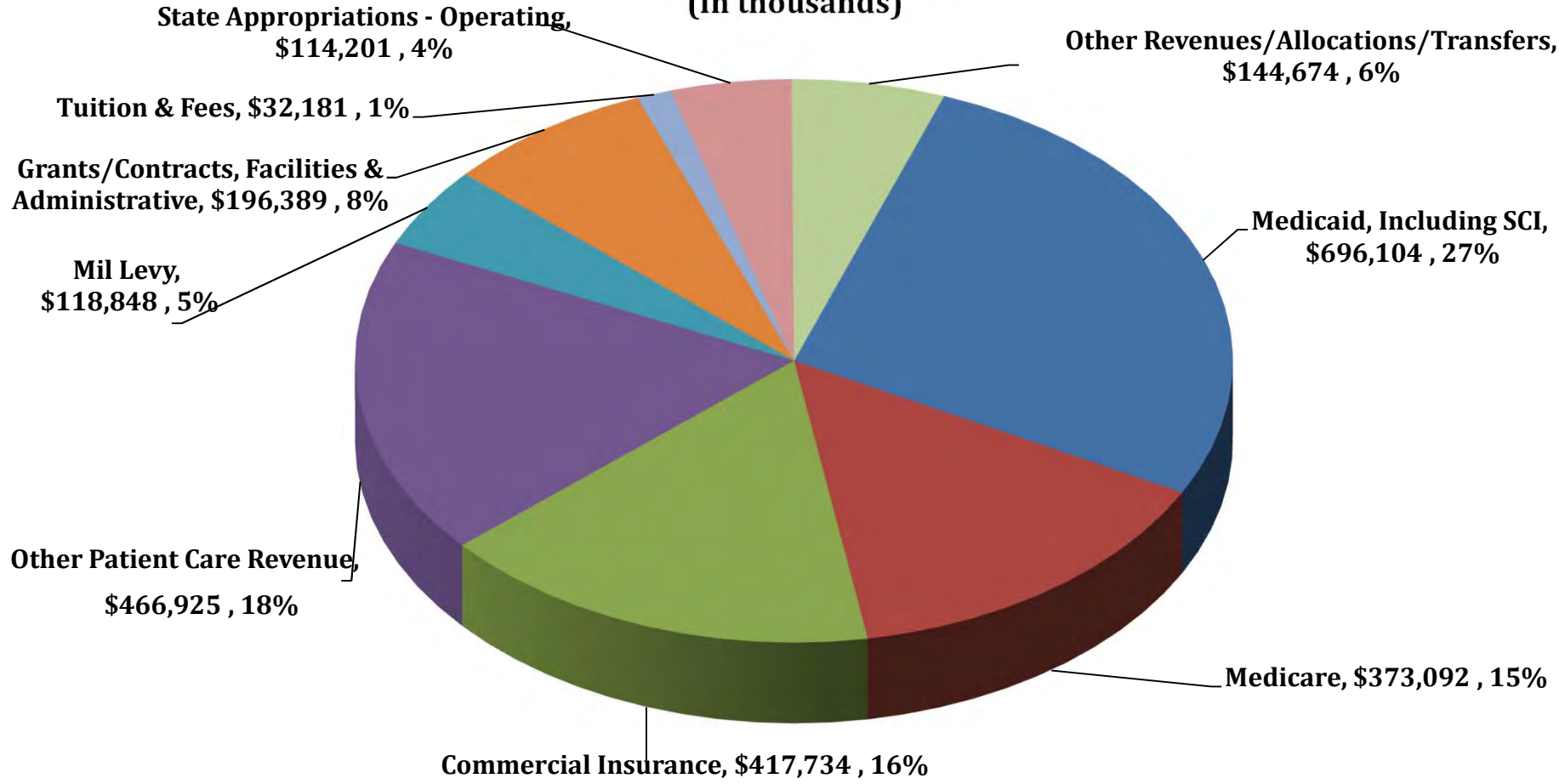
**FY 2022 Budget Approval**



# UNM HSC All Components - Revenues

## FY 2022 Budget

(In thousands)



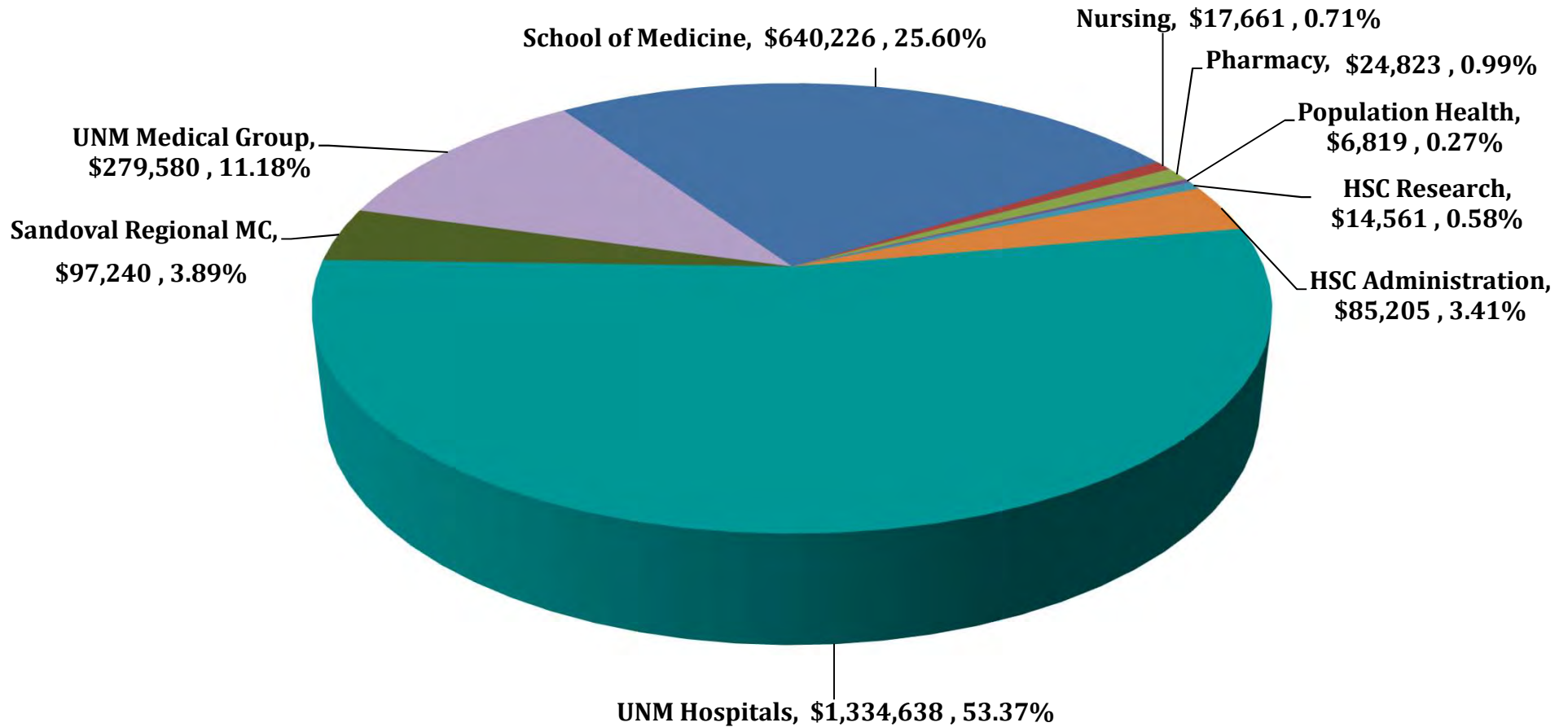
**Note: Includes UNM HSC Academic Enterprise and UNM Health System**

**Total Budgeted Revenues \$2,560,148,412**

# UNM HSC All Components - Expenditures

## FY 2022 Budget

(In thousands)

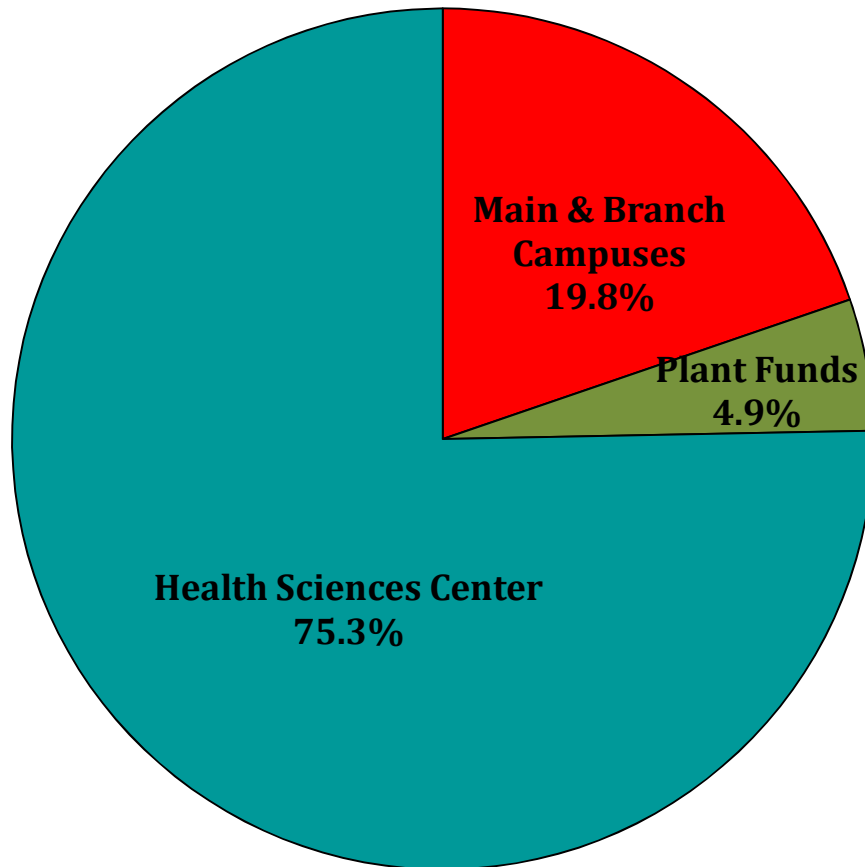


**Note: Includes UNM HSC Academic Enterprise and UNM Health System**

**Total Budgeted Expenditures \$2,500,753,459**  
**0.4% Increase over FY 2021 Revised Budget**

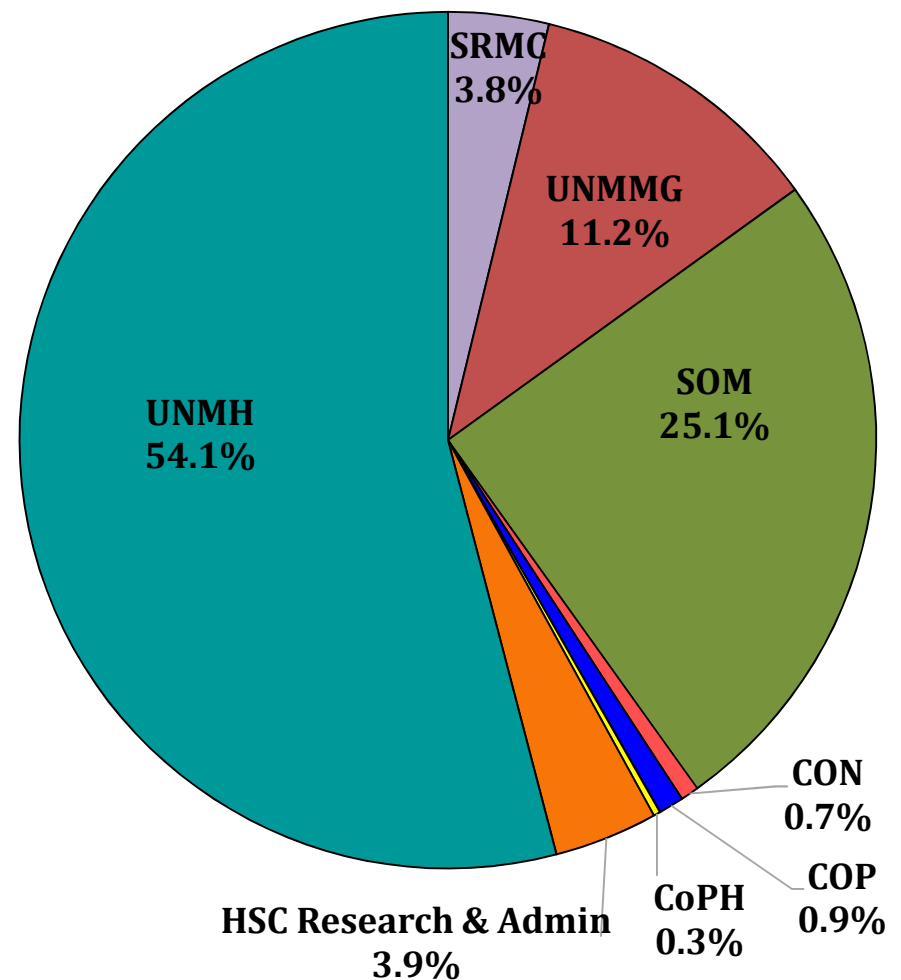
# UNM FY 2022 Budgeted Revenue

## Total UNM Revenue by Campus



HSC FY22 Revenue decreased from FY21 Revised Budget by **-2.6%**

## Total HSC Revenue by Unit



# UNM HSC Academic Enterprise

*Revenue: Prior Year - Current Year - Next Year*

	Actuals 2020	Revised Budget 2021	Original Budget 2022	% Change
<b>Revenues</b>				
<i>State Appropriations</i>	93,588,442	92,112,073	99,825,816	8.37%
<i>Tuition and Fees</i>	30,231,096	31,291,508	32,180,656	2.84%
<i>Federal Appro./Grants/Contracts</i>	88,261,059	142,837,917	148,902,537	4.25%
<i>Private Gifts and Contracts/Grants</i>	40,849,156	41,483,108	42,170,455	1.66%
<i>Sales, Services and Other Revenues</i>	421,953,262	466,309,304	467,382,382	0.23%
<b>Total Revenue</b>	<b>\$674,883,015</b>	<b>\$774,033,910</b>	<b>\$790,461,846</b>	<b>2.12%</b>

# UNM HSC Academic Enterprise

*Comparative Analysis: Prior Year - Current Year - Next Year*  
*(In thousands)*

Total HSC Academic	FY 2020 Actuals	FY 2021 Revised Budget	FY 2022 Original Budget	Percent Change 2021-22
Revenues	674,883	774,034	790,462	2.1%
Expenses	654,563	766,672	789,295	3.0%
Net Margin	<b>\$20,320</b>	<b>\$7,362</b>	<b>\$1,167</b>	

- *FY22 Research Direct and Indirect driving most of the revenue change*
- *FY22 Compensation expense due to 1.5% across-the-board compensation increase and 1.0% Employer ERB expense increase*

# UNM HSC Academic Enterprise

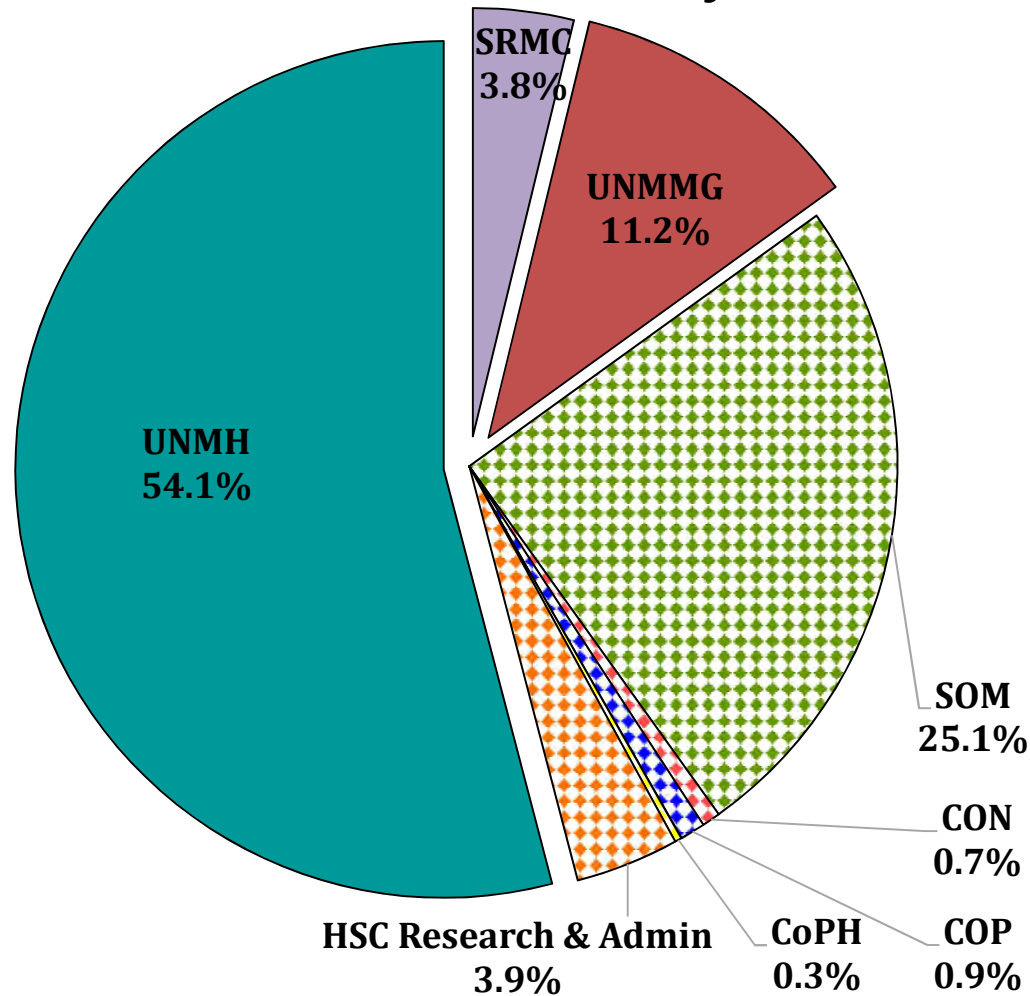
*FY 2022 Budget*  
*(In thousands)*

	School of Medicine	College of Nursing	College of Pharmacy	College of Population Health	Research & General Admin.	HSC Academic Enterprise FY22 Total
<b>Revenues</b>	641,586	17,661	24,320	6,740	100,155	790,462
<b>Expenses</b>	640,226	17,661	24,823	6,819	99,766	789,295
<b>Net Margin</b>	<b>\$1,360</b>	<b>\$0</b>	<b>(\$503)</b>	<b>(\$79)</b>	<b>\$389</b>	<b>\$1,167</b>

- SOM clinical operations budgeted to return to full clinical scope, CON and CoPH budgeting expenditures to drive enrollment expansion, COP investing in research.*

# UNM FY 2022 Budgeted Revenue

## Total HSC Revenue by Unit



# UNM Hospitals

*Revenue: Prior Year - Current Year - Next Year*

	2019-20 Actuals	2020-21 Revised Budget	2021-22 Original Budget	% Change
<i>Net Patient Revenues</i>	1,094,257,531	1,257,529,328	1,200,892,063	-4.5%
<i>County Tax Subsidy</i>	108,619,093	110,003,617	111,653,671	1.5%
<i>Contracts/Grants</i>	4,760,046	5,091,346	5,315,949	4.4%
<i>State Funding</i>	47,816,118	13,396,400	13,682,700	2.1%
<i>Other Revenues</i>	82,171,479	113,251,981	52,897,766	-53.3%
<b>Total Net Revenues</b>	<b>\$1,337,624,267</b>	<b>\$1,499,272,672</b>	<b>\$1,384,442,149</b>	<b>-7.7%</b>



# UNM Hospitals

*Comparative Analysis: Prior Year - Current Year - Next Year*  
*(In thousands)*

	<b>FY 2020 Actuals</b>	<b>FY 2021 Revised Budget</b>	<b>FY 2022 Original Budget</b>	<b>Percent Change 2021-22</b>
<b>Revenues</b>	1,337,624	1,502,747	1,384,442	-7.6%
<b>Expenses</b>	1,239,723	1,377,020	1,334,638	-2.8%
<b>Net Margin</b>	<b>\$97,901</b>	<b>\$125,727</b>	<b>\$49,804</b>	

- *FY21 operations driven by unprecedented volume and activity levels due to COVID-19*
- *FY22 Budgeted revenue and expense return to FY2020 actual level; also reductions in contract labor and overtime*

# UNM Medical Group

*Comparative Analysis: Prior Year - Current Year - Next Year*  
(In thousands)

	<b>FY 2020 Actuals</b>	<b>FY 2021 Revised Budget</b>	<b>FY 2022 Original Budget</b>	<b>Percent Change 2021-22</b>
<b>Revenues</b>	271,306	257,356	288,000	11.9%
<b>Expenses</b>	280,269	253,202	279,580	11.7%
<b>Net Margin</b>	<b>(\$8,963)</b>	<b>\$4,154</b>	<b>\$8,420</b>	

- *FY22 Revenue and Expense are driven by return to normal levels of surgeries and procedures*
- *Net Margin is partially driven by joint venture with Lovelace/UNM Rehab Hospital*

# UNM Sandoval Regional Medical Center

*Comparative Analysis: Prior Year - Current Year - Next Year  
(In thousands)*

	<b>FY 2020 Actuals</b>	<b>FY 2021 Revised Budget</b>	<b>FY 2022 Original Budget</b>	<b>Percent Change 2021-22</b>
<b>Revenues</b>	89,731	97,000	97,245	0.25%
<b>Expenses</b>	89,433	96,999	97,240	0.25%
<b>Net Margin</b>	<b>\$298</b>	<b>\$1</b>	<b>\$5</b>	

- *FY22 will see an increase in surgical and procedure volumes, partially due to opening the new Orthopedic Center for Excellence*
- *Maintaining a break-even Net Margin through volatile changes*

# UNM Health System

*FY 2022 Budget*  
*(In thousands)*

	<b>UNM Hospitals</b>	<b>UNM Medical Group</b>	<b>UNM Sandoval Regional Medical Center</b>	<b>UNM Health System Total</b>
<b>Revenues</b>	1,384,442	288,000	97,245	1,769,687
<b>Expenses</b>	1,334,638	279,580	97,240	1,711,458
<b>Net Margin</b>	<b>\$49,804</b>	<b>\$8,420</b>	<b>\$5</b>	<b>\$58,229</b>

- *UNM Health System budgeting for less volatility in FY22*

# UNM Health Sciences Center

*FY 2022 Budget*  
*(In thousands)*

	<b>HSC Academic Enterprise</b>	<b>UNM Health System</b>	<b>HSC Total</b>
<b>Revenues</b>	790,462	1,769,687	2,560,149
<b>Expenses</b>	789,295	1,711,458	2,500,753
<b>Net Margin</b>	<b>\$1,167</b>	<b>\$58,229</b>	<b>\$59,396</b>

*Requesting Approval of the  
Fiscal Year 2021-2022  
UNM HSC Budget*





**BUDGET ADJUSTMENT REQUEST**

**FISCAL YEAR 2020-2021**



## **The University of New Mexico Budget Revision: 2020-21 Operating Budget**

We request that the attached budget revisions for the 2020-21 Operating Budget Plans for The University of New Mexico, including the Main Campus, Health Sciences Center, The University of New Mexico Hospitals, and the Branch Campuses be approved for submission to the Higher Education Department (HED).

The original operating budget plans for the current fiscal year were developed over a year ago and presented to the Board of Regents on May 12, 2020. Those budget plans were the result of consolidating numerous individual indices submitted by colleges and departmental units and the development of an estimated budget for the University of New Mexico. The original budget also reflected the Budget Office's estimated projections of what would occur during the year in terms of contract and grant activities and use of reserves for one-time expenditures. The strategy has been to project realistic budget figures given the performance of the previous year and the information provided by colleges and departments. Sometimes these budget revisions can be anticipated ahead of time; however, in most instances, the event that created the need for a budget revision occurs first.

The University is required to submit a revised budget to the HED and then to the Department of Finance and Administration if year-end projections show that actual revenue, transfer, or expenditure levels will exceed those initially budgeted. Budget revisions for the fiscal year ending June 30, 2021 must be submitted to the HED by May 1, 2021.

Budget revisions for FY 2020-21 fall into several general categories, including:

- 1) **Change in revenue projections:** When the operating budget plan for a fiscal year is generated, it is based upon the best estimates of revenue that are available at that time. However, those revenue projections change over the course of the fiscal year for reasons both internal and external to the University.
- 2) **Use of reserves for one-time expenditures:** At the time initial budgets are submitted in May, the amounts of reserves that will carry forward from the previous year are not known. Once the fiscal year has been closed, reserves can be budgeted for expenditures according to departmental plans.
- 3) **Increases in restricted grant and contract expenditures:** These are new grants and contracts that the institution has received during the course of the fiscal year.
- 4) **Miscellaneous:** Other revisions of revenues, transfers, and expenditures that are explained individually.

The Summary Table of FY 2020-21 Expenditures details the overall change in Current Unrestricted, Plant, and Current Restricted expenditures for the University accomplished by this budget revision as compared to the current approved operating budget plan for FY 2020-21 that was approved on July 14, 2020.



**SUMMARY OF FY 2020-21 EXPENDITURES**

	CURRENT APPROVED BUDGET 2020-21			REVISED BUDGET 2020-21			% Change
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total	
<b>Current Funds:</b>							
Main Campus	497,809,185	176,826,226	674,635,411	498,115,632	206,459,482	704,575,114	4.4%
Health Sciences	632,646,361	138,621,672	771,268,033	642,580,181	169,683,325	812,263,506	5.3%
Gallup	15,931,668	1,754,030	17,685,698	15,881,465	2,094,823	17,976,288	1.6%
Los Alamos	4,745,316	1,027,657	5,772,973	4,729,356	1,027,657	5,757,013	-0.3%
Valencia	11,258,526	3,282,760	14,541,286	11,498,583	4,276,057	15,774,640	8.5%
Taos	8,385,341	4,404,193	12,789,534	8,874,313	3,696,661	12,570,974	-1.7%
<b>Current Funds subtotal</b>	<b>1,170,776,397</b>	<b>325,916,538</b>	<b>1,496,692,935</b>	<b>1,181,679,530</b>	<b>387,238,005</b>	<b>1,568,917,535</b>	<b>4.8%</b>
<b>Plant Funds:</b>							
Debt Service	37,407,897	-	37,407,897	113,809,808	-	113,809,808	204.2%
All Other	159,485,434	-	159,485,434	322,632,891	-	322,632,891	102.3%
<b>PLANT FUNDS subtotal</b>	<b>196,893,331</b>	<b>-</b>	<b>196,893,331</b>	<b>436,442,699</b>	<b>-</b>	<b>436,442,699</b>	<b>121.7%</b>
<b>TOTAL UNM - w/o Hospital</b>	<b>1,367,669,728</b>	<b>325,916,538</b>	<b>1,693,586,266</b>	<b>1,618,122,229</b>	<b>387,238,005</b>	<b>2,005,360,234</b>	<b>18.4%</b>
UNM Hospital	1,252,267,496	-	1,252,267,496	1,377,019,661	-	1,377,019,661	10.0%
<b>GRAND TOTAL</b>	<b>2,619,937,224</b>	<b>325,916,538</b>	<b>2,945,853,762</b>	<b>2,995,141,890</b>	<b>387,238,005</b>	<b>3,382,379,895</b>	<b>14.8%</b>

# MAIN CAMPUS

## *Budget Adjustment Request*

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Fiscal Year 2020-2021

## Main Campus

The overall FY 2021 BAR impact to **Main Campus (Unrestricted and Plant)** is an unfavorable change in the net revenues, transfers, and expenditures of (\$133,202,073).

The primary drivers are decreases in Auxiliary and Athletics revenues primarily due to the COVID-19 pandemic and an enrollment decline of 4.5%. These decreases were offset by HEERF2 and HEERF 3 federal funding and tuition and fees coming in above expectations. In addition, increases in expenditure authority for the UNMH Tower project and the bond refunding contribute to the overall unfavorable change.

### Current Funds (Unrestricted)

- \$9,659,499 – Net Increase in **Revenues** primarily due to:
  - Net Increase HEERF2 revenues \$22,623,971
  - Estimated Net Increase HEERF3 revenues \$14,290,000
  - Estimated Net Increase Tuition and Fees \$9,068,264 (enrollment drop was 4.5% instead of 10%)
  - Estimated Lost Athletic revenues (\$14,470,382)
  - Estimated Lost Auxiliary and self-supporting revenues (\$25,325,705)
  - Net Estimated Increase in Gifts revenues and gain on sponsored projects of \$3,473,351
- (\$3,925,991) - Net **Transfers Out** primarily due to:
  - Transfers from I&G and Public Service to Plant for department capital projects.
  - Transfers from I&G to Internal Services for IT software and Facilities Management projects.
- \$306,447 - Net Increase in **Expenditures** is small. However, between exhibits, they fluctuated significantly. I&G and internal service activities did not decrease as much as expected. In July, the I&G budget was adjusted for the decrease in state appropriations and the projected 10% decrease in enrollment. However, enrollments dropped only 4.5% so instruction and those supporting activity expenses in I&G and Internal Services had to increase. Other non-I&G exhibits such as auxiliaries, student aid, research, public service and student social and cultural expenditures decreased more than expected due to the COVID-19 pandemic and the overall enrollment drop of 4.5%.

### Plant Funds

- \$ 79,661,211 - Net Increase in Plant Fund Revenue primarily due to:
  - Refunding Bond Issuance - The sale of the new bonds to refund previous bonds constitutes, and is booked, as revenue and increased revenue. The bond refunding was approved by the Board of Regents, the Higher Education Department (HED) as well as the State Board of Finance (SBOF).
- \$21,259,023 Net Increase in Transfers primarily due to:
  - \$1,144,227 from HSC – SOM Dean Capital Initiatives
  - \$2,632,200 from HSC - Cancer Center Capital Initiatives
  - \$3,300,000 from HSC – UX CON COPH project
  - \$1,200,000 From Facilities Management – Lomas Chiller Plant
  - \$1,112,000 from Athletics – Olympic Training Center
  - \$1,374,129 From Valencia – Westside Campus Expansion
  - \$666,650 From Valencia – Infrastructure Improvements
  - \$750,000 from Gallup – Center for Career Tech
  - \$651,943 from HSC - Med 2 OOC Renovation
  - \$2,322,642 from Main Campus – ROTC Renovation

All projects have or will go through all necessary project approvals.

- \$239,549,368 - Net Increase in Expenses primarily due to:
  - Hospital Tower Project – UNMH will invoice as an expense the reserve amount that has been retained for the project.
  - Refunding Bond – As part of the transaction the old bonds are paid off which has to be booked as an expense.

**Current Funds (Restricted)**

- \$29,633,256- Net Increase in **Revenues** due to:
  - \$9,633,266 Increase in Federal Grants/Contracts – HEERFII
  - \$20,000,000 Increase in Federal Grants/Contracts – HEERFIII
  
- \$29,633,256- Net Increase in **Expenditures** due to:
  - \$9,633,266 Increase in Student Aid expenditures – HEERFII
  - \$20,000,000 Increase in Student Aid expenditures – HEERFIII

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Main Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	353,026,859	11,480,000	364,506,859
Student Social & Cultural Activities	8,171,076	(500,000)	7,671,076
Research	2,070,060	301,119	2,371,179
Public Service	22,221,775	3,226,530	25,448,305
Internal Service Dept.	10,452,741	369,082	10,821,823
Student Financial Aid	30,399,621	0	30,399,621
Auxiliary Enterprises	51,407,823	(7,217,232)	44,190,591
Athletics	31,153,523	2,000,000	33,153,523
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>508,903,478</b>	<b>9,659,499</b>	<b>518,562,977</b>
Capital Outlay	54,053,713	3,891,211	57,944,924
Renewals & Replacements	200,000	0	200,000
Retirement of Indebtedness	19,353,172	75,770,000	95,123,172
<b>Subtotal Plant Funds</b>	<b>73,606,885</b>	<b>79,661,211</b>	<b>153,268,096</b>
<b>TOTAL REVENUES</b>	<b>582,510,363</b>	<b>89,320,710</b>	<b>671,831,073</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	24,965,046	19,718,876	44,683,922
Student Social & Cultural Activities	539,961	968,316	1,508,277
Research	18,577,397	9,621,545	28,198,942
Public Service	7,578,091	5,541,837	13,119,928
Internal Service Dept.	2,810,900	7,627,050	10,437,950
Student Financial Aid	12,656,367	11,577,528	24,233,895
Auxiliary Enterprises	0	5,239,115	5,239,115
Athletics	(3,464,380)	1,836,723	(1,627,657)
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>63,663,382</b>	<b>62,130,990</b>	<b>125,794,372</b>
Capital Outlay	285,595,120	23,182,889	308,778,009
Renewals & Replacements	10,968,304	1,274,663	12,242,967
Retirement of Indebtedness	23,811,037	1,608,651	25,419,688
<b>Subtotal Plant Funds</b>	<b>320,374,461</b>	<b>26,066,203</b>	<b>346,440,664</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>384,037,843</b>	<b>88,197,193</b>	<b>472,235,036</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	377,991,905	31,198,876	409,190,781
Student Social & Cultural Activities	8,711,037	468,316	9,179,353
Research	20,647,457	9,922,664	30,570,121
Public Service	29,799,866	8,768,367	38,568,233
Internal Service Dept.	13,263,641	7,996,132	21,259,773
Student Financial Aid	43,055,988	11,577,528	54,633,516
Auxiliary Enterprises	51,407,823	(1,978,117)	49,429,706
Athletics	27,689,143	3,836,723	31,525,866
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>572,566,860</b>	<b>71,790,489</b>	<b>644,357,349</b>
Capital Outlay	339,648,833	27,074,100	366,722,933
Renewals & Replacements	11,168,304	1,274,663	12,442,967
Retirement of Indebtedness	43,164,209	77,378,651	120,542,860
<b>Subtotal Plant Funds</b>	<b>393,981,346</b>	<b>105,727,414</b>	<b>499,708,760</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>966,548,206</b>	<b>177,517,903</b>	<b>1,144,066,109</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Main Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>EXPENDITURES</b>			
Instruction and General	303,121,065	8,513,344	311,634,409
Student Social & Cultural Activities	8,660,705	(500,000)	8,160,705
Research	20,694,776	(500,000)	20,194,776
Public Service	24,179,334	(963,642)	23,215,692
Internal Service Dept.	6,762,952	3,927,079	10,690,031
Student Financial Aid	58,789,432	(6,339,335)	52,450,097
Auxiliary Enterprises	43,840,434	(3,813,895)	40,026,539
Athletics	31,760,487	(17,104)	31,743,383
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>497,809,185</b>	<b>306,447</b>	<b>498,115,632</b>
Capital Outlay	149,485,434	164,147,457	313,632,891
Renewals & Replacements	10,000,000	(1,000,000)	9,000,000
Retirement of Indebtedness	37,407,897	76,401,911	113,809,808
<b>Subtotal Plant Funds</b>	<b>196,893,331</b>	<b>239,549,368</b>	<b>436,442,699</b>
<b>TOTAL EXPENDITURES</b>	<b>694,702,516</b>	<b>239,855,815</b>	<b>934,558,331</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	(51,992,189)	(3,826,356)	(55,818,545)
Student Social & Cultural Activities	610,873	(131,706)	479,167
Research	15,812,779	(485,567)	15,327,212
Public Service	(638,414)	(2,031,886)	(2,670,300)
Internal Service Dept.	(2,760,282)	1,894,074	(866,208)
Student Financial Aid	18,512,536	(275,685)	18,236,851
Auxiliary Enterprises	(5,714,535)	964,966	(4,749,569)
Athletics	606,964	(33,831)	573,133
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>(25,562,268)</b>	<b>(3,925,991)</b>	<b>(29,488,259)</b>
Capital Outlay	1,871,975	22,054,213	23,926,188
Renewals & Replacements	8,907,428	(795,190)	8,112,238
Retirement of Indebtedness	14,714,990	0	14,714,990
<b>Subtotal Plant Funds</b>	<b>25,494,393</b>	<b>21,259,023</b>	<b>46,753,416</b>
<b>NET TRANSFERS</b>	<b>(67,875)</b>	<b>17,333,032</b>	<b>17,265,157</b>
<b>ENDING BALANCES</b>			
Instruction and General	22,878,651	18,859,176	41,737,827
Student Social & Cultural Activities	661,205	836,610	1,497,815
Research	15,765,460	9,937,097	25,702,557
Public Service	4,982,118	7,700,123	12,682,241
Internal Service Dept.	3,740,407	5,963,127	9,703,534
Student Financial Aid	2,779,092	17,641,178	20,420,270
Auxiliary Enterprises	1,852,854	2,800,744	4,653,598
Athletics	(3,464,380)	3,819,996	355,616
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>49,195,407</b>	<b>67,558,051</b>	<b>116,753,458</b>
Capital Outlay	192,035,374	(115,019,144)	77,016,230
Renewals & Replacements	10,075,732	1,479,473	11,555,205
Retirement of Indebtedness	20,471,302	976,740	21,448,042
<b>Subtotal Plant Funds</b>	<b>222,582,408</b>	<b>(112,562,931)</b>	<b>110,019,477</b>
<b>TOTAL ENDING BALANCES</b>	<b>271,777,815</b>	<b>(45,004,880)</b>	<b>226,772,935</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>966,548,206</b>	<b>177,517,903</b>	<b>1,144,066,109</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Main Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	Current Approved Budget	INCREASE (DECREASE)	Revised Budget
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	127,246,269	9,171,518	136,417,787
Federal Government Appropriations		0	
State Government Appropriations	187,238,162	0	187,238,162
Local Government Appropriations		0	
Federal Grants/Contracts	180,000	2,627,417	2,807,417
State Grants/Contracts		0	
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund	11,380,000	(630,000)	10,750,000
Sales & Services of Ed Activities	767,622	(96,392)	671,230
Other Sources	26,214,806	407,457	26,622,263
<b>TOTAL REVENUES</b>	<b>353,026,859</b>	<b>11,480,000</b>	<b>364,506,859</b>
<b>BEGINNING BALANCE</b>	<b>24,965,046</b>	<b>19,718,876</b>	<b>44,683,922</b>
<b>TOTAL AVAILABLE</b>	<b>377,991,905</b>	<b>31,198,876</b>	<b>409,190,781</b>
<b>EXPENDITURES</b>			
Instruction	167,836,110	4,502,908	172,339,018
Academic Support	41,130,361	808,245	41,938,606
Student Services	18,978,532	1,725,792	20,704,324
Institutional Support	43,359,887	931,413	44,291,300
Operation & Maintenance of Plant	31,816,175	544,986	32,361,161
<b>TOTAL EXPENDITURES</b>	<b>303,121,065</b>	<b>8,513,344</b>	<b>311,634,409</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities	(174,933)	57,572	(117,361)
Research	17,691,179	851,051	18,542,230
Public Service	(772,762)	(258,086)	(1,030,848)
Internal Service Dept.	4,197,163	1,894,034	6,091,197
Student Financial Aid	14,733,630	(593,407)	14,140,223
Auxiliary Enterprises	(1,995,888)	489,595	(1,506,293)
Intercollegiate Athletics	573,359	45,583	618,942
Independent Operations		0	
Capital Outlay	407,640	796,252	1,203,892
Renewals & Replacements	9,767,405	0	9,767,405
Retirement of Indebtedness	228,310	0	228,310
Non-Budgetary Exhibits:			
Endowment Fund	(1,107,656)	0	(1,107,656)
Other (HSC)	7,144,742	530,962	7,675,704
Other (Branches)	0	12,800	12,800
Other (Restricted)	1,300,000	0	1,300,000
<b>NET TRANSFERS</b>	<b>51,992,189</b>	<b>3,826,356</b>	<b>55,818,545</b>
<b>ENDING BALANCE</b>	<b>22,878,651</b>	<b>18,859,176</b>	<b>41,737,827</b>

Prepared by: Norma Allen Date Approved by BOR 11-May-2021

<b>For NMHED Use Only</b>	Accept	Reject	Date
NMHED Analyst	_____	_____	___/___/___
NMHED Director	_____	_____	___/___/___
<b>For DFA Use Only</b>			
DFA Analyst	_____	_____	___/___/___
DFA Director	_____	_____	___/___/___
Increase _____ Decrease _____ Transfer _____			

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM - Main Campus</u>		FY <u>2021</u>
Adjustment to Fund:	<u>UNRESTRICTED</u>	Request #	<u>2</u>
	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>

Explanation for Budget Adjustments.

The primary drivers are decreases in Auxiliary and Athletics revenues primarily due to the COVID-19 pandemic and an enrollment decline of 4.5%.

These decreases were offset by HEERF2 and HEERF 3 federal funding and tuition and fees coming in above expectations. In addition, increases in expenditure authority for the UNMH Tower project and the bond refunding contribute to the overall unfavorable change.

\$9,659,499 – Net Increase in Revenues primarily due to:  
HEERF2 revenues \$22,623,971: HEERF3 revenues \$14,290,000: Net Increase Tuition and Fees \$9,068,264 (enrollment drop was 4.5% instead of 10%) : Estimated Lost Athletic revenues (\$14,470,382) : Estimated Lost Auxiliary and self-supporting revenues (\$25,325,705) :  
Net Estimated Increase in Gifts revenues and gain on sponsored projects of \$3,473,351

(\$3,925,991) - Net Transfers Out primarily due to:  
Transfers from I&G and Public Service to Plant for department capital projects : Transfers from I&G to Internal Services for IT software and Facilities Management projects.

\$306,447 - Net Increase in Expenditures is small. However, between exhibits, they fluctuated significantly. I&G and internal service activities did not decrease as much as expected. In July, the I&G budget was adjusted for the decrease in state appropriations and the projected 10% decrease in enrollment. However, enrollments dropped only 4.5% so instruction and those supporting activity expenses in I&G and Internal Services had to increase. Other non-I&G exhibits such as auxiliaries, student aid, research, public service and student social and cultural expenditures decreased more than expected due to the COVID-19 pandemic and the overall enrollment drop of 4.5%.

\$ 79,661,211 - Net Increase in Plant Fund Revenue primarily due to:  
Refunding Bond Issuance - The sale of the new bonds to refund previous bonds constitutes, and is booked, as revenue and increased revenue. The bond refunding was approved by the Board of Regents, the Higher Education Department (HED) as well as the State Board of Finance (SBOF).

\$21,259,023 Net Increase in Transfers primarily due to: □  
\$1,144,227 from HSC – SOM Dean Capital Initiatives  
\$2,632,200 from HSC - Cancer Center Capital Initiatives  
\$3,300,000 from HSC – UX CON COPH project  
\$1,200,000 From Facilities Management – Lomas Chiller Plant  
\$1,112,000 from Athletics – Olympic Training Center  
\$1,374,129 From Valencia – Westside Campus Expansion  
\$666,650 From Valencia – Infrastructure Improvements  
\$750,000 from Gallup – Center for Career Tech  
\$651,943 from HSC - Med 2 OOC Renovation  
\$2,322,642 from Main Campus – ROTC Renovation  
All projects have or will go through all necessary project approvals.

\$239,549,368 - Net Increase in Expenses primarily due to:  
Hospital Tower Project – UNMH will invoice as an expense the reserve amount that has been retained for the project.  
Refunding Bond – As part of the transaction the old bonds are paid off which has to be booked as an expense.



NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Main Campus FY 2021

Adjustment to Fund: RESTRICTED Request # 1

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	4,959,500	0	4,959,500
Student Social & Cultural Activities	459,000	0	459,000
Research	71,800,000	0	71,800,000
Public Service	27,320,000	0	27,320,000
Internal Service Dept.	203,500	0	203,500
Student Financial Aid	69,000,000	29,633,256	98,633,256
Auxiliary Enterprises	356,125	0	356,125
Athletics	56,101	0	56,101
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>174,154,226</b>	<b>29,633,256</b>	<b>203,787,482</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>174,154,226</b>	<b>29,633,256</b>	<b>203,787,482</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	4,959,500	0	4,959,500
Student Social & Cultural Activities	459,000	0	459,000
Research	71,800,000	0	71,800,000
Public Service	27,320,000	0	27,320,000
Internal Service Dept.	203,500	0	203,500
Student Financial Aid	69,000,000	29,633,256	98,633,256
Auxiliary Enterprises	356,125	0	356,125
Athletics	56,101	0	56,101
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>174,154,226</b>	<b>29,633,256</b>	<b>203,787,482</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>174,154,226</b>	<b>29,633,256</b>	<b>203,787,482</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM - Main Campus</u>		FY <u>2021</u>
Adjustment to Fund:	<u>RESTRICTED</u>		Request # <u>1</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
<b>EXPENDITURES</b>			
Instruction and General	5,071,500	0	5,071,500
Student Social & Cultural Activities	459,000	0	459,000
Research	74,000,000	0	74,000,000
Public Service	27,680,000	0	27,680,000
Internal Service Dept.	203,500	0	203,500
Student Financial Aid	69,000,000	29,633,256	98,633,256
Auxiliary Enterprises	356,125	0	356,125
Athletics	56,101	0	56,101
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>176,826,226</b>	<b>29,633,256</b>	<b>206,459,482</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES</b>	<b>176,826,226</b>	<b>29,633,256</b>	<b>206,459,482</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	112,000	0	112,000
Student Social & Cultural Activities	0	0	0
Research	2,200,000	0	2,200,000
Public Service	360,000	0	360,000
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>2,672,000</b>	<b>0</b>	<b>2,672,000</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NET TRANSFERS</b>	<b>2,672,000</b>	<b>0</b>	<b>2,672,000</b>
<b>ENDING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL ENDING BALANCES</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>174,154,226</b>	<b>29,633,256</b>	<b>203,787,482</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Main Campus FY 2021

Adjustment to Fund: RESTRICTED Request # 1

	Current Approved Budget	INCREASE (DECREASE)	Revised Budget
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	0	0	0
Federal Government Appropriations	3,627,000	0	3,627,000
State Government Appropriations	1,182,500	0	1,182,500
Local Government Appropriations	50,000	0	50,000
Federal Grants/Contracts	0	0	0
State Grants/Contracts	0	0	0
Local Grants/Contracts	0	0	0
Private Gifts/Grants/Contracts	100,000	0	100,000
Endowment/Land/Permanent Fund	0	0	0
Sales & Services of Ed Activities	0	0	0
Other Sources	0	0	0
<b>TOTAL REVENUES</b>	<b>4,959,500</b>	<b>0</b>	<b>4,959,500</b>
<b>BEGINNING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL AVAILABLE</b>	<b>4,959,500</b>	<b>0</b>	<b>4,959,500</b>
<b>EXPENDITURES</b>			
Instruction	3,634,000	0	3,634,000
Academic Support	675,000	0	675,000
Student Services	490,000	0	490,000
Institutional Support	250,000	0	250,000
Operation & Maintenance of Plant	22,500	0	22,500
<b>TOTAL EXPENDITURES</b>	<b>5,071,500</b>	<b>0</b>	<b>5,071,500</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	(12,000)	0	(12,000)
Auxiliary Enterprises	0	0	0
Intercollegiate Athletics	0	0	0
Independent Operations	0	0	0
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
Non-Budgetary Exhibits:			
Endowment Fund	0	0	0
Other (Unrestricted I&G)	(100,000)	0	(100,000)
Other (Branches)	0	0	0
Other (Restricted)	0	0	0
<b>NET TRANSFERS</b>	<b>(112,000)</b>	<b>0</b>	<b>(112,000)</b>
<b>ENDING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>

Prepared by: Regina Dominguez Date Approved by BOR 11-May-2021

<b>For NMHED Use Only</b>	Accept	Reject	Date	
NMHED Analyst	_____	_____	___/___/___	NMHED Control # _____
NMHED Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____
Increase _____ Decrease _____ Transfer _____				



# HEALTH SCIENCES CENTER

*Budget Adjustment Request*

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Fiscal Year 2020-2021

## Health Sciences Center

The overall impact of the final BARs for FY 2021 is a favorable change in net revenues, transfers and expenditures of \$6,611,982, which is 1 percent of the \$632.6 million current approved Unrestricted budget. A mid-year Unrestricted BAR was submitted on July 14, 2020 to reflect the financial impact of the 2020 Special Session solvency cuts to state appropriations and other material COVID 19 related adjustments.

### Unrestricted

Significant Changes listed below:

- \$33,034,613 - Net increase in **Revenues** primarily due to:
  - \$3,859,299 - I&G net increase due to \$3 million dollar increase in Indirect Cost Recovery (F&A) from Contracts and Grants and \$859 thousand dollar increase in nursing and population health tuition
  - \$635,763 - Research net increase due to increased program revenues
  - \$25,921,980 - Public Service net increase due to a \$20 million increase clinical patient services and \$3.9 million increase in gifts to the ECHO Institute and Cancer Center.
  - \$1,101,736 - Internal Services net increase to match the expenses
  - \$316,999 - Student Aid Grants & Stipends net increase
  - \$1,199,576 - Independent Operations net increase mainly due to increase in Housestaff revenues
- (\$16,488,811) - Net change in **Transfers** is primarily due to:
  - \$13,481,507 in transfer to plant for Research, Nursing and Population Health Buildings and deferred maintenance
  - \$3,000,000 in Indirect Cost Recovery (F&A) transfers to research
- \$9,993,820 - Net increase in **Expenditures** primarily due to:
  - (\$5,421,505) - I&G net decrease to School of Medicine Clinical Instruction salary expenses
  - (\$325,528) - Research net decrease due to decreases in program expenses
  - \$8,802,845 - Public Service net increase in expenses to support physicians and physician efforts.
  - \$954,060 - Internal Services net increase
  - \$632,862 - Student Aid Grants & Stipends net increase
  - \$5,277,871 - Independent Operations net increase due to Housestaff and Office of the Medical Investigator expenses

### Restricted

- \$27,907,826 - Net Increase in **Revenues** primarily due to:
  - (\$91,169) - I&G net decrease in Restricted award revenues
  - \$32,076,299 - Research net increase in Restricted award revenues
  - (\$3,845,903) - Public Service net decrease in Restricted award revenues
  - (\$301,901) - Student Aid Grants & Stipends net decrease in Restricted award revenues
- \$3,153,827 - Net Increase in **Transfers** in is primarily due to:
  - Increase in Indirect Cost Recovery (F&A) transfers from I&G
- \$31,061,653 - Net Increase in **Expenditures** primarily due to:
  - (\$91,169) - I&G net decrease in Restricted award expenses
  - \$35,125,186 - Research net increase in Restricted award expenses
  - (\$3,747,578) - Public Service net decrease in Restricted award expenses
  - (\$295,286) - Student Aid Grants & Stipends net decrease in Restricted award expenses

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM Health Sciences</u>		FY <u>21</u>
Adjustment to Fund:	<u>Unrestricted</u>		Request # <u>2</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
	<hr/>	<hr/>	<hr/>
<b>REVENUES</b>			
Instruction and General	123,556,883	3,859,299	127,416,182
Student Social & Cultural Activities	74,338	(740)	73,598
Research	14,970,248	635,763	15,606,011
Public Service	384,805,690	25,921,980	410,727,670
Internal Service Dept.	23,136	1,101,736	1,124,872
Student Financial Aid	2,283,924	316,999	2,600,923
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	96,107,171	1,199,576	97,306,747
<b>Subtotal Current Funds</b>	<b>621,821,390</b>	<b>33,034,613</b>	<b>654,856,003</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>621,821,390</b>	<b>33,034,613</b>	<b>654,856,003</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	7,948,585	903,487	8,852,072
Student Social & Cultural Activities	72,108	27,812	99,920
Research	25,866,468	3,493,380	29,359,848
Public Service	43,526,017	9,697,936	53,223,953
Internal Service Dept.	30,308	(143,186)	(112,878)
Student Financial Aid	8,722,694	909,870	9,632,564
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	(21,981,765)	1,848,087	(20,133,678)
<b>Subtotal Current Funds</b>	<b>64,184,415</b>	<b>16,737,386</b>	<b>80,921,801</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>64,184,415</b>	<b>16,737,386</b>	<b>80,921,801</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	131,505,468	4,762,786	136,268,254
Student Social & Cultural Activities	146,446	27,072	173,518
Research	40,836,716	4,129,143	44,965,859
Public Service	428,331,707	35,619,916	463,951,623
Internal Service Dept.	53,444	958,550	1,011,994
Student Financial Aid	11,006,618	1,226,869	12,233,487
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	74,125,406	3,047,663	77,173,069
<b>Subtotal Current Funds</b>	<b>686,005,805</b>	<b>49,771,999</b>	<b>735,777,804</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>686,005,805</b>	<b>49,771,999</b>	<b>735,777,804</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM Health Sciences</u>		FY <u>21</u>
Adjustment to Fund:	<u>Unrestricted</u>		Request # <u>2</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
<b>EXPENDITURES</b>			
Instruction and General	128,615,551	(5,421,505)	123,194,046
Student Social & Cultural Activities	80,289	13,216	93,505
Research	33,401,854	(325,528)	33,076,326
Public Service	364,750,972	8,802,845	373,553,817
Internal Service Dept.	511,325	954,060	1,465,385
Student Financial Aid	6,654,678	632,862	7,287,540
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations	<u>98,631,691</u>	<u>5,277,871</u>	<u>103,909,562</u>
<b>Subtotal Current Funds</b>	<b>632,646,361</b>	<b>9,933,820</b>	<b>642,580,181</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES</b>	<b>632,646,361</b>	<b>9,933,820</b>	<b>642,580,181</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	1,499,408	(9,064,447)	(7,565,039)
Student Social & Cultural Activities	1,400	0	1,400
Research	17,751,483	(1,666,502)	16,084,981
Public Service	(17,691,880)	(3,869,103)	(21,560,983)
Internal Service Dept.	652,042	(311,529)	340,513
Student Financial Aid	3,071,135	(255,701)	2,815,434
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations	<u>(782,080)</u>	<u>(1,321,529)</u>	<u>(2,103,609)</u>
<b>Subtotal Current Funds</b>	<b>4,501,508</b>	<b>(16,488,811)</b>	<b>(11,987,303)</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NET TRANSFERS</b>	<b>4,501,508</b>	<b>(16,488,811)</b>	<b>(11,987,303)</b>
<b>ENDING BALANCES</b>			
Instruction and General	4,389,325	1,119,844	5,509,169
Student Social & Cultural Activities	67,557	13,856	81,413
Research	25,186,345	2,788,169	27,974,514
Public Service	45,888,855	22,947,968	68,836,823
Internal Service Dept.	194,161	(307,039)	(112,878)
Student Financial Aid	7,423,075	338,306	7,761,381
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	<u>(25,288,365)</u>	<u>(3,551,737)</u>	<u>(28,840,102)</u>
<b>Subtotal Current Funds</b>	<b>57,860,952</b>	<b>23,349,368</b>	<b>81,210,320</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL ENDING BALANCES</b>	<b>57,860,952</b>	<b>23,349,368</b>	<b>81,210,320</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>686,005,805</b>	<b>49,771,999</b>	<b>735,777,804</b>



NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM Health Sciences FY 21

Adjustment to Fund: Unrestricted Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	30,435,349	856,159	31,291,508
Federal Government Appropriations		0	
State Government Appropriations	63,862,000	(60)	63,861,940
Local Government Appropriations		0	
Federal Grants/Contracts		0	
State Grants/Contracts		0	
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities	96,000	0	96,000
Other Sources	29,163,534	3,003,200	32,166,734
<b>TOTAL REVENUES</b>	<b>123,556,883</b>	<b>3,859,299</b>	<b>127,416,182</b>
<b>BEGINNING BALANCE</b>	<b>7,948,585</b>	<b>903,487</b>	<b>8,852,072</b>
<b>TOTAL AVAILABLE</b>	<b>131,505,468</b>	<b>4,762,786</b>	<b>136,268,254</b>
<b>EXPENDITURES</b>			
Instruction	84,078,590	(6,913,908)	77,164,682
Academic Support	9,825,442	386,218	10,211,660
Student Services	8,219,806	178,362	8,398,168
Institutional Support	17,631,500	942,803	18,574,303
Operation & Maintenance of Plant	8,860,215	(14,982)	8,845,233
<b>TOTAL EXPENDITURES</b>	<b>128,615,551</b>	<b>(5,421,505)</b>	<b>123,194,046</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research	(9,394,487)	(3,020,986)	(12,415,473)
Public Service	5,564,161	100,051	5,664,212
Internal Service Dept.	(86,015)	98,960	12,945
Student Financial Aid	(483,500)	97,548	(385,952)
Auxiliary Enterprises		0	
Intercollegiate Athletics		0	
Independent Operations	(521,200)	321,200	(200,000)
Capital Outlay	(444,300)	(5,964,556)	(6,408,856)
Renewals & Replacements		0	
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Other - Main Campus	7,011,326	(364,904)	6,646,422
Other Restricted Cost Share	(146,577)	(331,760)	(478,337)
<b>NET TRANSFERS</b>	<b>1,499,408</b>	<b>(9,064,447)</b>	<b>(7,565,039)</b>
<b>ENDING BALANCE</b>	<b>4,389,325</b>	<b>1,119,844</b>	<b>5,509,169</b>

Prepared by: Desiree Gathings Date Approved by BOR 11-May-2021

For NMHED Use Only	Accept	Reject	Date	
NMHED Analyst	_____	_____	___/___/___	NMHED Control # _____
NMHED Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____
	Increase _____	Decrease _____	Transfer _____	

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM Health Sciences</u>		FY <u>21</u>
Adjustment to Fund:	<u>Unrestricted</u>		Request # <u>2</u>
	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>

Explanation for Budget Adjustments.

**\$33,034,613 - Net increase in Revenues primarily due to:**

- o \$3,859,299 - I&G net increase due to \$3 million dollar increase in Indirect Cost Recovery (F&A) from Contracts and Grants and \$859 thousand dollar increase in nursing and population health tuition
- o \$635,763 - Research net increase due to increased program revenues
- o \$25,921,980 - Public Service net increase due to a \$20 million increase clinical patient services and \$3.9 million increase in gifts to the ECHO Institute and Cancer Center.
- o \$1,101,736 - Internal Services net increase to match the expenses
- o \$316,999 - Student Aid Grants & Stipends net increase
- o \$-1,199,576 - Independent Operations net increase mainly due to increase in Housestaff revenues

**(\$16,488,811) - Net change in Transfers is primarily due to:**

- o \$13,481,507 in transfer to plant for Research, Nursing and Population Health Buildings and deferred maintenance
- o \$3,000,000 in Indirect Cost Recovery (F&A) transfers to research

**\$9,993,820 - Net increase in Expenditures primarily due to:**

- o (\$5,421,505) - I&G net decrease to School of Medicine Clinical Instruction salary expenses
- o (\$325,528) - Research net decrease due to decreases in program expenses
- o \$8,802,845 - Public Service net increase in expenses to support physicians and physician efforts.
- o \$954,060 - Internal Services net increase
- o \$632,862 - Student Aid Grants & Stipends net increase
- o \$5,277,871 - Independent Operations net increase due to Housestaff and Office of the Medical Investigator expenses

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM Health Sciences</u>		FY <u>21</u>
Adjustment to Fund:	<u>Restricted</u>		Request # <u>1</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
	<hr/>	<hr/>	<hr/>
<b>REVENUES</b>			
Instruction and General	4,692,814	(91,169)	4,601,645
Student Social & Cultural Activities		25,500	25,500
Research	90,097,548	32,076,299	122,173,847
Public Service	38,484,845	(3,845,903)	34,638,942
Internal Service Dept.		5,000	5,000
Student Financial Aid	3,563,806	(301,901)	3,261,905
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		40,000	40,000
<b>Subtotal Current Funds</b>	<b>136,839,013</b>	<b>27,907,826</b>	<b>164,746,839</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>136,839,013</b>	<b>27,907,826</b>	<b>164,746,839</b>
	<hr/>	<hr/>	<hr/>
<b>BEGINNING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<hr/>	<hr/>	<hr/>
<b>TOTAL AVAILABLE</b>			
Instruction and General	4,692,814	(91,169)	4,601,645
Student Social & Cultural Activities	0	25,500	25,500
Research	90,097,548	32,076,299	122,173,847
Public Service	38,484,845	(3,845,903)	34,638,942
Internal Service Dept.	0	5,000	5,000
Student Financial Aid	3,563,806	(301,901)	3,261,905
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	40,000	40,000
<b>Subtotal Current Funds</b>	<b>136,839,013</b>	<b>27,907,826</b>	<b>164,746,839</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>136,839,013</b>	<b>27,907,826</b>	<b>164,746,839</b>
	<hr/>	<hr/>	<hr/>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM Health Sciences</u>		FY <u>21</u>
Adjustment to Fund:	<u>Restricted</u>		Request # <u>1</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
<b>EXPENDITURES</b>			
Instruction and General	4,692,814	(91,169)	4,601,645
Student Social & Cultural Activities		25,500	25,500
Research	91,404,384	35,125,186	126,529,570
Public Service	38,960,668	(3,747,578)	35,213,090
Internal Service Dept.		5,000	5,000
Student Financial Aid	3,563,806	(295,286)	3,268,520
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		40,000	40,000
<b>Subtotal Current Funds</b>	<b>138,621,672</b>	<b>31,061,653</b>	<b>169,683,325</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES</b>	<b>138,621,672</b>	<b>31,061,653</b>	<b>169,683,325</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research	1,306,836	3,048,887	4,355,723
Public Service	475,823	98,325	574,148
Internal Service Dept.		0	
Student Financial Aid		6,615	6,615
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	0
<b>Subtotal Current Funds</b>	<b>1,782,659</b>	<b>3,153,827</b>	<b>4,936,486</b>
Capital Outlay		0	
Renewals & Replacements		0	0
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NET TRANSFERS</b>	<b>1,782,659</b>	<b>3,153,827</b>	<b>4,936,486</b>
<b>ENDING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL ENDING BALANCES</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>136,839,013</b>	<b>27,907,826</b>	<b>164,746,839</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM Health Sciences FY 21

Adjustment to Fund: Restricted Request # 1

	Current Approved Budget	INCREASE (DECREASE)	Revised Budget
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees		0	
Federal Government Appropriations		0	
State Government Appropriations		0	
Local Government Appropriations		0	
Federal Grants/Contracts	2,890,403	(381,239)	2,509,164
State Grants/Contracts	543,822	231,255	775,077
Local Grants/Contracts	166,059	1,134,979	1,301,038
Private Gifts/Grants/Contracts	1,092,530	(1,076,164)	16,366
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities		0	
Other Sources		0	
<b>TOTAL REVENUES</b>	<b>4,692,814</b>	<b>(91,169)</b>	<b>4,601,645</b>
<b>BEGINNING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL AVAILABLE</b>	<b>4,692,814</b>	<b>(91,169)</b>	<b>4,601,645</b>
<b>EXPENDITURES</b>			
Instruction	4,660,963	(168,308)	4,492,655
Academic Support		78,657	78,657
Student Services	15,641	(745)	14,896
Institutional Support	16,210	(773)	15,437
Operation & Maintenance of Plant		0	
<b>TOTAL EXPENDITURES</b>	<b>4,692,814</b>	<b>(91,169)</b>	<b>4,601,645</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Intercollegiate Athletics		0	
Independent Operations		0	
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Other (Specify)		0	
Other (Specify)		0	
<b>NET TRANSFERS</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ENDING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>

Prepared by: Desiree Gathings Date Approved by BOR 11-May-2021

For NMHED Use Only	Accept	Reject	Date	
NMHED Analyst	_____	_____	___ / ___ / ___	NMHED Control # _____
NMHED Director	_____	_____	___ / ___ / ___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___ / ___ / ___	DFA Control # _____
DFA Director	_____	_____	___ / ___ / ___	Agency Code _____
Increase _____ Decrease _____ Transfer _____				

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	UNM Health Sciences		FY <u>21</u>
Adjustment to Fund:	Restricted		Request # <u>1</u>
	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>

Explanation for Budget Adjustments.

**\$27,907,826 - Net Increase in Revenues primarily due to:**

- o (\$91,169) - I&G net decrease in Restricted award revenues
- o \$32,076,299 - Research net increase in Restricted award revenues
- o (\$3,845,903) - Public Service net decrease in Restricted award revenues
- o (\$301,901) - Student Aid Grants & Stipends net decrease in Restricted award revenues

**\$3,153,827 - Net Increase in Transfers in is primarily due to:**

- o Increase in Indirect Cost Recovery (F&A) transfers from I&G

**\$31,061,653 - Net Increase in Expenditures primarily due to:**

- o (\$91,169) - I&G net decrease in Restricted award expenses
- o \$35,125,186 - Research net increase in Restricted award expenses
- o (\$3,747,578) - Public Service net decrease in Restricted award expenses
- o (\$295,286) - Student Aid Grants & Stipends net decrease in Restricted award expenses

UNIVERSITY OF NEW MEXICO HOSPITALS  
INCOME STATEMENT FOR  
BUDGET SUMMARY  
FISCAL YEAR 2021 and 2022

	ORIGINAL BUDGET FY 2020 - 2021	REVISED BUDGET FY 2020 - 2021	ORIGINAL BUDGET FY 2021 - 2022	FY 2021 - 2022 REVISED TO ORIGINAL VARIANCE
NET PATIENT REVENUES	1,087,238,732	1,257,529,329	1,200,892,065	170,290,597
CONTRACT AND GRANT REVENUES	4,961,387	5,091,346	5,315,949	129,960
OTHER OPERATING REVENUES	57,053,709	45,292,513	48,151,127	(11,761,196)
<b>TOTAL OPERATING REVENUES</b>	<b>1,149,253,827</b>	<b>1,307,913,188</b>	<b>1,254,359,141</b>	<b>158,659,361</b>
MILL LEVY	109,829,480	110,003,617	111,653,671	174,137
OTHER NON-OPERATING REVENUES	23,569,760	84,829,991	22,532,238	61,260,231
<b>TOTAL REVENUES</b>	<b>1,282,653,067</b>	<b>1,502,746,796</b>	<b>1,388,545,049</b>	<b>220,093,729</b>
SALARIES, WAGES, & BENEFITS	569,873,358	666,501,214	607,619,355	96,627,856
MEDICAL SUPPLIES	218,855,168	223,709,154	239,027,369	4,853,985
UNIVERSITY CLINICIANS PROGRAM	120,022,310	113,031,560	104,828,973	(6,990,751)
HOUSESTAFF	36,220,755	35,382,409	39,342,960	(838,345)
ALL OTHER EXPENSES	304,247,359	331,661,381	332,471,017	27,414,021
<b>TOTAL OPERATING EXPENSES</b>	<b>1,249,218,950</b>	<b>1,370,285,717</b>	<b>1,323,289,675</b>	<b>121,066,767</b>
INTEREST EXPENSE & BOND RELATED COSTS	3,048,546	6,733,944	15,450,824	3,685,398
<b>TOTAL EXPENSES</b>	<b>1,252,267,496</b>	<b>1,377,019,661</b>	<b>1,338,740,499</b>	<b>124,752,165</b>
NON-RECURRING	-	-	-	-
<b>NET GAIN/(LOSS)</b>	<b>\$ 30,385,571</b>	<b>\$ 125,727,134</b>	<b>\$ 49,804,550</b>	<b>\$ 95,341,563</b>
<b>NOTE:</b>				
TOTAL CAPITAL OUTLAY	\$ 52,999,590	\$ 39,665,237	\$ 50,836,616	(13,334,353)
FUNDING FROM OTHER SOURCES (DONATIONS AND CAPITAL INITIATIVES FUND)	(14,499,590)	(7,208,934)	(18,469,924)	7,290,656
<b>CAPITAL OUTLAY (FUNDED FROM CASH BALANCES)</b>	<b>\$ 38,500,000</b>	<b>\$ 32,456,303</b>	<b>\$ 32,366,692</b>	<b>\$ (6,043,697)</b>

(A) Includes results for Children's Psychiatric Hospital and Carrie Tingley Hospital

# **BRANCH CAMPUSES**

*Budget Adjustment Request*

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Fiscal Year 2020-2021



## The University of New Mexico, Gallup Branch

The overall FY 2021 BAR impact to the **UNM Gallup Branch Campus** is an unfavorable change (\$756,234) in net revenues, transfers, and expenditures.

### Current Funds (Unrestricted)

- \$353,266 Net Increase to **Revenues**:
  - \$406,266 Increase of I&G due to HEERF2 funds
  - (\$53,000) Decrease in Tuition and Fees due to decreased continuing education revenue due to the COVID-19 pandemic.
  
- (\$1,159,703) Net change in **Transfers Out** primarily due to:
  - (\$1,162,500) from I&G to Capital Outlay due to matching requirement of Capital projects
  - (\$12,937) from I&G to Internal Service for expenses that were unable to be covered by departments due to the COVID-19 pandemic
  
- (\$50,203) Net Decrease in **Expenditures** primarily due to:
  - (\$55,000) Decrease in expenditure authority for I&G due to a reduction in faculty salaries.
  - \$2,000 Increase to expenditure authority for Public Service to match mini grant received
  - \$2,797 Increase in expenditure authority for Student Aid due to foundation scholarships

### Current Funds (Restricted)

- \$340,793 Net Increase to **Revenues**:
  - (\$326,587) Decrease in I&G to match awards received
  - \$62,000 Increase for Research to match awards received
  - \$605,380 Increase for Public Service to match awards received
  
- \$0 Net change in **Transfers**:
  
- \$340,793 Net Increase in **Expenditures**:
  - (\$326,587) Decrease in I&G to match awards received
  - \$62,000 Increase for Research to match awards received
  - \$605,380 Increase for Public Service to match awards received

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Gallup Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	14,758,697	353,266	15,111,963
Student Social & Cultural Activities	79,120	0	79,120
Research		0	
Public Service	12,773	0	12,773
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises	1,024,303	0	1,024,303
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>15,874,893</b>	<b>353,266</b>	<b>16,228,159</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>15,874,893</b>	<b>353,266</b>	<b>16,228,159</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	6,795,805	1,410,502	8,206,307
Student Social & Cultural Activities	109,500	12,248	121,748
Research		0	
Public Service	327,588	(9,332)	318,256
Internal Service Dept.	(2,012)	(10,924)	(12,936)
Student Financial Aid	237,144	44,738	281,882
Auxiliary Enterprises	44,972	148,240	193,212
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>7,512,997</b>	<b>1,595,472</b>	<b>9,108,469</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>7,512,997</b>	<b>1,595,472</b>	<b>9,108,469</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	21,554,502	1,763,768	23,318,270
Student Social & Cultural Activities	188,620	12,248	200,868
Research	0	0	0
Public Service	340,361	(9,332)	331,029
Internal Service Dept.	(2,012)	(10,924)	(12,936)
Student Financial Aid	237,144	44,738	281,882
Auxiliary Enterprises	1,069,275	148,240	1,217,515
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>23,387,890</b>	<b>1,948,738</b>	<b>25,336,628</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>23,387,890</b>	<b>1,948,738</b>	<b>25,336,628</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Gallup Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>EXPENDITURES</b>			
Instruction and General	14,755,472	(55,000)	14,700,472
Student Social & Cultural Activities	79,120	0	79,120
Research	0	0	0
Public Service	12,773	2,000	14,773
Internal Service Dept.	0	0	0
Student Financial Aid	100,000	2,797	102,797
Auxiliary Enterprises	984,303	0	984,303
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>15,931,668</b>	<b>(50,203)</b>	<b>15,881,465</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES</b>	<b>15,931,668</b>	<b>(50,203)</b>	<b>15,881,465</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	(623,335)	(1,177,437)	(1,800,772)
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	2,000	2,000
Internal Service Dept.	0	12,937	12,937
Student Financial Aid	100,000	2,797	102,797
Auxiliary Enterprises	(40,000)	0	(40,000)
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>(563,335)</b>	<b>(1,159,703)</b>	<b>(1,723,038)</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NET TRANSFERS</b>	<b>(563,335)</b>	<b>(1,159,703)</b>	<b>(1,723,038)</b>
<b>ENDING BALANCES</b>			
Instruction and General	6,175,695	641,331	6,817,026
Student Social & Cultural Activities	109,500	12,248	121,748
Research	0	0	0
Public Service	327,588	(9,332)	318,256
Internal Service Dept.	(2,012)	2,013	1
Student Financial Aid	237,144	44,738	281,882
Auxiliary Enterprises	44,972	148,240	193,212
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>6,892,887</b>	<b>839,238</b>	<b>7,732,125</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL ENDING BALANCES</b>	<b>6,892,887</b>	<b>839,238</b>	<b>7,732,125</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>23,387,890</b>	<b>1,948,738</b>	<b>25,336,628</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Gallup Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	3,190,267	(53,000)	3,137,267
Federal Government Appropriations		0	
State Government Appropriations	8,775,390	0	8,775,390
Local Government Appropriations	2,560,000	0	2,560,000
Federal Grants/Contracts		406,266	406,266
State Grants/Contracts		0	
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities	55,540	0	55,540
Other Sources	177,500	0	177,500
<b>TOTAL REVENUES</b>	<b>14,758,697</b>	<b>353,266</b>	<b>15,111,963</b>
<b>BEGINNING BALANCE</b>	<b>6,795,805</b>	<b>1,410,502</b>	<b>8,206,307</b>
<b>TOTAL AVAILABLE</b>	<b>21,554,502</b>	<b>1,763,768</b>	<b>23,318,270</b>
<b>EXPENDITURES</b>			
Instruction	8,169,096	53,000	8,222,096
Academic Support	1,289,467	0	1,289,467
Student Services	1,199,682	(42,000)	1,157,682
Institutional Support	2,481,047	(66,000)	2,415,047
Operation & Maintenance of Plant	1,616,180	0	1,616,180
<b>TOTAL EXPENDITURES</b>	<b>14,755,472</b>	<b>(55,000)</b>	<b>14,700,472</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research		0	
Public Service		2,000	2,000
Internal Service Dept.		12,937	12,937
Student Financial Aid	100,000	0	100,000
Auxiliary Enterprises	(40,000)	0	(40,000)
Intercollegiate Athletics		0	
Independent Operations		0	
Capital Outlay	60,652	1,162,500	1,223,152
Renewals & Replacements	502,683	0	502,683
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Other (Specify)		0	
Other (Specify)		0	
<b>NET TRANSFERS</b>	<b>623,335</b>	<b>1,177,437</b>	<b>1,800,772</b>
<b>ENDING BALANCE</b>	<b>6,175,695</b>	<b>641,331</b>	<b>6,817,026</b>

Prepared by: Robert Griego, DBO Gallup Date Approved by BOR 11-May-2021

<b>For NMHED Use Only</b>	Accept	Reject	Date	
NMHED Analyst	_____	_____	___/___/___	NMHED Control # _____
NMHED Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____
	Increase _____	Decrease _____	Transfer _____	

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Gallup Campus FY 2021  
Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
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Explanation for Budget Adjustments.

Beginning balances are adjusted to actual levels.

Increased Federal Grants/Contracts revenue budget due to HEERF2 funds

Decreased Tuition and Fees revenue budget due to decreased Continuing Education Revenue due to the COVID-19 pandemic.

Decreased I & G budgeted expenditures due to a reduction in faculty salary expense.

Increased Public Service expenditure budget was due to mini-grants

Increased Student Financial Aid expenditure budget was due to foundation scholarships

Increased Capital Outlay transfers due to matching requirement of Capital Projects

Increased Internal Service transfer due to expenses not being covered by internal charges to other departments as travel was discontinued

due to the COVID-19 pandemic.

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Gallup Campus FY 2021

Adjustment to Fund: RESTRICTED Request # 1

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	679,610	(326,587)	353,023
Student Social & Cultural Activities	2,800	0	2,800
Research	63,000	62,000	125,000
Public Service	1,008,620	605,380	1,614,000
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>1,754,030</b>	<b>340,793</b>	<b>2,094,823</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>1,754,030</b>	<b>340,793</b>	<b>2,094,823</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	679,610	(326,587)	353,023
Student Social & Cultural Activities	2,800	0	2,800
Research	63,000	62,000	125,000
Public Service	1,008,620	605,380	1,614,000
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>1,754,030</b>	<b>340,793</b>	<b>2,094,823</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>1,754,030</b>	<b>340,793</b>	<b>2,094,823</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM - Gallup Campus</u>		FY <u>2021</u>
Adjustment to Fund:	<u>RESTRICTED</u>		Request # <u>1</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
<b>EXPENDITURES</b>			
Instruction and General	679,610	(326,587)	353,023
Student Social & Cultural Activities	2,800	0	2,800
Research	63,000	62,000	125,000
Public Service	1,008,620	605,380	1,614,000
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<u>1,754,030</u>	<u>340,793</u>	<u>2,094,823</u>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL EXPENDITURES</b>	<u>1,754,030</u>	<u>340,793</u>	<u>2,094,823</u>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	0
<b>Subtotal Current Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
Capital Outlay		0	
Renewals & Replacements		0	0
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>NET TRANSFERS</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ENDING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL ENDING BALANCES</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<u><u>1,754,030</u></u>	<u><u>340,793</u></u>	<u><u>2,094,823</u></u>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Gallup Campus FY 2021

Adjustment to Fund: RESTRICTED Request # 1

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees		0	
Federal Government Appropriations	409,905	(326,587)	83,318
State Government Appropriations	269,705	0	269,705
Local Government Appropriations		0	
Federal Grants/Contracts		0	
State Grants/Contracts		0	
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities		0	
Other Sources		0	
<b>TOTAL REVENUES</b>	<b>679,610</b>	<b>(326,587)</b>	<b>353,023</b>
<b>BEGINNING BALANCE</b>		<b>0</b>	
<b>TOTAL AVAILABLE</b>	<b>679,610</b>	<b>(326,587)</b>	<b>353,023</b>
<b>EXPENDITURES</b>			
Instruction	593,741	(326,587)	267,154
Academic Support	38,164	0	38,164
Student Services	47,705	0	47,705
Institutional Support		0	
Operation & Maintenance of Plant		0	
<b>TOTAL EXPENDITURES</b>	<b>679,610</b>	<b>(326,587)</b>	<b>353,023</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Intercollegiate Athletics		0	
Independent Operations		0	
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Other (Specify)		0	
Other (Specify)		0	
<b>NET TRANSFERS</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ENDING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>

Prepared by: Robert Griego, DBO / Gallup Date Approved by BOR 11-May-2021

For NMHED Use Only	Accept	Reject	Date	
NMHED Analyst	_____	_____	___/___/___	NMHED Control # _____
NMHED Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____

Increase \_\_\_\_\_ Decrease \_\_\_\_\_ Transfer \_\_\_\_\_





## The University of New Mexico, Los Alamos Branch

The overall FY 2021 BAR impact to the **UNM Los Alamos Branch Campus** is a favorable change of \$87,040 in net revenues, transfers, and expenditures.

### Current Funds (Unrestricted)

- \$125,951 Net Increase in **Revenues** in I&G
  - \$8,000 budget increase to match actuals for funding received for a metrics project.
  - \$30,911 budget increase to match actuals for funds received to support the Community Internship Collaboration program.
  - \$87,040 budget increase for HEERFII funds.
  
- (\$54,871) Net Increase in **Transfers Out**
  - (\$62,500) out of Auxiliary Enterprises to capital outlay plant funds for capital projects matching.
  - \$7,629 increase in Student Financial Aid expenditures to increase the budget for Title V unitized transfers in the UNM Foundation.
  
- (\$15,960) Net Decrease in **Expenditures**
  - \$38,911 in Instructional and General expenditures. \$8,000 budget increase to match actuals for a metrics project. \$30,911 budget increase to match actuals to support the Community Internship Collaboration program.
  - (\$62,500) out of Auxiliary Enterprises to capital outlay plant funds for capital projects matching.
  - \$7,629 increase in Student Financial Aid expenditures to increase the budget for Title V unitized transfers in the UNM Foundation.

### Current Funds (Restricted)

- No changes for Restricted funds.

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Los Alamos Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # BAR #2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	4,159,863	125,951	4,285,814
Student Social & Cultural Activities	50,250	0	50,250
Research		0	
Public Service	15,000	0	15,000
Internal Service Dept.		0	
Student Financial Aid	6,650	0	6,650
Auxiliary Enterprises	101,000	0	101,000
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>4,332,763</b>	<b>125,951</b>	<b>4,458,714</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>4,332,763</b>	<b>125,951</b>	<b>4,458,714</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	1,450,138	423,212	1,873,350
Student Social & Cultural Activities	16,292	2,234	18,526
Research		0	
Public Service	95,800	48,241	144,041
Internal Service Dept.		0	
Student Financial Aid	115,407	4,120	119,527
Auxiliary Enterprises	526,734	168,507	695,241
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>2,204,371</b>	<b>646,314</b>	<b>2,850,685</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>2,204,371</b>	<b>646,314</b>	<b>2,850,685</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	5,610,001	549,163	6,159,164
Student Social & Cultural Activities	66,542	2,234	68,776
Research	0	0	0
Public Service	110,800	48,241	159,041
Internal Service Dept.	0	0	0
Student Financial Aid	122,057	4,120	126,177
Auxiliary Enterprises	627,734	168,507	796,241
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>6,537,134</b>	<b>772,265</b>	<b>7,309,399</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>6,537,134</b>	<b>772,265</b>	<b>7,309,399</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM - Los Alamos Campus</u>		FY <u>2021</u>
Adjustment to Fund:	<u>UNRESTRICTED</u>		Request # <u>BAR #2</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
<b>EXPENDITURES</b>			
Instruction and General	4,219,840	38,911	4,258,751
Student Social & Cultural Activities	10,250	0	10,250
Research		0	
Public Service	51,307	0	51,307
Internal Service Dept.		0	
Student Financial Aid	62,919	7,629	70,548
Auxiliary Enterprises	401,000	(62,500)	338,500
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>4,745,316</b>	<b>(15,960)</b>	<b>4,729,356</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES</b>	<b>4,745,316</b>	<b>(15,960)</b>	<b>4,729,356</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	(132,539)	0	(132,539)
Student Social & Cultural Activities	(40,000)	0	(40,000)
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid	51,219	7,629	58,848
Auxiliary Enterprises		(62,500)	(62,500)
Athletics		0	
Independent Operations		0	0
<b>Subtotal Current Funds</b>	<b>(121,320)</b>	<b>(54,871)</b>	<b>(176,191)</b>
Capital Outlay		0	
Renewals & Replacements		0	0
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NET TRANSFERS</b>	<b>(121,320)</b>	<b>(54,871)</b>	<b>(176,191)</b>
<b>ENDING BALANCES</b>			
Instruction and General	1,257,622	510,252	1,767,874
Student Social & Cultural Activities	16,292	2,234	18,526
Research	0	0	0
Public Service	59,493	48,241	107,734
Internal Service Dept.	0	0	0
Student Financial Aid	110,357	4,120	114,477
Auxiliary Enterprises	226,734	168,507	395,241
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>1,670,498</b>	<b>733,354</b>	<b>2,403,852</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL ENDING BALANCES</b>	<b>1,670,498</b>	<b>733,354</b>	<b>2,403,852</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>6,537,134</b>	<b>772,265</b>	<b>7,309,399</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Los Alamos Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # BAR #2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	820,641	38,911	859,552
Federal Government Appropriations		0	
State Government Appropriations	1,824,372	0	1,824,372
Local Government Appropriations	1,475,000	0	1,475,000
Federal Grants/Contracts		87,040	87,040
State Grants/Contracts	1,000	0	1,000
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities	10,000	0	10,000
Other Sources	28,850	0	28,850
<b>TOTAL REVENUES</b>	<b>4,159,863</b>	<b>125,951</b>	<b>4,285,814</b>
<b>BEGINNING BALANCE</b>	<b>1,450,138</b>	<b>423,212</b>	<b>1,873,350</b>
<b>TOTAL AVAILABLE</b>	<b>5,610,001</b>	<b>549,163</b>	<b>6,159,164</b>
<b>EXPENDITURES</b>			
Instruction	1,510,623	38,911	1,549,534
Academic Support	682,825	0	682,825
Student Services	554,299	0	554,299
Institutional Support	1,081,127	0	1,081,127
Operation & Maintenance of Plant	390,966	0	390,966
<b>TOTAL EXPENDITURES</b>	<b>4,219,840</b>	<b>38,911</b>	<b>4,258,751</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid	50,819	0	50,819
Auxiliary Enterprises		0	
Intercollegiate Athletics		0	
Independent Operations		0	
Capital Outlay	45,720	0	45,720
Renewals & Replacements	36,000	0	36,000
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Other (Specify)		0	
Other (Specify)		0	
<b>NET TRANSFERS</b>	<b>132,539</b>	<b>0</b>	<b>132,539</b>
<b>ENDING BALANCE</b>	<b>1,257,622</b>	<b>510,252</b>	<b>1,767,874</b>

Prepared by: Bob Harmon Date Approved by BOR

<b>For NMHED Use Only</b>	Accept	Reject	Date	
NMHED Analyst	_____	_____	___/___/___	NMHED Control # _____
NMHED Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____
	Increase _____	Decrease _____	Transfer _____	



## The University of New Mexico, Taos Branch

The overall FY 2021 BAR impact to the **UNM Taos Branch Campus** is an unfavorable change of (\$1,435,385) in net revenues, transfers, and expenditures.

### Current Funds (Unrestricted)

- \$228,585 Net Increase to **Revenues**:
  - \$194,285 Increase of I&G due to Workforce Development Sales and Services and HEERF2 federal grant of \$173,285
  - \$34,300 Increase of Public Service to record receipt of scholarship funding
  
- (\$1,175,000) Net change in **Transfers**:
  - (\$1,185,000) from I&G to Plant Fund for 25% required match on capital projects and IT infrastructure purchases.
  - \$10,000 To record the transfer from Main Campus for UNM Taos nursing program
  
- \$488,972 Net Increase in **Expenditures** primarily due to:
  - \$351,000 Increase in expenditure authority for I&G for IT server infrastructure
  - \$137,972 Increase to expenditure authority for Public Service for TECA and various scholarship funds.

### Current Funds (Restricted)

- (\$707,532) Net Decrease to **Revenues**:
  - (\$742,532) Decrease in I&G to decreased grant activity. TRIO SSS grant ended, new grant classified in Public Service
  - \$35,000 Increase for Research due to increased grant activity
  
- \$0 Net change in **Transfers**:
  
- (\$707,532) Net Increase in **Expenditures** primarily due to:
  - (\$742,532) Decrease in I&G to decreased grant activity. TRIO SSS grant ended, new grant classified in Public Service
  - \$35,000 Increase for Research due to increased grant activity

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM Taos Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	7,372,194	194,285	7,566,479
Student Social & Cultural Activities	55,000	0	55,000
Research		0	
Public Service	287,500	34,300	321,800
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises	40,000	0	40,000
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>7,754,694</b>	<b>228,585</b>	<b>7,983,279</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>7,754,694</b>	<b>228,585</b>	<b>7,983,279</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	4,171,754	1,644,586	5,816,340
Student Social & Cultural Activities	154,592	10,955	165,547
Research		0	
Public Service	282,808	214,221	497,029
Internal Service Dept.		0	
Student Financial Aid	153,389	59,762	213,151
Auxiliary Enterprises	79,071	1,943	81,014
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>4,841,614</b>	<b>1,931,467</b>	<b>6,773,081</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>4,841,614</b>	<b>1,931,467</b>	<b>6,773,081</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	11,543,948	1,838,871	13,382,819
Student Social & Cultural Activities	209,592	10,955	220,547
Research	0	0	0
Public Service	570,308	248,521	818,829
Internal Service Dept.	0	0	0
Student Financial Aid	153,389	59,762	213,151
Auxiliary Enterprises	119,071	1,943	121,014
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>12,596,308</b>	<b>2,160,052</b>	<b>14,756,360</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>12,596,308</b>	<b>2,160,052</b>	<b>14,756,360</b>



NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM Taos Campus

FY 2021

Adjustment to Fund: UNRESTRICTED

Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>EXPENDITURES</b>			
Instruction and General	7,918,696	351,000	8,269,696
Student Social & Cultural Activities	55,000	0	55,000
Research		0	
Public Service	287,500	137,972	425,472
Internal Service Dept.		0	
Student Financial Aid	84,145	0	84,145
Auxiliary Enterprises	40,000	0	40,000
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>8,385,341</b>	<b>488,972</b>	<b>8,874,313</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES</b>	<b>8,385,341</b>	<b>488,972</b>	<b>8,874,313</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	(154,276)	(1,175,000)	(1,329,276)
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid	84,145	0	84,145
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>(70,131)</b>	<b>(1,175,000)</b>	<b>(1,245,131)</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NET TRANSFERS</b>	<b>(70,131)</b>	<b>(1,175,000)</b>	<b>(1,245,131)</b>
<b>ENDING BALANCES</b>			
Instruction and General	3,470,976	312,871	3,783,847
Student Social & Cultural Activities	154,592	10,955	165,547
Research	0	0	0
Public Service	282,808	110,549	393,357
Internal Service Dept.	0	0	0
Student Financial Aid	153,389	59,762	213,151
Auxiliary Enterprises	79,071	1,943	81,014
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>4,140,836</b>	<b>496,080</b>	<b>4,636,916</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL ENDING BALANCES</b>	<b>4,140,836</b>	<b>496,080</b>	<b>4,636,916</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>12,596,308</b>	<b>2,160,052</b>	<b>14,756,360</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM Taos Campus FY 2021

Adjustment to Fund: UNRESTRICTED Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	910,015	0	910,015
Federal Government Appropriations		0	
State Government Appropriations	3,878,522	0	3,878,522
Local Government Appropriations	2,183,657	0	2,183,657
Federal Grants/Contracts		173,285	173,285
State Grants/Contracts		0	
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities	125,000	21,000	146,000
Other Sources	275,000	0	275,000
<b>TOTAL REVENUES</b>	<b>7,372,194</b>	<b>194,285</b>	<b>7,566,479</b>
<b>BEGINNING BALANCE</b>	<b>4,171,754</b>	<b>1,644,586</b>	<b>5,816,340</b>
<b>TOTAL AVAILABLE</b>	<b>11,543,948</b>	<b>1,838,871</b>	<b>13,382,819</b>
<b>EXPENDITURES</b>			
Instruction	2,863,664	21,000	2,884,664
Academic Support	718,890	0	718,890
Student Services	792,775	0	792,775
Institutional Support	2,472,763	330,000	2,802,763
Operation & Maintenance of Plant	1,070,604	0	1,070,604
<b>TOTAL EXPENDITURES</b>	<b>7,918,696</b>	<b>351,000</b>	<b>8,269,696</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid	79,145	0	79,145
Auxiliary Enterprises		0	
Intercollegiate Athletics		0	
Independent Operations		0	
Capital Outlay	30,137	1,185,000	1,215,137
Renewals & Replacements	44,994	0	44,994
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Other (Main Campus Nursing)		(10,000)	(10,000)
Other (Specify)		0	
<b>NET TRANSFERS</b>	<b>154,276</b>	<b>1,175,000</b>	<b>1,329,276</b>
<b>ENDING BALANCE</b>	<b>3,470,976</b>	<b>312,871</b>	<b>3,783,847</b>

Prepared by: Thomas Duran Date Approved by BOR 11-May-2021

For NMHD Use Only	Accept	Reject	Date	
NMHD Analyst	_____	_____	___/___/___	NMHD Control # _____
NMHD Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____
	Increase _____	Decrease _____	Transfer _____	

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM Taos Campus FY 2021  
 Adjustment to Fund: UNRESTRICTED Request # 2

	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
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Explanation for Budget Adjustments.

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To Adjust Beginning Balances to FY20 Actuals.

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To Record Receipt of HEERF2 Federal Grant +\$173,285.

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To Record Receipt of Workforce Development Sales and Service Revenue +\$21,000 and increased related Instructional Expenses.

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To Record Budgeted Use of Reserves and Related Institutional Support Expenses +\$330,000. IT Server Infrastructure.

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To Record Receipt of +\$10,000 Transfer from Main Campus for UNM Taos Nursing Program.

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To Record Transfer of -\$1,185,000 to Plant Funds for 25% Required Match on Capital Projects and IT Infrastructure Purchases.

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To Record Receipt of Gift Revenue in Public Service totaling \$34,300. Consisting of Taos Scholarship Fund,  
 Lions STEM , VUB , Troxel Nursing , See4Vets, and Quail Roost Scholarships

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To Record Use of Budgeted Reserves and Associated Expenses Public Service \$103,672 TECA and Various Scholarship funds,  
 and the \$34,300 as detailed above.

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NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	University of New Mexico-Taos		FY 2021
Adjustment to Fund:	Restricted		Request # 1
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
	<hr/>	<hr/>	<hr/>
<b>REVENUES</b>			
Instruction and General	858,248	(742,532)	115,716
Student Social & Cultural Activities		0	
Research	545,945	35,000	580,945
Public Service	3,000,000	0	3,000,000
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>4,404,193</b>	<b>(707,532)</b>	<b>3,696,661</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>4,404,193</b>	<b>(707,532)</b>	<b>3,696,661</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	858,248	(742,532)	115,716
Student Social & Cultural Activities	0	0	0
Research	545,945	35,000	580,945
Public Service	3,000,000	0	3,000,000
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>4,404,193</b>	<b>(707,532)</b>	<b>3,696,661</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>4,404,193</b>	<b>(707,532)</b>	<b>3,696,661</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>University of New Mexico-Taos</u>		FY <u>2021</u>
Adjustment to Fund:	<u>Restricted</u>		Request # <u>1</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
<b>EXPENDITURES</b>			
Instruction and General	858,248	(742,532)	115,716
Student Social & Cultural Activities		0	
Research	545,945	35,000	580,945
Public Service	3,000,000	0	3,000,000
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<u>4,404,193</u>	<u>(707,532)</u>	<u>3,696,661</u>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL EXPENDITURES</b>	<u>4,404,193</u>	<u>(707,532)</u>	<u>3,696,661</u>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	0
<b>Subtotal Current Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
Capital Outlay		0	
Renewals & Replacements		0	0
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>NET TRANSFERS</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ENDING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL ENDING BALANCES</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<u><u>4,404,193</u></u>	<u><u>(707,532)</u></u>	<u><u>3,696,661</u></u>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : University of New Mexico-Taos FY 2021

Adjustment to Fund: Restricted Request # 1

	Current Approved Budget	INCREASE (DECREASE)	Revised Budget
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	0	0	0
Federal Government Appropriations	0	0	0
State Government Appropriations	0	0	0
Local Government Appropriations	0	0	0
Federal Grants/Contracts	838,288	(742,532)	95,756
State Grants/Contracts	19,960	0	19,960
Local Grants/Contracts	0	0	0
Private Gifts/Grants/Contracts	0	0	0
Endowment/Land/Permanent Fund	0	0	0
Sales & Services of Ed Activities	0	0	0
Other Sources	0	0	0
<b>TOTAL REVENUES</b>	<b>858,248</b>	<b>(742,532)</b>	<b>115,716</b>
<b>BEGINNING BALANCE</b>	0	0	0
<b>TOTAL AVAILABLE</b>	<b>858,248</b>	<b>(742,532)</b>	<b>115,716</b>
<b>EXPENDITURES</b>			
Instruction	811,558	(742,532)	69,026
Academic Support	19,012	0	19,012
Student Services	13,540	0	13,540
Institutional Support	14,138	0	14,138
Operation & Maintenance of Plant	0	0	0
<b>TOTAL EXPENDITURES</b>	<b>858,248</b>	<b>(742,532)</b>	<b>115,716</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Intercollegiate Athletics	0	0	0
Independent Operations	0	0	0
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
Non-Budgetary Exhibits:			
Endowment Fund	0	0	0
Other (Specify)	0	0	0
Other (Specify)	0	0	0
<b>NET TRANSFERS</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ENDING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>

Prepared by: Thomas Duran Date Approved by BOR 11-May-2021

<b>For NMHED Use Only</b>	Accept _____	Reject _____	Date ____/____/____
NMHED Analyst	_____	_____	____/____/____
NMHED Director	_____	_____	____/____/____
<b>For DFA Use Only</b>			
DFA Analyst	_____	_____	____/____/____
DFA Director	_____	_____	____/____/____
	Increase _____	Decrease _____	Transfer _____



## The University of New Mexico, Valencia Branch

The overall FY 2021 BAR impact to the **UNM Valencia Branch** is an unfavorable change in the net revenues, transfers, and expenditures of (\$721,007).

### Current Funds (Unrestricted)

- \$483,624 Increase in **Revenues** due to:
  - (\$28,147) Tuition and Fee decrease due to reduction in enrollment
  - \$320,517 Increase in Federal Grant/Contracts as a result of COVID-19 CARES and HEERFII funding
  - \$10,598 Increase in State Grant/Contract for Workforce Training.
  - \$41,650 Increase in Public Service revenues from fundraising activities
  - \$139,413 Increase in Auxiliary revenue resulting from COVID-19 CARES reimbursement for lost revenue
  - (\$407) Net change in Student Social and Student Financial Aid revenues
  
- (\$964,574) Net Increase in **Transfers Out** due to:
  - (\$912,000) Transfer to Plant Fund Major to help fund new Workforce Training Center
  - \$2,800 Transfer from Main Campus for Teaching Allocation Grant award
  - (\$50,374) Increase in Public Service transfers out
  - (\$5,000) Increases in transfers out of Auxiliaries
  
- \$240,057 Increase in **Expenditures** due to:
  - \$50,669 Increase in I&G expenditures as a result of COVID-19 CARES reimbursement
  - \$46,977 Increase in Public Service expenditures
  - \$144,413 Increase in Auxiliary expenditures as a result of COVID-19 CARES reimbursement
  - (\$2,002) Net decrease in Student Social, Internal Service and Student Aid expenditures

### Current Funds (Restricted)

- \$993,297 Net Increase in **Revenues** primarily due to:
  - \$357,664 Increase in revenues related to restricted Research Contract & Grant programs
  - \$635,633 Increase in revenues related to restricted Public Service Contract & Grant programs
  
- \$0 Net Change in **Transfers**
  
- \$993,297 Net Increase in **Expenditures** primarily due to:
  - \$357,664 Increase in expenditure authority related to restricted Research Contract & Grant programs
  - \$635,633 Increase in expenditure authority related to restricted Public Service Contract & Grant programs



NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Valencia Campus FY 2021

Adjustment to Fund: Unrestricted Request # 2

	<u>Current Approved Budget</u>	INCREASE (DECREASE)	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	10,193,505	302,968	10,496,473
Student Social & Cultural Activities	31,700	(1,000) A	30,700
Research		0	
Public Service	206,912	41,650 B	248,562
Internal Service Dept.	2,571	0	2,571
Student Financial Aid	94,900	593 C	95,493
Auxiliary Enterprises	350,000	139,413 D	489,413
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>10,879,588</b>	<b>483,624</b>	<b>11,363,212</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>10,879,588</b>	<b>483,624</b>	<b>11,363,212</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	3,467,186	1,173,813	4,640,999
Student Social & Cultural Activities	18,435	2,732	21,167
Research		0	
Public Service	222,063	42,548	264,611
Internal Service Dept.	38,011	9,401	47,412
Student Financial Aid	13,357	42,123	55,480
Auxiliary Enterprises	285,980	(43,355)	242,625
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>4,045,032</b>	<b>1,227,262</b>	<b>5,272,294</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>4,045,032</b>	<b>1,227,262</b>	<b>5,272,294</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	13,660,691	1,476,781	15,137,472
Student Social & Cultural Activities	50,135	1,732	51,867
Research	0	0	0
Public Service	428,975	84,198	513,173
Internal Service Dept.	40,582	9,401	49,983
Student Financial Aid	108,257	42,716	150,973
Auxiliary Enterprises	635,980	96,058	732,038
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>14,924,620</b>	<b>1,710,886</b>	<b>16,635,506</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>14,924,620</b>	<b>1,710,886</b>	<b>16,635,506</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	<u>UNM - Valencia Campus</u>		FY <u>2021</u>
Adjustment to Fund:	<u>Unrestricted</u>		Request # <u>2</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>
<b>EXPENDITURES</b>			
Instruction and General	10,374,436	50,669	10,425,105
Student Social & Cultural Activities	34,600	(1,432) A	33,168
Research		0	
Public Service	231,638	46,977 B	278,615
Internal Service Dept.	2,519	52 E	2,571
Student Financial Aid	265,333	(622) C	264,711
Auxiliary Enterprises	350,000	144,413 D	494,413
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>11,258,526</b>	<b>240,057</b>	<b>11,498,583</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL EXPENDITURES</b>	<b>11,258,526</b>	<b>240,057</b>	<b>11,498,583</b>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	(249,567)	(909,200)	(1,158,767)
Student Social & Cultural Activities		0	
Research		0	
Public Service		(50,374)	(50,374)
Internal Service Dept.		0	
Student Financial Aid	157,896	0	157,896
Auxiliary Enterprises		(5,000)	(5,000)
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>(91,671)</b>	<b>(964,574)</b>	<b>(1,056,245)</b>
Capital Outlay		0	
Renewals & Replacements		0	0
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NET TRANSFERS</b>	<b>(91,671)</b>	<b>(964,574)</b>	<b>(1,056,245)</b>
<b>ENDING BALANCES</b>			
Instruction and General	3,036,688	516,912	3,553,600
Student Social & Cultural Activities	15,535	3,164	18,699
Research	0	0	0
Public Service	197,337	(13,153)	184,184
Internal Service Dept.	38,063	9,349	47,412
Student Financial Aid	820	43,338	44,158
Auxiliary Enterprises	285,980	(53,355)	232,625
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>3,574,423</b>	<b>506,255</b>	<b>4,080,678</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL ENDING BALANCES</b>	<b>3,574,423</b>	<b>506,255</b>	<b>4,080,678</b>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<b>14,924,620</b>	<b>1,710,886</b>	<b>16,635,506</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM - Valencia Campus FY 2021

Adjustment to Fund: Unrestricted Request # 2

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees	1,254,260	(28,147) F	1,226,113
Federal Government Appropriations		0	
State Government Appropriations	5,704,154	0	5,704,154
Local Government Appropriations	2,989,810	0	2,989,810
Federal Grants/Contracts		320,517 G	320,517
State Grants/Contracts		10,598 H	10,598
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities	6,290	0	6,290
Other Sources	238,991	0	238,991
<b>TOTAL REVENUES</b>	<b>10,193,505</b>	<b>302,968</b>	<b>10,496,473</b>
<b>BEGINNING BALANCE</b>	<b>3,467,186</b>	<b>1,173,813</b>	<b>4,640,999</b>
<b>TOTAL AVAILABLE</b>	<b>13,660,691</b>	<b>1,476,781</b>	<b>15,137,472</b>
<b>EXPENDITURES</b>			
Instruction	4,805,900	(122)	4,805,778
Academic Support	1,310,640	15,815	1,326,455
Student Services	1,299,003	7,102	1,306,105
Institutional Support	1,959,704	16,017	1,975,721
Operation & Maintenance of Plant	999,189	11,857	1,011,046
<b>TOTAL EXPENDITURES</b>	<b>10,374,436</b>	<b>50,669 I</b>	<b>10,425,105</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid	154,046	0	154,046
Auxiliary Enterprises		0	
Intercollegiate Athletics		0	
Independent Operations		0	
Capital Outlay	42,613	912,000 J	954,613
Renewals & Replacements	52,908	0	52,908
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Main Campus		(2,800) K	(2,800)
		0	
<b>NET TRANSFERS</b>	<b>249,567</b>	<b>909,200</b>	<b>1,158,767</b>
<b>ENDING BALANCE</b>	<b>3,036,688</b>	<b>516,912</b>	<b>3,553,600</b>

Prepared by: Rick Goshorn Date Approved by BOR 11-May-2021

For NMHED Use Only	Accept	Reject	Date	
NMHED Analyst	_____	_____	___/___/___	NMHED Control # _____
NMHED Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____
	Increase _____	Decrease _____	Transfer _____	

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION :	UNM - Valencia Campus		FY <u>2021</u>
Adjustment to Fund:	Unrestricted		Request # <u>2</u>
	<b>Current Approved Budget</b>	<b>INCREASE (DECREASE)</b>	<b>Revised Budget</b>

Explanation for Budget Adjustments.

Adjust beginning balances to actuals

A. Transfer to UNM Main Campus for the Basic Needs Research Project.

B. Public Service revenues and expenses increased to actuals resulting from fundraising activities.

C. Student Aid revenue and expenses increased resulting from endowment spending distributions.

D. Auxiliary revenue increased resulting from COVID-19 CARES reimbursement for lost revenue.

E. Internal Services expenditures are increased to reflect actuals.

F. Tuition and fee revenue decreased in I&G to reflect a reduction in enrollment.

G. Increase revenue in Federal Grant/Contracts as a result of COVID-19 CARES and HEERF2 funding.

H. State Grant/Contract revenue increased by \$10,598 to reflect the receipt of Workforce Training Funds.

I. Overall Increase to I&G expenditures as a result of COVID-19 Cares reimbursement.

J. Transfer to Plant Fund Major to help fund new Workforce Training Center.

K. Transfer from UNM Main Campus for Teaching Allocation Grant award.

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NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM-Valencia Campus FY 2021

Adjustment to Fund: Restricted Request # 1

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>REVENUES</b>			
Instruction and General	274,234	0	274,234
Student Social & Cultural Activities		0	
Research	1,135,173	357,664	1,492,837
Public Service	1,843,353	635,633	2,478,986
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises	30,000	0	30,000
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>3,282,760</b>	<b>993,297</b>	<b>4,276,057</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL REVENUES</b>	<b>3,282,760</b>	<b>993,297</b>	<b>4,276,057</b>
<b>BEGINNING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL BEGINNING BALANCES</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL AVAILABLE</b>			
Instruction and General	274,234	0	274,234
Student Social & Cultural Activities	0	0	0
Research	1,135,173	357,664	1,492,837
Public Service	1,843,353	635,633	2,478,986
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	30,000	0	30,000
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<b>3,282,760</b>	<b>993,297</b>	<b>4,276,057</b>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>GRAND TOTAL AVAILABLE</b>	<b>3,282,760</b>	<b>993,297</b>	<b>4,276,057</b>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM-Valencia Campus FY 2021

Adjustment to Fund: Restricted Request # 1

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>EXPENDITURES</b>			
Instruction and General	274,234	0	274,234
Student Social & Cultural Activities		0	
Research	1,135,173	357,664	1,492,837
Public Service	1,843,353	635,633	2,478,986
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises	30,000	0	30,000
Athletics		0	
Independent Operations		0	
<b>Subtotal Current Funds</b>	<u>3,282,760</u>	<u>993,297</u>	<u>4,276,057</u>
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL EXPENDITURES</b>	<u>3,282,760</u>	<u>993,297</u>	<u>4,276,057</u>
<b>TRANSFERS IN (OUT)</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Athletics		0	
Independent Operations		0	0
<b>Subtotal Current Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
Capital Outlay		0	
Renewals & Replacements		0	0
Retirement of Indebtedness		0	
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>NET TRANSFERS</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ENDING BALANCES</b>			
Instruction and General	0	0	0
Student Social & Cultural Activities	0	0	0
Research	0	0	0
Public Service	0	0	0
Internal Service Dept.	0	0	0
Student Financial Aid	0	0	0
Auxiliary Enterprises	0	0	0
Athletics	0	0	0
Independent Operations	0	0	0
<b>Subtotal Current Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
Capital Outlay	0	0	0
Renewals & Replacements	0	0	0
Retirement of Indebtedness	0	0	0
<b>Subtotal Plant Funds</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL ENDING BALANCES</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL EXPENDITURES, TRANSFERS, BALANCES</b>	<u><u>3,282,760</u></u>	<u><u>993,297</u></u>	<u><u>4,276,057</u></u>

NEW MEXICO HIGHER EDUCATION DEPARTMENT  
BUDGET ADJUSTMENT REQUEST

INSTITUTION : UNM-Valencia Campus FY 2021

Adjustment to Fund: Restricted Request # 1

	<u>Current Approved Budget</u>	<u>INCREASE (DECREASE)</u>	<u>Revised Budget</u>
<b>INSTRUCTION &amp; GENERAL:</b>			
<b>REVENUES</b>			
Tuition and Fees		0	
Federal Government Appropriations		0	
State Government Appropriations		0	
Local Government Appropriations		0	
Federal Grants/Contracts	172,940	0	172,940
State Grants/Contracts	101,294	0	101,294
Local Grants/Contracts		0	
Private Gifts/Grants/Contracts		0	
Endowment/Land/Permanent Fund		0	
Sales & Services of Ed Activities		0	
Other Sources		0	
<b>TOTAL REVENUES</b>	<b>274,234</b>	<b>0</b>	<b>274,234</b>
<b>BEGINNING BALANCE</b>		<b>0</b>	
<b>TOTAL AVAILABLE</b>	<b>274,234</b>	<b>0</b>	<b>274,234</b>
<b>EXPENDITURES</b>			
Instruction	66,267	0	66,267
Academic Support	60,312	0	60,312
Student Services	49,030	0	49,030
Institutional Support	98,625	0	98,625
Operation & Maintenance of Plant		0	
<b>TOTAL EXPENDITURES</b>	<b>274,234</b>	<b>0</b>	<b>274,234</b>
<b>TRANSFERS IN (OUT) OF I&amp;G</b>			
Student Social & Cultural Activities		0	
Research		0	
Public Service		0	
Internal Service Dept.		0	
Student Financial Aid		0	
Auxiliary Enterprises		0	
Intercollegiate Athletics		0	
Independent Operations		0	
Capital Outlay		0	
Renewals & Replacements		0	
Retirement of Indebtedness		0	
Non-Budgetary Exhibits:			
Endowment Fund		0	
Other (Specify)		0	
Other (Specify)		0	
<b>NET TRANSFERS</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ENDING BALANCE</b>	<b>0</b>	<b>0</b>	<b>0</b>

Prepared by: Rick Goshorn Date Approved by BOR 11-May-2021

For NMHED Use Only	Accept	Reject	Date	
NMHED Analyst	_____	_____	___/___/___	NMHED Control # _____
NMHED Director	_____	_____	___/___/___	
<b>For DFA Use Only</b>				
DFA Analyst	_____	_____	___/___/___	DFA Control # _____
DFA Director	_____	_____	___/___/___	Agency Code _____
	Increase _____	Decrease _____	Transfer _____	





# TAB 6

## #6

### Approval of Projects:

- a. UNM Valencia Campus Fire Suppression Upgrades
- b. Laguna & De Vargas Roof Replacement
- c. University House Exterior Repairs



**MEMORANDUM TO ADVANCE  
COMMITTEE AGENDA ITEM TO  
THE BOARD OF REGENTS  
THE UNIVERSITY OF NEW MEXICO**

DATE: April 21, 2021

TO: Teresa Costantinidis, Sr. VP Finance & Administration

FROM: Lisa Marbury, Assistant Vice President, Campus Environments & Facilities,  
Vice President Office for Institutional Support Services

RE: Requested Approval

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**RECOMMENDED ACTION:**

Recommend to the Board of Regents Finance and Facilities Committee the following requests for Project Construction Approval:

1. UNM Valencia Campus Fire Suppression Upgrades
2. Laguna & DeVargas Roof Replacement
3. University House Exterior Repairs

cc: A. Coburn, M. Dion, D. Sprague, M. Bailey, C. Martinez, B. Scharmer, S. Rodgers, T. Silva – PDC

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
UNM Valencia Campus Fire Suppression Upgrades  
UNIVERSITY OF NEW MEXICO  
May 4, 2021**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Fire Suppression Upgrades at UNM Valencia Campus.**

**PROJECT DESCRIPTION:**

The objective of the project is to install a new fully automated addressable fire suppression system within five buildings on the Valencia Campus, that were built without these important life safety systems. Areas to be upgraded consist of classrooms, office space, common areas and facilities storage spaces totaling 79,335 GSF. Building A Student Services (15,979 GSF), building B Arts and Sciences (24,902 GSF), building C Business and Technology (26,468 GSF), building D Student Center and Cafeteria (9,760 GSF), and PPD building F (2,226 GSF) are included in the project. The new sprinkler systems will tie into the existing fire alarm system with manifolds and alarm interface. The sprinkler piping and heads will be concealed wherever it is possible.

**PROJECT RATIONALE:**

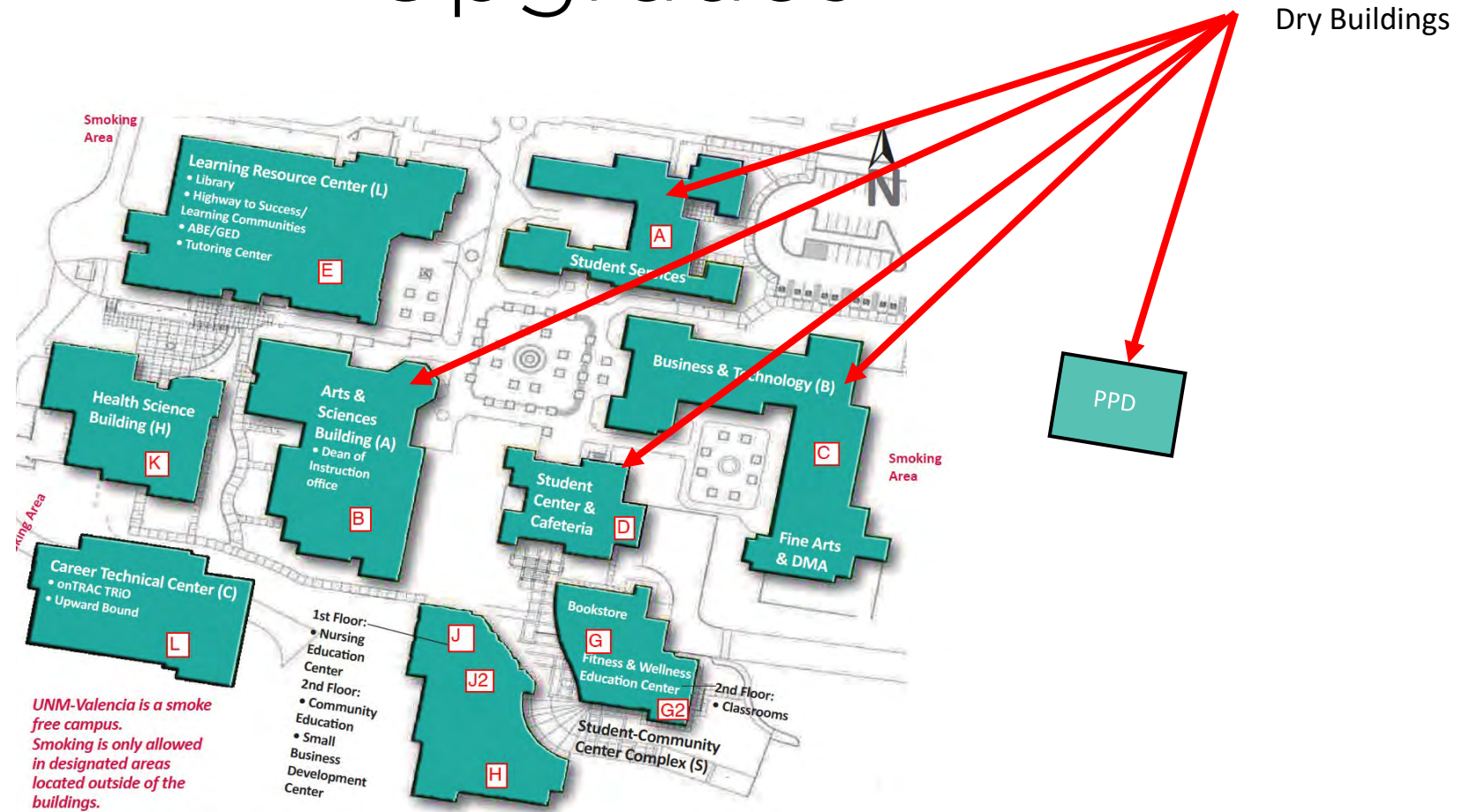
A significant percentage of the classroom space at the Valencia campus is without life-safety systems for fire control. This project is a critical component of the basic mission of the campus to provide a safe campus for students. The consequences of not completing this project is that students and faculty will be occupying buildings that do not meet current Life Safety Code.

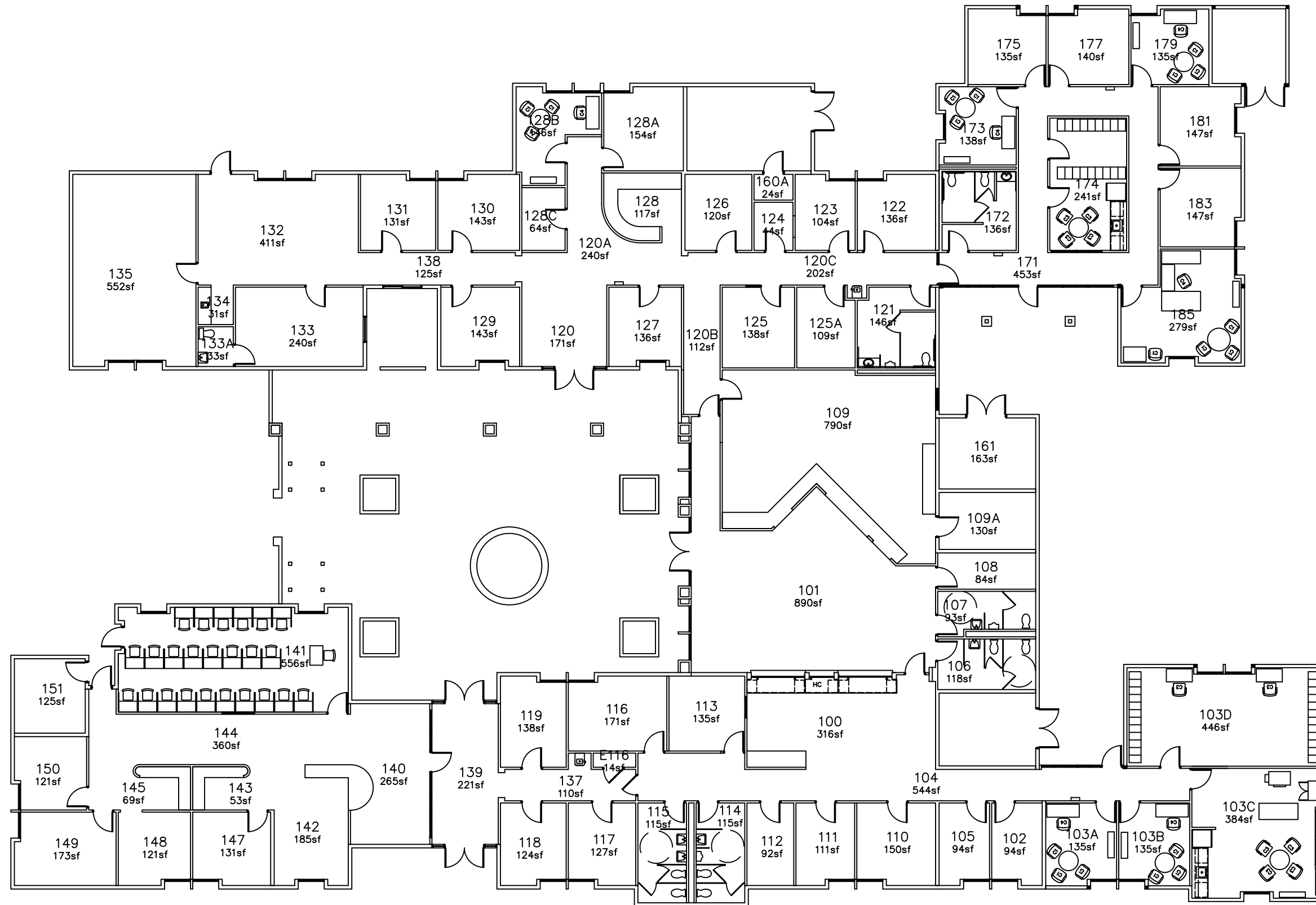
**FUNDING:**

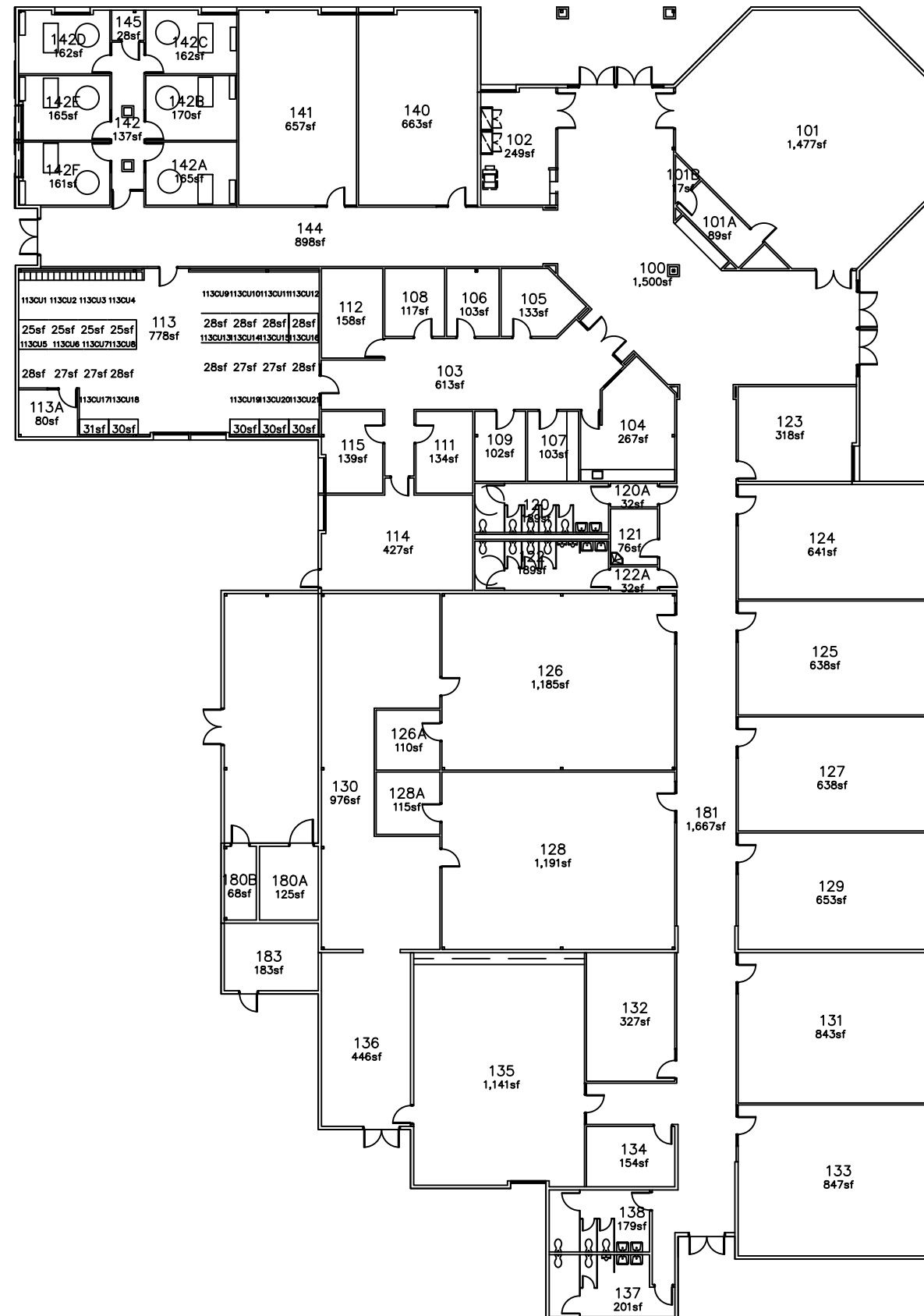
The total estimated Project Budget is \$2,000,000

- \$1,500,000 is funded from Local GO Bond 2021 Legislature
- \$500,000 is funded from 2018 Local Bond Match

# UNM Valencia: Fire Suppression Upgrades



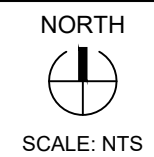




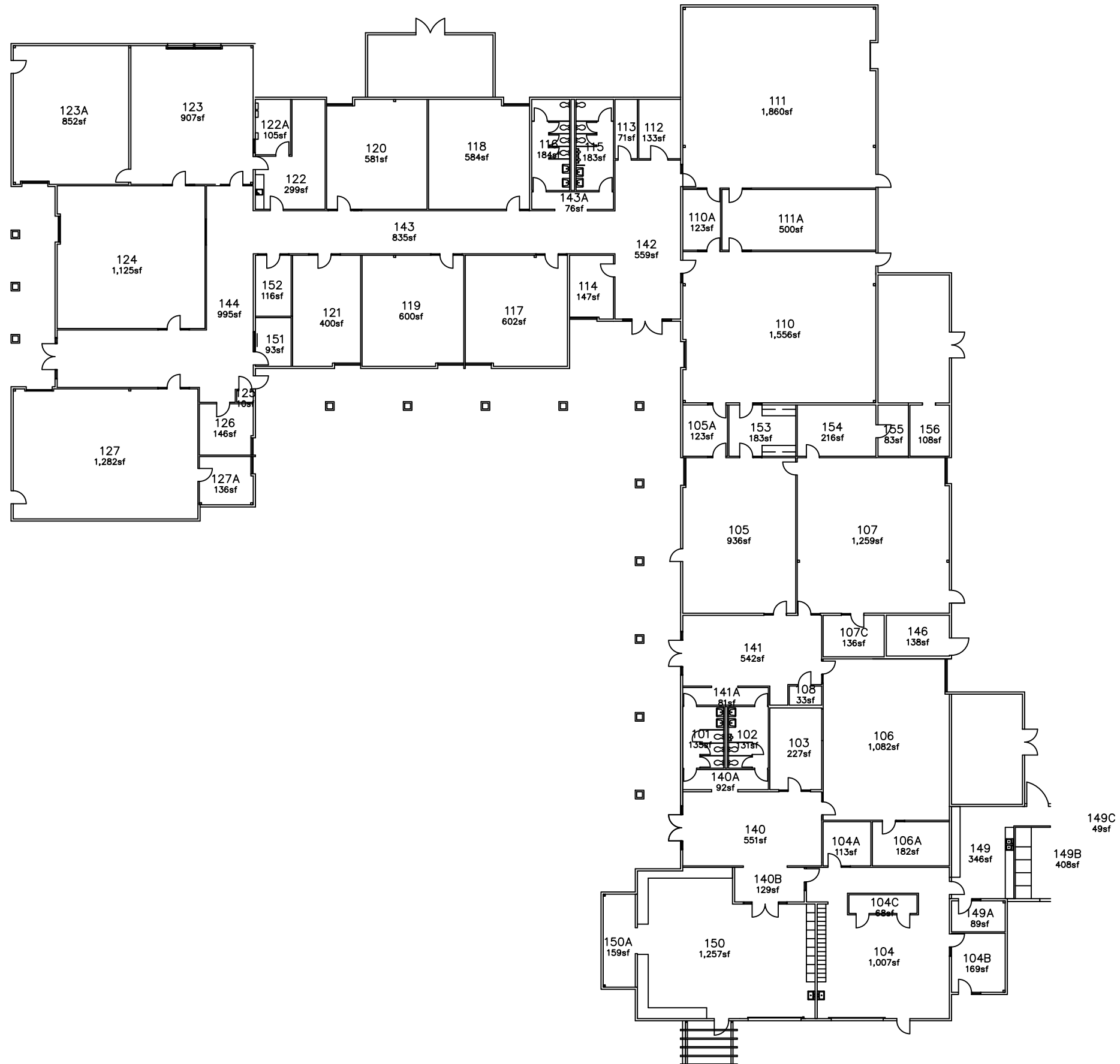
**ARTS & SCIENCES**  
**FIRST FLOOR**  
 VALENCIA CAMPUS



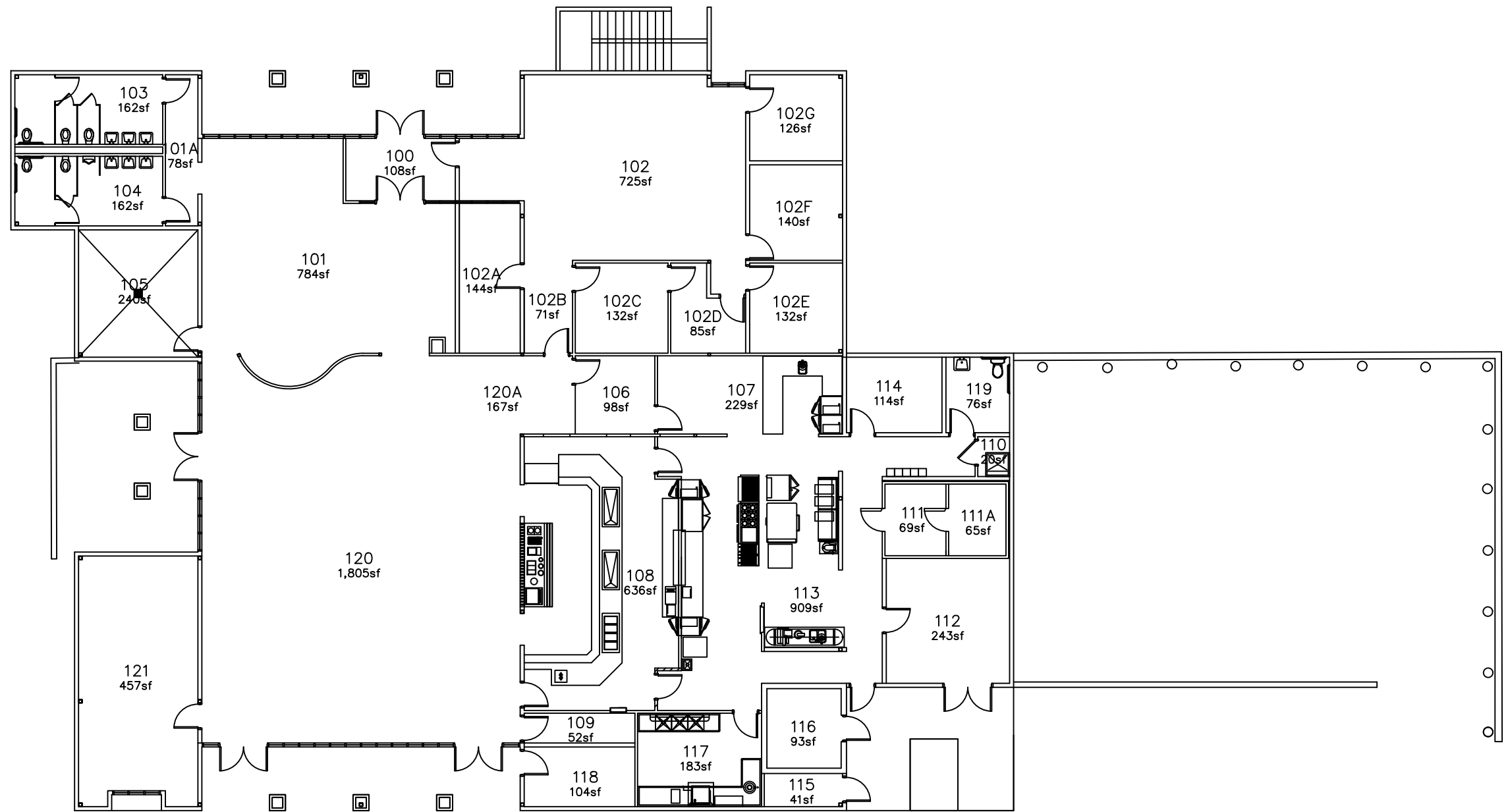
SPACE MANAGEMENT  
 space@unm.edu



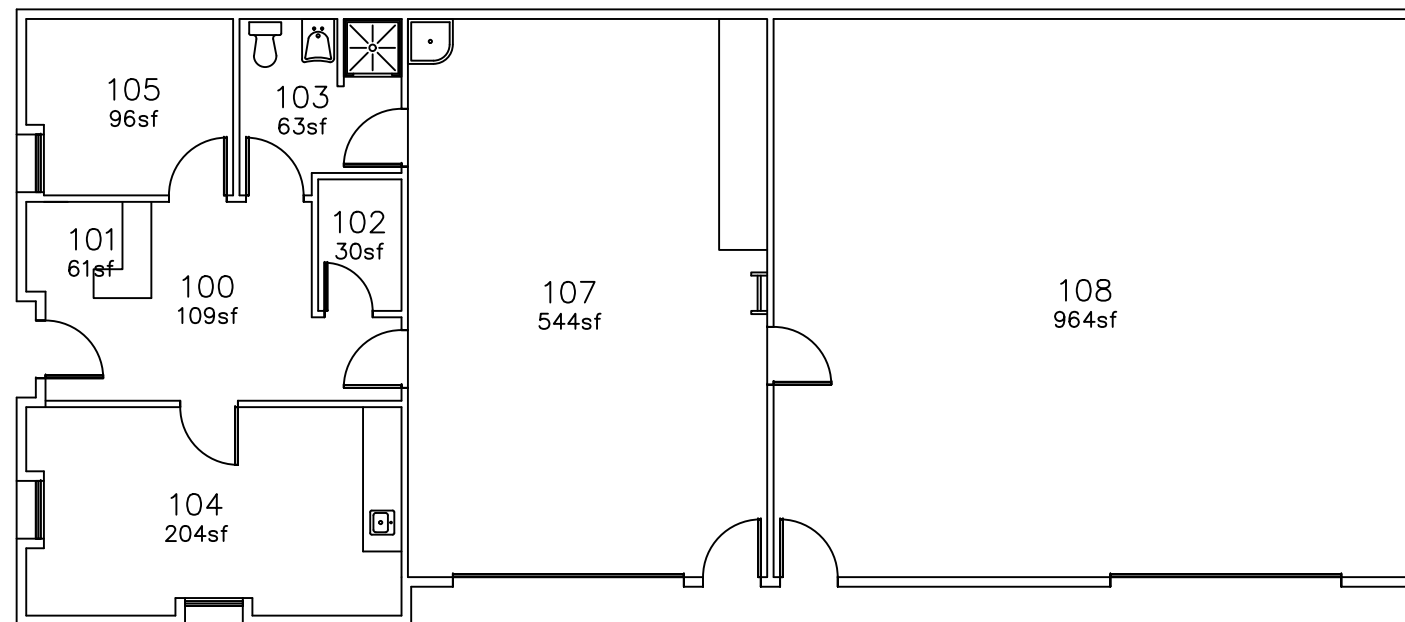
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**CAREER EDUCATION**  
**FIRST FLOOR**  
 VALENCIA CAMPUS







**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
Laguna & DeVargas Roof Replacement  
UNIVERSITY OF NEW MEXICO  
May 4, 2021**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Laguna and DeVargas Roof Replacement, Main Campus, Albuquerque, New Mexico.**

**PROJECT DESCRIPTION:**

Laguna Hall and DeVargas Hall are located on the University of New Mexico's Main campus in Albuquerque, New Mexico. Laguna Hall is 46,340 GSF, and DeVargas Hall is 46,812 GSF, for a combined square footage of 93,152 GSF. This project will replace the roofs of both buildings. The existing roofing materials will be removed to the deck to achieve code compliance, and a deck insulation with a minimum R-30 thermal value will be installed. We will install new, minimally-sloped Tapered Insulation to augment existing structural drainage. The system includes a new thermoplastic polyolefin (TPO) eighty (80) mil adhered membrane over a one-half (1/2) inch thick high-density insulation cover board adhered to a minimum R-30 tapered polyisocyanurate insulation assembly mechanically attached to the metal roof deck. At the pre-cast concrete parapets, we will sheath the inner parapet, then wrap the roof system membrane base flashing "up-and-over" the parapets terminating on the outside face. Each building roof will have a 20-year warranty.

**PROJECT RATIONALE:**

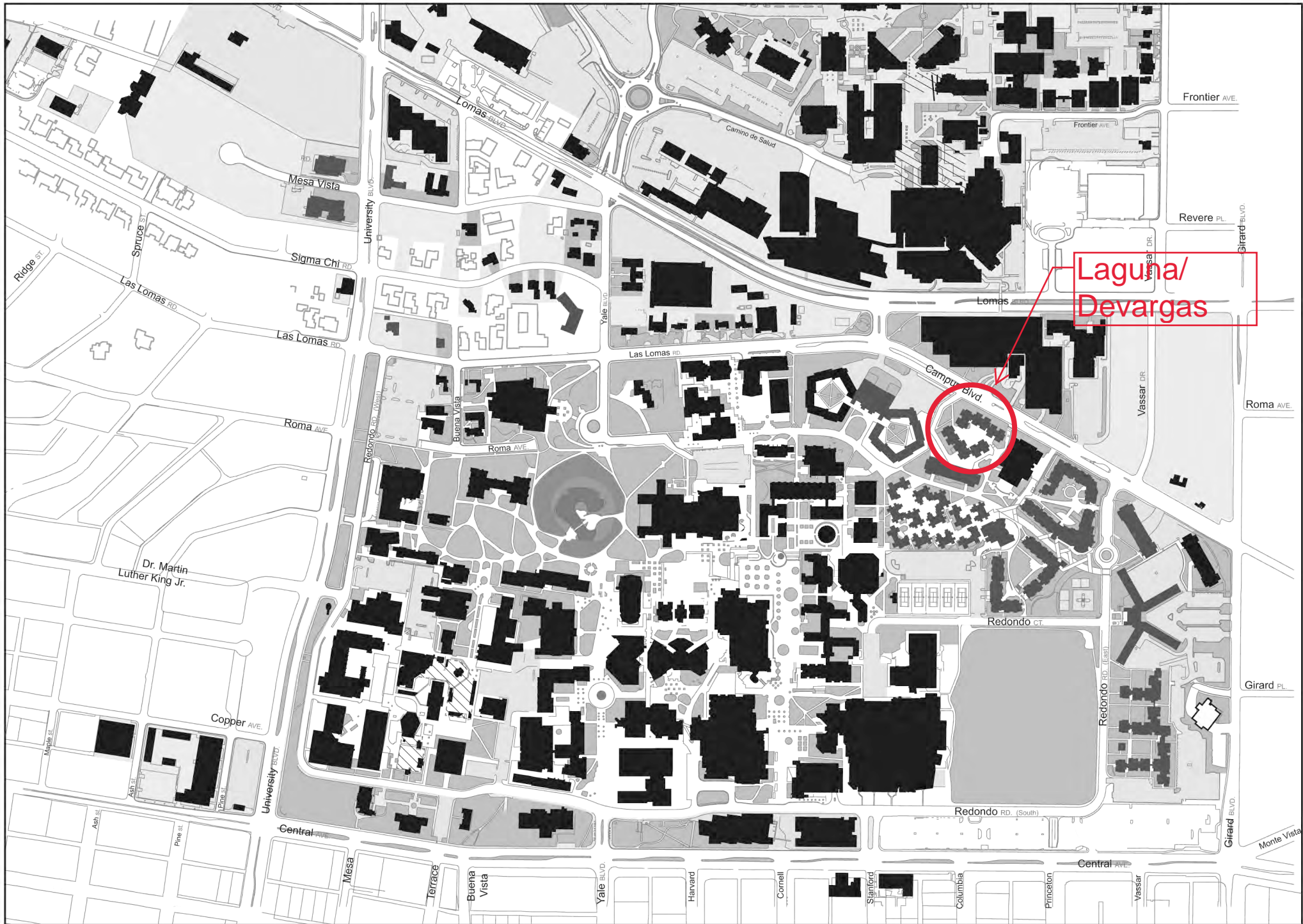
The proper functioning of the roofs on Laguna and DeVargas Halls are essential to the ability of Residents Life and Student Housing (RLSH) to continue to occupy the upper floors of the buildings. In current form, when we experience heavy precipitation on campus, the roofs leak into various parts of the building, including student rooms. This causes undue burden on the students living within the residence hall to relocate, as well as strain on the personnel and financial resources of RLSH to repair the damage caused by the leaks each time it occurs. Should this project not be approved, there would be questionable ability to occupy the upper floors of Laguna and DeVargas Halls, which reduces the overall capacity of housing available on UNM's Main campus. Additionally, the continued leaks will cause on-going damage to the buildings, a valuable asset of the University of New Mexico.

**FUNDING:**

The total estimated Project Budget is \$740,665:

- \$740,665 is funded from Plant Fund Reserves

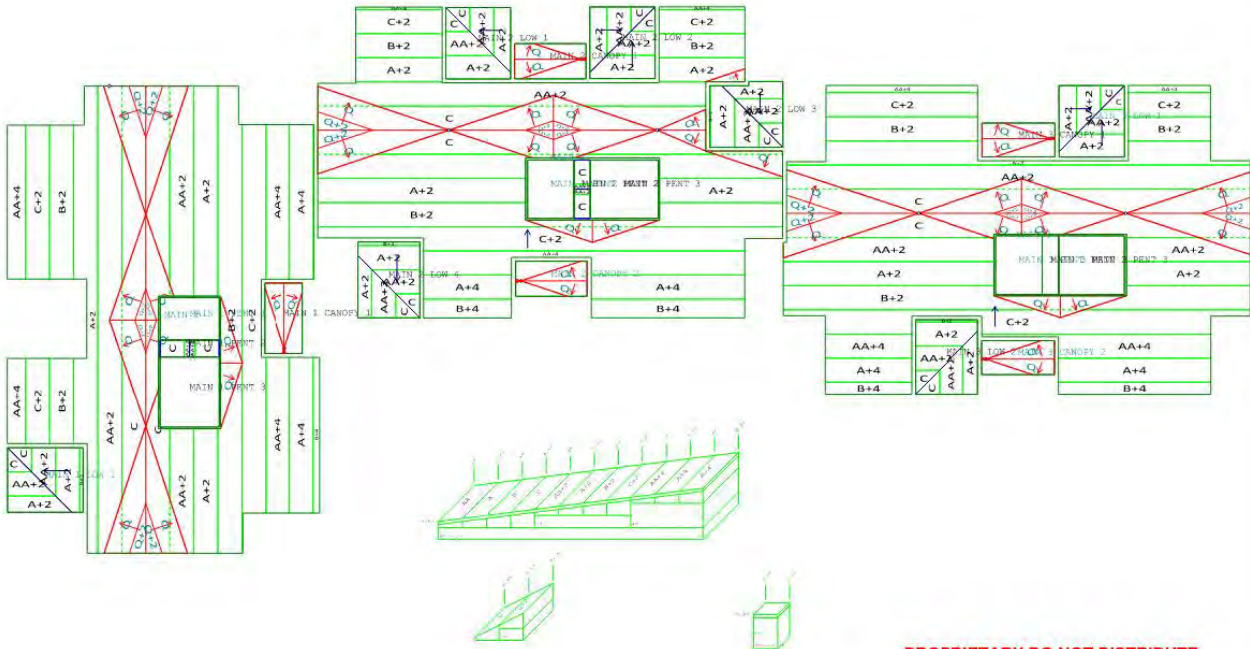
# The University of New Mexico - Central Campus





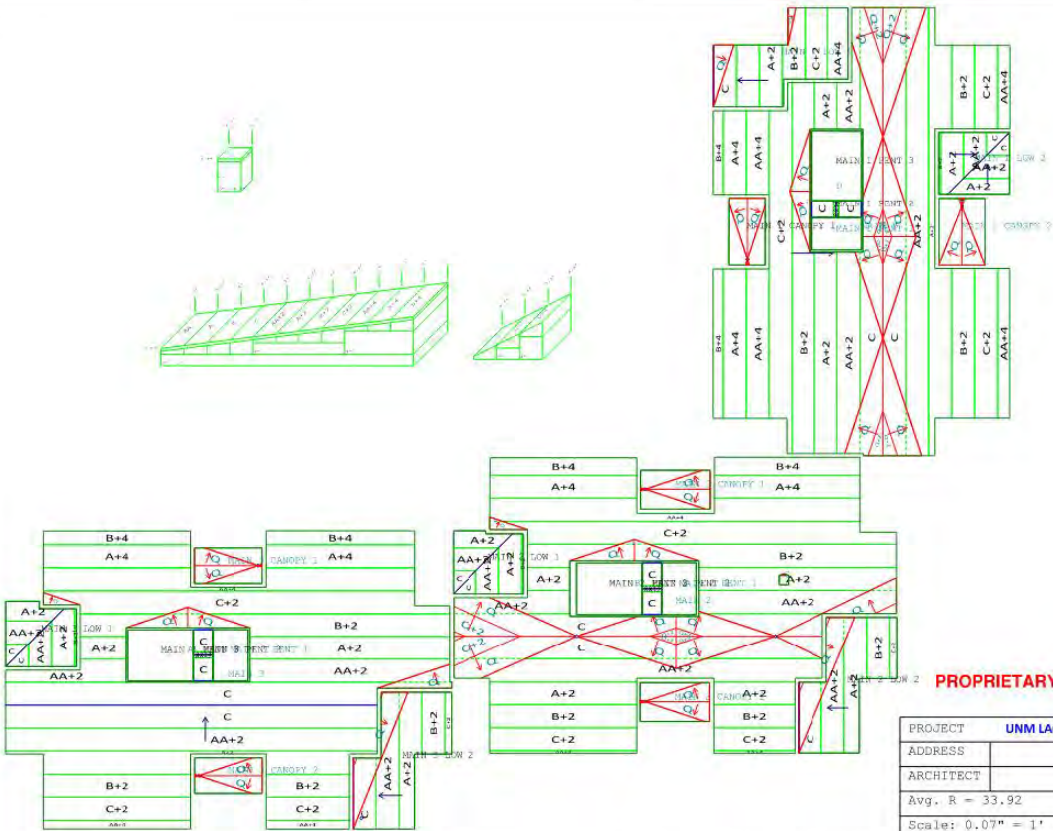
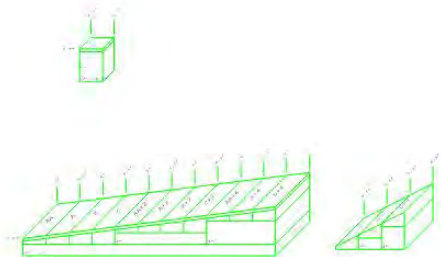
Devargas

Laguna



**PROPRIETARY DO NOT DISTRIBUTE**

PROJECT	<b>UNM de Vargas Hall</b>	
ADDRESS		
ARCHITECT		
Avg. R = 34.32		
Scale: 0.075" = 1'	Total SqFt = 10640.6	



**PROPRIETARY DO NOT DISTRIBUTE**

PROJECT <b>UNM LAGUNA HALL</b>	
ADDRESS	
ARCHITECT	
Avg. R = 33.92	
Scale: 0.07" = 1'	Total SqFt = 10785.9

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
UNIVERSITY HOUSE EXTERIOR REPAIRS  
UNIVERSITY OF NEW MEXICO  
May 4, 2021**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **University House Exterior Repairs on the Albuquerque Central Campus**.

**PROJECT DESCRIPTION:**

The University House (Building 51) is listed on the national-register of historic places and consists of 7,037 Gross Square Feet (GSF), and serves as the residence of the University President. University House is a major entertainment center for guests and a showcase for UNM. The current exterior needs to be renewed to improve the longevity and quality of the building.

The project includes repair, patching and power washing of the exterior stucco, as well as the stucco sections of the site wall. Repair or replacement of wood trim elements of the building exterior and site walls including trellises, portals, vigas, porticos, headers and latillas is included. Metal sections of the site wall will be repainted.

**PROJECT RATIONALE:**

An April 2019 Facilities Management report on the structure outlined the need for significant repairs to the facility exterior. In January of 2020, a design-only assessment was authorized to prioritize the needed repairs, and to develop Construction Drawings for the most-needed repairs, with consultation and input from the UNM Historic Preservation Committee and the State of New Mexico Historic Preservation Division during design.

If this project is not approved, further deterioration of this historical structure will continue and the deteriorated architectural elements will degrade to the point that they will fail and detach from the structure and cause potential safety risks.

**FUNDING:**

The total estimated Project Budget is \$320,600:

- \$320,600 is funded from FY21 Building Renewal & Replacement (BR&R) funds

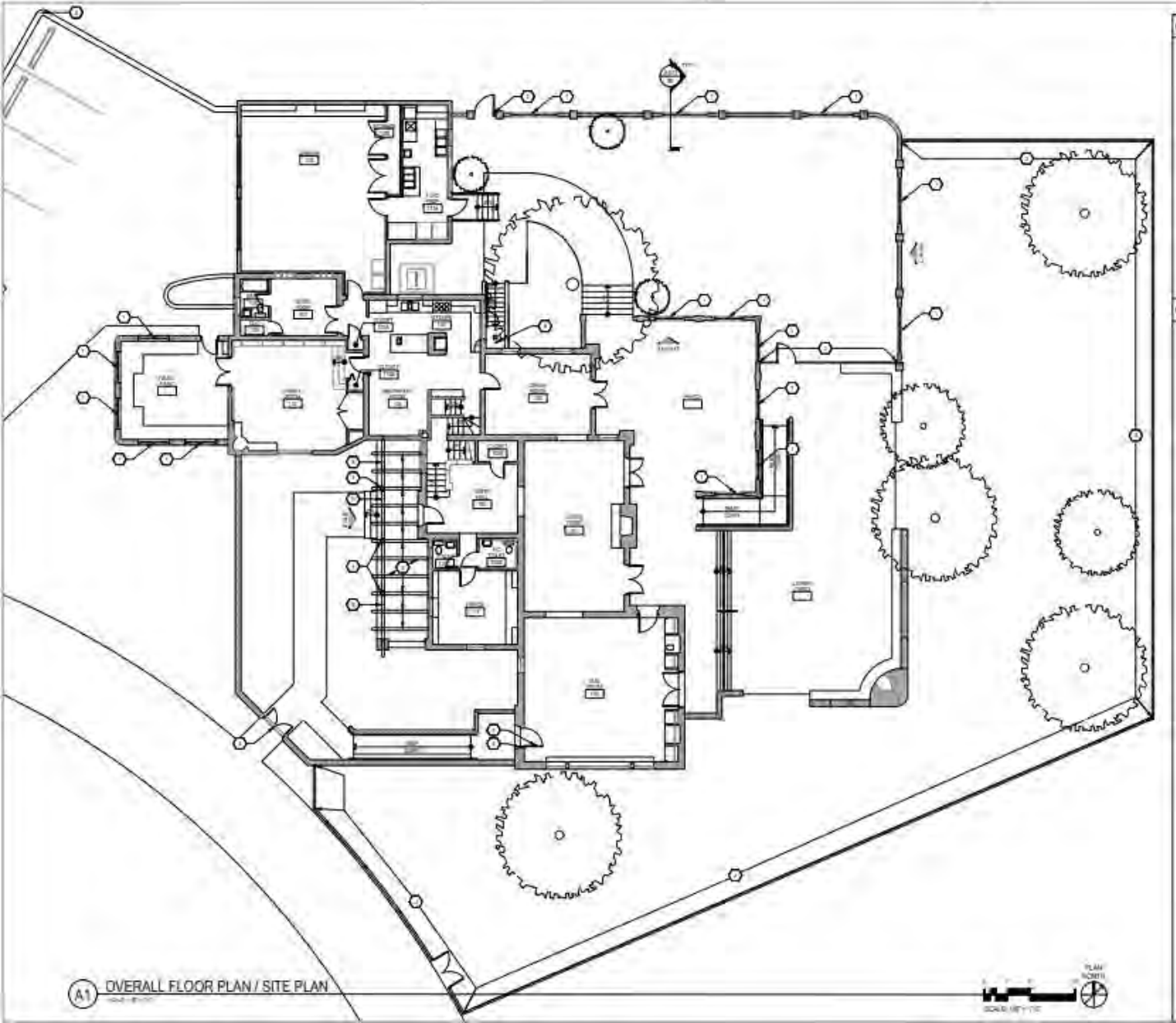
# The University of New Mexico - Central Campus



University House  
Building 51







A1 OVERALL FLOOR PLAN / SITE PLAN

PLAN NORTH  
SCALE: 1/4" = 1'-0"

# TAB 7

#7

Approval of:

- a. Capital Outlay request package for FY 2021-2022. Projects which must be submitted to the Higher Education Department (HED)
  
- b. Five Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on The University of New Mexico Campuses

**MEMORANDUM TO ADVANCE  
COMMITTEE AGENDA ITEM TO  
THE BOARD OF REGENTS  
THE UNIVERSITY OF NEW MEXICO**

**TO:** Members of the Finance and Facilities Committee

**FROM:** James Holloway, Provost & EVP for Academic Affairs  
Teresa Costantinidis, Sr. VP Finance & Administration

**DATE:** April 21, 2021

**RE:** **Capital Outlay Submission to the Higher Education Department**

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**RECOMMENDED ACTION:**

Recommend to the Board of Regents Finance & Facilities Committee the following:

1. Capital Outlay request package for 2021-2022. projects which must be submitted to the Higher Education Department (HED).
2. Five Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on The University of New Mexico Campuses

Thank you for your consideration.

**UNM CAPITAL PLANNING LEADERSHIP TEAM**  
**DRAFT CAPITAL REQUEST PRIORITIES**

4/22/2021

	ESTIMATED PROJECT COST	2022 APPROPRIATION REQUEST	FUNDING TYPE	2021 APPROPRIATION	FUNDING TYPE	MATCHING FUNDS	FUNDING TYPE
<b>1 MAIN CAMPUS CAPITAL REQUESTS</b>							
2 Center for Collaborative Arts & Technology (CCAT)	\$65,000,000	\$65,000,000	GOB	\$0		\$0	
3 Lab Safety Improvements	\$5,000,000	\$4,500,000	STB	\$0		\$500,000	FIN
4 Technology Infrastructure Upgrades	\$3,695,000	\$3,695,000	STB	\$0		\$0	
5 <i>MAIN GOB SUBTOTAL</i>		<i>\$65,000,000</i>					
6 <i>MAIN STB SUBTOTAL</i>		<i>\$8,195,000</i>					
<b>7 MAIN CAMPUS TOTALS</b>	<b>\$73,695,000</b>	<b>\$73,195,000</b>		<b>\$0</b>		<b>\$500,000</b>	
<b>8</b>							
<b>9 HEALTH SCIENCES CENTER CAPITAL PRIORITIES</b>							
10 UNMH Children's Psychiatric Center	\$40,000,000	\$40,000,000	GOB	\$0		\$0	
11 Interprofessional Healthcare Simulation Center (IHSC) Expansion	\$4,000,000	\$4,000,000	STB	\$1,900,000	STB	\$0	
12 Shared Research Equipment for HSC Research Centers	\$1,000,000	\$910,000	STB	\$0	STB	\$90,000	Match
13 <i>HSC GOB SUBTOTAL</i>		<i>\$40,000,000</i>					
14 <i>HSC STB SUBTOTAL</i>		<i>\$4,910,000</i>					
<b>15 HSC CAMPUS TOTALS</b>	<b>\$45,000,000</b>	<b>\$44,910,000</b>		<b>\$1,900,000</b>		<b>\$90,000</b>	
<b>16</b>							
<b>17 BRANCH CAMPUSES</b>							
<b>18 GALLUP CAMPUS</b>							
19 Gurley Hall Student Experience Project	\$8,000,000	\$6,000,000	GOB	\$0		\$2,000,000	Internal
20 <i>GALLUP CAMPUS SUBTOTAL</i>	<i>\$8,000,000</i>	<i>\$6,000,000</i>		<i>\$0</i>		<i>\$2,000,000</i>	
<b>21 LOS ALAMOS CAMPUS</b>							
22 Campus-wide Infrastructure	\$1,810,000	\$1,358,000	GOB	\$800,000	STB	\$453,000	Internal
23 Open Space Design & Upgrade	\$3,000,000	\$2,250,000	GOB	\$0		\$750,000	Internal
24 <i>LOS ALAMOS CAMPUS SUBTOTAL</i>	<i>\$4,810,000</i>	<i>\$3,608,000</i>		<i>\$800,000</i>		<i>\$1,203,000</i>	
<b>25 TAOS CAMPUS</b>							
26 Peralta Hall - Art, Film & Applied Technologies Center Renovation & Addition	\$5,200,000	\$3,900,000	GOB	\$0		\$1,300,000	Internal
27 <i>TAOS CAMPUS SUBTOTAL</i>	<i>\$5,200,000</i>	<i>\$3,900,000</i>		<i>\$0</i>		<i>\$1,300,000</i>	

**UNM CAPITAL PLANNING LEADERSHIP TEAM**  
**DRAFT CAPITAL REQUEST PRIORITIES**

4/22/2021

	ESTIMATED PROJECT COST	2022 APPROPRIATION REQUEST	FUNDING TYPE	2021 APPROPRIATION	FUNDING TYPE	MATCHING FUNDS	FUNDING TYPE
28	<b>VALENCIA CAMPUS</b>						
29	Learning Resource Center Roof & Solar	\$1,200,000	\$900,000	GOB	\$0	\$300,000	Internal
30	<i>VALENCIA CAMPUS SUBTOTAL</i>	<i>\$1,200,000</i>	<i>\$900,000</i>		<i>\$0</i>	<i>\$300,000</i>	
31	<i>BRANCH GOB TOTAL</i>		<i>\$14,408,000</i>				
32	<b>BRANCH CAPITAL REQUEST TOTAL</b>	<b>\$19,210,000</b>	<b>\$14,408,000</b>		<b>\$800,000</b>	<b>\$4,803,000</b>	
33	<i>UNM GOB TOTAL</i>		<i>\$119,408,000</i>				
34	<i>UNM STB TOTAL</i>		<i>\$13,105,000</i>				
35	<b>UNM TOTAL</b>	<b>\$137,905,000</b>	<b>\$132,513,000</b>		<b>\$2,700,000</b>	<b>\$5,393,000</b>	

MAIN CAMPUS



# New Mexico Department of Higher Education

## 2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico							DATE:							4/22/2021		
Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM	1	1	Center for Collaborative Arts and Technology	New center for the colocation of programs including Music and Theater, Art and Technologies, Fine Arts and Photography	2022	Jun-23	Dec-26	X		\$ 65,000,000	X			100%			X		TBD
UNM	2	2	Lab Safety Improvements	Replace failing laboratory controls and integrating with building automation systems, reducing energy consumption and improving lab safety	2022	Jul-22	Jul-24	X		\$ 5,000,000		X	X	99%	1%	BR&R		X	N/A
UNM	3	3	Technology Infrastructure Upgrades	Redesign and upgrade existing campus network backbone. Refresh wireless hardware to meet increasing demand. Classroom Technology Upgrades. CARC Core Computing/Data system replacement and upgrade; CHTM Quantum Materials & Technologies Laboratory	2022	Jul-22	Jul-24		X	\$ 3,695,000		X		100%				X	N/A
UNM		4	Classroom Technology Upgrades (Departmentally Scheduled Classrooms)	Upgrade audio visual systems and computing structure in 50/100 departmentally scheduled classrooms.	2022	Jul-21	Jun-23		X	\$ 825,000		X		100%				X	N/A
UNM		5	Facility Investment Needs (FIN) Repairs & Renovations	Facility Investment Needs (FIN) Repairs & Renovations across campus	2022	Jul-21	Jun-22	X		\$ 10,000,000			X		100%	BR&R		X	TBD
UNM		6	Enterprise Firewall Upgrades for Main Campus	Provide network security for HIPPA, FERPA record compliance	2022	Jul-21	Jul-23	X		\$ 500,000		X		100%					N/A
UNM		7	Modernizing Essential Research Facilities at UNM	CHTM Quantum Materials & Technologies Laboratory; CARC Core Computing/Data Systems replacement and upgrade	2022	Jul-21	Dec-24	X		\$ 1,450,000		X		100%					
UNM		8	Police Department	Renovate Police Department Facilities	2022	Jun-23	Oct-25	X		\$ 8,000,000	X			100%				X	TBD
UNM		9	CFA Basement Restroom Renovation	Renovate Fine Arts Center basement restrooms (B404, B404A, B405)	2022	Jul-21	Dec-24	X		\$ 385,000			X		100%	BR&R		X	



# New Mexico Department of Higher Education

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UNM		10	Campus Drive Improvements	Resurface roadway, improve signage, crosswalks, sidewalks, parking, landscaping and lighting increasing safety for pedestrians, cyclists	2022	Jul-21	Sep-24	X		\$ 1,500,000		X	X	34%	66%	BR&R/Parking Capital Funds		X	N/A
UNM		11	Cloud Infrastructure	Moving services to public cloud provider, to provide additional functionality that is not available on campus.	2022	Apr-21	Oct-24	X		\$ 1,200,000			X		100%	State General Funds		X	N/A
UNM		12	Classroom Technology Upgrades (Departmentally Scheduled Classrooms)	Upgrade audio visual systems and computing structure in 50/100 departmentally scheduled classrooms.	2022	Jun-23	Jul-24		X	\$ 825,000		X		100%				X	N/A
UNM		13	UNM Duck Pond	Improve safety and reduce maintenance costs by relocating and replacing pump house, pumps, and filters, replacing bridge and increasing depth	2022	Jul-22	Jul-24	X		\$ 7,500,000		X		100%				X	N/A
UNM		14	Well and Reservoir	Build a second well and reservoir to provide redundancy in domestic water supply for campus and improved fire safety	2022	Jul-22	Jul-24	X		\$ 5,000,000		X		100%			X		N/A
UNM		15	Campus Integrated Controls Upgrade	Upgrade controls to improve integration of units on campus	2022	Jul-21	Dec-24	X		\$ 500,000			X		100%	Sustainability Surcharge		X	N/A
UNM		16	Student Family Housing	Replace existing student family housing	2022	Apr-22	Jan-26	X		\$ 40,000,000			X		100%	State General Funds	X		TBD
UNM		17	Children's Campus	Renewal and expansion of the UNM Children's Campus	2022	Apr-22	Dec-25	X		\$ 14,902,000			X		50%	UNMH Capital Funds		X	TBD
UNM		18	Residential Life & Student Housing	Improve facilities to accommodate student needs	2022	Jul-22	Jul-23		X	\$ 1,000,000			X		100%	Housing Capital Funds		X	TBD
UNM		19	Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2022	Jul-22	Jul-23		X	\$ 300,000			X		100%	Chartwell Capital Funds		X	N/A
UNM		20	Track/Soccer Stadium	Develop a stadium for track and soccer teams	2022	Jul-22	Dec-25		X	\$ 400,000		X		100%			X		TBD





# New Mexico Department of Higher Education

## 2021 Summer Hearing - Five Year Capital Project Funding Plan

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UNM			Faculty/Staff Workstation Refresh - Main Campus	Annual computer refresh for faculty/staff. (5,346 Main faculty/staff count, Fall 2018 - 4 year refresh)	2023	Apr-23	Jul-24	X		\$ 2,005,000		X		100%				X	N/A
UNM			Las Lomas Road Improvements	Resurface roadway, improve signage, crosswalks, sidewalks, parking, landscaping and lighting increasing safety for pedestrians, cyclists	2023	Jul-23	Aug-25	X		\$ 1,200,000		X		100%				X	N/A
UNM			North Campus Plant	Construct a second plant interconnected to the existing District Energy System to supply additional heat, power, and cooling throughout campus	2023	Jun-24	Dec-27	X		\$ 20,000,000	X			100%			X		TBD
UNM			Chiller Expansion	Add chiller capacity for projected new building expansion.	2023	Jul-23	Oct-25	X		\$ 2,000,000		X		100%				X	TBD
UNM			Gas Turbine Overhaul	overhaul of existing gas turbine	2023	Jul-23	Dec-24	X		\$ 2,000,000			X		100%	Sustainability Surcharge		X	N/A
UNM			Sewer System Upgrades	Civil infrastructure; correction of Sanitary and Storm Sewer Systems issues.	2023	Jul-23	Sep-25	X		\$ 1,000,000		X						X	N/A
UNM			Campus Integrated Controls Upgrade	Upgrade controls to improve integration of units on campus	2023	Jul-23	Dec-24	X		\$ 500,000			X		100%	Sustainability Surcharge		X	N/A
UNM			UNM Championship Infrastructure	Repair of access roads and cart paths, replacement pump stations and reclaimed water infrastructure, New irrigation and irrigation storage improvements, Landscape restoration, tree replacement and tee improvements	2023	Apr-23	Oct-26	X		\$ 5,579,000			X		100%	State General Funds		X	N/A
UNM			Student Union Building Roof Replacement	Replacement of the remaining portion of the SUB roof	2023	Jul-23	Jun-25	X		\$ 1,250,000			X		100%	Minor Capital		X	N/A
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs	2023	Jul-23	Jul-24		X	\$ 1,000,000			X		100%	Housing Capital Funds		X	TBD
UNM			Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2023	Jul-23	Jul-24		X	\$ 300,000			X		100%	Chartwell Capital Funds		X	N/A



# New Mexico Department of Higher Education

## 2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico							DATE:							4/22/2021		
Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM			Olympic Sport Training Center		2023	Jul-24	Jun-26		X	\$ 700,000			X		100%	State General Funds	X		TBD
UNM			Football Practice Facilities	Resod football practice fields, replace indoor practice facility turf	2023	Jul-24	Dec-24	X		\$ 1,200,000			X		100%	State General Funds			N/A
UNM			Centrally Scheduled Classroom Modernization	Upgrade and refresh audio/visual systems that are no longer under warranty, continue to add lecture capture capability to medium sized classrooms.	2024	Jul-24	Aug-25	X		\$ 1,000,000		X		100%				X	N/A
UNM			Johnson Field Improvements	Update irrigation system to provide water savings, install new lighting, turf, shade trees and a walking path	2024	Jul-24	Aug-25	X		\$ 4,000,000		X		100%				X	N/A
UNM			New Well & Reservoir on North Campus	new well and reservoir on north campus	2024	Jul-24	Dec-26	X		\$ 15,000,000	X			100%			X		TBD
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs	2024	Jul-24	Dec-26	X		\$ 1,500,000			X		100%	Housing Capital Funds	X	X	TBD
UNM			Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2024	Jul-24	Dec-26	X		\$ 250,000			X		100%	Chartwell Capital Funds		X	TBD
UNM			Softball	Title XI improvements	2024	Jul-24	Dec-26	X		\$ 500,000		X		100%				X	TBD
UNM			PIT	TV System, Water Soften System, HVAC Equipment Update	2024	Jul-24	Dec-25	X		\$ 420,000		X		100%				X	N/A
UNM			Men's & Women's Basketball	Men's Equipment upgrades and team study area; Women's locker Rm improvements and office enhancements	2024	Jul-24	Jun-26	X		\$ 300,000		X		100%				X	TBD
UNM			Psychology Department Facility Upgrade	Renovation of Sara Reynolds Hall for Psychology Clinic	2025	Jul-26	Jun-27	X		\$ 2,000,000		X		100%				X	TBD
UNM			Gas Turbine Overhaul	overhaul of existing gas turbine	2025	Jul-25	Jun-26	X		\$ 2,000,000			X		100%	Sustainability Surcharge		X	N/A
UNM			Popejoy Hall Stage Expansion	Renovation of Popejoy Hall stage for expansion.	2025	Jul-25	Jul-27	X		\$ 10,000,000			X		100%	Donor/Fundrasing		X	TBD
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs	2025	Jul-25	Jun-26	X		\$ 1,000,000			X		100%	Housing Capital Funds		X	TBD



# New Mexico Department of Higher Education

## 2021 Summer Hearing - Five Year Capital Project Funding Plan

**INSTITUTION:**

The University of New Mexico

**DATE:**

4/22/2021

Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM			New Golf Team Facility	Men's and Women's Golf Team Facility	2025	Dec-25	Jun-27	X		\$ 700,000		X		100%			X		TBD
UNM			Women's Soccer Locker Room	Create a women's soccer locker room within Robertson	2025	Jul-25	Dec-26	X		\$ 500,000		X		100%				X	TBD
UNM			PIT	Main roof recoating	2025	Jul-25	Dec-26	X		\$ 1,000,000		X		100%				x	N/A

**GALLUP BRANCH CAMPUS**



# New Mexico Department of Higher Education

## 2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Gallup Campus							DATE:						4/21/2021			
Institution acronym	FY21 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-G	1	1	Gurley Hall Student Experience Project	To improve and renovate Gurley Hall to support student experience and success with renovations, space reorganization, and campus improvements, including study areas, food service, and increased access to support programs.	2022	Jun-22	Oct-24	X		\$ 6,000,000	X		X	75%	25%	Equity - Campus	X		111,414
UNM-G		2	Facility Repair and Renewal	Repair existing facilities to comply with ADA and safety codes	2023	Jul-23	Aug-24		X	\$ 1,875,000		X	X	75%	25%	Equity - Campus		X	N/A
UNM-G		3	Facility Repair and Renewal	Repair existing facilities and necessary upgrades to achieve peak energy efficiency and appropriate safe educational environments that building Renewal and Repair isn't enough to complete	2024	Jul-23	Aug-24		X	\$ 1,125,000		X	X	75%	25%	Equity - Campus		X	N/A

LOS ALAMOS BRANCH CAMPUS



## New Mexico Department of Higher Education

### 2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Los Alamos Campus													4/21/2021			
Institution acronym	FY21 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-LA	1	1	UNM-LA Campuswide Infrastructure Renovations	This project addresses key campus wide needs related to safety & security and energy efficiencies. Renew, replace, and upgrade LED lighting, HVAC equipment and controls, and building envelopes in all eight buildings, and increase solar capacity for the Los Alamos campus. The project will also include the planning, design and replacement of the existing alarm and camera systems and to purchase and install a new keyless access control system campus wide.	2022	Jul-22	Dec-24	X		\$ 1,810,000	X			75%	25%	Local tax funds and Performance Contract		X	TBD
UNM-LA		2	UNM-LA Open Sapce Design and Upgrade	Design, repair, renovate, and develop open space on campus, including access, wayfinding, and parking areas to meet safety and ADA campus environs standards for land improvements controlled by UNM-LA.	2022	Jul-22	Dec-24	X		\$ 3,000,000	X			75%	25%	Local tax funds	X	X	N/A
UNM-LA		3	UNM-LA Campuswide Infrastructure Renovations	Renew, replace and upgrade existing facilities infrastructures at the Los Alamos Campus to provide energy savings, extend the life of the facilities, address ADA requirements and building safety issues, focusing on Buildings 1, 2, and 7.	2023	Jul-23	Dec-25	X		\$ 1,300,000		X		75%	25%	Local tax funds		X	TBD

TAOS BRANCH CAMPUS





# New Mexico Department of Higher Education

## 2020 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Taos Campus														4/21/2021		
Institution acronym	FY20 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-T	1	1	Peralta Hall - Art, Film & Applied Technologies	Revonations, addition and facility upgrades (including Fire Supression System, HVAC System, Envelope systems)	2022	Jul-22	Dec-25	X		\$ 5,200,000	X			75%	25%	local tax funds	X	X	TBD
UNM-T		2	Campus-wide Facility Safety & Infrastructure Upgrades	Facilities infrastructure (HVAC, Access Control, Lighting, Surveillance)	2023	Jul-23	Aug-26	X		\$ 3,000,000		X		75%	25%	local tax funds		X	N/A
UNM-T		3	Center for Career and Technical Education - Vocational Building	Plan, design, construct, equip and furnish a Vocational Building Facility to provide Construction, Automotive and other trades training.	2024	Jul-24	Dec-27	X		\$ 5,500,000	X			75%	25%	local tax funds	X		15,000
UNM-T		4	Facilities Management & IT Building	Plan, design, engineer, site develop, construct, equip and furnish a facility for the Facilities Management and IT Departments.	2025	Jul-25	Dec-27	X		\$ 4,000,000		X		75%	25%	local tax funds		X	Upgrades
UNM-T		5	Bataan Hall Event Center Renovations	Renovations and facility upgrades (including Fire Suppression System, HVAC, Eletrical, Plumbing and Envelope upgrades)	2026	Jul-26	Aug-28	X		\$ 2,000,000	X			75%	25%	local tax funds		X	TBD

VALENCIA BRANCH CAMPUS



# New Mexico Department of Higher Education

## 2020 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Valencia Campus										4/6/2020						
Institution acronym	FY20 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-V	1	1	Learning Commons Resource Center Renewal/Remodeling	Library Tutoring and Commons Renovation	2021	Jul-21	Dec-23	X		\$ 800,000			X	0%	100%	Local funds		X	N/A
UNM-V		2	Sustainability Improvements	Replace high water use plumbing fixtures /Install building integrated photovoltaic (BIPV) solar modules at existing facilities	2022	Jan-21	Jun-23	X		\$ 750,000			X	0%	100%	Local funds		X	N/A

HEALTH SCIENCES CENTER

# UNM Capital Planning Committee Funding Requests

## UNITS Five Year Capital Project Funding Plan

CPLT Subcommittee		Health Sciences Center							DATE:		4/29/2021	
Department making request	Subcommittee Priority #	Project Title	Description	Will this project be phased? Please describe	Total Cost of Project or Phase	STB GOB or GF	% State Funding Request	% Other Funding Request	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
<b>YEAR 1</b>		<b>2022 (GOB Year)</b>										
UNMH	1	UNM Children's Psychiatric Center	Plan, demolish, design, construct, renovate and equip a replacement center for UNMH Children's Psych Center. Services to meet high patient demand and bring new technologies to New Mexico children.	No	\$ 40,000,000	GOB	100%	0%	State	X	X	24,500
UNM HSC	2	Interprofessional Healthcare Simulation Center (IHSC) Expansion Project	Expand the footprint of the IHSC to be able to have more space for simulation exercises, including debriefing rooms and skills lab space.	No	\$ 4,000,000	STB	100%	0%	State	X		10,000
UNM HSC	3	Shared Research Equipment for HSC Research Centers	Purchase, installation, and programming of shared research equipment to serve multiple areas across the School of Medicine and HSC.	No	\$ 1,000,000	STB	90%	10%	HSC Departmental Capital Funds			N/A
UNMH	4	Crisis Triage Center	In collaboration with Bernalillo County, plan, design, and renovate existing space the Adult Psychiatric Campus for use as a Crisis Triage Center. Project will include two patient wings with ten patient beds each, support service areas, law enforcement entrance and modifications required to meet the most current code requirements.	No	\$ 1,300,000	N/A	0%	100%	UNMH Capital Funds		X	TBD
UNM HSC	5	Fitz Hall Assessment/Upper Plaza Remediation	Conduct a detailed survey of the functions/units occupying the 'basement' areas directly under the UNM HSC Upper Plaza. Develop plans and options that would allow access to renovate the College of Pharmacy and Fitz Hall buildings.	Yes	\$ 500,000	N/A	0%	100%	HSC Capital Funds		X	TBD
UNM HSC	6	Update Fiber Network for North Campus Phase 1	Design, program and equip Phase 1 of the fiber network update on the north campus for Bldgs.200, 201,205, 211,227,234,260 to provide better Data infrastructure for students, staff faculty for the HSC.	Yes	\$ 1,000,000	N/A	0%	100%	UNMH and HSC Capital Funds		X	N/A
UNMH	7	UNM Hospital facilities renewal, repairs, replacement	Funding for facilities, utilities, infrastructure and differed maintenance projects for the UNM Hospital.	No	\$ 15,000,000	N/A	0%	100%	UNMH Capital Funds		X	N/A
<b>YEAR 1 TOTAL</b>					<b>\$ 62,800,000</b>							
<b>2022 STATE FUNDING REQUEST TOTAL</b>					<b>\$ 45,000,000</b>							
<b>YEAR 2</b>		<b>2023 (STB Year)</b>										
UNMH	8	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care	No	\$ 6,000,000	STB	TBD	TBD	UNMH		X	TBD
UNM HSC	9	Administration Building	Provide space for expansion of clinical, research and education administration. All Units of the UNM HSC feel the need for additional administrative space - primarily faculty and staff offices, conference space and could include public/private partnership options commercial amenities.	Yes	TBD	N/A	0%	100%	HSC/UNMH Capital and Public/Private Partnership	X		TBD
UNM HSC	10	Low Cost HSC Graduate Student Housing	Provide close proximity and affordable housing for students in the health professions due to their challenging schedules	No	TBD	N/A	TBD	TBD	Public/Private Partnership	X		TBD
UNMH	11	Adult Behavioral Health Facility	Design, construct, and equip a modern adult behavioral health medical facility to completely replace the current structure.	No	TBD	N/A	50%	50%	50% UNMH Capital	X		60,000
UNM HSC/ UNMH	12	UNM HSC and UNMH Data Center	Program, Design, construct, and equip a new data center to serve UNM North	No	\$ 27,000,000	N/A	0%	100%	HSC/UNMH Capital Funds	X		TBD
UNM HSC	13	Update Fiber Network for North Campus Phase 2	Design, program and equip Phase 2 of the fiber network update on the north campus for Bldgs.200, 201,205, 211,227,234,260 to provide better Data infrastructure for students, staff faculty for the HSC.	Yes	\$ 1,000,000	N/A	0%	100%	HSC/UNMH Capital Funds		X	N/A
<b>YEAR 2 TOTAL</b>					<b>\$ 34,000,000</b>							
<b>2023 STATE FUNDING REQUEST TOTAL</b>					<b>\$ 6,000,000</b>							
<b>YEAR 3</b>		<b>2024 (GOB Year)</b>										
UNM HSC	14	Renovate existing Pharmacy Building	This building is 41 years old and building systems require extra maintenance. To continue to maintain is becoming cost prohibitive. Renovation will include wet and dry labs and faculty and staff offices.	No	\$ 43,816,000	GOB	100%	0%	State		X	96,300
UNM HSC	15	Domenici Hall Expansion Phase III	Construct an addition to Domenici Hall to study and treat brain disorders such as Alzheimer's, autism, epilepsy, fetal alcohol syndrome, mental illness, stroke and trauma.	Yes 3 of 3 phases	\$ 5,510,000	STB	100%	0%	State	X		11,600
UNM HSC	16	Parking Structure / Central Utility Plant (M Lot)	Plan, design, construct, and equip a new 6 floor parking structure and Central utility plant (CUP) in the M-Lot to serve North campus visitors, students, staff, faculty and buildings.	No	TBD	N/A	0%	100%	TBD	X		370,000
<b>YEAR 3 TOTAL</b>					<b>\$ 49,326,000</b>							

# UNM Capital Planning Committee Funding Requests

## UNITS Five Year Capital Project Funding Plan

CPLT Subcommittee		Health Sciences Center							DATE:			4/29/2021		
Department making request	Subcommittee Priority #	Project Title	Description	Will this project be phased? Please describe	Total Cost of Project or Phase	STB GOB or GF	% State Funding Request	% Other Funding Request	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)		
<b>2024 STATE FUNDING REQUEST TOTAL</b>					<b>\$ 49,326,000</b>									
<b>YEAR 4</b>		<b>2025 (STB Year)</b>												
UNM HSC	17	Health & Educational Careers Facility and Equipment	Partnering with both UNM Hospitals and Sandoval Regional Medical Center to grow the professional healthcare workforce by coordinating with Central New Mexico Community College, Bernalillo County, Sandoval Health Collaborative, and secondary education institutions in Central New Mexico. The workforce positions needed include Nurse, Physical Therapy, Occupational Therapy to name a few.	No	\$ 10,000,000	STB	100%	0%	State	X		N/A		
<b>YEAR 4 TOTAL</b>					<b>\$ 10,000,000</b>									
<b>2025 STATE FUNDING REQUEST TOTAL</b>					<b>\$ 10,000,000</b>									
<b>YEAR 5</b>		<b>2026 (GOB Year)</b>												
UNM HSC	18	Cancer Research Building	Plan, design, construct, and equip a new 3 floor Cancer Research Building to serve Cancer research programs as well as promote collaboration with various programs across the HSC	No	\$ 45,000,000	GOB	100%	0%	State	X		120,000		
UNM HSC	19	Re-purpose, remodel old UNM Hospital for teaching/faculty offices	Some of the 1954, 1966 and 1977 in-patient and operating facilities, can be renovated to provide intermediate behavioral health services, teaching and research space for patients, faculty, students and staff	No	\$ 25,000,000	N/A	100%	0%	TBD		X	TBD		
UNM HSC	20	Parking Structure w/Retail at road - Phase 1 of 3	Plan, design, construct, and equip a new 5 floor parking structure and with retail on University Boulevard to serve North campus visitor, students, staff, faculty and buildings.	No	TBD	N/A	0%	100%	TBD	X		350,000		
<b>YEAR 5 TOTAL</b>					<b>\$ 70,000,000</b>									
<b>2026 STATE FUNDING REQUEST TOTAL</b>					<b>\$ 45,000,000</b>									

**TOTAL Projects \$ 226,126,000**  
**TOTAL STATE REQUESTED FUNDING FOR PROJECTS \$ 155,326,000**

# TAB 8

**#8**

Approval of Lobo Energy, Inc. Board Member Nominations



Lobo Energy, Incorporated

April 15, 2021

Teresa Costantinidis  
Senior Vice President for Finance & Administration  
The University of New Mexico MSC 05-3350  
Albuquerque NM 87131-0001

**RE: Appointment to Lobo Energy, Inc. Board of Directors**

Dear Senior Vice President Costantinidis:

The Lobo Energy Board of Directors seeks to re-appoint a public member. Article IV, Section 1 of the Lobo Energy Bylaws states, "The public members shall be appointed by the Board of Regents." It is also stated in Article IV, Section 1 that "Each public member may serve more than one term." Jon Word has indicated that he is willing to serve a seventh term as a public member. His sixth term of service expired on April 11, 2021.

Per the attached Bio, Mr. Word is a twenty-five-plus-year veteran of the paging, cellular phone and wireless telecommunications industries. He is currently President and C.E.O. of SelectPath, Inc., a wireless service provider, paging carrier, and tower management company. Contact Wireless, a SelectPath, Inc. subsidiary, is a paging carrier that provides service in New Mexico, Colorado and Texas. Another SelectPath subsidiary, Wireless Repair, Inc., provides repair and refurbishment services for wireless companies nationwide. From 1985 to 1991, Mr. Word was the co-founder, Director and President of Cellular Information Systems, Inc. (CIS), which is one of the nation's top 20 cellular telephone providers.

In addition to serving on the Lobo Energy Board, Mr. Word serves on other Boards. From September 1998 until September 2008, and again from 2011 until present, Mr. Word has served on the Albuquerque International Airport Board. He served as Chairman of that Board for five years. From 1994 to 2003 he served as Chairman of the New Mexico Mortgage Finance Authority (MFA) Board. He also served on the Wells Fargo Bank Leadership Council from 1995 to 1998 and has served on the Regional Board of Directors for Boys Scouts of America.

Mr. Word's Bio provides further details about his career and service to the community.

Please bring this nomination before The University of New Mexico Board of Regents' Finance & Facilities Committee at its May 04, 2021 meeting for approval.

Sincerely,

A handwritten signature in cursive script that reads "Jason Strauss".

Jason Strauss  
President/CEO

Enclosure



## **JON D. WORD**

### **Bio**

Jon D. Word, a twenty plus year veteran of the paging, cellular phone and wireless telecommunications industries, is currently President and CEO of SelectPath, Inc., a wireless service provider, paging carrier, and tower management company. Contact Wireless, a SelectPath subsidiary is a paging carrier that provides service in the States of New Mexico, Colorado and Texas. Another SelectPath subsidiary, Wireless Repair, Inc. provides repair and refurbishment services for wireless companies nationwide. SelectPath employs over 45 people in their three offices.

In September 1998, the Mayor of Albuquerque, Jim Baca appointed Mr. Word to a 4-year term to the Albuquerque International Airport Board of Directors. In 2006 Mayor Martin Chavez reappointed Word for a third 4-year term. In 1999 he was elected Chairman and served five of his eight years on the Board as its Chairman.

In June 1998, Mr. Word was appointed and still serves as a Director of Lobo Energy, a utilities corporation formed to supply all energy needs to the University of New Mexico system.

From 1994 to 2003 Mr. Word served as Chairman of the New Mexico Mortgage Finance Authority (MFA) Board of Directors. The MFA is a non-profit government enterprise formed to provide mortgage loans to low income New Mexico residence. This position was one of four on the board that is appointed by the Governor. The MFA's board directs and oversees the \$1 billion assets of the MFA; the state's largest housing finance provider for low-income New Mexicans.

Mr. Word has previously served on the Wells Fargo Bank Leadership Council from 1995 to 1998. This Committee was formed as an advisory committee to the bank's senior management to review new product and service offerings. From 1993 to 1998, Mr. Word also served as a Director of Specialty Teleconstructors, Inc., an Albuquerque-based publicly traded telecommunications construction company which operates throughout the United States.

From 1985 - 1991, Mr. Word was the co-founder, Director, and Executive Vice President of Cellular Information Systems, Inc. (CIS) one of the nation's top 20 cellular telephone providers. During this period, Mr. Word founded CIS and expanded its operations from a local company in Lubbock, Texas to 26 operations in 13 states and over 250 employees. While at CIS, Mr. Word was responsible for all day-to-day company operations including the company's financing and acquisition activity. In 1989, the company made an initial public offering and at the time of Mr. Word's departure in 1991, the company had a public market value of over \$200 million dollars.

From 1984 - 1985, Mr. Word worked as an account executive for Picker International. At the time of his departure Mr. Word was ranked number three in sales for North America. During his entire two-year tenure he was consistently among the top 10 sales associates and was credited with over \$12.5M in sales.

Mr. Word holds a B.S. degree in Engineering Technology from Texas A & M University. While in college Mr. Word played on the varsity football team, owned and operated a flight school, was active as a Board member of the school's Flying Club and started and operated other small businesses including the design and marketing of a specialty board game which still sells today.

Mr. Word was elected Who's Who in Denver Telecommunications in 1990, Who's Who in Telecommunications in 1996, and to Outstanding Young Men in America in 1985. Mr. Word has participated in many community benefit activities. These include Young Presidents Organization (YPO); Regional Board of Directors for Boy Scouts of America; S.C.O.R.E. founder, a program to promote a drug free Albuquerque; and APS-CG, an annual golf tournament to raise money for Albuquerque mid-school sports. Mr. Word is also an active pilot and an Eagle Scout. Mr. Word has one son, Kyle, age fifteen, and one daughter, Taylor, age thirteen.



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Lobo Energy, Incorporated

April 15, 2021

Teresa Costantinidis  
Senior Vice President for Finance & Administration  
The University of New Mexico  
Scholes Hall, Room 111 MSC 05-3350  
Albuquerque, New Mexico 87131-0001

**RE: Appointment to Lobo Energy, Incorporated Board of Directors**

Dear Senior Vice President Costantinidis:

The Lobo Energy, Inc. Bylaws state, "The public members shall be appointed by the Board of Regents." The UNM Board of Regents appointed Bruno E. Carrara to serve his first four-year term as a public member on the Lobo Energy Board of Directors at its December 2009 meeting, pursuant to Article IV, Section 1 of the Lobo Energy Bylaws. Mr. Carrara's third term expires December 10, 2021. He has indicated that he is willing to serve a fourth four-year term on the Lobo Energy Board, if appointed by the Board of Regents.

Attached is a resume for Mr. Carrara which details his vast experience. We would appreciate it if you would bring this nomination before UNM Board of Regents' Finance and Facilities Committee at its meeting on May 04, 2021 for approval.

Sincerely,

A handwritten signature in cursive script that reads "Jason Strauss".

Jason Strauss  
President/CEO

Enclosure

**VITA**  
**Bruno Eugene Carrara, P.E.**

Mr. Carrara received an M.S. degree in Mechanical Engineering from the University of New Mexico, and is a graduate of the Program from Management Development at Harvard Business School. Mr. Carrara is a lifetime member of Sigma Tau and Pi Tau Sigma, engineering honorary societies. He has been a Registered Professional Mechanical Engineer in New Mexico since 1976, and has been a long-time member of the National Society of Professional Engineers and of the American Society of Professional Engineers. Mr. Carrara has published several documents and has prepared expert testimony in local, national, and foreign jurisdictions.

Mr. Carrara has nearly 50 years of experience in all areas of electric and natural gas utility management and operation. At the Public Service Company of New Mexico (PNM), his career included executive responsibility for power plant and natural gas pipeline construction projects; power plant and transmission planning, siting and environmental permitting; alternative energy and conservation development; customer service system development for natural gas and electric distribution; rate development and government affairs; and natural gas purchase and fuel supply contracts. Mr. Carrara also spent several years in PNM's non-utility subsidiaries as an officer involved in coal mining, real estate, banking and savings and loan, and manufacturing ventures.

Mr. Carrara retired from PNM after 25 years and formed a one-man company, specializing in domestic and international work in the energy field, consulting mostly for major US utility holding companies that were establishing foreign operations in eastern Europe, including the former Soviet Union and the Balkan region, Turkey and the Caucasus region. Mr. Carrara and his associates, through partnership arrangements with local entities, were responsible for preparing responses to government energy project tenders, conducting negotiations, and arranging the financing for the projects. He also represented private equity owners in various capacities in energy-related projects including a small oil-exploration and production venture and a medium-sized regional electric distribution system in Turkey. His assignments brought him in contact with senior energy policy and government officials in the various countries, often advising on how to liberalize and deregulate their energy infrastructure. His last major assignment before returning to the US was as principal construction advisor on a liquefied natural gas (LNG) receiving terminal privately being built in Turkey. Mr. Carrara also arranged for the short term LNG supply for the private entity.

In 2003, Mr. Carrara became New Mexico's Statutory Pipeline Safety Engineer and head of the Pipeline Safety Bureau of the NM Public Regulation Commission. As Pipeline Safety Engineer, he and his staff had responsibility for inspection of intrastate natural gas and hazardous liquid pipeline operators for compliance with federal and state safety standards, and also for enforcement of NM's excavation damage prevention law for all underground utilities. The NM Pipeline Safety Program gained regional and national reputation under Mr. Carrara's leadership for innovative and effective enforcement methods.

Early in 2010, Mr. Carrara accepted a position with the US Department of Transportation, Pipeline and Hazardous Material Safety Administration's Houston office, as an accident investigator and pipeline safety inspector.

Later in 2010, Mr. Carrara returned to New Mexico and accepted the position of Bureau Chief for the Electrical Engineering Bureau of the Utility Division of the New Mexico Public Regulation Commission. He subsequently was selected as the Utility Division Director, and also served for a short period as the Interim Chief of Staff for the Commission. The Utility Division is involved in every manner of service and rate regulation of New Mexico's investor owned electric utilities, rural electric cooperatives, telecommunications service providers, natural gas utilities, and certain public water and wastewater utilities. The Division conducts investigations into quality of service matters and analyzes utility requests for rate adjustments, requests for approval of new facilities such as generation and transmission resources, and requests for the acquisition of renewable energy and energy efficiency resources. As an expert witness, Mr. Carrara presented written and oral testimony before hearing examiners and the Commission. During his time with the Commission, Mr. Carrara was an Advisory Board Member for the Peak Reliability for the Western Interconnection and represented the Commission on the Western Governors Association's Western Interstate Energy Board.

Mr. Carrara is a past member of the governing boards of the Security and Integrity Foundation of the American Public Gas Association and of several local charitable organizations. He is active in the local professional engineering chapters.

Mr. Carrara retired in December, 2016, and maintains an active interest in utility and energy matters.



Lobo Energy, Incorporated

April 15, 2021

Teresa Costantinidis  
Senior Vice President for Finance & Administration  
The University of New Mexico  
Scholes Hall, Room 111 MSC 05-3350  
Albuquerque, New Mexico 87131-0001

**RE: Appointment to Lobo Energy, Incorporated Board of Directors**

Dear Senior Vice President Costantinidis:

The Lobo Energy, Inc. Bylaws state, "The public members shall be appointed by the Board of Regents." The UNM Board of Regents appointed Thomas J. Ruiz to serve his first four-year term as a public member on the Lobo Energy Board of Directors at its August 14, 2001, meeting, pursuant to Article IV, Section 1 of the Lobo Energy Bylaws. Mr. Ruiz' has served five terms; his fifth term expires August 16, 2021. Mr. Ruiz has indicated that he is willing to serve a sixth four-year term on the Lobo Energy Board, if appointed by the Board of Regents.

Per the attached resume, Mr. Ruiz was employed in the Bernalillo County District Court for 25 years. He was the Court Administrator for 22 years, overseeing a \$15.2 million budget, \$22.0 million in trust funds and a 260-person staff in three locations. During the last 14 years he was with the Court, the responsibilities of Clerk of the Court were added to his duties. He has broad administrative experience. Since his retirement in 2000, Mr. Ruiz has been the Operation Manager of the Downtown Action Team, as well as a real estate broker. He has been very active in community affairs over the years. He is currently the CEO of Today's Restoration DKI.

Please bring this nomination before The University of New Mexico Board of Regents' Finance & Facilities Committee at its May 04, 2021 meeting for approval.

Sincerely,

A handwritten signature in cursive script that reads "Jason Strauss".

Jason Strauss  
President/CEO

Enclosure

THOMAS J. RUIZ

P. O. BOX 6035  
ALBUQUERQUE, N.M. 87197-6035  
505 332-1142 (Res.)  
505 220-2480 (Mob)  
E-mail: thomasjrui@uswest.net

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## ADMINISTRATIVE/MANAGEMENT SUMMARY

- Court executive working as an advocate for the court, with strengths in technology, human resource area, government relations, finance, and communication.
- Strength in government relations and providing testimony to state and local government bodies, drafting legislation, developing and implementing strategies for successful passage of legislation.
- Recognized as a consensus builder; able to move issues through complex and contentious stages to practical solutions.
- Recognized by the judicial, legal, and management community as a creative, innovative leader.
- Skilled and trained in decision making, strategic planning, detailed budgeting and financing abilities.
- Able to apply statutory and case law requirements to projects, problems and issues.
- Expertise in construction management, conducted pre-bid meetings, developed scope of work for contracts, negotiated contract price and finalized contract language; developed RFQ's and RFP's for District Court contracts.

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## PROFESSIONAL EXPERIENCE

2000/2001

### **DOWNTOWN ACTION TEAM**

**Operation Manager** of the first Business Improvement District in the State of New Mexico

**REAL ESTATE BROKER** with Allied Realty, Inc.

1978/2000

### **SECOND JUDICIAL DISTRICT COURT**

**Court Administrator** of largest judicial district in the State of New Mexico

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- 
- CEO and chief spokesperson for a court of five specialized divisions with a \$15.2 million budget, \$22.0 million in trust funds and a 260-person staff organization, with oversight of three locations.
  - Led the development of new programs, including creation and implementation that have had a positive impact on the judiciary and the community; developed financing strategies for three new court buildings and their renovation at a combined cost of \$122.0 million.
  - In depth knowledge of Human Resource area and Human Resource law.
  - Court representative on policy/ technical issues for numerous Local, Statewide and National Committees dealing with state-wide case management system and individual district court technical issues.

1986 to 2000

#### CLERK OF THE COURT

- Accepted administrative oversight of clerk's office to ensure compliance with court rules and statutory requirements; current active caseload is approximately 68,000.
- Created training manuals that increased morale and decreased employee turnover.
- Implemented the first automated computer system in the District that provided a secure and expeditious method of monitoring and handling our continually increasing caseload.

1975 to 1978

#### COURT FINANCIAL SPECIALIST

- Governmental accounting of accounts payable and receivable.
- Assisted in the development of fiscal reports and interpretive presentations on spending patterns.
- Purchasing agent for the court and Juvenile Detention Center which included office and food supplies.

1970 to 1975

#### BUSINESS OWNER

- Construction with cost estimating, bidding, scheduling, and supervising of projects.
  - General office management of finances and personnel.
  - Compliance with OSHA and state regulations.
-

- 
- Current holder of New Mexico Real Estate Brokers license.
- 

## EDUCATION

Albuquerque Technical and Vocational Institute  
Business Management  
University of New Mexico  
Robert O. Anderson School of Business  
Dearborn Financial Institute  
Real Estate

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## SELECTED ACCOMPLISHMENTS

- Bilingual in Spanish.
  - Authored professional articles for publication in the areas of the judiciary, management and technical issues.
  - Member of team that revised State Court Personnel Rules and Compensation Scales.
  - Member of team that envisioned and formulated Metropolitan Court for three court systems of county, state, and municipal.
  - Member of committee to establish Rozier E. Sanchez Judicial Educational Training Center.
  - Chairman of Statewide Computer Project that oversees case management, electronic filing, realtime court reporting and Differentiated Case Management.
  - Built consensus for Court security and implemented system which was a politically sensitive issue.
  - Assisted with design and development of Juvenile Justice Center, District Courthouse, Bernalillo County Detention Center, District Attorney's Office and public remodel projects.
  - Consultant for Justice Management Institute and Cosgrove Production for television.
  - Listed in International Who's Who of Professionals; 1999
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## PUBLIC SPEAKING

- National Center for State Courts, National Association of Court Managers, National Court Reporters Association, University of New Mexico Graduate Class, Rozier E. Sanchez Judicial Training Center, Albuquerque and State Bar Association and High Schools.
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## REFERENCES

Excellent references available upon request.

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# TAB 9

#9

Recommendations for Consent Agenda Items on Full Board of Regents'  
Agenda

**\* Recommendations for Consent Agenda Items on full Board of Regents' Agenda**